

# Final-State Interactions Produce

*T-Odd (Sivers Effect)*  $\mathbf{i} \vec{S} \cdot \vec{p}_{jet} \times \vec{q}$

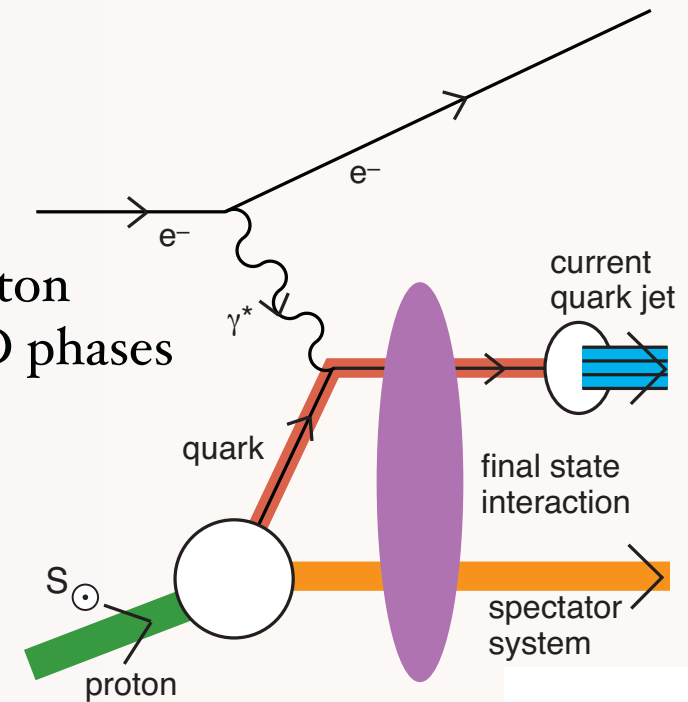
- Bjorken Scaling!
- Arises from Interference of Final-State Coulomb Phases in S and P waves
- Relate to the quark contribution to the target proton anomalous magnetic moment

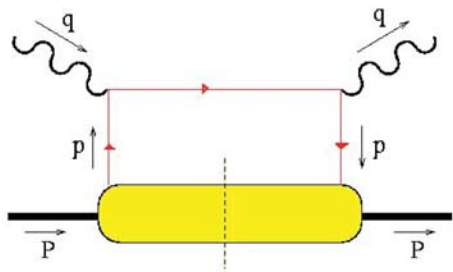
Hwang, Schmidt. sjb;  
Burkardt

# Final-State Interactions Produce Pseudo-T-Odd (Sivers Effect)

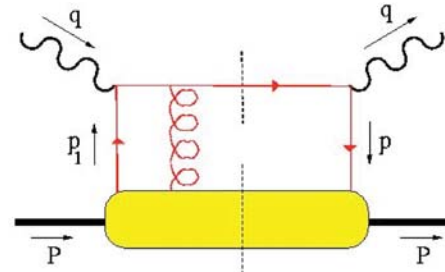
- Leading-Twist Bjorken Scaling!
- Requires nonzero orbital angular momentum of quark!
- Arises from the interference of Final-State QCD Coulomb phases in S- and P- waves; Wilson line effect; gauge independent
- Unexpected QCD Effect -- thought to be zero!
- Relate to the quark contribution to the target proton anomalous magnetic moment and final-state QCD phases
- QCD Coulomb phase at soft scale
- Measure in jet trigger or leading hadron
- Sum of Sivers Functions for all quarks and gluons vanishes. (Zero gravito-anomalous magnetic moment:  $B(0) = 0$ )

$$\mathbf{i} \vec{S} \cdot \vec{p}_{jet} \times \vec{q}$$





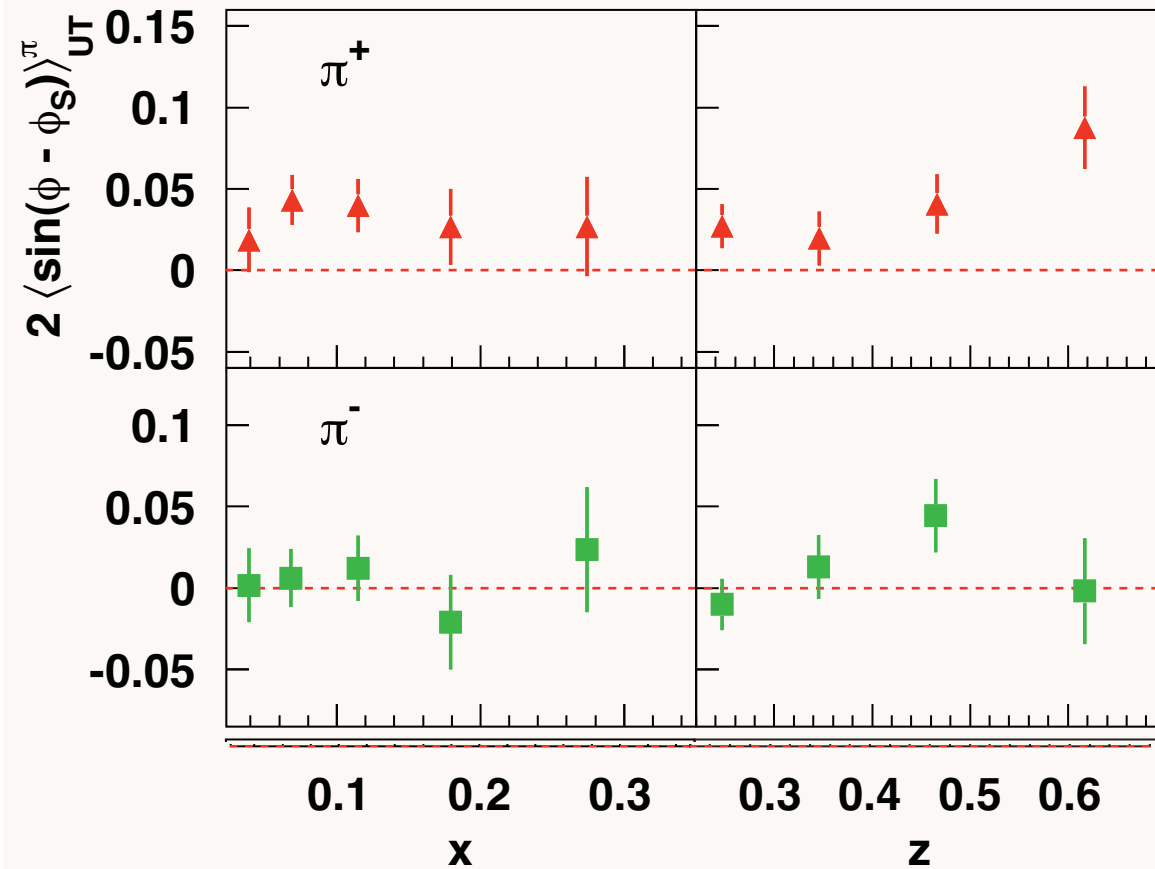
can interfere with



and produce a T-odd effect!  
(also need  $L_z \neq 0$ )

HERMES coll., A. Airapetian et al., Phys. Rev. Lett. 94 (2005) 012002.

## Sivers asymmetry from HERMES



- First evidence for non-zero Sivers function!
- $\Rightarrow$  presence of non-zero quark orbital angular momentum!
- **Positive** for  $\pi^+$  ...  
**Consistent with zero** for  $\pi^-$  ...

**Gamberg: Hermes data compatible with BHS model**

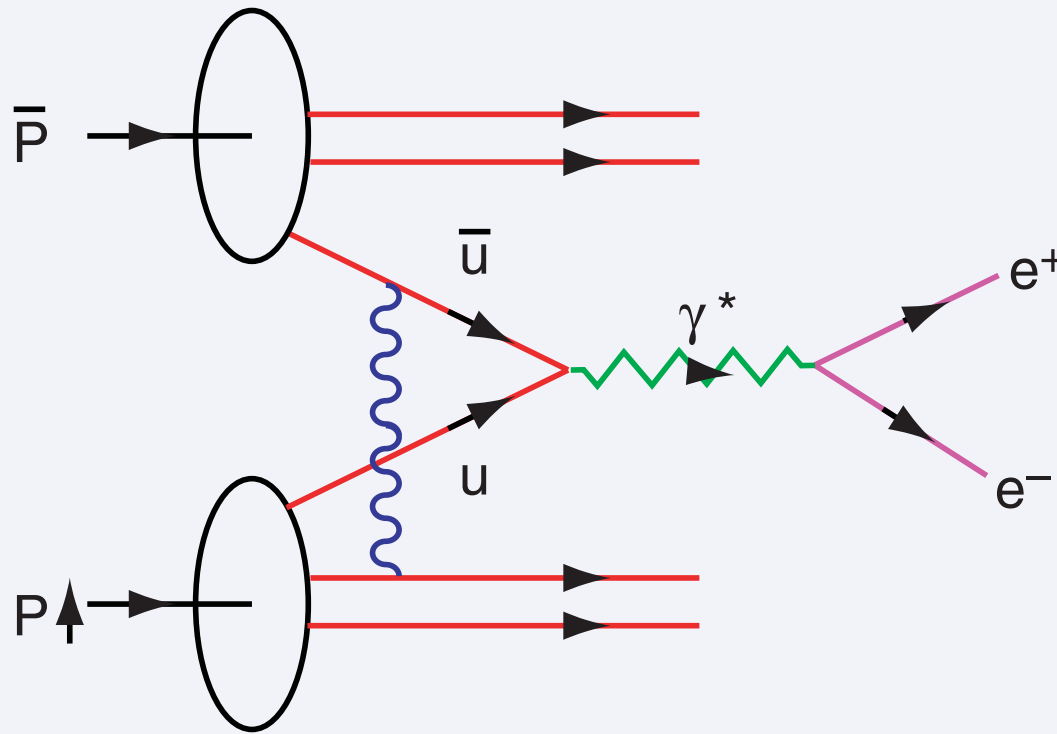
**Schmidt, Lu: Hermes charge pattern follow quark contributions to anomalous moment**

University of Helsinki  
April 29, 2008

AdS/QCD  
139

Stan Brodsky, SLAC & IPPP

# Predict Opposite Sign SSA in DY !



Collins;  
Hwang, Schmidt.  
sjb

Single Spin Asymmetry In the Drell Yan Process

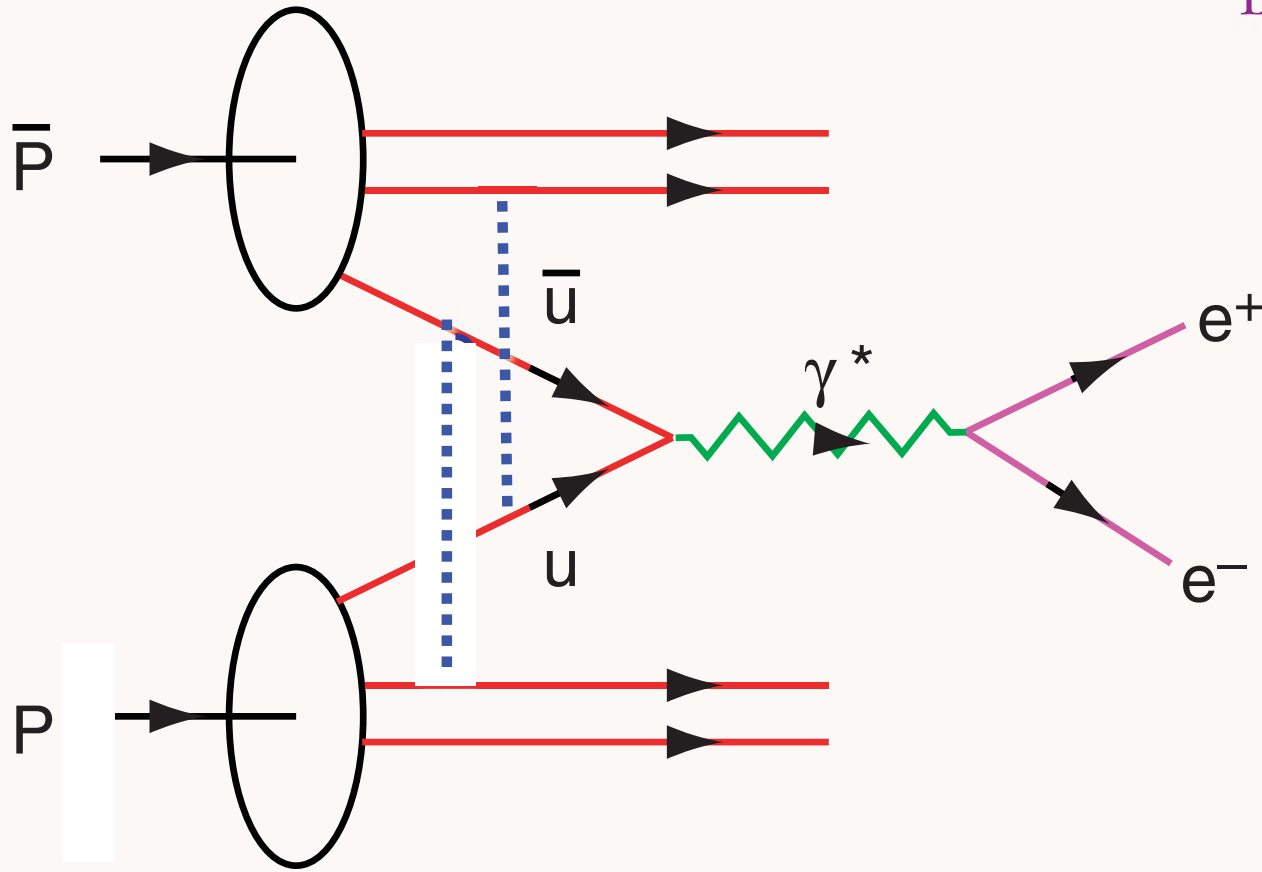
$$\vec{S}_p \cdot \vec{p} \times \vec{q}_{\gamma^*}$$

Quarks Interact in the Initial State

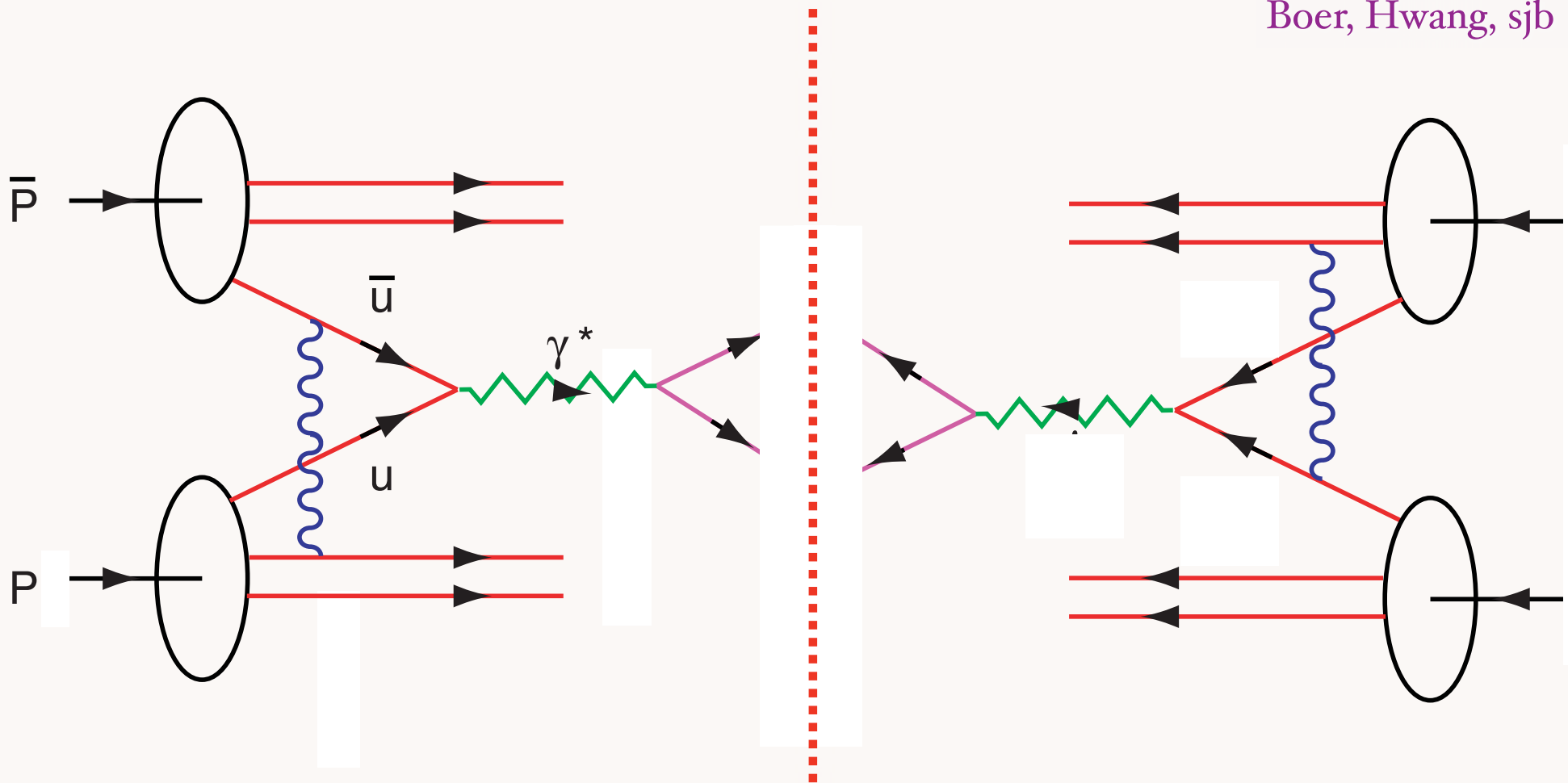
Interference of Coulomb Phases for  $S$  and  $P$  states

Produce Single Spin Asymmetry [Siver's Effect] Proportional  
to the Proton Anomalous Moment and  $\alpha_s$ .

Opposite Sign to DIS! No Factorization



**$DY_{\cos 2\phi}$  correlation at leading twist from double ISI**



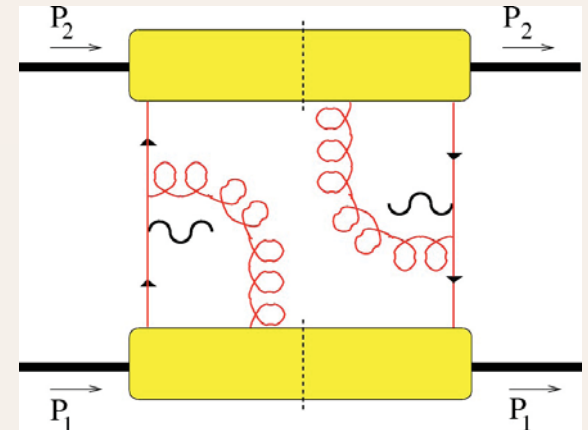
**$DY \cos 2\phi$  correlation at leading twist from double ISI**

# Anomalous effect from Double ISI in Massive Lepton Production

Boer, Hwang, sjb

$\cos 2\phi$  correlation

- Leading Twist, valence quark dominated
- Violates Lam-Tung Relation!
- Not obtained from standard PQCD subprocess analysis
- Normalized to the square of the single spin asymmetry in semi-inclusive DIS
- No polarization required
- Challenge to standard picture of PQCD Factorization



# Double Initial-State Interactions

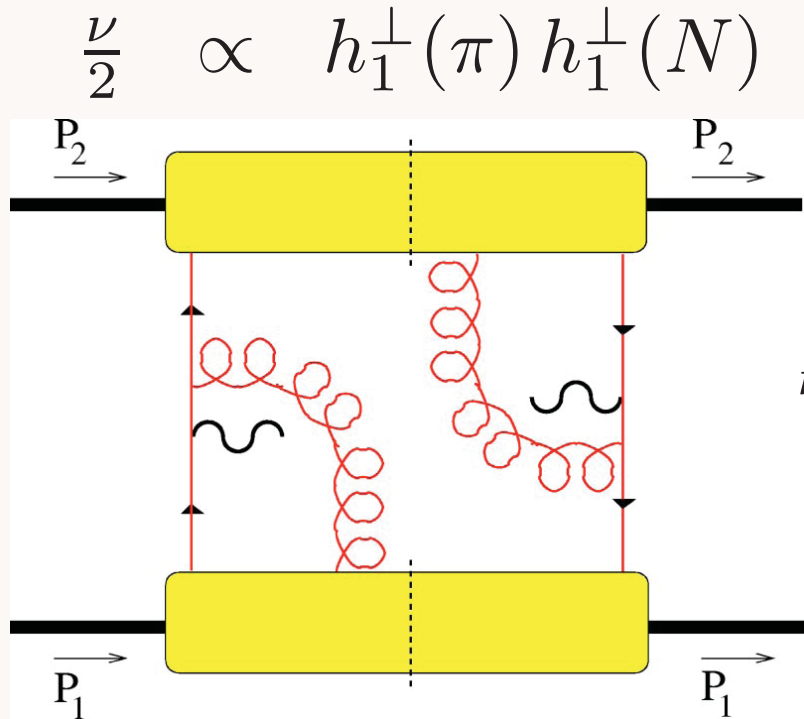
generate anomalous  $\cos 2\phi$

Boer, Hwang, sjb

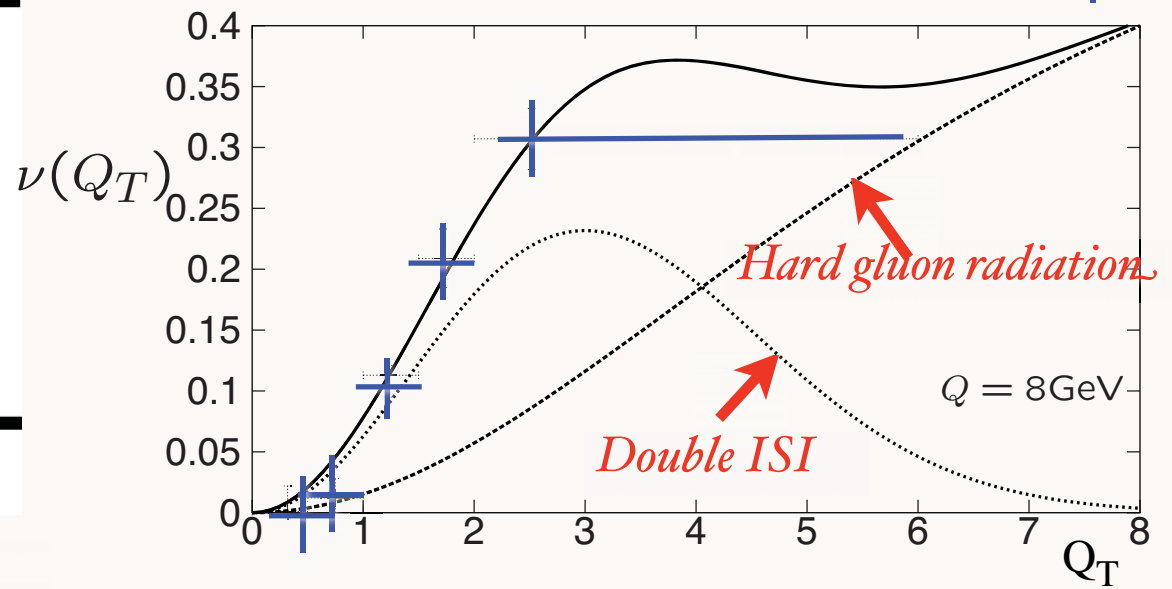
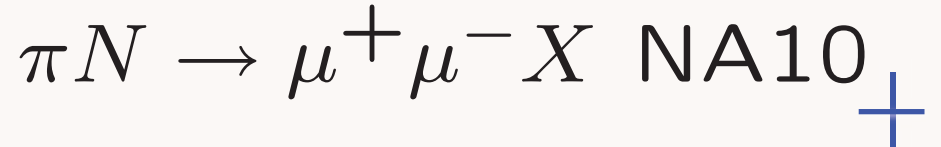
## Drell-Yan planar correlations

$$\frac{1}{\sigma} \frac{d\sigma}{d\Omega} \propto \left( 1 + \lambda \cos^2 \theta + \mu \sin 2\theta \cos \phi + \frac{\nu}{2} \sin^2 \theta \cos 2\phi \right)$$

PQCD Factorization (Lam Tung):  $1 - \lambda - 2\nu = 0$



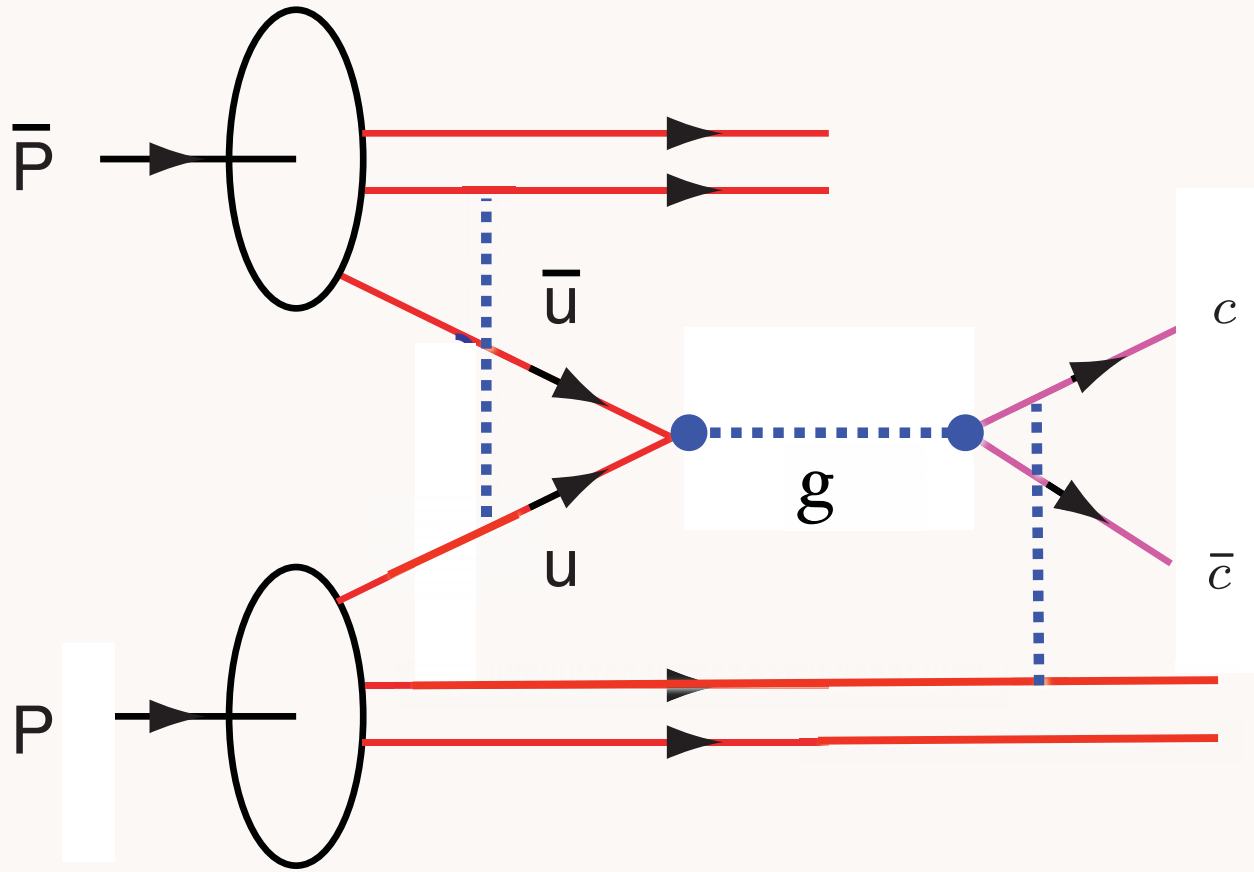
**Violates Lam-Tung relation!**



Model: Boer,

Stan Brodsky, SLAC & IPPP



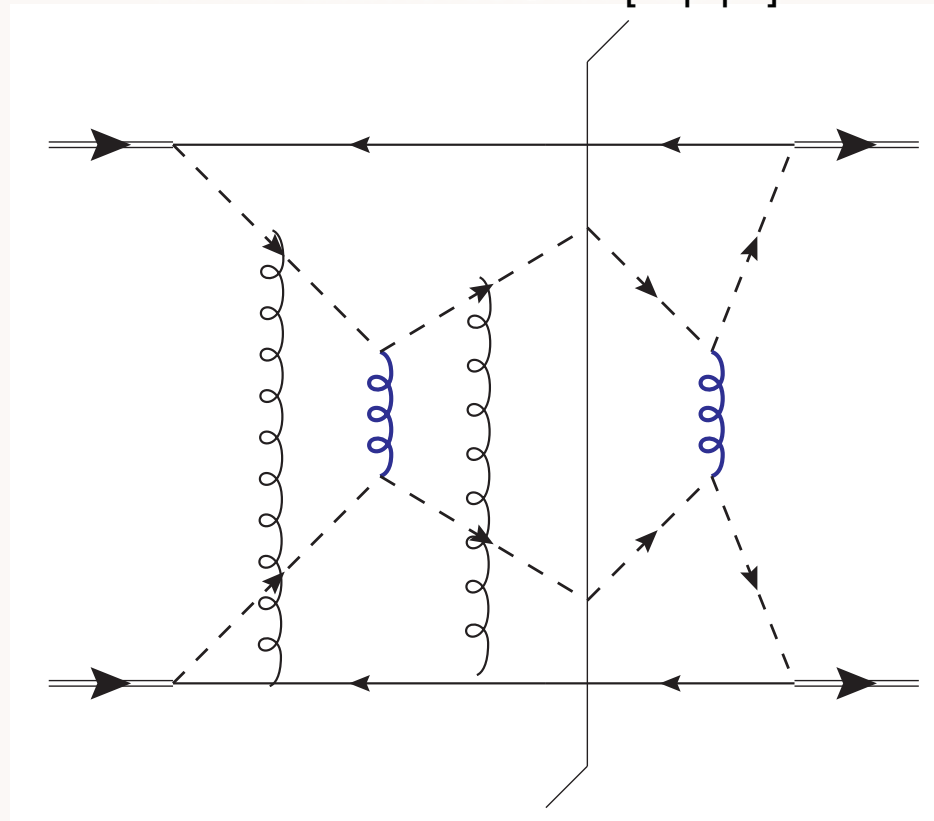


*Problem for factorization when both ISI and FSI occur*

# Factorization is violated in production of high-transverse-momentum particles in hadron-hadron collisions

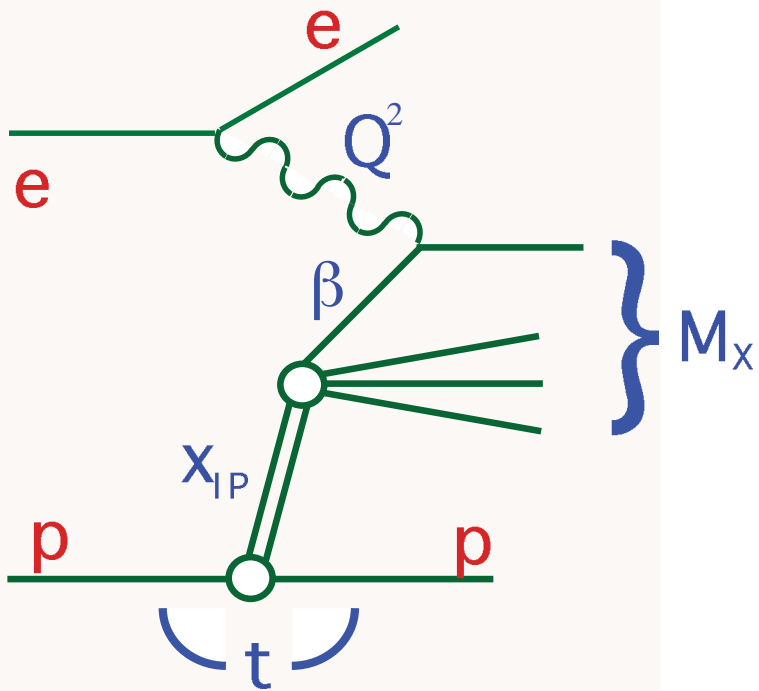
John Collins, [Jian-Wei Qiu](#) . ANL-HEP-PR-07-25, May 2007.

e-Print: [arXiv:0705.2141](#) [hep-ph]

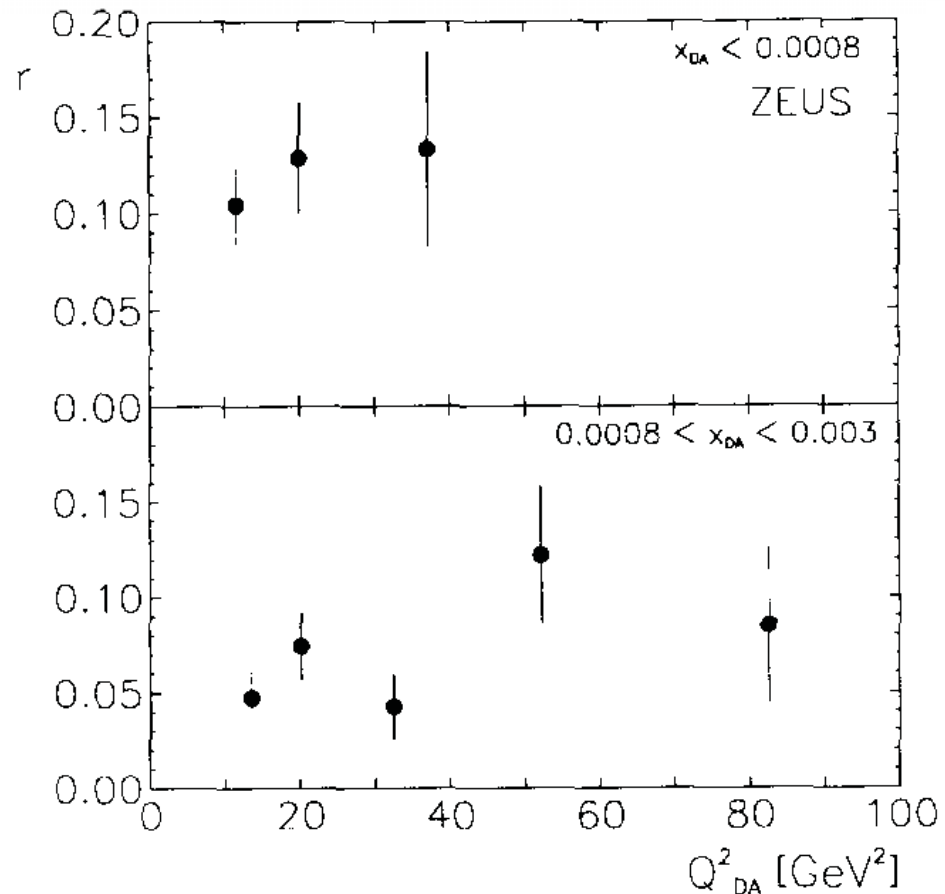


The exchange of two extra gluons, as in this graph, will tend to give non-factorization in unpolarized cross sections.

# Remarkable observation at HERA



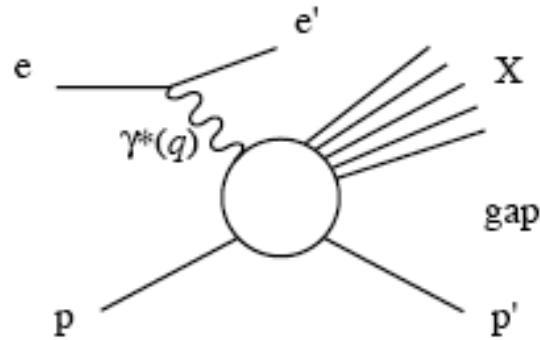
*10% to 15%  
of DIS events  
are  
diffractive!*



Fraction  $r$  of events with a large rapidity gap,  $\eta_{\max} < 1.5$ , as a function of  $Q_{DA}^2$  for two ranges of  $x_{DA}$ . No acceptance corrections have been applied.

M. Derrick et al. [ZEUS Collaboration], Phys. Lett. B 315, 481 (1993).

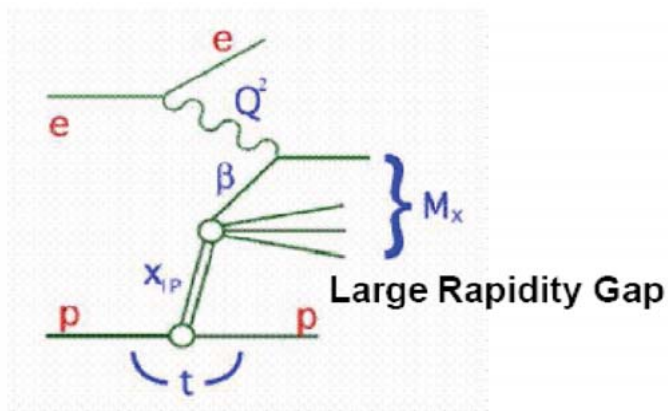
# DDIS



- In a large fraction ( $\sim 10\text{--}15\%$ ) of DIS events, the proton escapes intact, keeping a large fraction of its initial momentum
- This leaves a large *rapidity gap* between the proton and the produced particles
- The  $t$ -channel exchange must be *color singlet*  $\rightarrow$  a pomeron??

## Diffractive Deep Inelastic Lepton-Proton Scattering

# Diffractive Structure Function $F_2^D$



Diffractive inclusive cross section

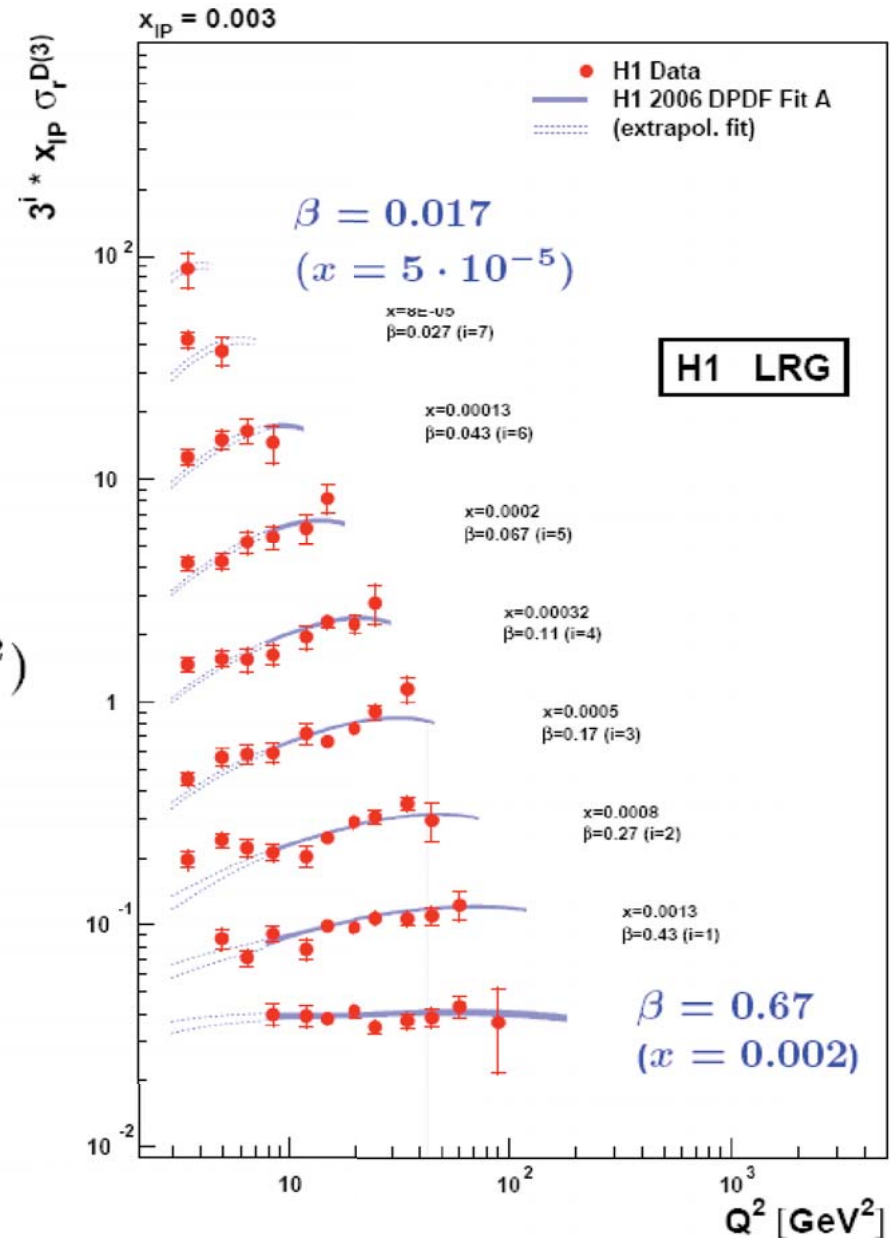
$$\frac{d^3 \sigma_{NC}^{diff}}{dx_{IP} d\beta dQ^2} \propto \frac{2\pi\alpha^2}{xQ^4} F_2^{D(3)}(x_{IP}, \beta, Q^2)$$

$$F_2^D(x_{IP}, \beta, Q^2) = f(x_{IP}) \cdot F_2^{IP}(\beta, Q^2)$$

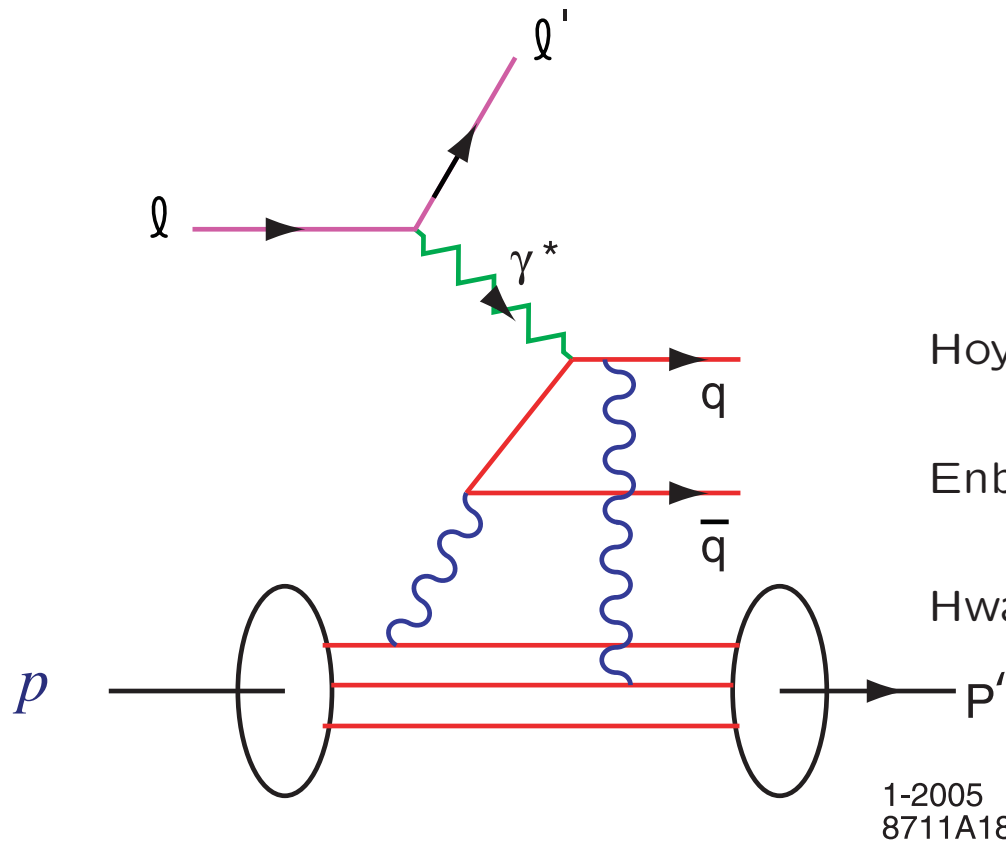
extract DPDF and  $xg(x)$  from scaling violation

Large kinematic domain  $3 < Q^2 < 1600 \text{ GeV}^2$

Precise measurements sys 5%, stat 5–20%



# Final-State Interaction Produces Diffractive DIS



## Quark Rescattering

Hoyer, Marchal, Peigne, Sannino, SJB (BHM)

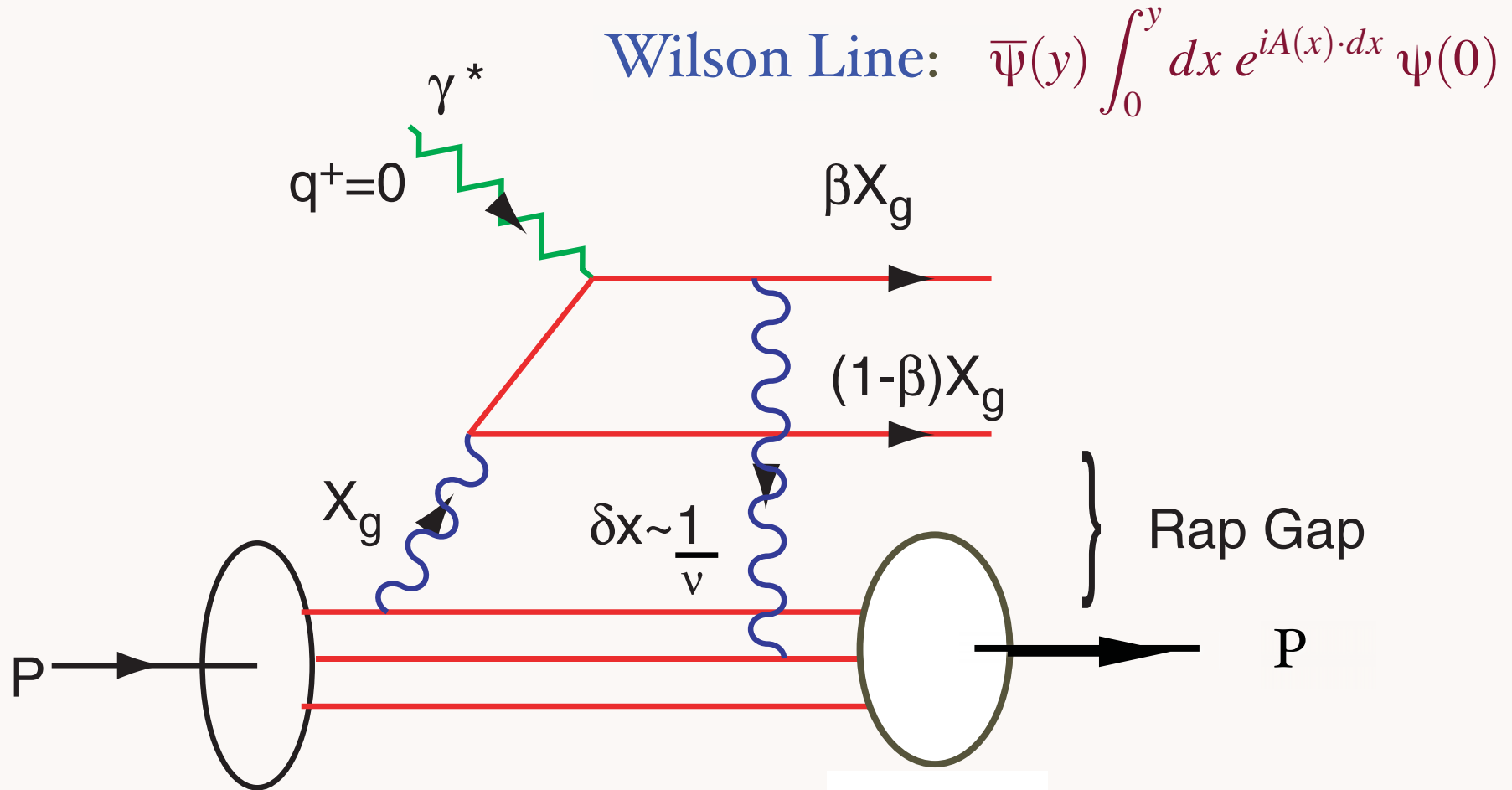
Enberg, Hoyer, Ingelman, SJB

Hwang, Schmidt, SJB

1-2005  
8711A18

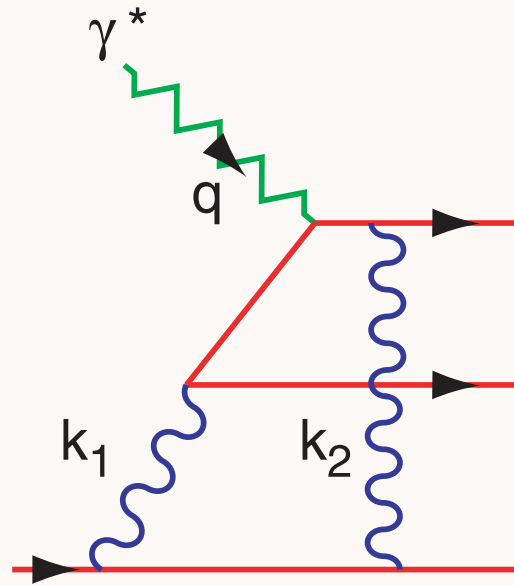
## Low-Nussinov model of Pomeron

# QCD Mechanism for Rapidity Gaps

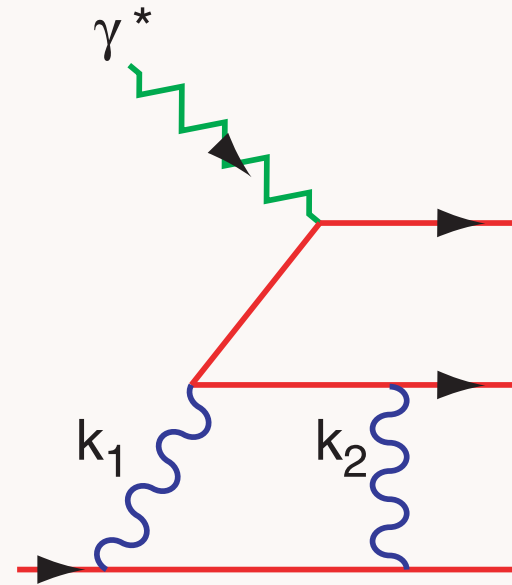


**Reproduces lab-frame color dipole approach**

# *Final State Interactions in QCD*



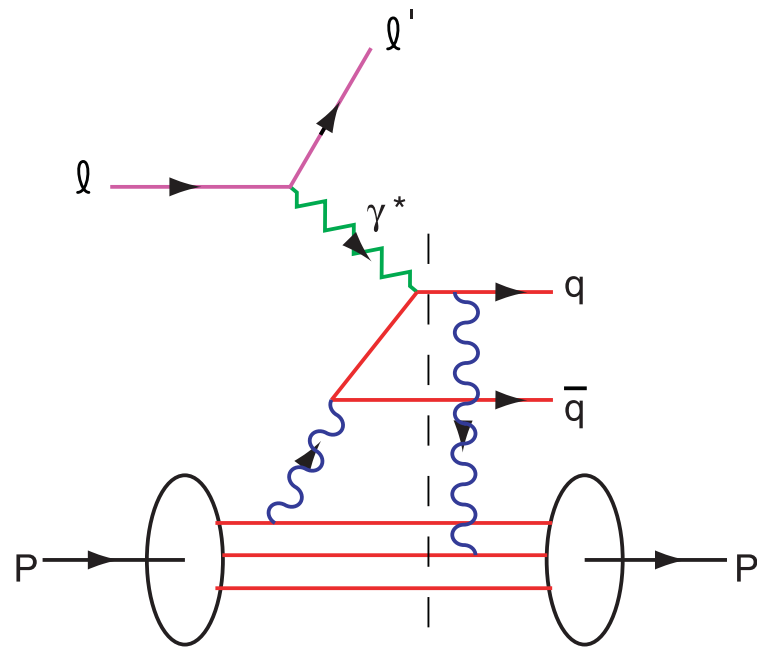
Feynman Gauge



Light-Cone Gauge

*Result is Gauge Independent*





Integration over on-shell domain produces phase  $i$

Need Imaginary Phase to Generate Pomeron

Need Imaginary Phase to Generate  
T-Odd Single-Spin Asymmetry

*Physics of FSI not in Wavefunction of Target*

# Physics of Rescattering

- Sivers Asymmetry and Diffractive DIS: New Insights into Final State Interactions in QCD
- Origin of Hard Pomeron
- Structure Functions not Probability Distributions!
- T-odd SSAs, Shadowing, Antishadowing
- Diffractive dijets/ trijets, doubly diffractive Higgs
- Novel Effects: Color Transparency, Color Opaqueness, Intrinsic Charm, Odderon

# Physics of Rescattering

- Diffractive DIS
- Non-Unitary Correction to DIS: Structure functions are not probability distributions
- Nuclear Shadowing, Antishadowing- Not in Target WF
- Single Spin Asymmetries -- opposite sign in DY and DIS
- $DY \cos 2\phi$  distribution at leading twist from double ISI-- not given by PQCD factorization -- breakdown of factorization!
- Wilson Line Effects not 1 even in LCG
- Must correct hard subprocesses for initial and final-state soft gluon attachments
- Corrections to Handbag Approximation in DVCS!

Hoyer, Marchal, Peigne, Sannino, sjb

# “Dangling Gluons”

- Diffractive DIS
- Non-Unitary Correction to DIS: Structure functions are not probability distributions
- Nuclear Shadowing, Antishadowing
- Single Spin Asymmetries -- opposite sign in DY and DIS
- $DY \cos 2\phi$  correlation at leading twist from double ISI-- not given by standard PQCD factorization
- Wilson Line Effects persist even in LCG
- Must correct hard subprocesses for initial and final-state soft gluon attachments -- Ji gauge link, Kovchegov gauge

Bodwin, Lepage, sjb  
Hoyer, Marchal, Peigne, Sannino, sjb

# Light-Front QCD Phenomenology

- Hidden color, Intrinsic glue, sea, Color Transparency
- Near Conformal Behavior of LFWFs at Short Distances; PQCD constraints
- Vanishing anomalous gravitomagnetic moment
- Relation between edm and anomalous magnetic moment
- Cluster Decomposition Theorem for relativistic systems
- OPE: DGLAP, ERBL evolution; invariant mass scheme

$$|p, S_z\rangle = \sum_{n=3} \Psi_n(x_i, \vec{k}_{\perp i}, \lambda_i) |n; \vec{k}_{\perp i}, \lambda_i\rangle$$

*sum over states with  $n=3, 4, \dots$  constituents*

The Light Front Fock State Wavefunctions

$$\Psi_n(x_i, \vec{k}_{\perp i}, \lambda_i)$$

are boost invariant; they are independent of the hadron's energy and momentum  $P^\mu$ .

The light-cone momentum fraction

$$x_i = \frac{k_i^+}{p^+} = \frac{k_i^0 + k_i^z}{P^0 + P^z}$$

are boost invariant.

$$\sum_i^n k_i^+ = P^+, \quad \sum_i^n x_i = 1, \quad \sum_i^n \vec{k}_i^\perp = \vec{0}^\perp.$$

**Intrinsic heavy quarks**

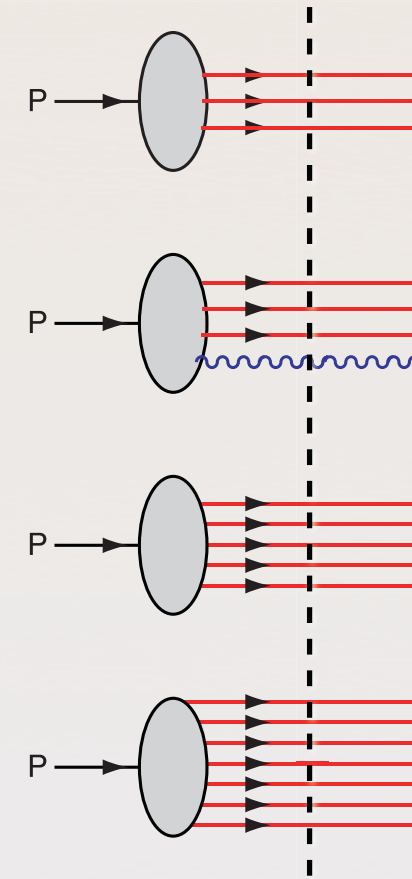
$$\bar{u}(x) \neq \bar{d}(x)$$

**Mueller: BFKL DYNAMICS**

$$\bar{s}(x) \neq s(x)$$

University of Helsinki  
April 29, 2008

**AdS/QCD**  
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*Fixed LF time*

Stan Brodsky, SLAC & IPPP

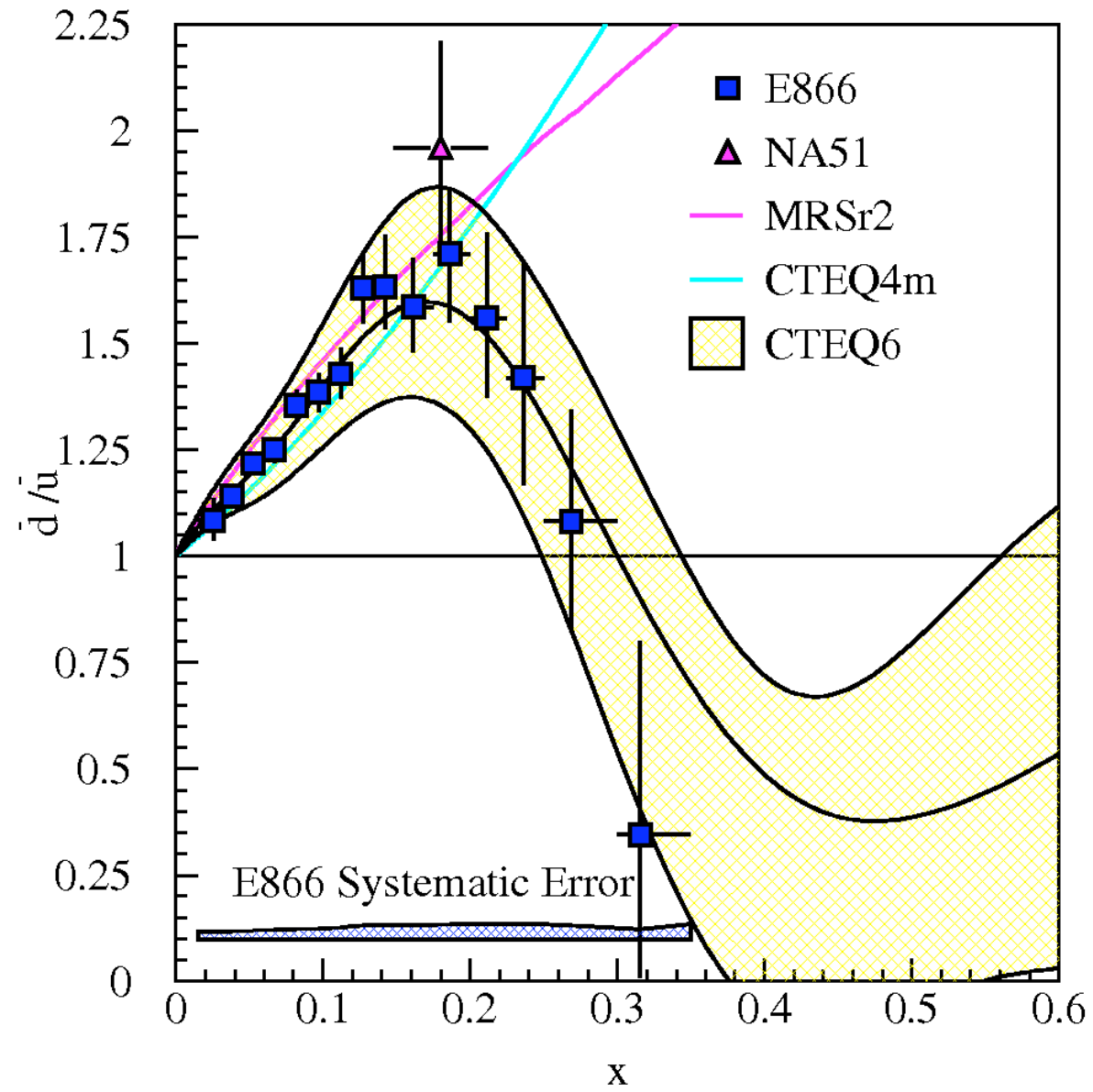
# Light Antiquark Flavor Asymmetry

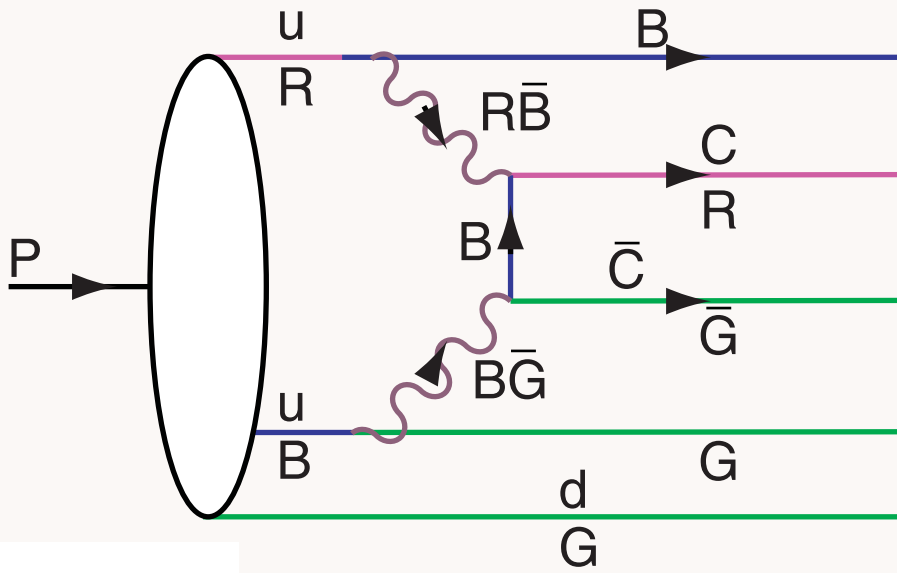
- Naïve Assumption from gluon splitting:

$$\bar{d}(x) = \bar{u}(x)$$

- E866/NuSea (Drell-Yan)

$\bar{d}(x)/\bar{u}(x)$  for  $0.015 \leq x \leq 0.35$





$|uudc\bar{c}\rangle$  Fluctuation in Proton

QCD: Probability  $\sim \frac{\Lambda_{QCD}^2}{M_Q^2}$

$|e^+e^-\ell^+\ell^-\rangle$  Fluctuation in Positronium

QED: Probability  $\sim \frac{(m_e\alpha)^4}{M_\ell^4}$

OPE derivation - M.Polyakov et al.

$$\langle p | \frac{G_{\mu\nu}^3}{m_Q^2} | p \rangle \text{ vs. } \langle p | \frac{F_{\mu\nu}^4}{m_\ell^4} | p \rangle$$

$c\bar{c}$  in Color Octet

Distribution peaks at equal rapidity (velocity)  
Therefore heavy particles carry the largest momentum fractions

$$\hat{x}_i = \frac{m_{\perp i}}{\sum_j^n m_{\perp j}}$$

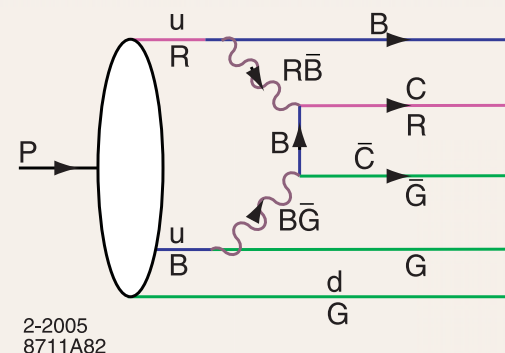
*High x charm!*

Hoyer, Peterson, Sakai, sjb

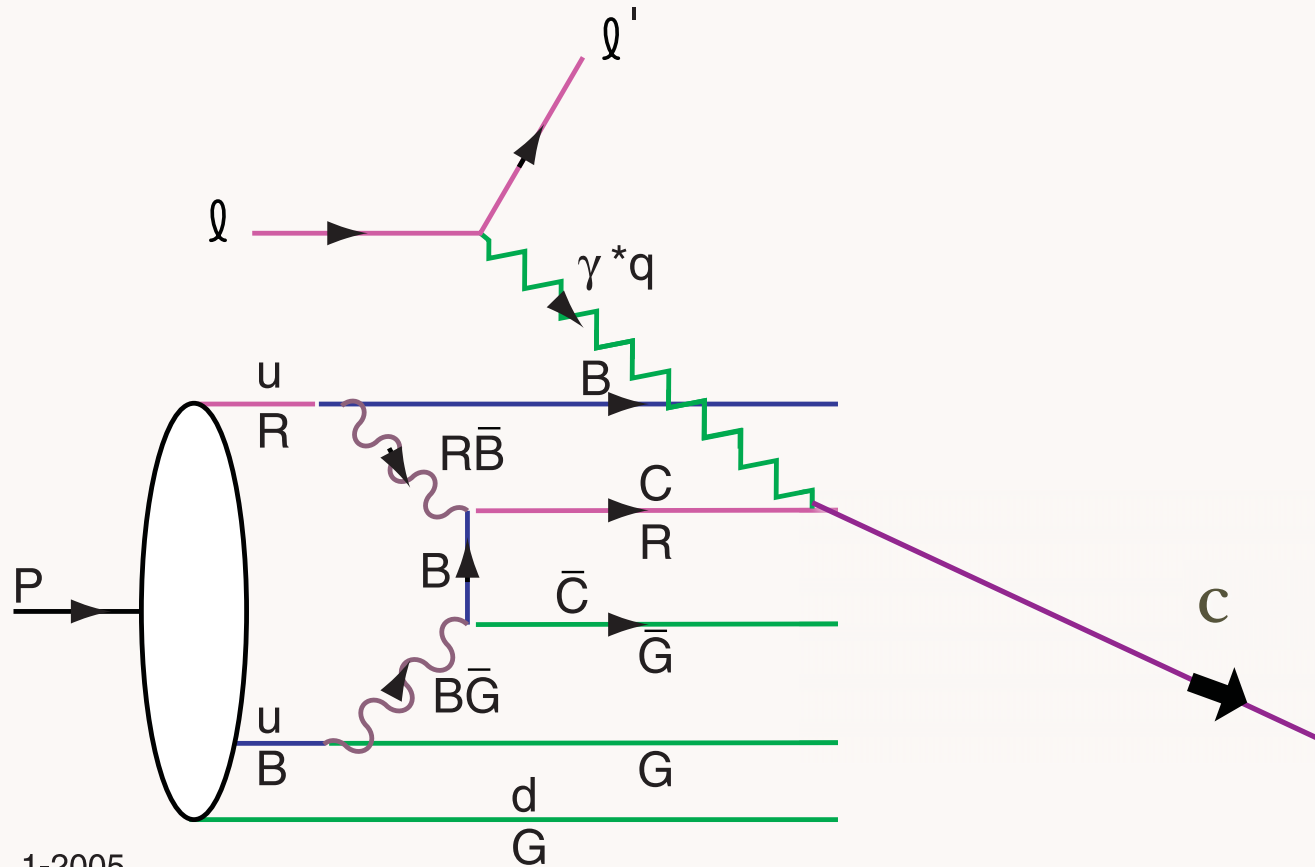


# Intrinsic Heavy-Quark Fock States

- Rigorous prediction of QCD, OPE
- Color - Octet + Color - Octet Fock State!
- Probability  $P_{Q\bar{Q}} \propto \frac{1}{M_Q^2}$      $P_{Q\bar{Q}Q\bar{Q}} \sim \alpha_s^2 P_{Q\bar{Q}}$      $P_{c\bar{c}/p} \simeq 1\%$
- Large Effect at high x
- Greatly increases kinematics of colliders such as Higgs production (Kopeliovich, Schmidt, Soffer, sjb)
- Severely underestimated in conventional parameterizations of heavy quark distributions (Pumplin, Tung)
- Many empirical tests



# Measure $c(x)$ in Deep Inelastic Lepton-Proton Scattering

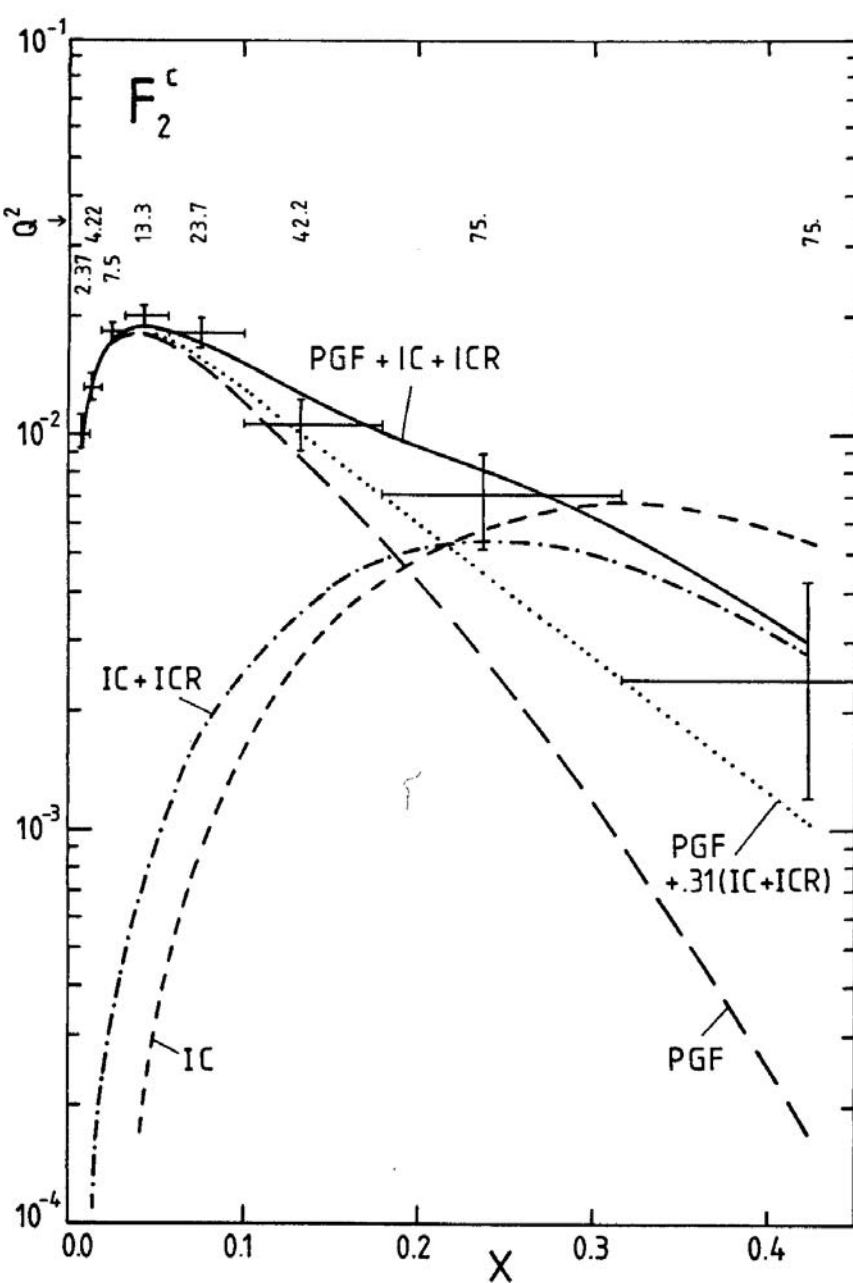


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8711A83

Hoyer, Peterson, SJB

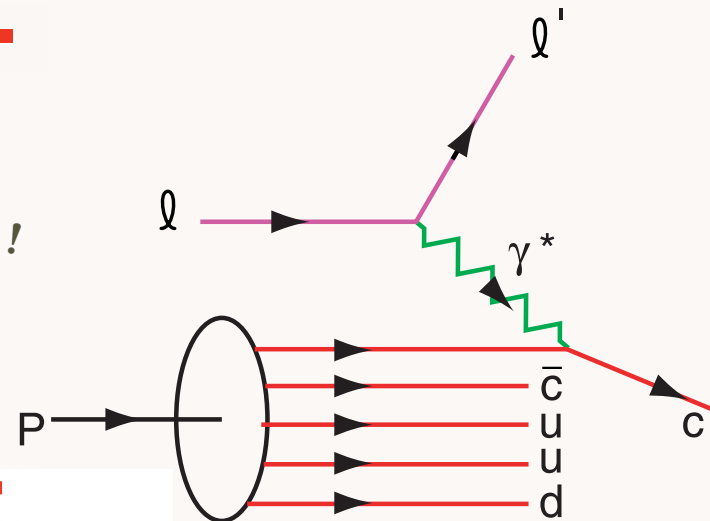
# Measurement of Charm Structure Function

J. J. Aubert et al. [European Muon Collaboration], "Production Of Charmed Particles In 250-GeV Mu+ - Iron Interactions," Nucl. Phys. B 213, 31 (1983).



## First Evidence for Intrinsic Charm

factor of 30!



**DGLAP / Photon-Gluon Fusion: factor of 30 too small**

- EMC data:  $c(x, Q^2) > 30 \times \text{DGLAP}$   
 $Q^2 = 75 \text{ GeV}^2, x = 0.42$
- High  $x_F$   $pp \rightarrow J/\psi X$
- High  $x_F$   $pp \rightarrow J/\psi J/\psi X$
- High  $x_F$   $pp \rightarrow \Lambda_c X$
- High  $x_F$   $pp \rightarrow \Lambda_b X$
- High  $x_F$   $pp \rightarrow \Xi(ccd) X$  (SELEX)

# Novel Heavy Flavor Physics

- LFWFS -- remarkable model from AdS/CFT
- AdS/CFT: Hadron Spectra and Dynamics, Counting Rules
- Intrinsic Charm and Bottom: rigorous prediction of QCD
- B decays: Many Novel QCD Effects
- Exclusive Channels: QCD at Amplitude Level
- Test B-analyses in other hard exclusive reactions, such as two-photon reactions
- Initial and Final State QCD Interactions -- Breakdown of QCD Factorization in Heavy Quark Hadroproduction!
- Renormalization scale not arbitrary

String Theory



AdS/CFT

Mapping of Poincare' and Conformal  $SO(4,2)$  symmetries of 3+1 space to AdS5 space

Goal: First Approximant to QCD

Counting rules for Hard Exclusive Scattering  
Regge Trajectories  
QCD at the Amplitude Level

AdS/QCD

Conformal behavior at short distances + Confinement at large distance

Semi-Classical QCD / Wave Equations

Holography

Boost Invariant 3+1 Light-Front Wave Equations

$J=0, 1, 1/2, 3/2$  plus  $L$

Integrable!

Hadron Spectra, Wavefunctions, Dynamics

# Light-Front Quantization of the Standard Model

$$\phi(x) = \frac{1}{\sqrt{2}} v + \varphi = \frac{1}{\sqrt{2}} ( [v + h(x)] + i\eta(x) )$$

**No Higgs VEV!**

**Goldstone field**

$k^+ = 0$  zero mode

A Unitary and renormalizable theory of the standard model in ghost free light cone gauge.

*P. Srivastava and sjb*

Phys.Rev.D66:045019,2002.

hep-ph/0202141

***Decoupling of gravity to the Higgs zero mode***

# New Perspectives on QCD Phenomena from AdS/CFT

- **AdS/CFT**: Duality between string theory in Anti-de Sitter Space and Conformal Field Theory
- New Way to Implement Conformal Symmetry
- Holographic Model: Conformal Symmetry at Short Distances, Confinement at large distances
- Remarkable predictions for hadronic spectra, wavefunctions, interactions
- AdS/CFT provides novel insights into the quark structure of hadrons



# Outlook

- Only one scale  $\Lambda_{QCD}$  determines hadronic spectrum (slightly different for mesons and baryons).
- Ratio of Nucleon to Delta trajectories determined by zeroes of Bessel functions.
- String modes dual to baryons extrapolate to three fermion fields at zero separation in the AdS boundary.
- Only dimension 3,  $\frac{9}{2}$  and 4 states  $\bar{q}q$ ,  $qqq$ , and  $gg$  appear in the duality at the classical level!
- Non-zero orbital angular momentum and higher Fock-states require introduction of quantum fluctuations.
- Simple description of space and time-like structure of hadronic form factors.
- Dominance of quark-interchange in hard exclusive processes emerges naturally from the classical duality of the holographic model. Modified by gluonic quantum fluctuations.
- Covariant version of the bag model with confinement and conformal symmetry.

### **Light-Front Holography and AdS/QCD Correspondence.**

[Stanley J. Brodsky](#), [Guy F. de Teramond](#) . SLAC-PUB-13220, Apr 2008. 14pp.

e-Print: [arXiv:0804.3562](#) [hep-ph]

### **Light-Front Dynamics and AdS/QCD Correspondence: Gravitational Form Factors of Composite Hadrons.**

[Stanley J. Brodsky](#) ([SLAC](#)) , [Guy F. de Teramond](#) ([Ecole Polytechnique, CPHT](#) & [Costa Rica U.](#)) . SLAC-

PUB-13192, Apr 2008. 12pp. e-Print: [arXiv:0804.0452](#) [hep-ph]

### **AdS/CFT and Light-Front QCD.**

[Stanley J. Brodsky](#), [Guy F. de Teramond](#) . SLAC-PUB-13107, Feb 2008. 38pp.

Invited talk at International School of Subnuclear Physics: 45th Course: Searching for the "Totally Unexpected" in the LHC Era, Erice, Sicily, Italy, 29 Aug - 7 Sep 2007.

e-Print: [arXiv:0802.0514](#) [hep-ph]

### **AdS/CFT and Exclusive Processes in QCD.**

[Stanley J. Brodsky](#), [Guy F. de Teramond](#) . SLAC-PUB-12804, Sep 2007. 29pp. [Temporary entry](#)

e-Print: [arXiv:0709.2072](#) [hep-ph]

### **Light-Front Dynamics and AdS/QCD Correspondence: The Pion Form Factor in the Space- and Time-Like Regions.**

[Stanley J. Brodsky](#) ([SLAC](#)) , [Guy F. de Teramond](#) ([Costa Rica U.](#) & [SLAC](#)) . SLAC-PUB-12554, SLAC-PUB-12544, Jul 2007. 20pp.

Published in **Phys.Rev.D77:056007,2008.**

e-Print: [arXiv:0707.3859](#) [hep-ph]

1. **“Light-Front Dynamics and AdS/QCD: The Pion Form Factor in the Space- and Time-Like Regions”**  
S. J. Brodsky and G. F. de Teramond  
arXiv:0707.3859 [hep-ph]  
SLAC-PUB-12554(2007) (Submitted to Phys.Rev.D)
2. **“AdS/CFT and QCD”**  
S. J. Brodsky and G. F. de Teramond  
arXiv:hep-th/0702205  
SLAC-PUB-12361(2007)  
*Invited talk at 2006 International Workshop on the Origin of Mass and Strong Coupling Gauge Theories (SCGT 06), Nagoya, Japan, 21-24 Nov 2006*
3. **“Hadronic spectra and light-front wavefunctions in holographic QCD”**  
S. J. Brodsky and G. F. de Teramond  
Phys. Rev. Lett. **96**, 201601 (2006) [arXiv:hep-ph/0602252]
4. **“Advances in light-front quantization and new perspectives for QCD from AdS/CFT”**  
S. J. Brodsky and G. F. de Teramond  
Nucl. Phys. Proc. Suppl. **161**, 34 (2006)  
*Invited talk at Workshop on Light-Cone QCD and Nonperturbative Hadron Physics 2005 (LC 2005), Cairns, Queensland, Australia, 7-15 Jul 2005*
5. **“Hadron spectroscopy and wavefunctions in QCD and the AdS/CFT correspondence”**  
S. J. Brodsky and G. F. de Teramond  
AIP Conf. Proc. **814**, 108 (2006) [arXiv:hep-ph/0510240]  
*Invited talk at 11th International Conference on Hadron Spectroscopy (Hadron05), Rio de Janeiro, Brazil, 21-26 Aug 2005*

6. **“Applications of AdS/CFT duality to QCD”**  
S. J. Brodsky and G. F. de Teramond  
Int. J. Mod. Phys. A **21**, 762 (2006) [arXiv:hep-ph/0509269]  
*Invited talk at International Conference on QCD and Hadronic Physics, Beijing, China, 16-20 Jun 2005*
7. **“Nearly conformal QCD and AdS/CFT”**  
G. F. de Teramond and S. J. Brodsky  
arXiv:hep-ph/0507273  
SLAC-PUB-11375(2005)  
*Presented at 1st Workshop on Quark-Hadron Duality and the Transition to pQCD, Frascati, Rome, Italy, 6-8 Jun 2005*
8. **“The hadronic spectrum of a holographic dual of QCD”**  
G. F. de Teramond and S. J. Brodsky  
Phys. Rev. Lett. **94**, 201601 (2005) [arXiv:hep-th/0501022]
9. **“Baryonic states in QCD from gauge / string duality at large  $N(c)$ ”**  
G. F. de Teramond and S. J. Brodsky  
arXiv:hep-th/0409074  
SLAC-PUB-10693(2004)  
*Presented at ECT\* Workshop on Large  $N_c$  QCD 2004, Trento, Italy, 5-9 Jul 2004*
10. **“Light-front hadron dynamics and AdS/CFT correspondence”**  
S. J. Brodsky and G. F. de Teramond  
Phys. Lett. B **582**, 211 (2004) [arXiv:hep-th/0310227]

## A Few References: Bottom-up-Approach

- Derivation of dimensional counting rules of hard exclusive glueball scattering in AdS/CFT:  
Polchinski and Strassler, hep-th/0109174.
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