

Date of Approval: **May 14, 2020**

PIA ID Number: **4816**

## **SYSTEM DESCRIPTION**

*Enter the full name and acronym for the system, project, application and/or database.*

Integrated Financial System, IFS

*Is this a new system?*

No

*Is there a PCLIA for this system?*

Yes

*What is the full name, acronym and milestone of the most recent PCLIA?*

Integrated Financial System, IFS, PIA ID# 2502, O&M

*What is the approval date of the most recent PCLIA?*

4/17/2017

*Changes that occurred to require this update:*

Addition of Personally Identifiable Information (PII)

New Access by IRS employees or Members of the Public

Expiring PCLIA

*Were there other system changes not listed above?*

No

*What governance board or Executive Steering Committee (ESC) does this system report to? Full name and acronym.*

Financial Services Governance Board (FSGB), which rolls under the Strategic Development Executive Steering Committee (SD ESC)

*Current ELC (Enterprise Life Cycle) Milestones:*

Preliminary Design/Milestone 3

Detailed Design/Milestone 4A

System Development/Milestone 4B

Operations & Maintenance (i.e. system is currently operational)

*Is this a Federal Information Security Management Act (FISMA) reportable system?*

Yes

## **GENERAL BUSINESS PURPOSE**

*What is the general business purpose of this system? Provide a clear, concise description of the system, application or database, the reason for the system, and the benefits to the IRS to use the information, and how the information will be used.*

IFS is the core financial system for IRS administrative accounting, supporting budget formulation/labor forecasting and execution (funds management) of the IRS annual budget. Other financial functions include general ledger, managerial cost accounting, accounts payable, accounts receivable, purchase card transaction processing and payments, asset accounting, all financial reporting, and budgetary and management reporting. In addition, it provides functionality supporting the management and administration of the federal acquisition/ procurement processes including document builder and folders management.

## **PII DETAILS**

*Does the system use, collect, receive, display, store, maintain, or disseminate IR Code 6103 taxpayer information; or any other type of Sensitive but Unclassified (SBU) information or PII such as information about IRS employees or outside stakeholders?*

Yes

*Does the system use, collect, receive, display, store, maintain, or disseminate Social Security Numbers (SSN's) or tax identification numbers (i.e. last 4 digits, etc.)?*

Yes

*What types of tax identification numbers (TIN) apply to this system?*

Social Security Number (SSN)

*List the approved Treasury uses of the SSN:*

Interfaces with external entities that require the SSN

Legal/statutory basis (e.g. where collection is expressly required by statute)

When there is no reasonable alternative means for meeting business requirements

*Explain why the authorized use(s) above support the new or continued use of SSNs (or tax identification numbers).*

SSNs are permissible under Internal Revenue Code (IRC) §6109, which requires individual taxpayers to include their SSNs on their income tax returns.

*Describe the planned mitigation strategy and forecasted implementation date to mitigate or eliminate the use of SSN's (or tax identification numbers).*

The system requires the use of SSN's because no other identifier can be used to uniquely identify a taxpayer.

Employer Identification Number

Other Taxpayer Identification Number

*Does this system use, collect, receive, display, store, maintain or disseminate other (non-SSN) PII (i.e. names, addresses, etc.)?*

Yes

*Specify the PII Elements:*

Name

Mailing address

Phone Numbers

E-mail Address

Date of Birth

Standard Employee Identifier (SEID)

Financial Account Numbers

*Does this system use, collect, receive, display, store, maintain, or disseminate SBU information that is not PII?*

Yes

*Specify the types of SBU from the SBU Types List*

Procurement sensitive data    Contract proposals, bids, etc.

*Are there other types of SBU/PII used in the system?*

Yes

*Describe the other types of SBU/PII that are applicable to this system.*

Employee purchase card numbers

*Cite the authority for collecting SBU/PII (including SSN if relevant).*

SSN for tax returns and return information is Internal Revenue Code Section 6109

PII for personnel administration is 5 USC

*Has the authority been verified with the system owner?*

Yes

## **BUSINESS NEEDS AND ACCURACY**

*Explain the detailed business needs and uses for the SBU/ PII, and how the SBU / PII is limited only to that which is relevant and necessary to meet the mission requirements of the system. If SSNs (or tax identification numbers) are used, explicitly explain why use of SSNs meets this criteria. Be specific.*

IFS processes PII for the following purposes: 1. To support external financial reporting to the Office of Management and Budget and Treasury; 2. To support ad-hoc Congressional inquiries; 3. To support the IRS administrative financial management functions (general ledger, budget formulation, payroll, vendor payments, and budget reconciliation, etc.); 4. To support IRS acquisitioning and contract management; 5. To support issuance of 1099s (Vendor Taxpayer Identification Number/SSN).

*How is the SBU/PII verified for accuracy, timeliness and completion?*

Auditing of user access to IRS applications, systems, buildings, and networks are logged in accordance with IRS Internal Revenue Manual (IRM) §10.8.1.4.2, Physical and Environmental Protection, IRM §10.8.1.5, Technical Controls, and IRM §10.8.1.5.3, Audit

and Accountability. Each data element of PII is verified for accuracy, timeliness, and completeness. All external data feeds into IFS have signed business agreements that specifically define processing rules from the authoritative source. The supplying party guarantees the accuracy of the information provided to the IRS and that it contains only relevant, requested, timely, and accurate data. Any file transmission or communications failures received during transmission are communicated via email to an operating personnel distribution list. If a data transmission failure occurs, the system either rejects the data or sends it back to the source system for correction. IRS performs extensive testing to verify the accuracy, timeliness and completeness of the data elements prior to release to the production environment.

## **PRIVACY ACT AND SYSTEM OF RECORDS**

The Privacy Act requires Federal agencies that maintain a system of records to publish systems of records notices (SORNs) in the Federal Register for records from which information is retrieved by any personal identifier for an individual who is a US citizen or an alien lawfully admitted for permanent residence. The Privacy Act also provides for criminal penalties for intentional noncompliance.

*Does your application or this PCLIA system pertain to a group of any record from which information is retrieved by any personal identifier for an individual who is a US citizen, or an alien lawfully admitted for permanent residence? An identifier may be a symbol, voiceprint, SEID, or other personal identifier that is used to retrieve information.*

Yes

*Identify the Privacy Act SORN(s) that cover these records.*

IRS 22.012 Health Coverage Tax Credit (HCTC) Program Records

## **RESPONSIBLE PARTIES**

*Identify the individuals for the following system roles:*

## Official Use Only

## **INCOMING PII INTERFACES**

*Does the system receive SBU/PII from other systems or agencies?*

Yes

*Does the system receive SBU/PII from IRS files and databases?*

Yes

*Enter the files and databases:*

System Name: Automated Interface with the National Finance Center (AINFC)  
Current PCLIA: No  
SA&A: No

*Does the system receive SBU/PII from other federal agency or agencies?*

Yes

*For each federal interface, identify the organization that sends the SBU/PII, how the SBU/PII is transmitted and if there is an Inter-Agency Agreement (ISA) /Memorandum of Understanding (MOU).*

Name: Treasury (Pay.gov)  
Transmission Method: https  
ISA/MOU: Yes

Name: General Services Administration (GSA) (Concur)  
Transmission Method: SFTP  
ISA/MOU: Yes

Name: moveLINQ  
Transmission Method: SFTP  
ISA/MOU: Yes

Name: Treasury/ Invoice Processing Platform (IPP)  
Transmission Method: SFTP  
ISA/MOU: Yes

Name: GSA/System for Awards Management (SAM) (vendor)  
Transmission Method: SFTP  
ISA/MOU: Yes

Name: Treasury/Federal Reserve Bank (FRB) (banking data)  
Transmission Method: SFTP  
ISA/MOU: Yes

*Does the system receive SBU/PII from State or local agency (-ies)?*

No

*Does the system receive SBU/PII from other sources?*

Yes

*Identify the source that sends the SBU/PII, how the SBU/PII is transmitted and if there is an Inter-Agency Agreement (ISA) /Memorandum of Understanding (MOU).*

Organization Name: Citibank/Credit Card  
Transmission Method: SFTP  
ISA/MOU Yes

*Does the system receive SBU/PII from Taxpayer forms?*

No

*Does the system receive SBU/PII from Employee forms (e.g. the I-9)?*

No

## **DISSEMINATION OF PII**

*Does this system disseminate SBU/PII?*

Yes

*Does this system disseminate SBU/PII to other IRS Systems?*

Yes

*Identify the full name and acronym of the IRS system(s) that receive SBU/PII from this system.*

System Name: moveLINQ (mLINQ)  
Current PCLIA: No  
SA&A: Yes  
ATO/IATO Date: 9/25/2017

System Name: Individual Master File  
Current PCLIA: Yes  
Approval Date: 3/4/2020  
SA&A: Yes  
ATO/IATO Date: 4/6/2020

*Identify the authority*

31 U.S.C. 3512, 31 U.S.C. 3711, 31 U.S.C. 3721, 5 U.S.C. 5701 et seq., 5 U.S.C. 4111(b), Public Law 97-365, 26 U.S.C. 6103(m)(2), 5 U.S.C. 5514, 31 U.S.C. 3716, 31 U.S.C. 321, 5 U.S.C. 301, 5 U.S.C. 4101 et seq., 41 CFR parts 301-304, EO 11348, and Treasury Order 140-01.

*For what purpose?*

The financial systems used by the Treasury components to collect, maintain and disseminate information include the following types of records: Routine billing, payment, property accountability, and travel information used in accounting and financial processing; administrative claims by employees for lost or damaged property; administrative accounting documents, such as relocation documents, purchase orders, vendor invoices, checks, reimbursement documents, transaction amounts, goods and services descriptions, returned overpayments, or otherwise delivering cash, reasons for payment and debt, travel-related documents, training records, uniform allowances, payroll information, etc., which reflect amount owed by or to an individual for payments to or receipt from business firms, private citizens and or institutions. Typically, these documents include the individual's name, social security number, address, and taxpayer identification number. Records in the system also include employment data, payroll data, position and pay data.

*Does this system disseminate SBU/PII to other Federal agencies?*

Yes

*Identify the full names of the federal agency(s) that receive SBU/PII from this system, and if there is an Inter-Agency Agreement (ISA) / Memorandum of Understanding (MOU).*

Organization Name: Concur  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Citibank/Credit Card  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: GSA/SAM (vendor)  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Treasury/IPP  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Treasury/FRB (banking data)  
Transmission Method: SFTP  
ISA/MOU Yes



*Identify the authority*

31 U.S.C. 3512, 31 U.S.C. 3711, 31 U.S.C. 3721, 5 U.S.C. 5701 et seq., 5 U.S.C. 4111(b), Public Law 97-365, 26 U.S.C. 6103(m)(2), 5 U.S.C. 5514, 31 U.S.C. 3716, 31 U.S.C. 321, 5 U.S.C. 301, 5 U.S.C. 4101 et seq., 41 CFR parts 301-304, EO 11348, and Treasury Order 140-01.

*Identify the Routine Use in the applicable SORN (or Privacy Act exception).*

The financial systems used by the Treasury components to collect, maintain and disseminate information include the following types of records: Routine billing, payment, property accountability, and travel information used in accounting and financial processing; administrative claims by employees for lost or damaged property; administrative accounting documents, such as relocation documents, purchase orders, vendor invoices, checks, reimbursement documents, transaction amounts, goods and services descriptions, returned overpayments, or otherwise delivering cash, reasons for payment and debt, travel-related documents, training records, uniform allowances, payroll information, etc., which reflect amount owed by or to an individual for payments to or receipt from business firms, private citizens and or institutions. Typically, these documents include the individual's name, social security number, address, and taxpayer identification number. Records in the system also include employment data, payroll data, position and pay data.

*For what purpose?*

The Treasury Integrated Financial Management and Revenue System is to account for and control appropriated resources; maintain accounting and financial information associated with the normal operations of government organizations such as billing and follow-up, for paying creditors, to account for goods and services provided and received, to account for monies paid and received, process travel authorizations and claims, process training claims, and process employee claims for lost or damaged property. The records management and statistical analysis subsystems provide a data source for the production of reports, statistical surveys.

*Does this system disseminate SBU/PII to State and local agencies?*

Yes

*Identify the full names of the state and local agency(s) that receive SBU/PII from this system, and if there is an Inter-Agency Agreement (ISA) /Memorandum of Understanding (MOU).*

Organization Name: Alabama Department of Revenue  
Transmission Method: Secure File Transfer Protocol SFTP  
ISA/MOU Yes

Organization Name: Indiana Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Kansas Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Kentucky Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Louisiana Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Massachusetts Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Mississippi Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Montana Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: North Carolina Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: North Dakota Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Nebraska Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: New Hampshire Department of Revenue Administration  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Oklahoma Tax Commission  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Oregon Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Pennsylvania Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Rhode Island Division of Taxation  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: South Carolina Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Virginia Department of Taxation  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Alaska Department of Revenue  
Transmission Method: Secure File Transfer Protocol (SFTP)  
ISA/MOU Yes

Organization Name: Arkansas Department of Finance and Administration  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: California Franchise Tax Board  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Delaware Division of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Florida Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Georgia Department of Revenue  
Transmission Method: SFTP  
ISA/MOU No

Organization Name: Hawaii Department of Taxation  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Arizona Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Colorado Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Connecticut Department of Revenue Services  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: District of Columbia Office of Tax and Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Iowa Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Idaho State Tax Commission  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Illinois Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Maryland Department of Assessments and Taxation  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Maine Revenue Services  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Michigan Department of Treasury  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Minnesota Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Missouri Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: New Jersey Division of Taxation  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: New Mexico Taxation and Revenue Department  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Nevada Department of Taxation  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: New York Department of Taxation and Finance  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Ohio Department of Taxation  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: South Dakota Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Tennessee Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Texas Comptroller of Public Accounts  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Utah State Tax Commission  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Vermont Department of Taxes  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Washington Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: Wisconsin Department of Revenue  
Transmission Method: SFTP  
ISA/MOU Yes

Organization Name: West Virginia State Tax Department  
Transmission Method: SFTP  
ISA/MOU Yes

*Identify the authority*

31 U.S.C. 3512, 31 U.S.C. 3711, 31 U.S.C. 3721, 5 U.S.C. 5701 et seq., 5 U.S.C. 4111(b), Public Law 97-365, 26 U.S.C. 6103(m)(2), 5 U.S.C. 5514, 31 U.S.C. 3716, 31 U.S.C. 321, 5 U.S.C. 301, 5 U.S.C. 4101 et seq., 41 CFR parts 301-304, EO 11348, and Treasury Order 140-01.

*Identify the Routine Use in the applicable SORN (or Privacy Act exception).*

The financial systems used by the Treasury components to collect, maintain and disseminate information include the following types of records: Routine billing, payment, property accountability, and travel information used in accounting and financial processing; administrative claims by employees for lost or damaged property; administrative accounting documents, such as relocation documents, purchase orders, vendor invoices, checks, reimbursement documents, transaction amounts, goods and services descriptions, returned overpayments, or otherwise delivering cash, reasons for payment and debt, travel-related documents, training records, uniform allowances, payroll information, etc., which reflect amount owed by or to an individual for payments to or receipt from business firms, private citizens and or institutions. Typically, these documents include the individual's name, social security number, address, and taxpayer identification number. Records in the system also include employment data, payroll data, position and pay data.

*For what purpose?*

The Treasury Integrated Financial Management and Revenue System is to account for and control appropriated resources; maintain accounting and financial information associated with the normal operations of government organizations such as billing and follow-up, for paying creditors, to account for goods and services provided and received, to account for monies paid and received, process travel authorizations and claims, process training claims, and process employee claims for lost or damaged property. The records management and statistical analysis subsystems provide a data source for the production of reports, statistical surveys.

*Does this system disseminate SBU/PII to IRS or Treasury contractors?*

No

*Does this system disseminate SBU/PII to other Sources?*

No

## **PRIVACY SENSITIVE TECHNOLOGY**

*Does this system use social media channels?*

No

*Does this system use privacy-sensitive technologies such as mobile, global position system (GPS), biometrics, RFID, etc.?*

No

*Does the system use cloud computing?*

No

*Does this system/application interact with the public?*

No

## **INDIVIDUAL NOTICE AND CONSENT**

*Was/is notice provided to the individual prior to collection of information?*

No

*Why not? If information is not collected directly from an individual, please discuss the factors considered in deciding to collect information from third party sources.*

The information within IFS is employee and vendor PII, which comes from other IRS Systems. Those systems provide the Privacy Act Notice to individuals. Notice, consent and due process are provided in the various tax form instructions, and pursuant to 5 USC.

*Do individuals have the opportunity to decline from providing information and/or from consenting to particular uses of the information?*

No

*Why not?*

IFS does not directly provide individuals the opportunity to decline from providing information and/or from consenting to particular uses of the information because the information is employee and vendor PII, which comes from other IRS Systems. Those systems provide the Privacy Act Notice to individuals. Notice, consent and due process are provided in the various tax form instructions, and pursuant to 5 USC.

*How does the system or business process ensure 'due process' regarding information access, correction and redress?*

Due process is provided pursuant to 5 USC and all applicable federal statute pertaining to financial records, procurement and the Federal Acquisition Regulations.

## **INFORMATION PROTECTION**

*Identify the owner and operator of the system (could be IRS owned and Operated; IRS owned, contractor operated; contractor owned and operated)*

IRS Owned and Operated



*The following people have access to the system with the specified rights:*

*IRS Employees*

Users: Read Write

Managers: Read Write

System Administrators: Administrator

Developers: Administrator

*IRS Contractor Employees*

Contractor Users: Read Write

Contractor Managers: Read Only

Contractor System Administrators: Read Only

Contractor Developers: Read Only

*How is access to SBU/PII determined and by whom?*

The role requestor completes an Online 5081 (OL5081) application and the IFS system administrators approve/deny the requests. The IFS user configurations and privileges are based on defined role-based profiles and are granted through the OL5081 process. Access is further controlled in a Windows environment with a user profile assigned with user-specific data that restricts the user's working environment. This can include display settings, application settings, file privileges, and network connections.

## **RECORDS RETENTION SCHEDULE**

*Are these records covered under a General Records Schedule (GRS, IRS Document 12829), or has the National Archives and Records Administration (NARA) approved a Records Control Schedule (RCS, IRS Document 12990) for the retention and destruction of official agency records stored in this system?*

Yes

*How long are the records required to be held under the corresponding GRS or RCS, and how are they disposed of? In your response, please provide the GRS or RCS chapter number, the specific item number, and records series title.*

All system records will be erased or purged from the system at the conclusion of their retention period(s) as required under IRM 1.15.6. Records are scheduled under the General Records Schedules, IRS Document 12829. 1.1 for Financial Management and Reporting Records, Item 010; and Records Control Schedule 16 for Finance-Chief Financial Officer and Accountable Officer Records (published in Document 12990), items 1, 2, 3, 5, 17 and 18. Financial records data are retained for at least 6 years, 3 months after the funds have expired or the after period covered by the account. If the PII data related to a less stringent retention policy were purged, then that data element would not be available for future processing on a connection that requires a longer retention period, i.e. payroll, W2/1099- RCS 29 Item 85.

## **SA&A OR ASCA**

*Has the system been through SA&A (Security Assessment and Authorization) or ASCA (Annual Security Control Assessment)?*

Yes

*What date was it completed?*

4/9/2020

*Describe the system's audit trail.*

All system activity is captured to an audit log and transferred to the Security Audit and Analysis System (SAAS) for archiving. SAAS is the audit log central storage.

## **PRIVACY TESTING**

*Does the system require a System Test Plan?*

Yes

*Is the test plan completed?*

Yes

*Where are the test results stored (or documentation that validation has occurred confirming that requirements have been met)?*

On the DocIT repository

*Were all the Privacy Requirements successfully tested?*

Yes

*Are there any residual system privacy, civil liberties, and/or security risks identified that need to be resolved?*

No

*Describe what testing and validation activities have been conducted or are in progress to verify and validate that the applicable Privacy Requirements (listed in header) have been met?*

Systems Acceptability Testing Section 508 Compliance Testing Annual Security Control Assessment Legacy ID: CR174-05 - 3.1.1.11.02 - User interface - CR174-005 - The software shall provide privacy protection by encrypting user application data(encryption) - Release 1.5 - Application Specific Category: Application software functional architecture Legacy ID: DDI-007 - 3.1.1.10.05 - Data integrity - DDI-007 - The system shall have warning screens to contact the Office of Disclosure regarding computer matching between more than one system of records that has due process requirements under the provisions of the Privacy Act, 5 U.S.C. Â§552a. The warning screen will be displayed each time a user logs on to the system. - Release 1 - Application Specific Category: Data management Legacy ID: DDI-062 - 3.1.1.10.05 - Data integrity - DDI-062 - The system shall ensure that adequate privacy measures are incorporated, tested, and monitored throughout the system life cycle. Privacy shall be maintained throughout the information life cycle (collection through disposal) and through the development life cycle (milestones 0 through 5). Privacy is a process, not a one-time activity or event. The IRS articulates the NIST development life cycle as the IRS Enterprise Life Cycle. - Release 1 - Application Specific Category: Data management Legacy ID: DDI-063 - 3.1.1.10.05 - Data integrity - DDI-063 - The system shall allocate adequate and effective security mechanisms and processes in support of achieving privacy to protect the confidentiality, integrity, and availability of all systems containing and/or transmitting PII, including all data shared with other organizations. - Release 4 - Application Specific Category: Data management Legacy ID: Legacy ID: DDI-064 - 3.1.1.10.05 - Data integrity - DDI-064 - The system shall use technical controls such as Privacy Enhancing Technologies, as appropriate to strongly protect the confidentiality of PII, such as encryption/decryption for PII in transit and at rest - Release 4 - Application Specific Category: Data management

## **SBU DATA USE**

*Does this system use, or plan to use SBU Data in Testing?*

Yes

*Was permission granted per the requirements of Form 14664, SBU Data Use Questionnaire or Form 14665, SBU Data Use Request?*

Yes

*Provide the date the permission was granted.*

3/14/2017

*Was testing performed in conformance with IRM 10.8.8 Information Technology (IT) Security, Sensitive But Unclassified (SBU) Data Policy?*

Yes

## **NUMBER AND CATEGORY OF PII RECORDS**

*Identify the number of individual records in the system for each category:*

IRS Employees: Under 50,000

Contractors: Under 5,000

Members of the Public: Not Applicable

Other: No

## **CIVIL LIBERTIES**

*Does the system maintain any information describing how any individual exercises their rights guaranteed by the First Amendment?*

No

*Is the system information used to conduct 'data-mining' as defined in the Implementing Recommendations of the 9/11 Commission Act of 2007, Public Law 110-53, Section 804?*

No

*Will this system have the capability to identify, locate, and monitor individuals or groups of people?*

No

*Does computer matching occur?*

No

## **ACCOUNTING OF DISCLOSURES**

*Does the system include or require disclosure of tax or employee information to anyone other than IRS employees in the performance of their duties, or to the person to whom the information pertains or to a 3rd party pursuant to a Power of Attorney, tax or Privacy Act consent?*

No