

SELECTING THE CORRECT FISCAL YEAR BURDEN SCHEDULE FOR AWARDS* SUBJECT TO ONR NEGOTIATED RATES

If the award start date is within the following date range: (1)	Then select the schedule starting with FYxx (2)	Applicable Negotiated F&A Rates in Schedule (3)		Provisional Rate Signed/Dated (8)	Final Rate Signed/Dated (8)	Comments
8/30/12 through 8/31/13	FY13	FY13 FY12 All Prior	Fixed for Life at the FY13 rate	8/30/12		Provisional F&A rates dated 8/30/12..
8/5/11 through 8/31/12	FY12	FY12 FY11 All Prior	Fixed for life at the FY12 rate	N/A	8/5/11	Final rate agreement signed 8/5/11. No provisional FY12 rates were negotiated.
8/23/10 through 8/04/11	FY11	FY11 FY10 All Prior	Fixed for life at the FY11 rate	8/23/10	8/5/11	<p>Final FY11 F&A rate agreement signed 8/5/11. Provisional FY11 F&A rates dated 8/23/10. F&A on these awards is fixed for life at the final FY11 F&A rates except for the following rates that were waived by the DoR and SOM to the FY11 provisional rates: (These final FY11 rates went up.)</p> <p>Research Off Campus – waived to 30% Sponsored Instruction On Campus – waived to 60% Other Sponsored Activity (OSA) On Campus – waived to 40%</p> <p>The final FY11 F&A rates will be applied retroactively to the beginning of the FY11 fiscal year The adjustments to F&A will be posted in Aug 11.</p>
9/1/09 through 8/22/10	FY10	FY10 FY09 All Prior	Fixed for Life at the FY10 rate	8/21/08 (8)	10/10/08 (8)	Rate agreement covers FY09 and FY10. See Stanford rate announcement memos dated Oct 10, 2008 for final rates and August 21, 2008 for provisional rates (7).
8/21/08 through 8/31/09	FY09	FY10 FY09 All Prior	Fixed for Life at the FY10 rate			
9/01/07 through 8/20/08	FY08	FY08 All Prior	Fixed for life at the FY08 rate	N/A	8/31/06	Rate agreement covers FY07 and FY08. See Stanford final rate announcement memo dated August 31, 2006.
8/31/06 through 8/31/07	FY07	FY08 FY07 All Prior	Fixed for life at the FY08 rate	N/A	8/31/06	Rate agreement covers FY07 and FY08. See Stanford final rate announcement memo dated August 31, 2006.(9)
9/01/05 through 8/30/06	FY06	FY06 FY05 All Prior	Fixed for life at FY06 rate	N/A	7/01/04	Rate agreement covers FY05 and FY06. See Stanford final rate announcement memo dated July 6, 2004.
7/01/04 through 8/31/05	FY05	FY06 FY05 All Prior	Fixed for life at FY06 rate			
9/01/03 through 6/30/04	FY04	FY04 All Prior	Fixed for life at FY04 rate	7/27/02	9/18/02	Rate agreement covers FY03 and FY04. See Stanford rate announcement memos dated September 24, 2002 for final rates and July 29, 2002 for provisional rates.
7/27/02 Through 8/31/03	FY03	FY04 FY03 All Prior	Fixed for life at FY04 rate			

* Includes Competitive Segments Notes to Schedule are on page 2

If the award start date is within the following date range: (1)	Then select the schedule starting with FYxx (2)	Applicable Negotiated F&A Rates in Schedule (3)		Provisional Rate Signed/Dated (8)	Final Rate Signed/Dated (8)	Comments
9/01/01 through 7/26/02	FY02	FY02 All Prior	Fixed for life at FY02 rate	1/21/00	7/6/00	Rate agreement covers FY02 and FY01. See Stanford rate announcement memos dated July 6, 2000 for final rates and January 25, 2000 for provisional rates.
9/01/00 through 8/31/01	FY01	FY02 FY01	Fixed for life at FY02 rate			
9/01/99 through 8/31/00	FY00	FY00 All Prior	Fixed for life at FY00 rate See exceptions at (4).	6/28/99	10/4/99	Awards with start dates on or after 1/21/00 through 8/31/00 use the FY00 schedule. The lower FY00 rates were applied for this period and the awards were fixed for life at the FY00 rate. See exceptions at (4). See Stanford rate announcement memos dated October 4, 1999 for final rates and June 23, 1999 for provisional rates.
9/01/98 through 8/31/99	FY99	FY99 All Prior	Fixed for life at FY99 rate See Appendix A for special guidance and exceptions at (5).	9/1/98	6/28/99	See special guidance at Appendix A. Also awards with start dates on or after 6/28/99 through 8/31/99 use the FY99 rates. The lower FY99 rates were applied for this period and the awards were fixed for life at the FY99 rate. See exceptions at (5). See Stanford rate announcement memos dated June 23, 1999 for final rates and September 1, 1998 for provisional rates.
Prior through 8/31/98	FY98	FY98 All Prior	Fixed at the FY98 rate See exceptions at (6).	9/2/97	6/9/98	Awards with start dates during FY98 and prior and with periods of performance ending in or after FY98 are fixed at this rate. (6) See Stanford rate announcement memos dated June 12, 1998 for final rates and September 2, 1997 for provisional rates.

* Includes Competitive Segments See Notes

Last Update: 8/31/12

Notes to Schedule

- (1) Award start date is the (period of performance) start date of the award/competitive segment.
- (2) Oracle burden schedules exist from FY98 (9/01/97) onward. Fixed for life (OMB A-21) was adopted for FY99.
- (3) "All Prior" means all schedules include all fiscal year rates prior to the dates indicated back to FY98.
- (4) Awards subject to the Sponsored Instruction On/Off Campus rates and the OSA rate must use the FY01/02 rates if they have start dates on or after 1/21/00 through 8/31/00. These awards are assigned the FY00, FY01 and FY02 rates and are fixed for life at the FY02 rate. The sponsored instruction and OSA rates went down for FY01/02.
- (5) Awards subject to the Organized Research Off Campus rate must use the FY00 rate if they have start dates on or after 6/28/99 through 8/31/99. These awards are assigned the FY99 and FY00 rates and are fixed for life at the FY00 rate. The Organized Research Off Campus rate went down for FY00.
- (6) Awards with start dates prior to FY98 were charged at the rate in effect for each fiscal year. These awards that are still active in FY98 as well as all awards with start dates during FY98 are fixed at the FY98 rate.
- (7) Be careful. Two memos dated August 22, 2008 exist about the provisional FY09/10 rates. One memo misquotes the On/Off Campus Sponsored Instruction rate incorrectly as 26.6%/26.6%. The revised memo quotes the correct rates of 26.5%/26.5% and is referenced on the Stanford Rate page. The memo "Application of Facilities & Administrative Rates at Stanford" documents the application of F&A rates in more detail.
- (8) Starting with the FY09/10 provisional rate agreement, the rate agreements have an agreement date. We are using the agreement date in lieu of the signature dates to identify the effective date of the agreement. The agreement date is the date the parties to the agreement intend the rate agreement to be effective. If there is no agreement date we will use the last signature date.
- (9) The memo "Application of Facilities & Administrative Rates at Stanford" documents the application of F&A rates in more detail. This memo does not note the 8/31/06 date as there is only one sponsored award PAAVD that started on that date. However this is the date that we first apply the FY07/FY08 rates to awards per this document. (PAAVD has a correct burden schedule – FY07_RSCH_ON_GOV)

Stanford rates and supporting documentation are at the web page [Stanford Rates](#).

APPENDIX A

IMPLEMENTATION GUIDANCE FOR APPLYING ONR NEGOTIATED RATES IN FY99

[See memo from Dr. Kruger dated January 15, 1999 titled "Changes in Indirect Cost" announcing Fixed for Life requirement.](#)

