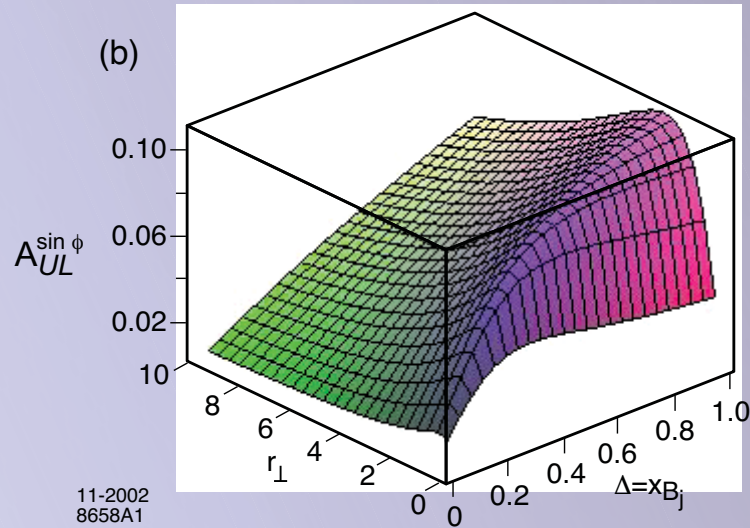
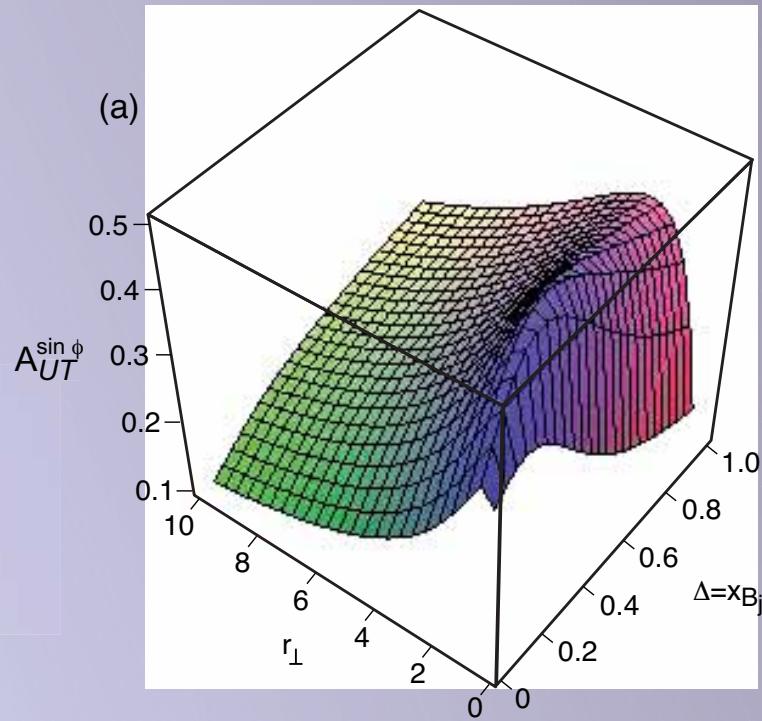


Prediction for Single-Spin Asymmetry



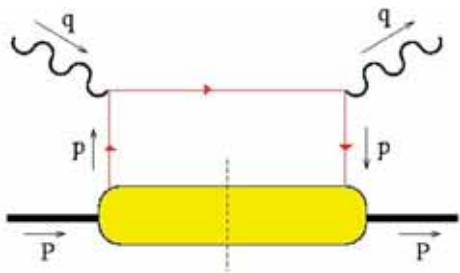
Hwang,
Schmidt,
sjb

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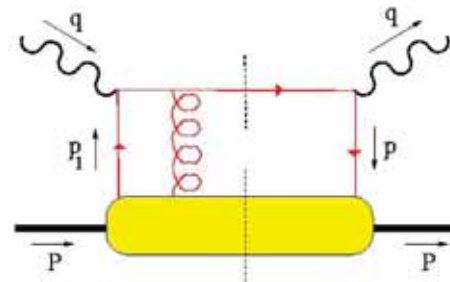
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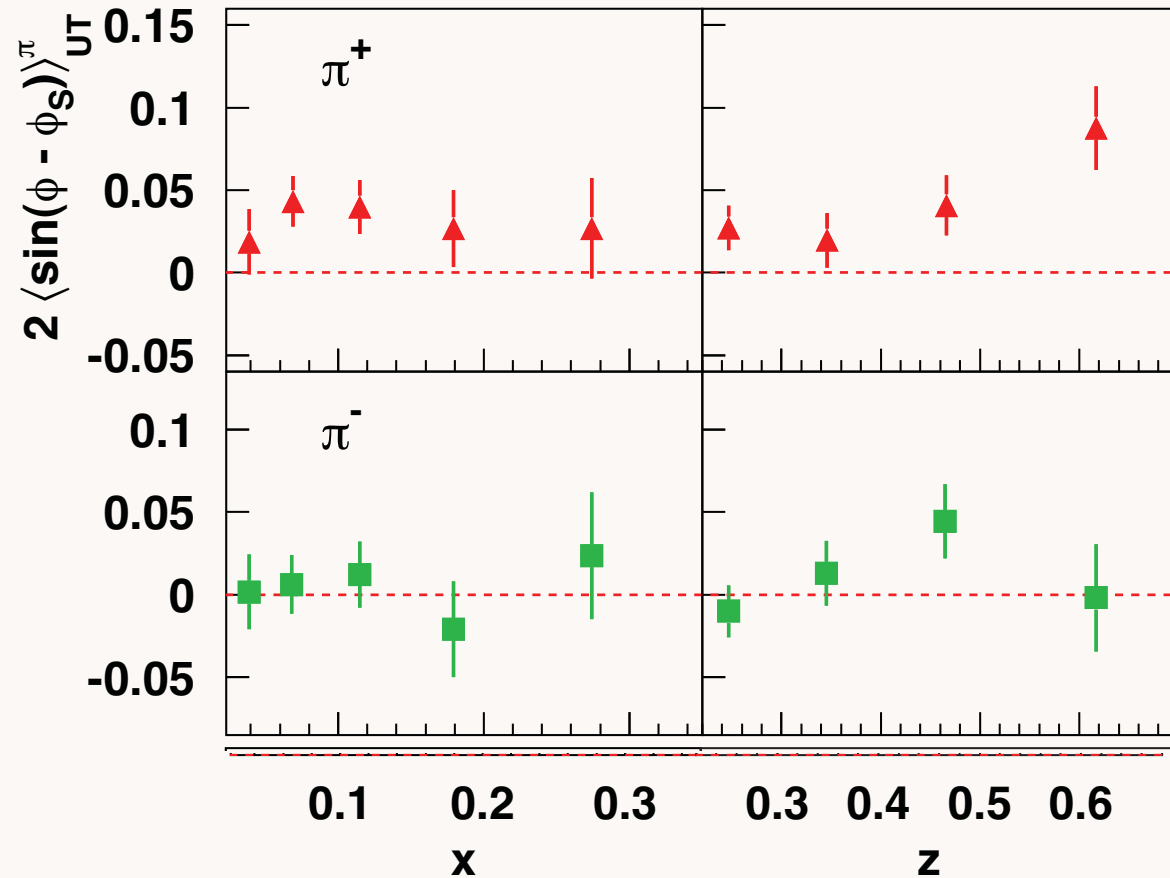
can interfere with



and produce a T-odd effect!
(also need $L_z \neq 0$)

HERMES coll., A. Airapetian et al., Phys. Rev. Lett. 94 (2005) 012002.

Sivers asymmetry from HERMES



- First evidence for non-zero Sivers function!
- \Rightarrow presence of non-zero **quark orbital angular momentum!**
- **Positive** for π^+ ...
Consistent with zero for π^- ...

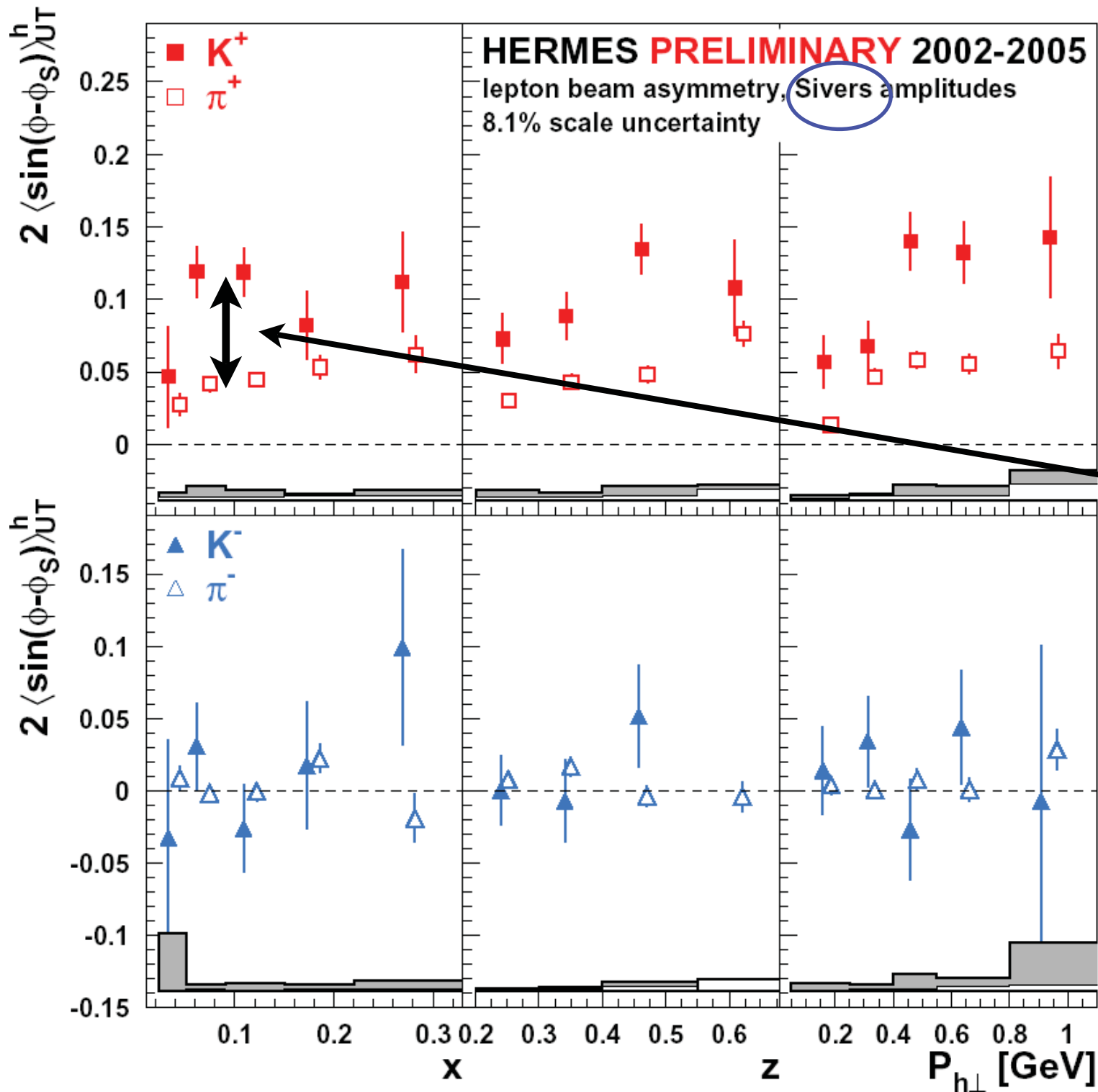
Gamberg: Hermes data compatible with BHS model

Schmidt, Lu: Hermes charge pattern follow quark contributions to anomalous moment

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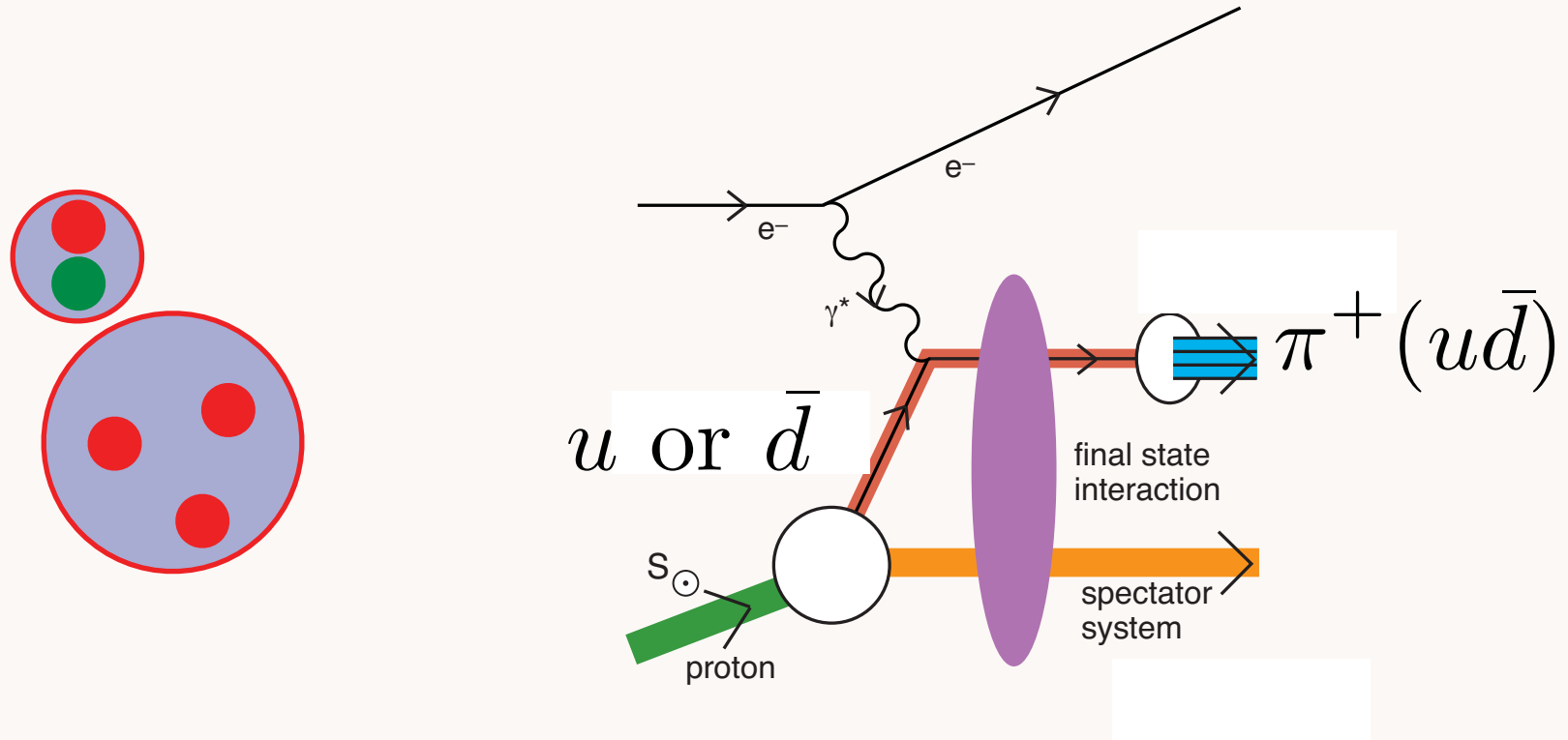


Large K^+ asymmetry!

Difference at low x from sea-quark OAM?

Gardner, sjb in progress

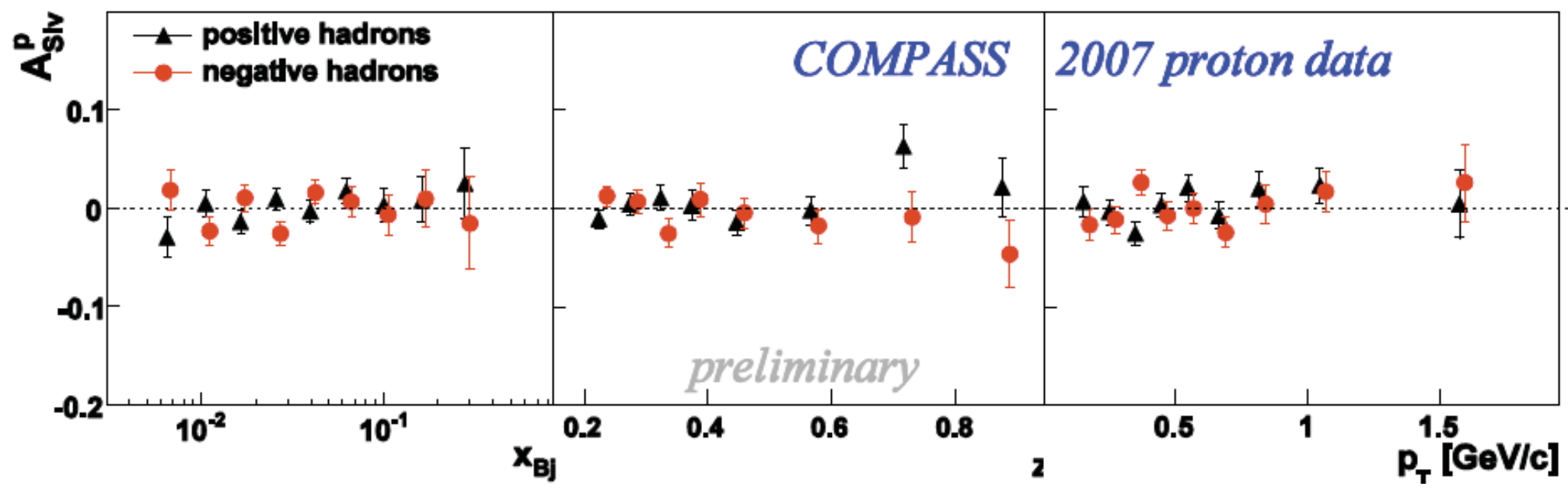
Sea quarks carry orbital angular momentum



Sivers effect for $\pi^+(u\bar{d})$ reduced by $L_{\bar{d}}$ at low x

Sivers effect for $\pi^-(d\bar{u})$ reduced by $L_{\bar{u}}$ at low x

Sivers effect for $K^+(u\bar{s})$ increased by $L_{\bar{s}}$!



Overall systematic error has been evaluated to be $0.5 \sigma_{\text{stat}}$ for Sivers asymmetry for this analysis.

Asymmetry small, compatible with zero within present statistical errors

- ✓ Unexpected result
- ✓ Of paramount importance

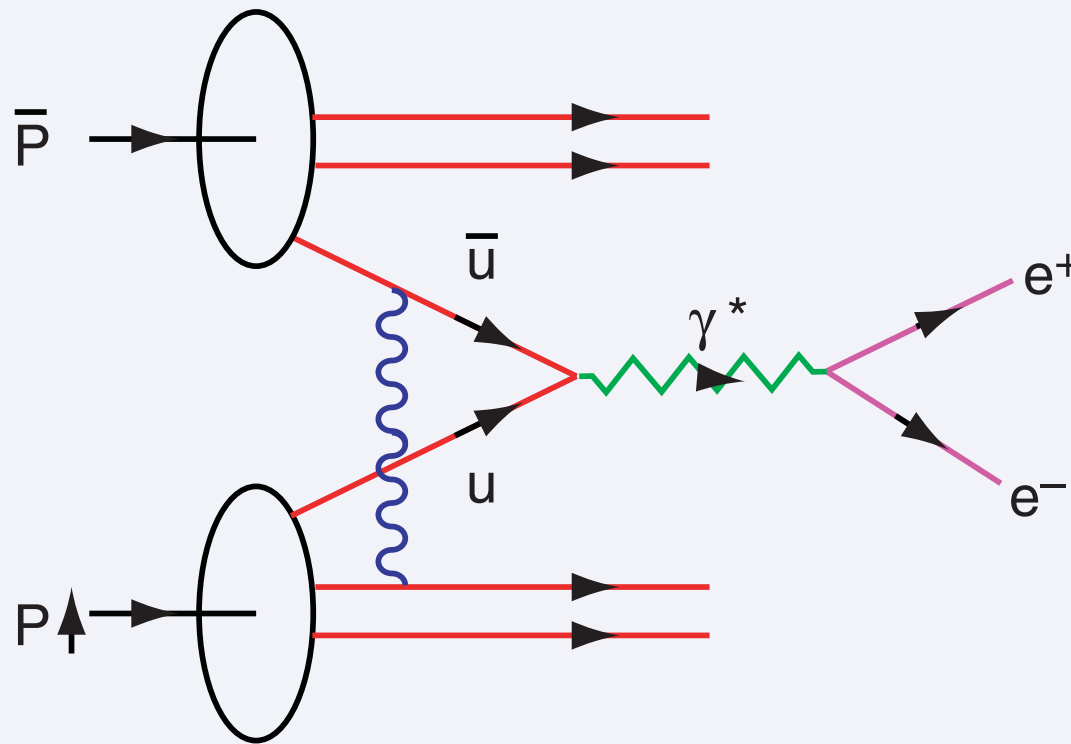
Possible resolution of conflict with Hermes: γ_L^* vs. γ_T^*

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Predict Opposite Sign SSA in DY !



Collins;
Hwang,
Schmidt. sjb

Single Spin Asymmetry In the Drell Yan Process

$$\vec{S}_p \cdot \vec{p} \times \vec{q}_{\gamma^*}$$

Quarks Interact in the Initial State

Interference of Coulomb Phases for S and P states

Produce Single Spin Asymmetry [Siver's Effect] Proportional
to the Proton Anomalous Moment and α_s .

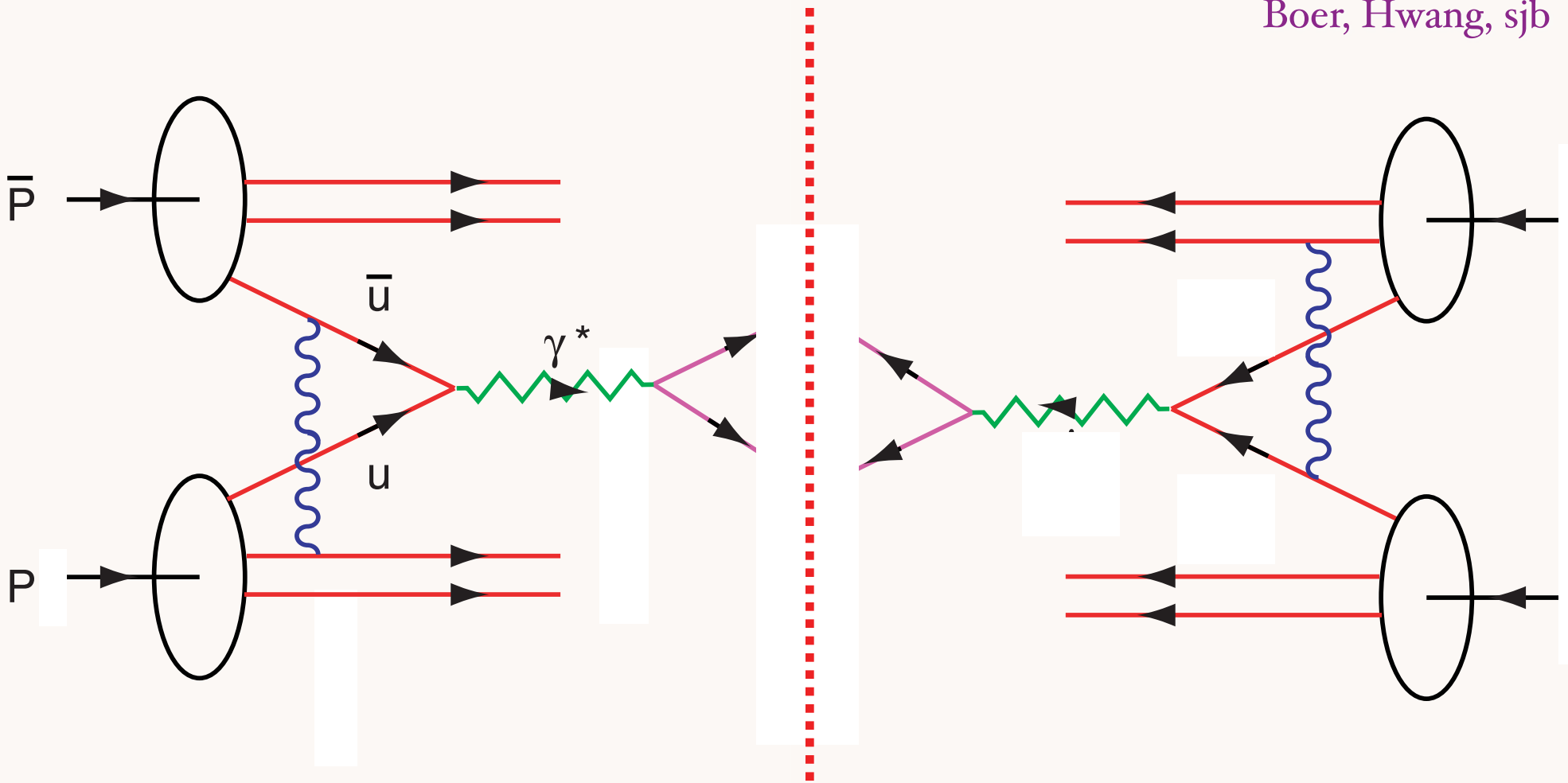
Opposite Sign to DIS! No Factorization

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$DY \cos 2\phi$ correlation at leading twist from double ISI

Double Initial-State Interactions

generate anomalous $\cos 2\phi$

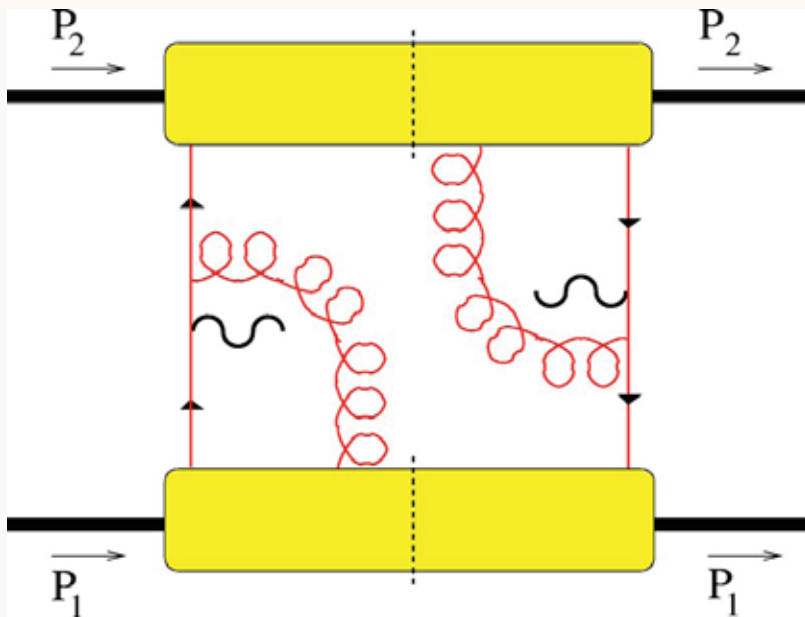
Boer, Hwang, sjb

Drell-Yan planar correlations

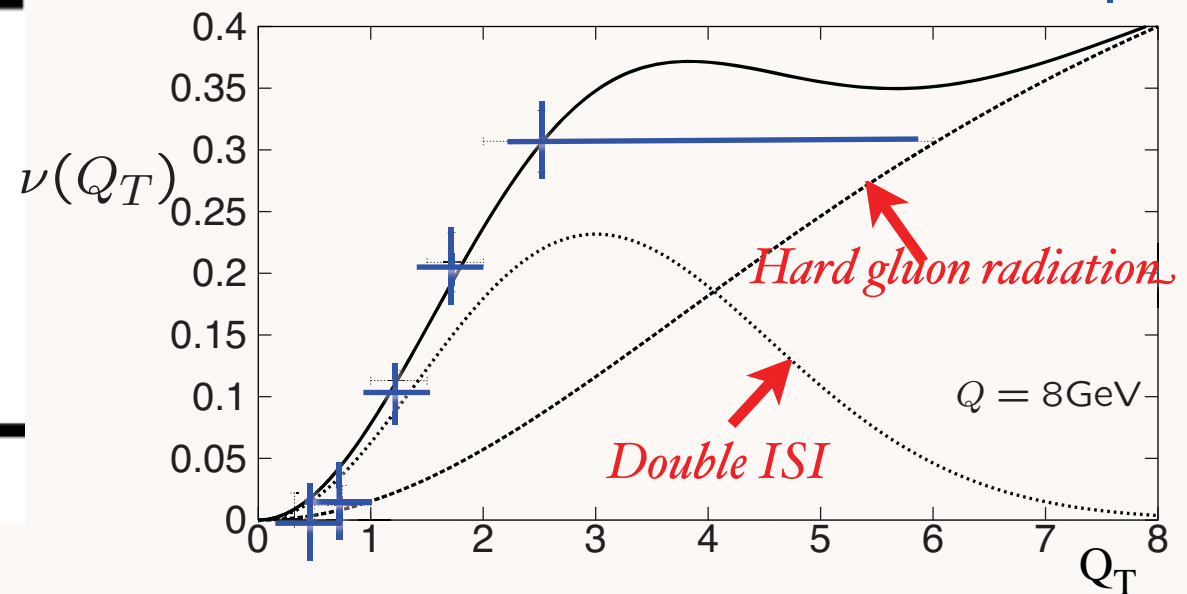
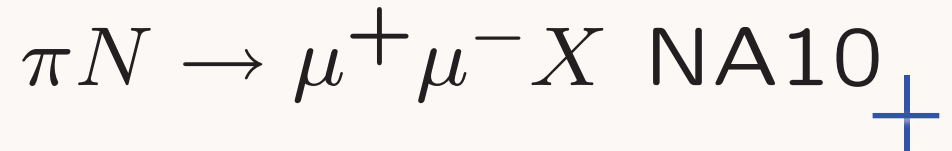
$$\frac{1}{\sigma} \frac{d\sigma}{d\Omega} \propto \left(1 + \lambda \cos^2 \theta + \mu \sin 2\theta \cos \phi + \frac{\nu}{2} \sin^2 \theta \cos 2\phi \right)$$

PQCD Factorization (Lam Tung): $1 - \lambda - 2\nu = 0$

$$\frac{\nu}{2} \propto h_1^\perp(\pi) h_1^\perp(N)$$



Violates Lam-Tung relation!



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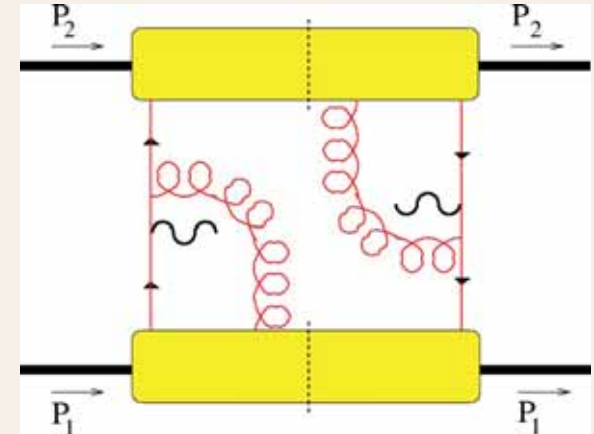
Model: Boer,
Stan Brodsky
SLAC & IPPP

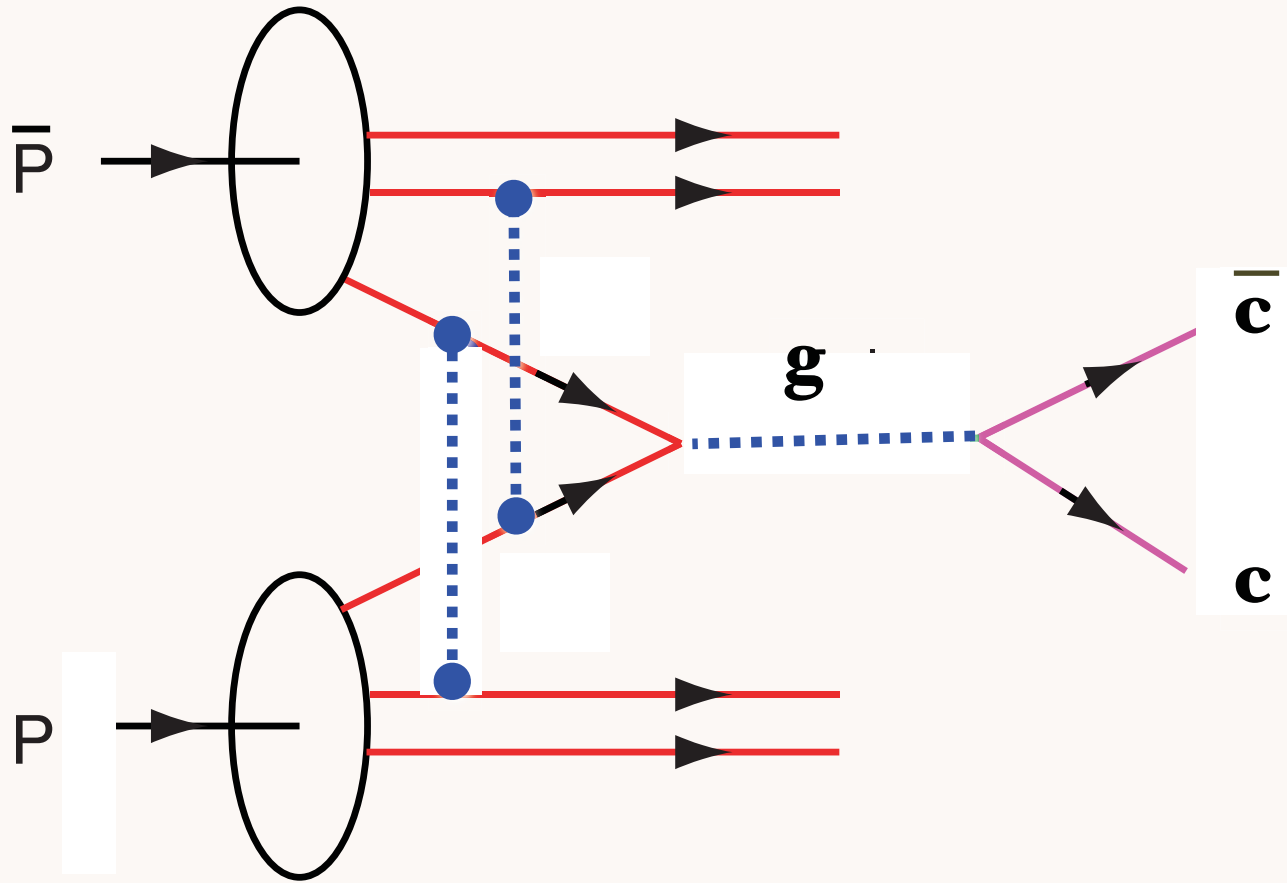
Anomalous effect from Double ISI in Massive Lepton Production

Boer, Hwang, sjb

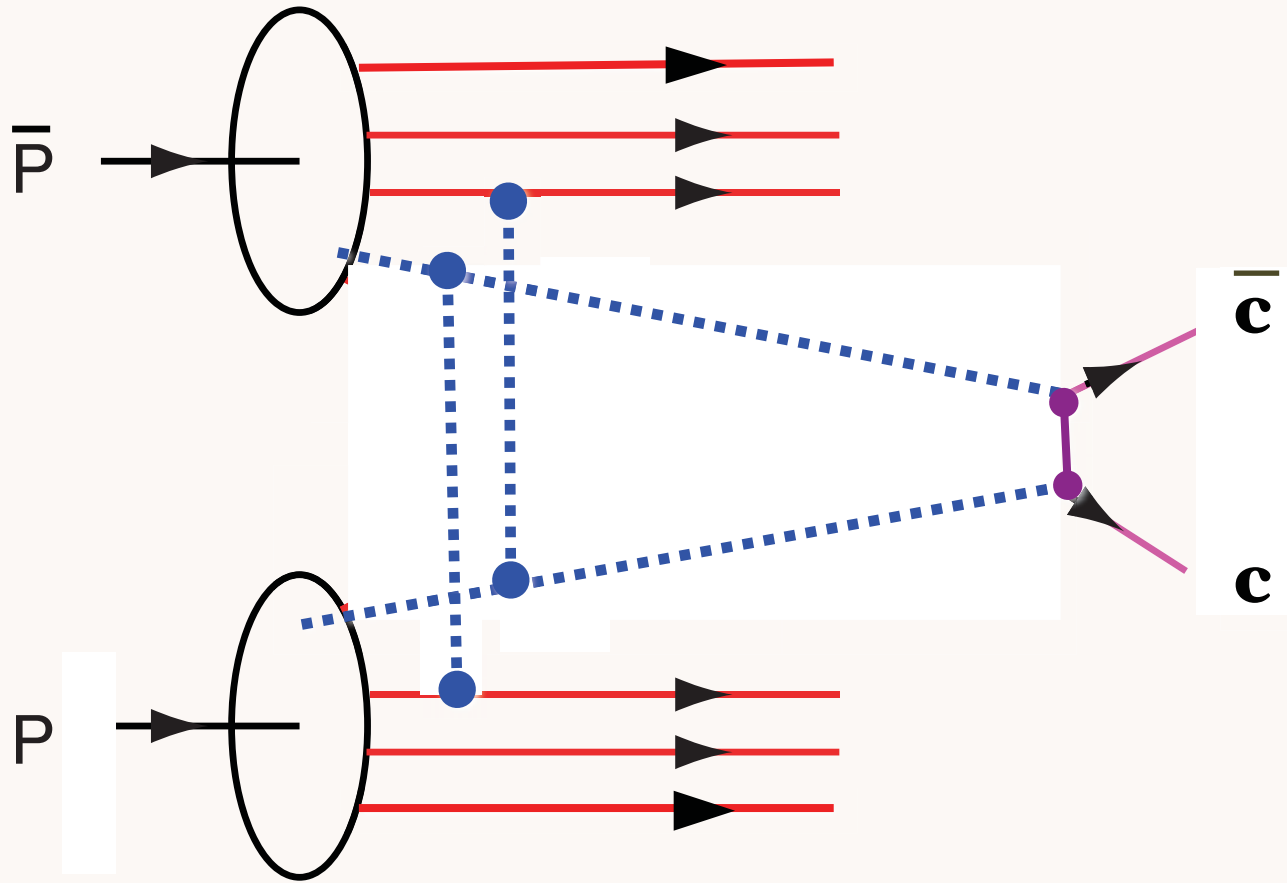
$\cos 2\phi$ correlation

- Leading Twist, valence quark dominated
- Violates Lam-Tung Relation!
- Not obtained from standard PQCD subprocess analysis
- Normalized to the square of the single spin asymmetry in semi-inclusive DIS
- No polarization required
- Challenge to standard picture of PQCD Factorization



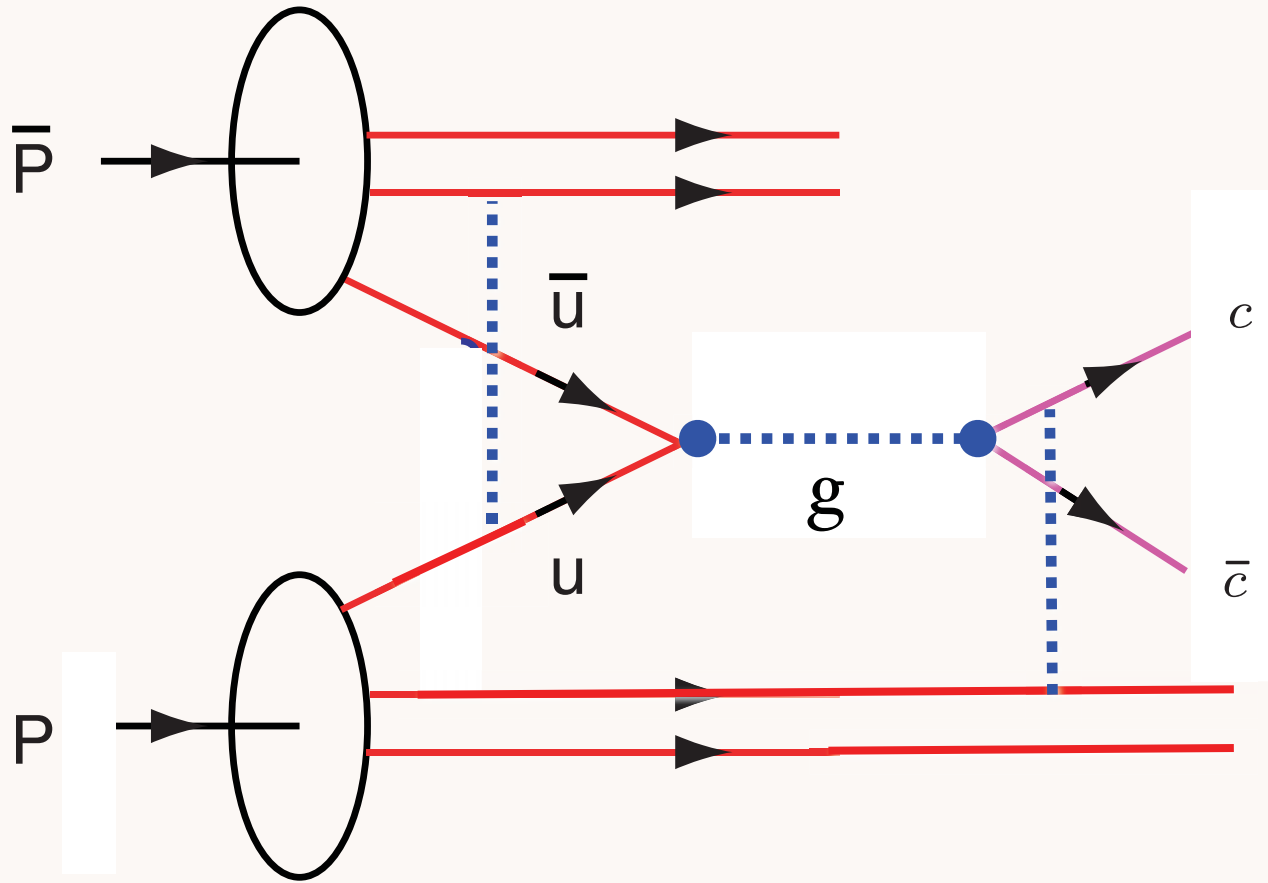


$\cos 2\phi$ correlation for quarkonium production at leading twist from double ISI



$\cos 2\phi$ correlation for quarkonium production at leading twist from double ISI

Enhanced by gluon color charge

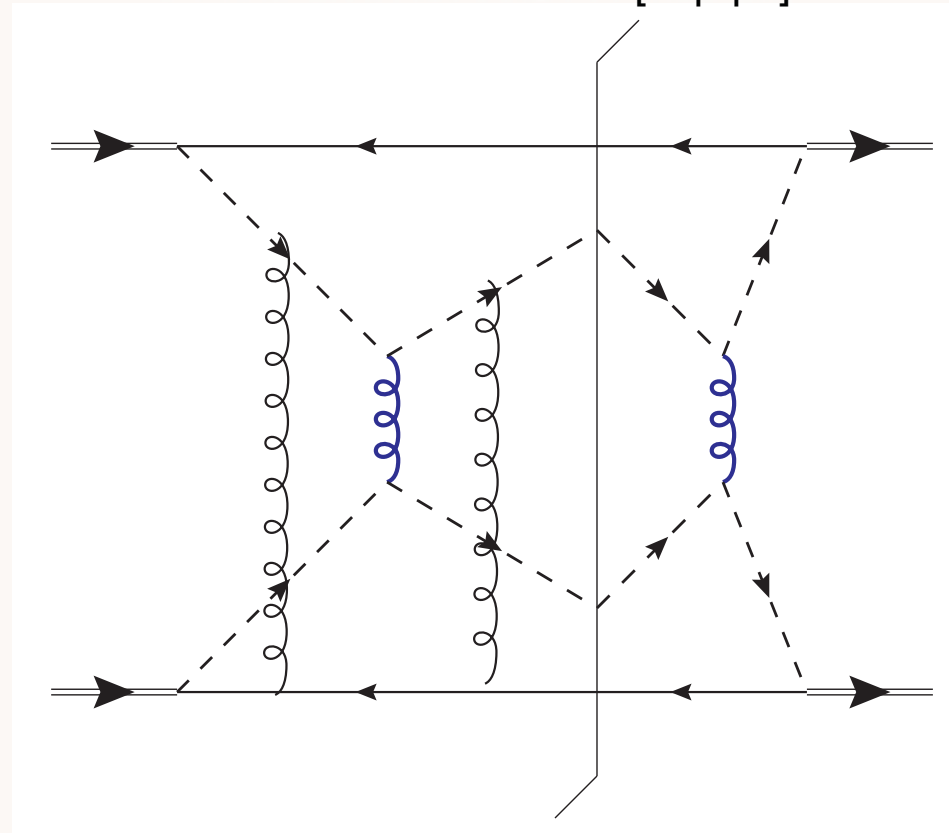


Problem for factorization when both ISI and FSI occur

Factorization is violated in production of high-transverse-momentum particles in hadron-hadron collisions

John Collins, [Jian-Wei Qiu](#) . ANL-HEP-PR-07-25, May 2007.

e-Print: [arXiv:0705.2141](#) [hep-ph]



The exchange of two extra gluons, as in this graph, will tend to give non-factorization in unpolarized cross sections.

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Physics of Rescattering

- Diffractive DIS
- Non-Unitary Correction to DIS: Structure functions are not probability distributions
- Nuclear Shadowing, Antishadowing- Not in Target WF
- Single Spin Asymmetries -- opposite sign in DY and DIS
- DY angular distribution at leading twist from double ISI-- not given by PQCD factorization -- breakdown of factorization!
- Wilson Line Effects not 1 even in LCG
- Must correct hard subprocesses for initial and final-state soft gluon attachments
- Corrections to Handbag Approximation in DVCS!

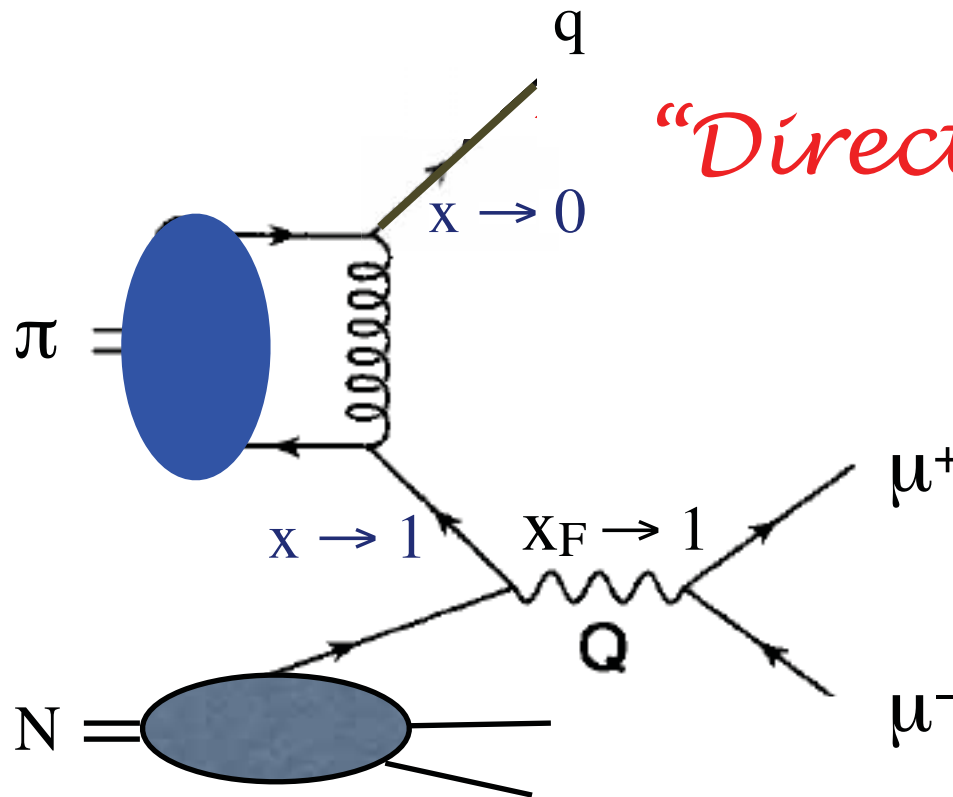
Hoyer, Marchal, Peigne, Sannino, sjb

$$\pi N \rightarrow \mu^+ \mu^- X \text{ at high } x_F$$

In the limit where $(1-x_F)Q^2$ is fixed as $Q^2 \rightarrow \infty$

Hoyer Vanttinen

Entire pion wf
contributes to
hard process



“Direct” Subprocess

Virtual photon is
longitudinally
polarized

Berger, sjb

Khoze, Brandenburg, Muller, sjb

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$$\pi^- N \rightarrow \mu^+ \mu^- X \text{ at } 80 \text{ GeV}/c$$

$$\frac{d\sigma}{d\Omega} \propto 1 + \lambda \cos^2\theta + \rho \sin 2\theta \cos\phi + \omega \sin^2\theta \cos 2\phi.$$

$$\frac{d^2\sigma}{dx_\pi d\cos\theta} \propto x_\pi \left[(1 - x_\pi)^2 (1 + \cos^2\theta) + \frac{4}{9} \frac{\langle k_T^2 \rangle}{M^2} \sin^2\theta \right]$$

$$\langle k_T^2 \rangle = 0.62 \pm 0.16 \text{ GeV}^2/c^2$$

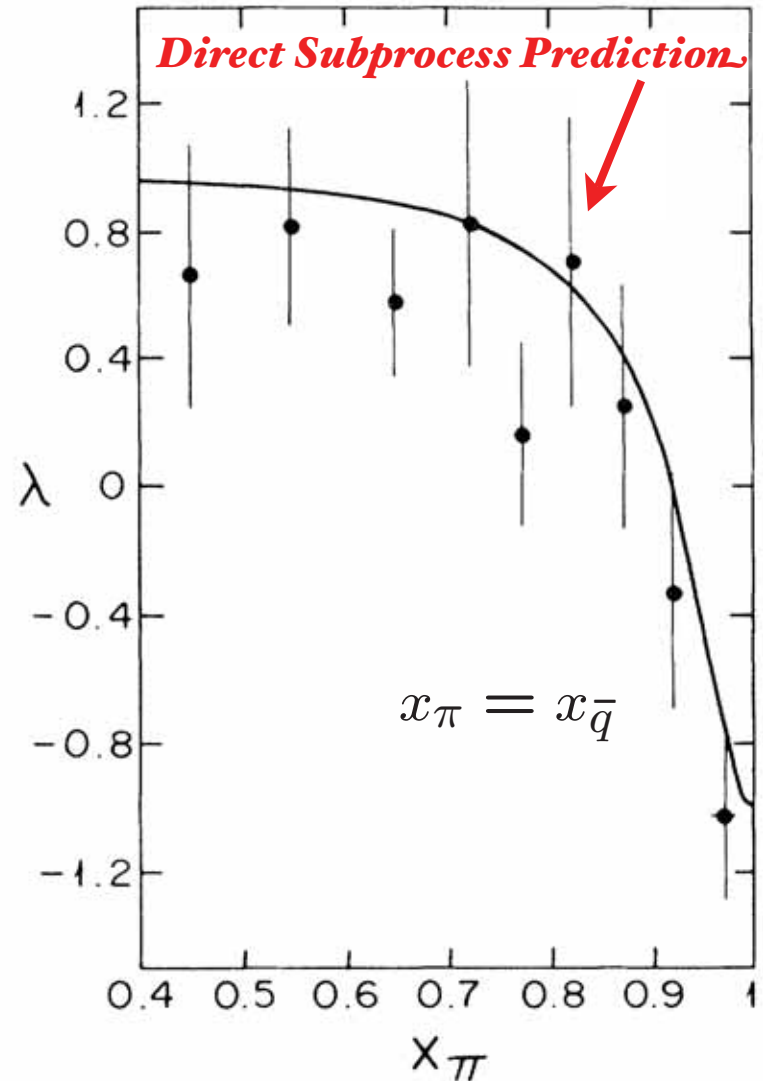
*Dramatic change in
angular distribution at
large x_F*

**Example of a higher-twist
direct subprocess**

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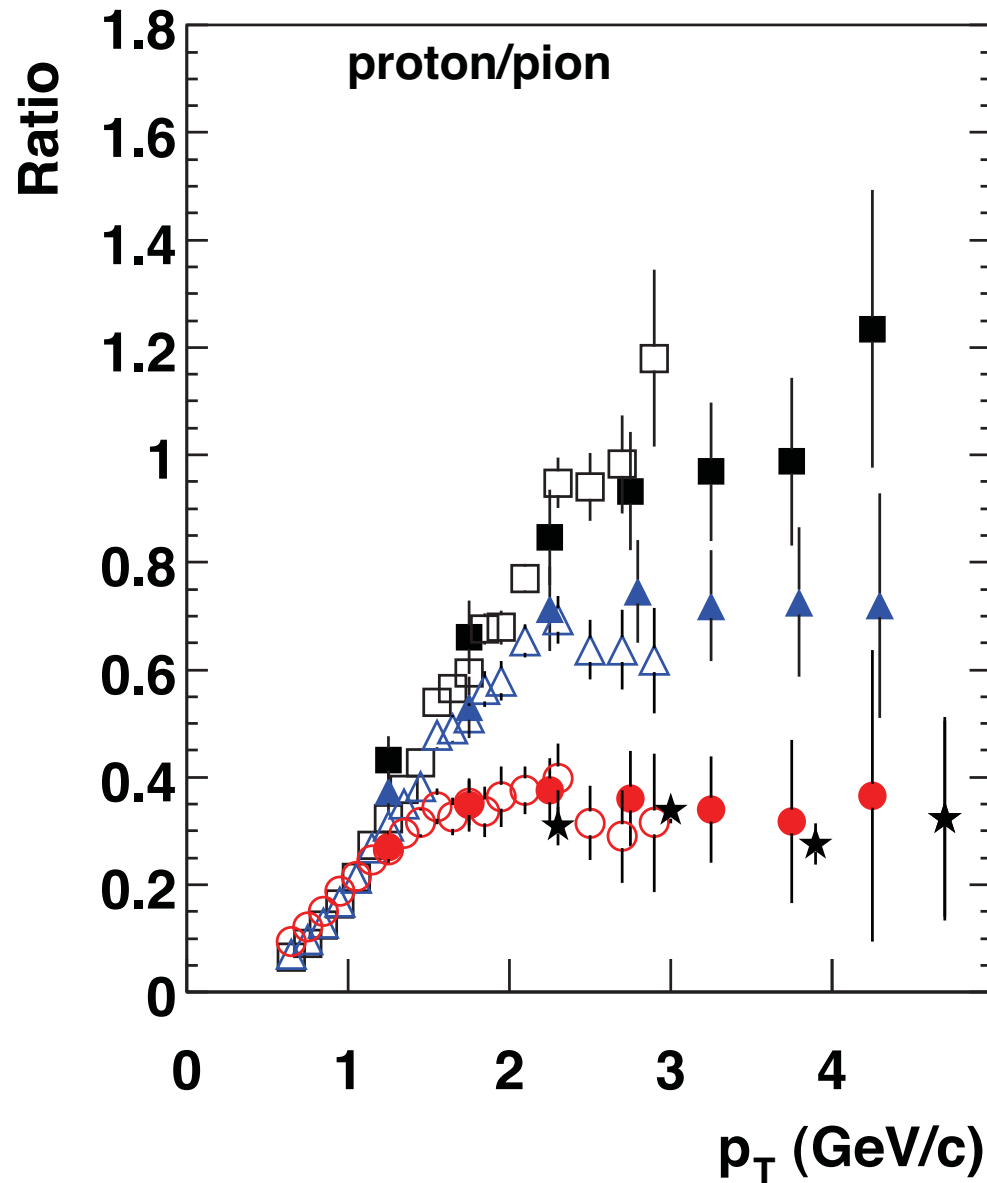


Chicago-Princeton
Collaboration

Phys.Rev.Lett.55:2649,1985

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Particle ratio changes with centrality!



*Protons less absorbed
in nuclear collisions than pions*

← **Central**

- ■ Au+Au 0-10%
- △ ▲ Au+Au 20-30%
- ● Au+Au 60-92%
- ★ p+p, $\sqrt{s} = 53$ GeV, ISR
- e⁺e⁻, gluon jets, DELPHI
- e⁺e⁻, quark jets, DELPHI

← **Peripheral**

Sickles, sjb

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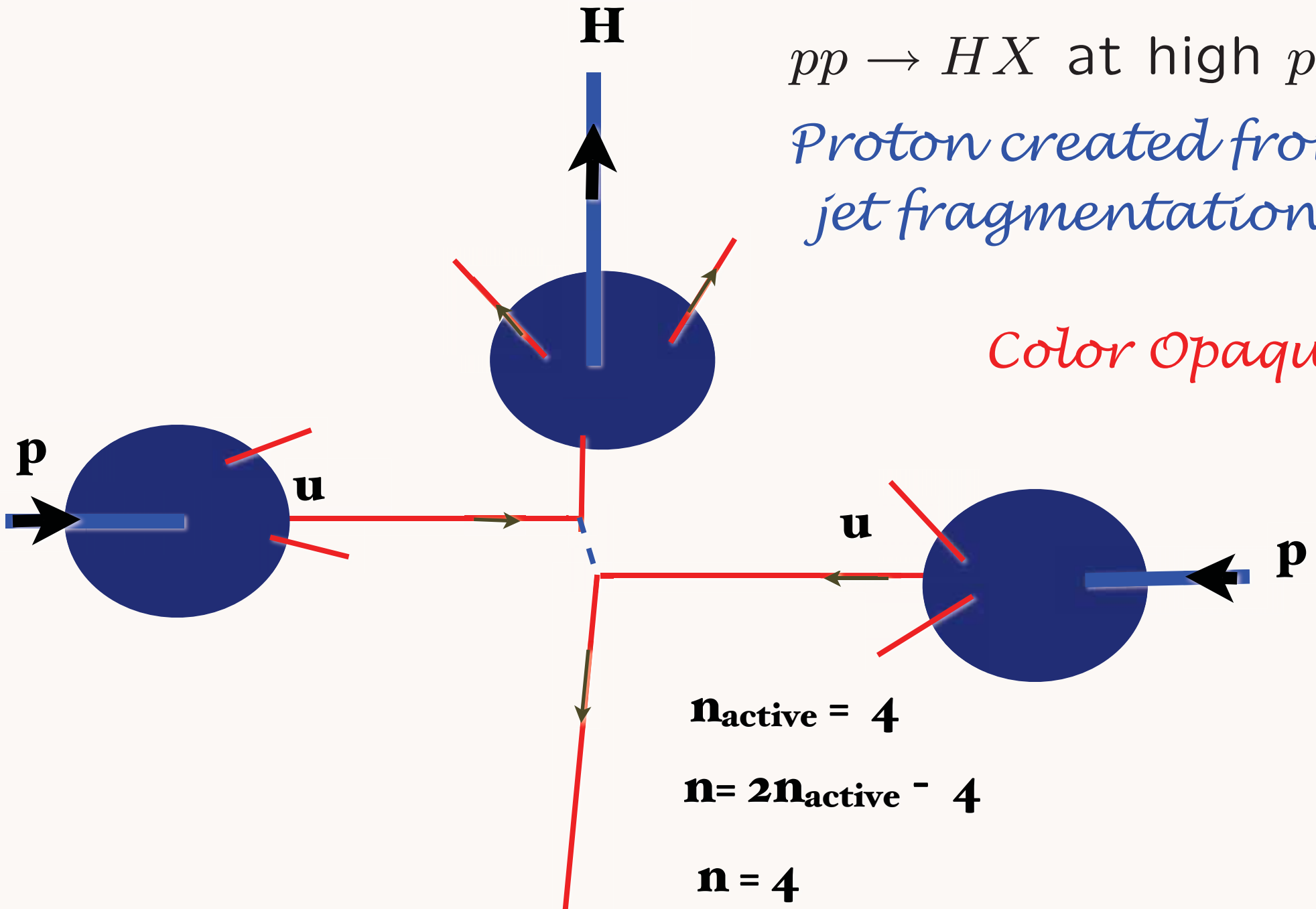
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$pp \rightarrow H X$ at high p_T
*Proton created from
jet fragmentation*

Color Opaque



$$n_{\text{active}} = 4$$

$$n = 2n_{\text{active}} - 4$$

$$n = 4$$

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Crucial Test of Leading -Twist QCD: Scaling at fixed x_T

$$x_T = \frac{2p_T}{\sqrt{s}}$$

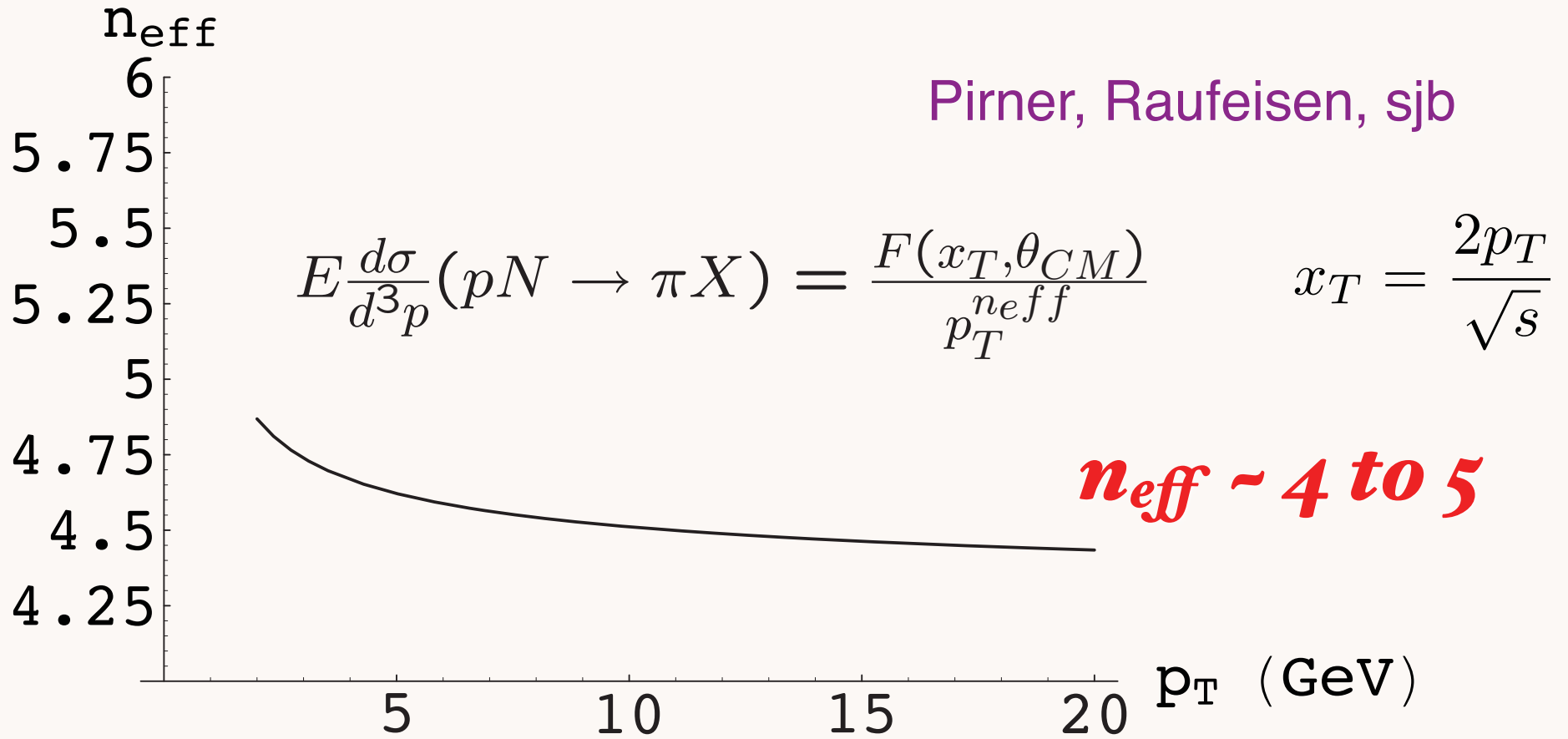
$$E \frac{d\sigma}{d^3p} (pN \rightarrow \pi X) = \frac{F(x_T, \theta_{CM})}{p_T^{n_{eff}}}$$

Parton model: $n_{eff} = 4$

As fundamental as Bjorken scaling in DIS

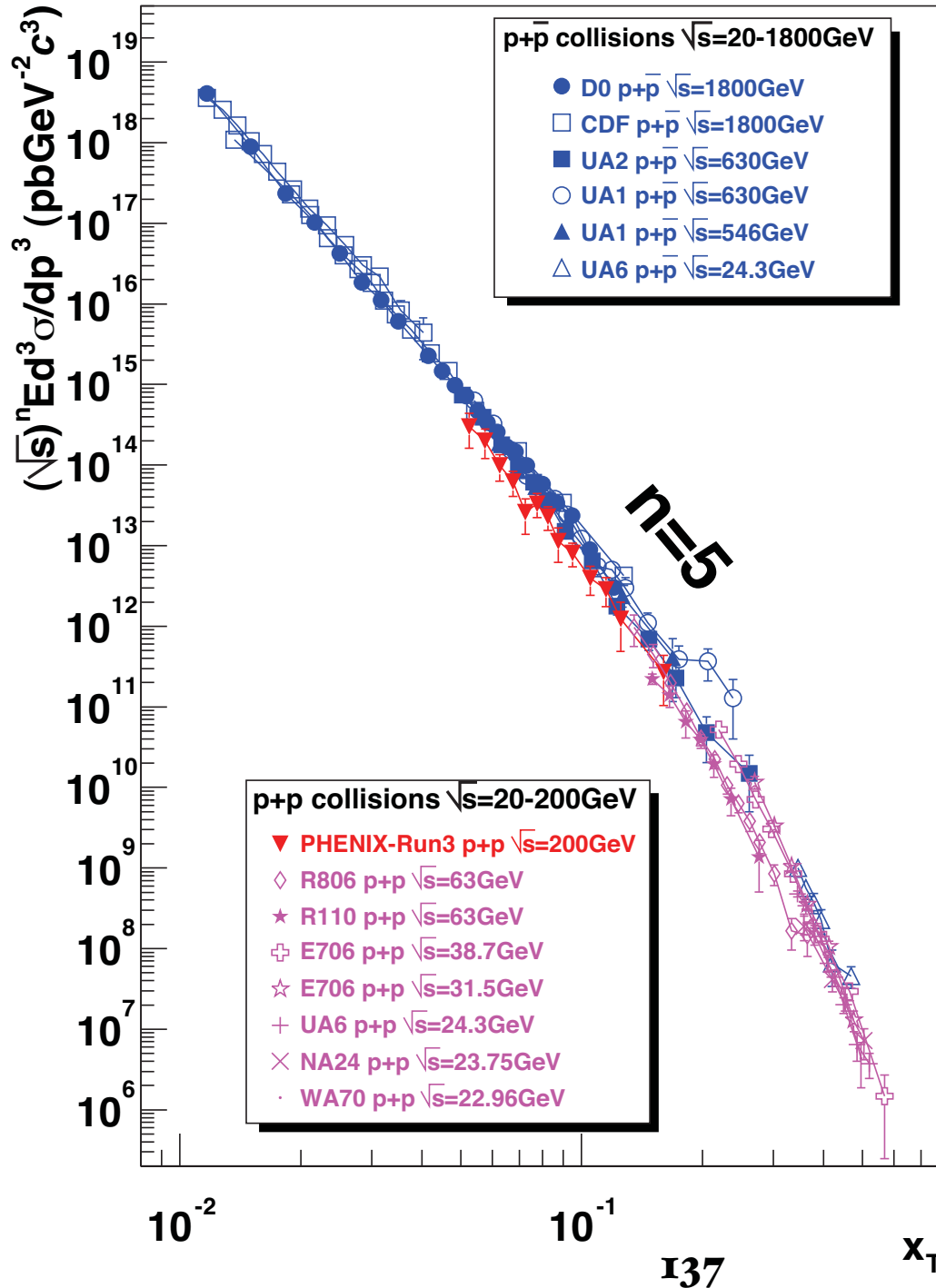
Conformal scaling: $n_{eff} = 2 n_{active} - 4$

QCD prediction: Modification of power fall-off due to DGLAP evolution and the Running Coupling



Key test of PQCD: power-law fall-off at fixed x_T

$$\sqrt{s}^n E \frac{d\sigma}{d^3p} (pp \rightarrow \gamma X) \text{ at fixed } x_T$$



**Scaling of direct
photon
production
consistent with
PQCD**

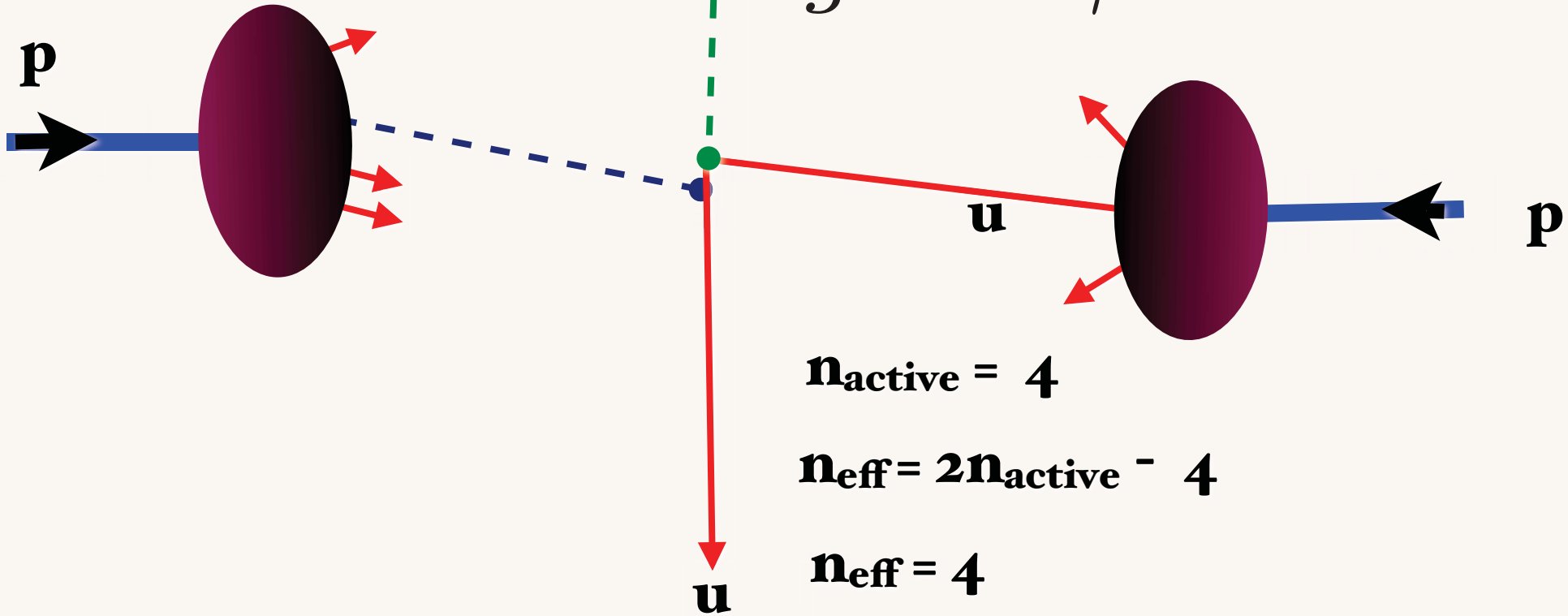
L
Jul

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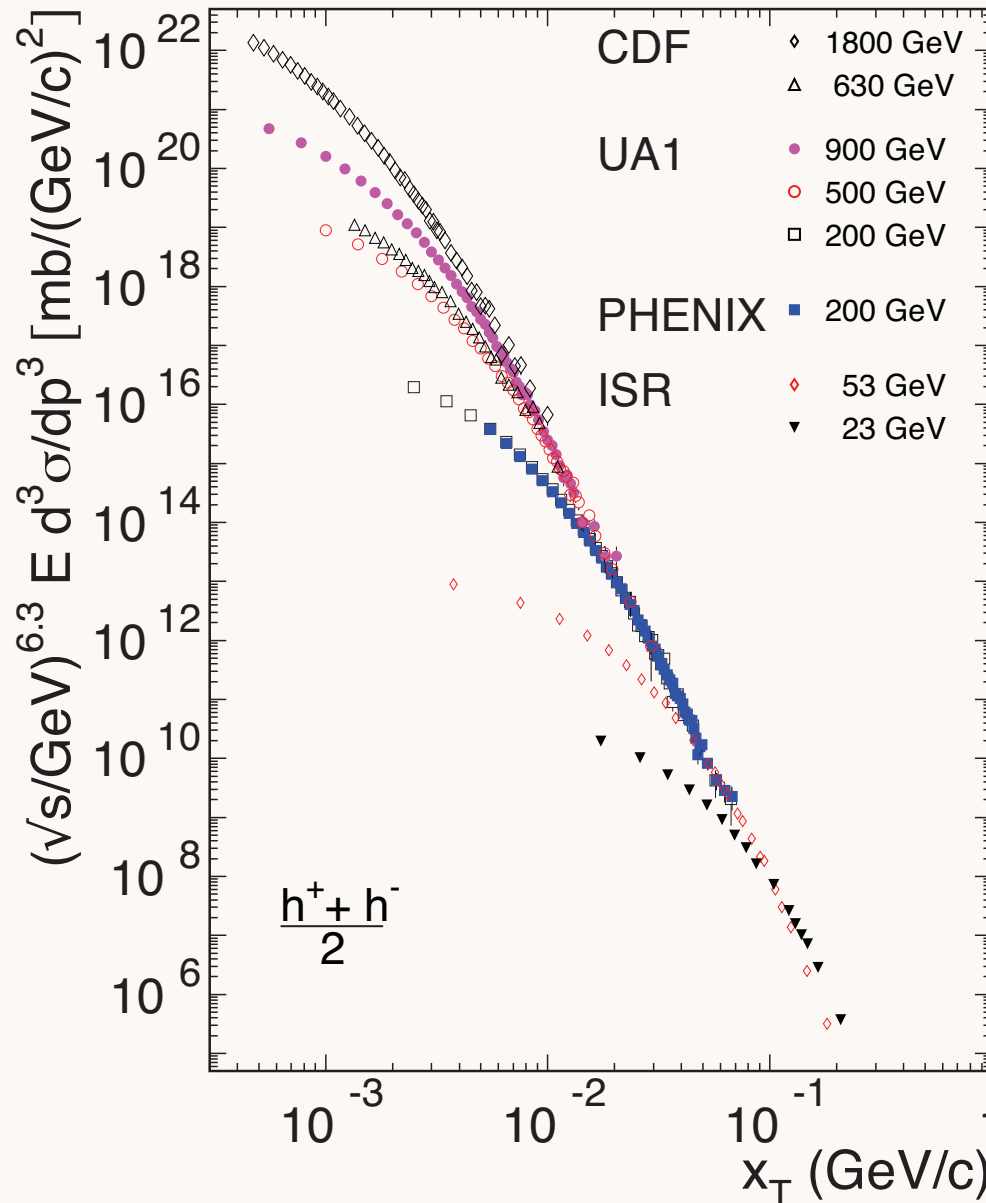
$$pp \rightarrow \gamma X$$

$$E \frac{d\sigma}{d^3p}(pp \rightarrow \gamma X) = \frac{F(\theta_{cm}, x_T)}{p_T^4}$$

$$gu \rightarrow \gamma u$$



$$\sqrt{s}^{6.3} \times E \frac{d\sigma}{d^3p} (pp \rightarrow H^\pm X) \text{ at fixed } x_T$$



Tannenbaum

**Scaling
inconsistent with
PQCD**

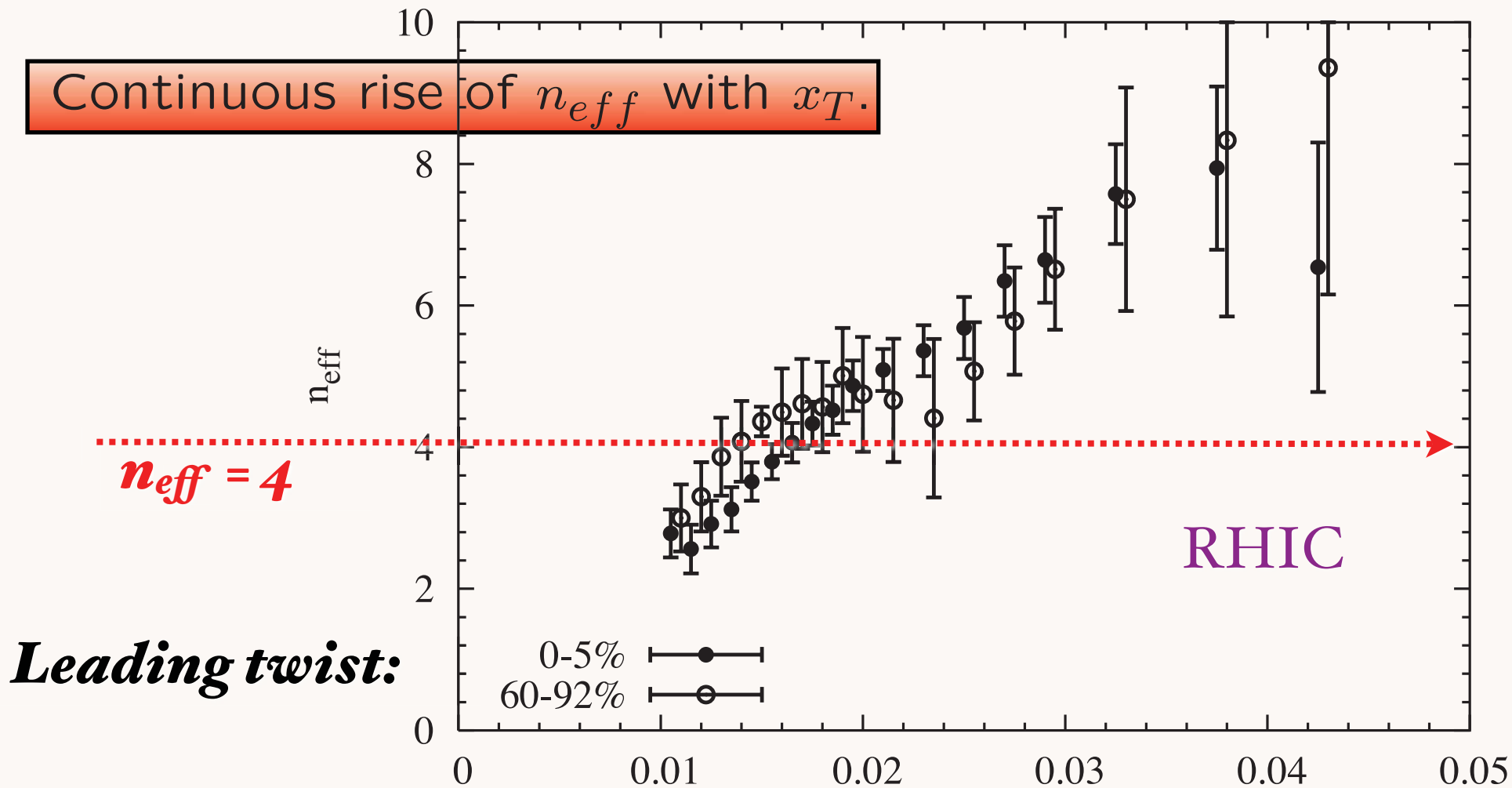
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Protons produced in AuAu collisions at RHIC do not exhibit clear scaling properties in the available p_T range. Shown are data for central (0 – 5%) and for peripheral (60 – 90%) collisions.



$$E \frac{d\sigma}{d^3p} (pN \rightarrow pX) = \frac{F(x_T, \theta_{CM})}{p_T^{n_{eff}}} x_T$$

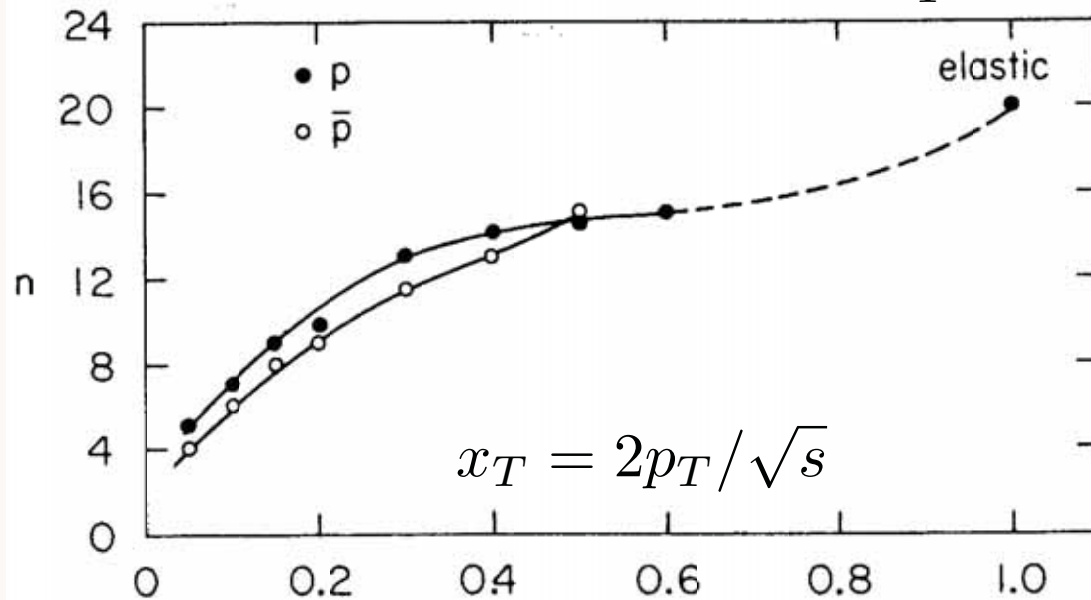
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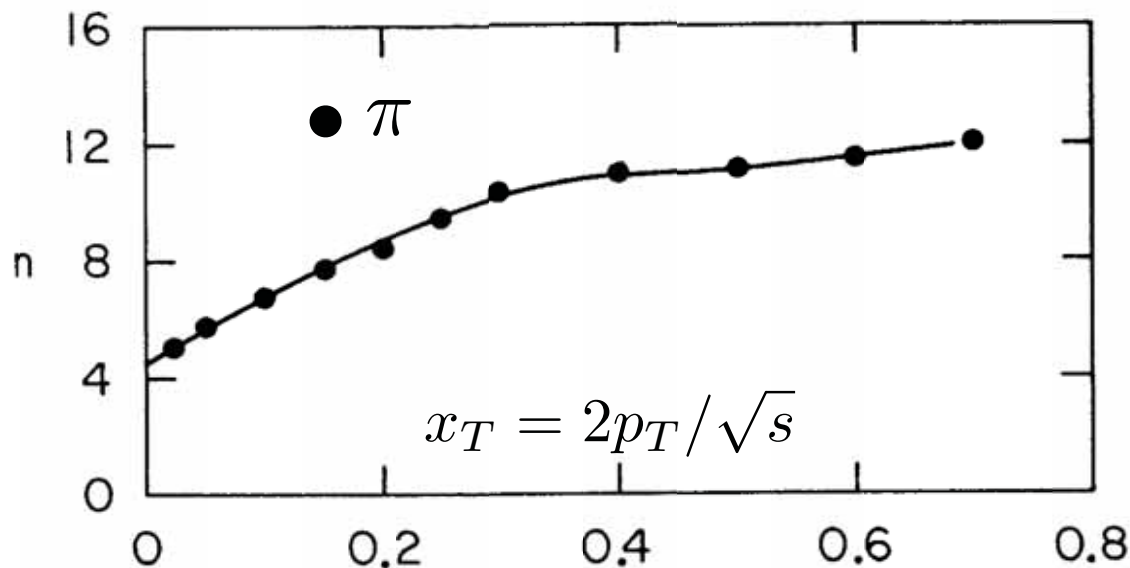
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$$E \frac{d\sigma}{d^3p} (pp \rightarrow HX) = \frac{F(x_T, \theta_{cm} = \pi/2)}{p_T^n}$$



Clear evidence for higher-twist contributions

J. W. Cronin, SSI 1974



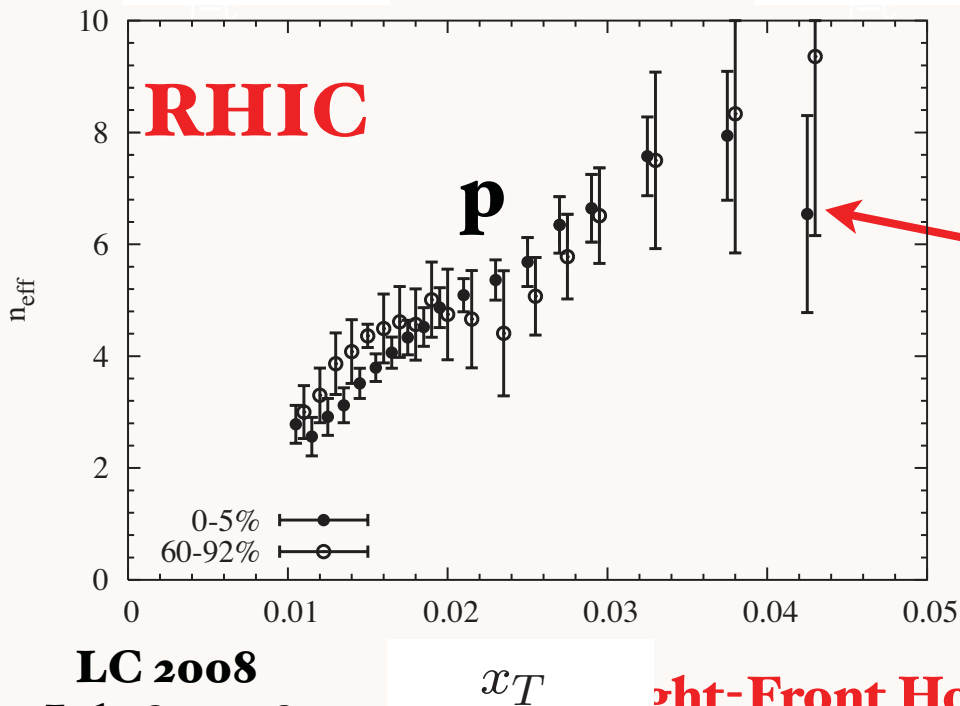
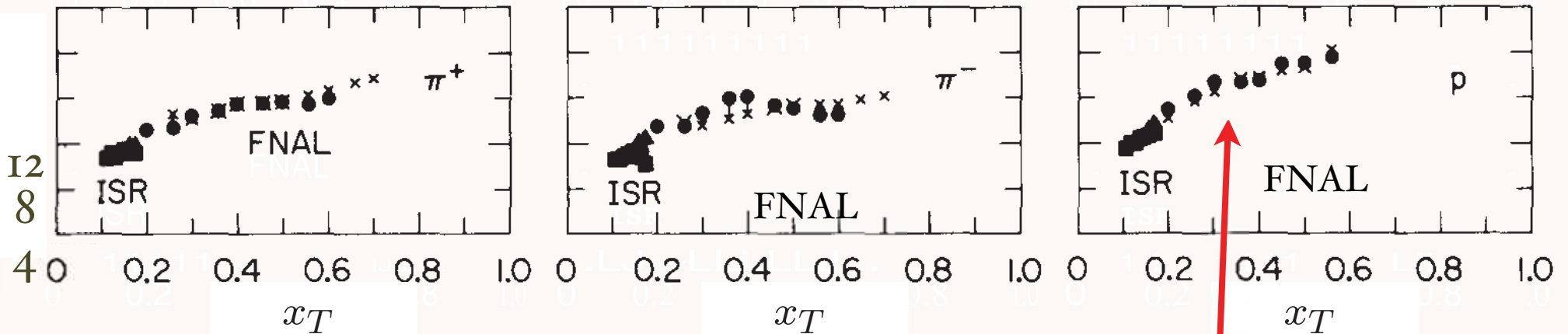
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$$E \frac{d\sigma}{d^3p} (pp \rightarrow HX) = \frac{F(x_T, \theta_{CM})}{n_{eff} p_T}$$



$$E \frac{d\sigma}{d^3p} (pp \rightarrow pX) = \frac{F(x_T, \theta_{CM})}{p_T^{12}}$$

$$E \frac{d\sigma}{d^3p} (pp \rightarrow pX) = \frac{F(x_T, \theta_{CM})}{p_T^8}$$

Trend consistent with RHIC at small x_T

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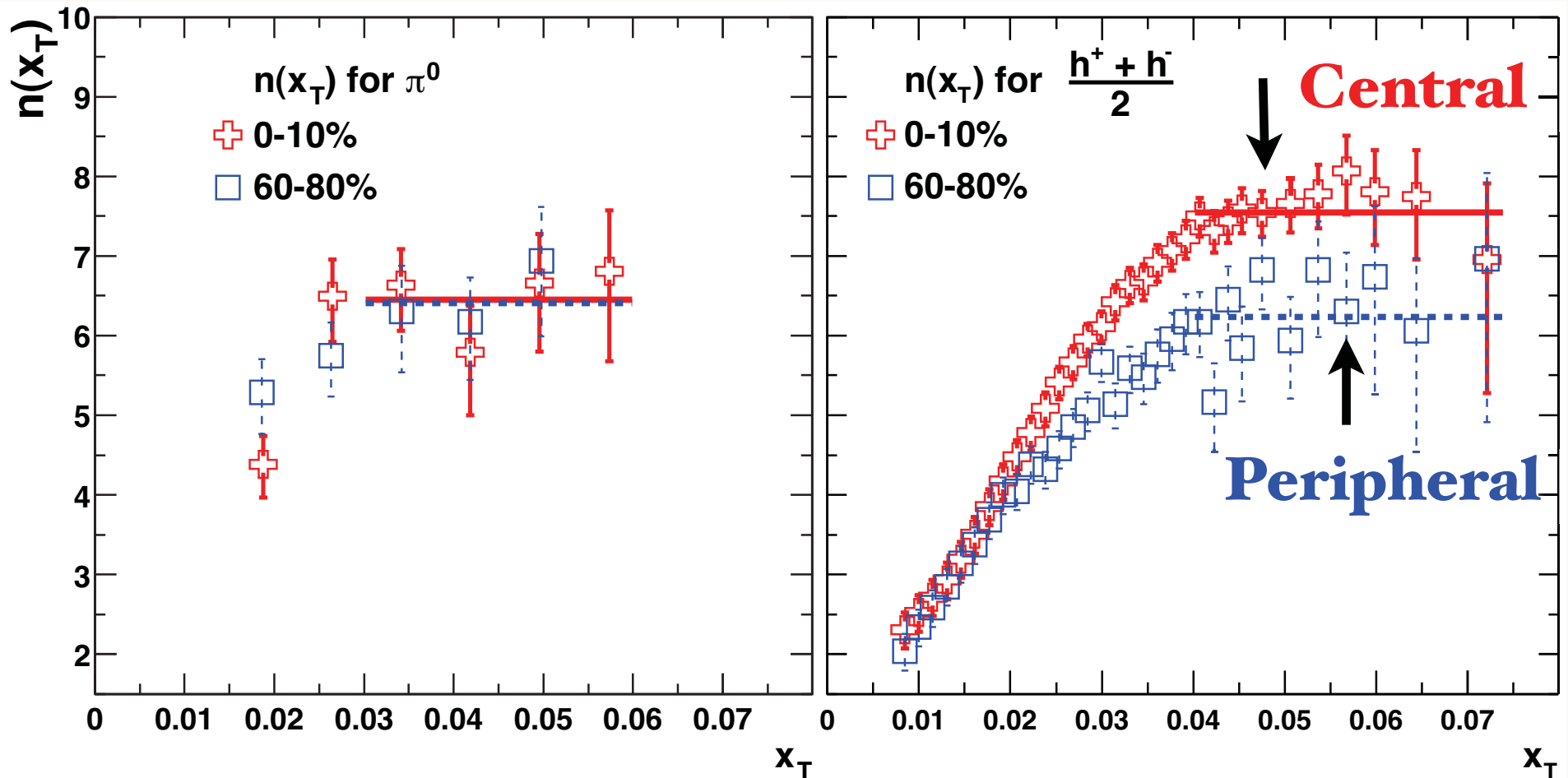
x_T

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$$\sqrt{s_{NN}} = 130 \text{ and } 200 \text{ GeV}$$



Proton power changes with centrality !

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Baryon can be made directly within hard subprocess

Coalescence within hard subprocess

$$b_{\perp} \simeq 1/p_T$$

Bjorken

Blankenbecler, Gunion, sjb

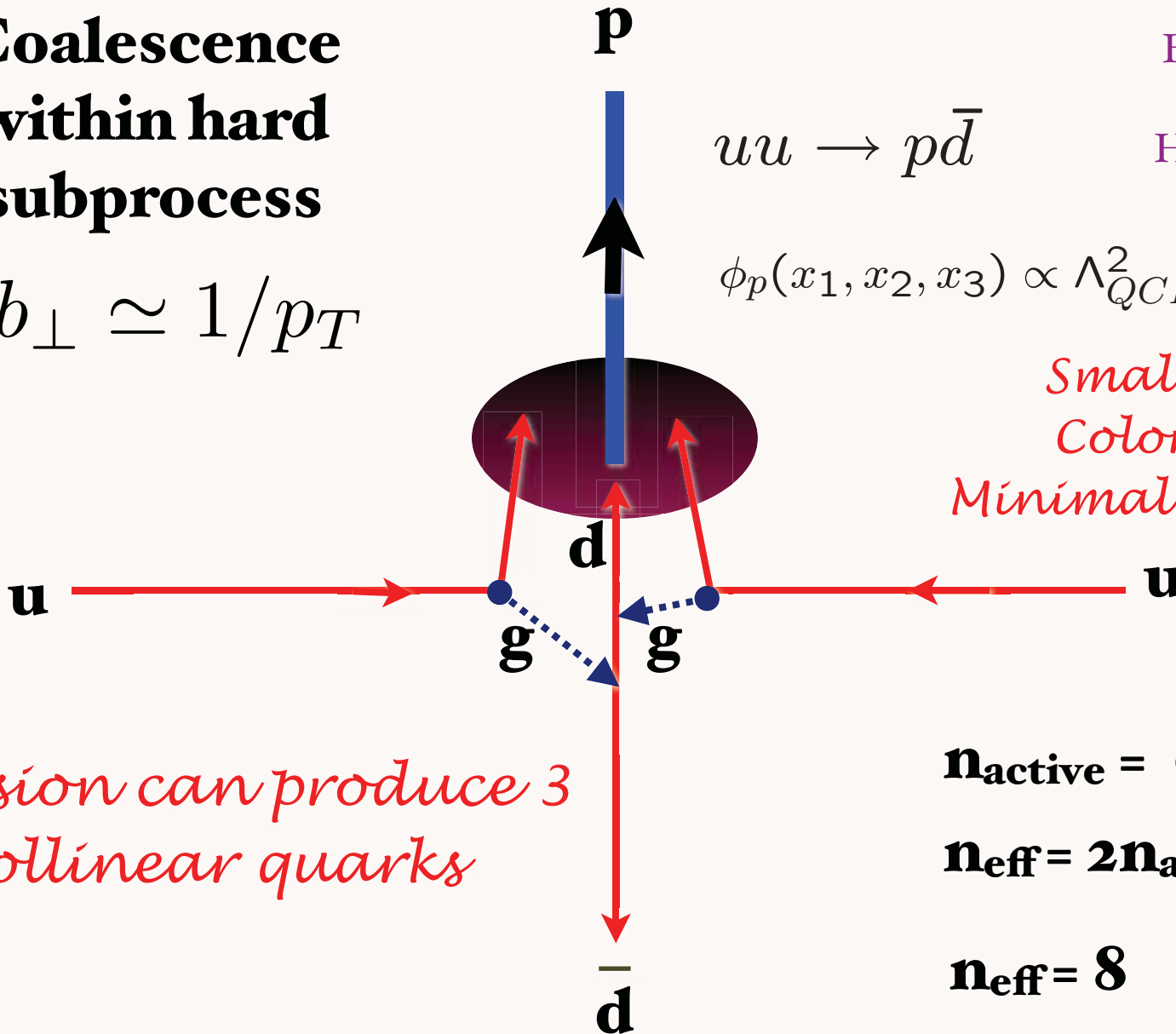
Berger, sjb

Hoyer, et al: Semi-Exclusive

$$uu \rightarrow p\bar{d}$$

$$\phi_p(x_1, x_2, x_3) \propto \Lambda_{QCD}^2$$

*Small color-singlet
Color Transparent
Minimal same-side energy*



Sickles, sjb

$$\mathbf{n}_{\text{active}} = 6$$

$$\mathbf{n}_{\text{eff}} = 2\mathbf{n}_{\text{active}} - 4$$

$$\mathbf{n}_{\text{eff}} = 8$$

Collision can produce 3 collinear quarks

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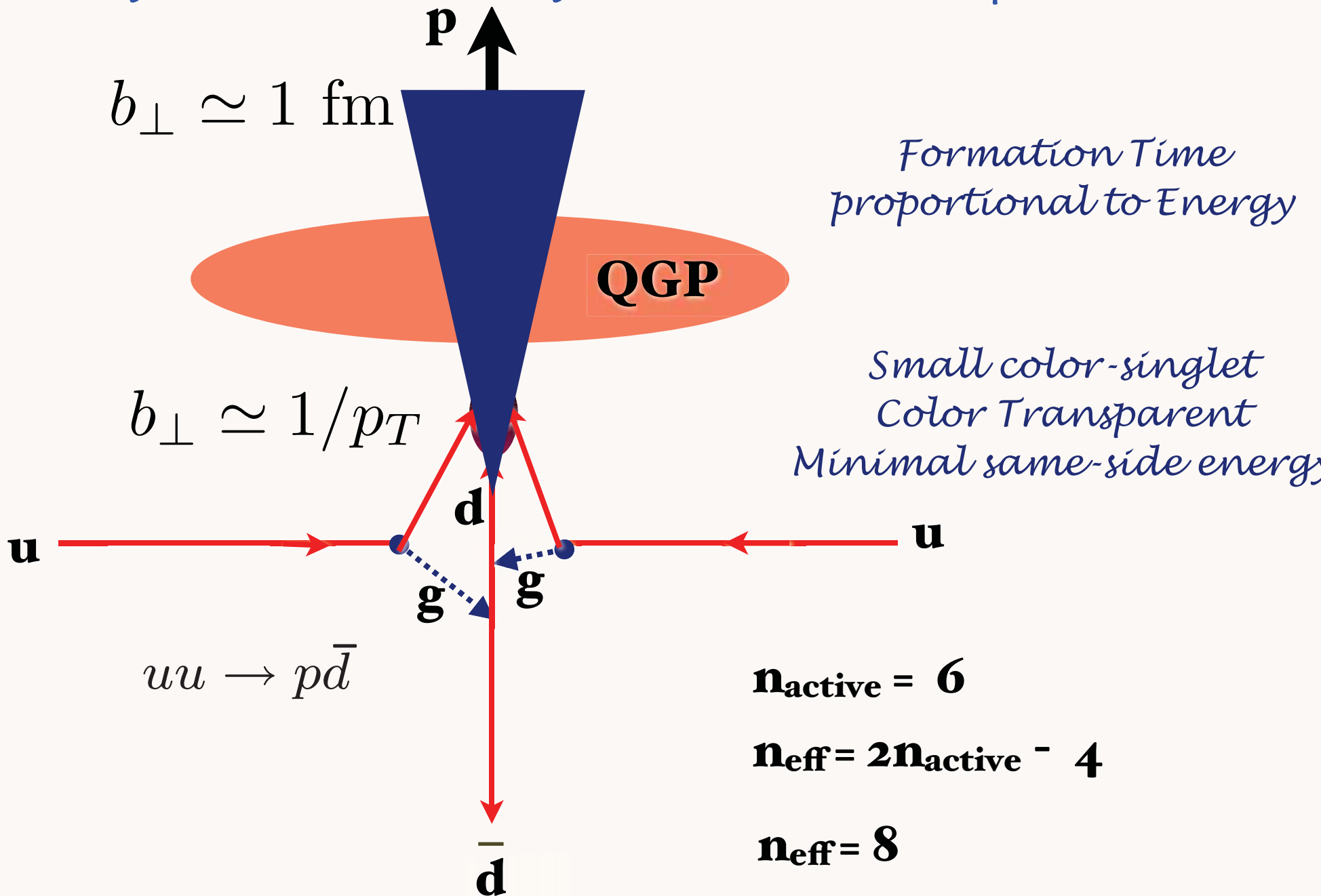
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Baryon made directly within hard subprocess



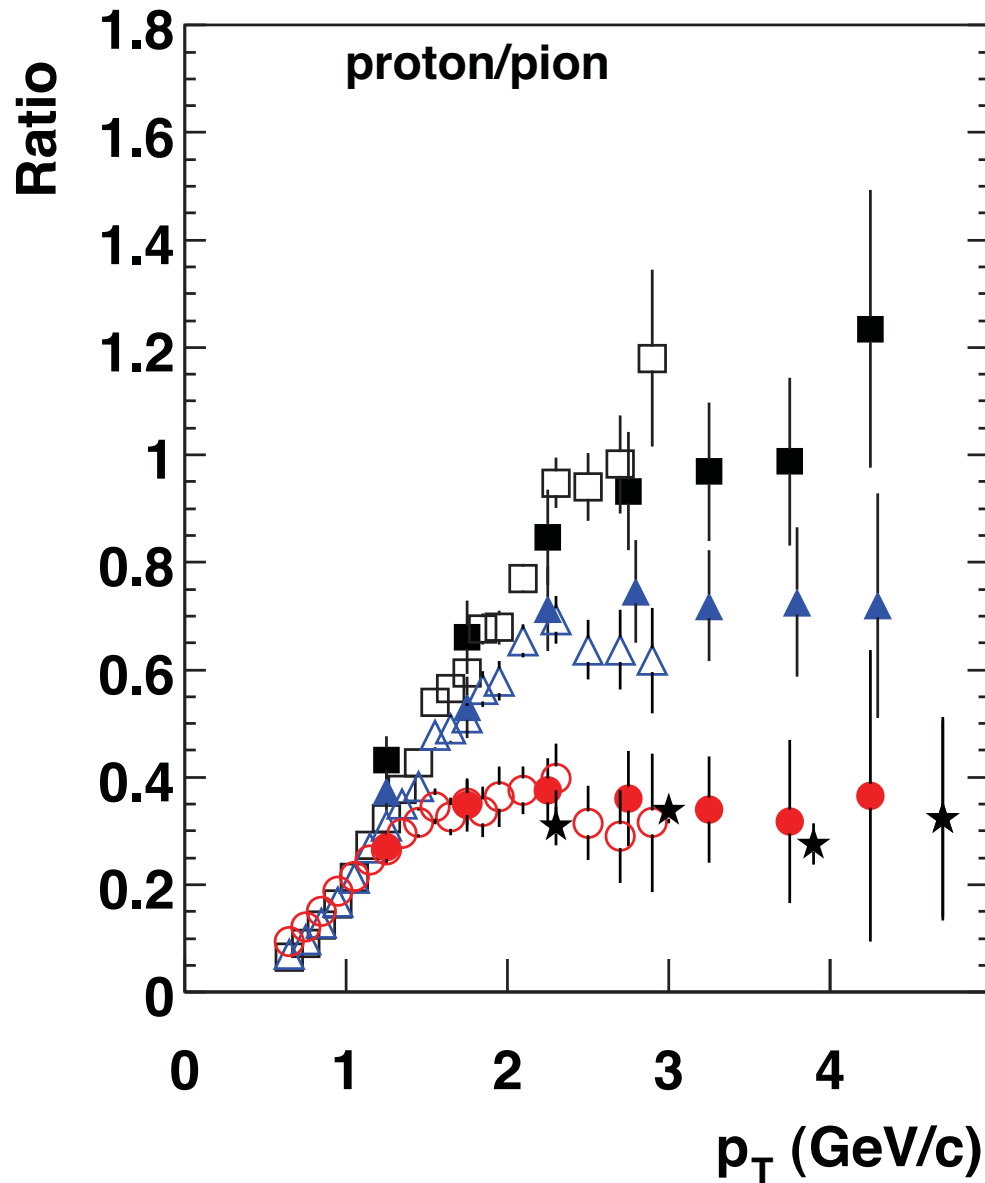
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Particle ratio changes with centrality!

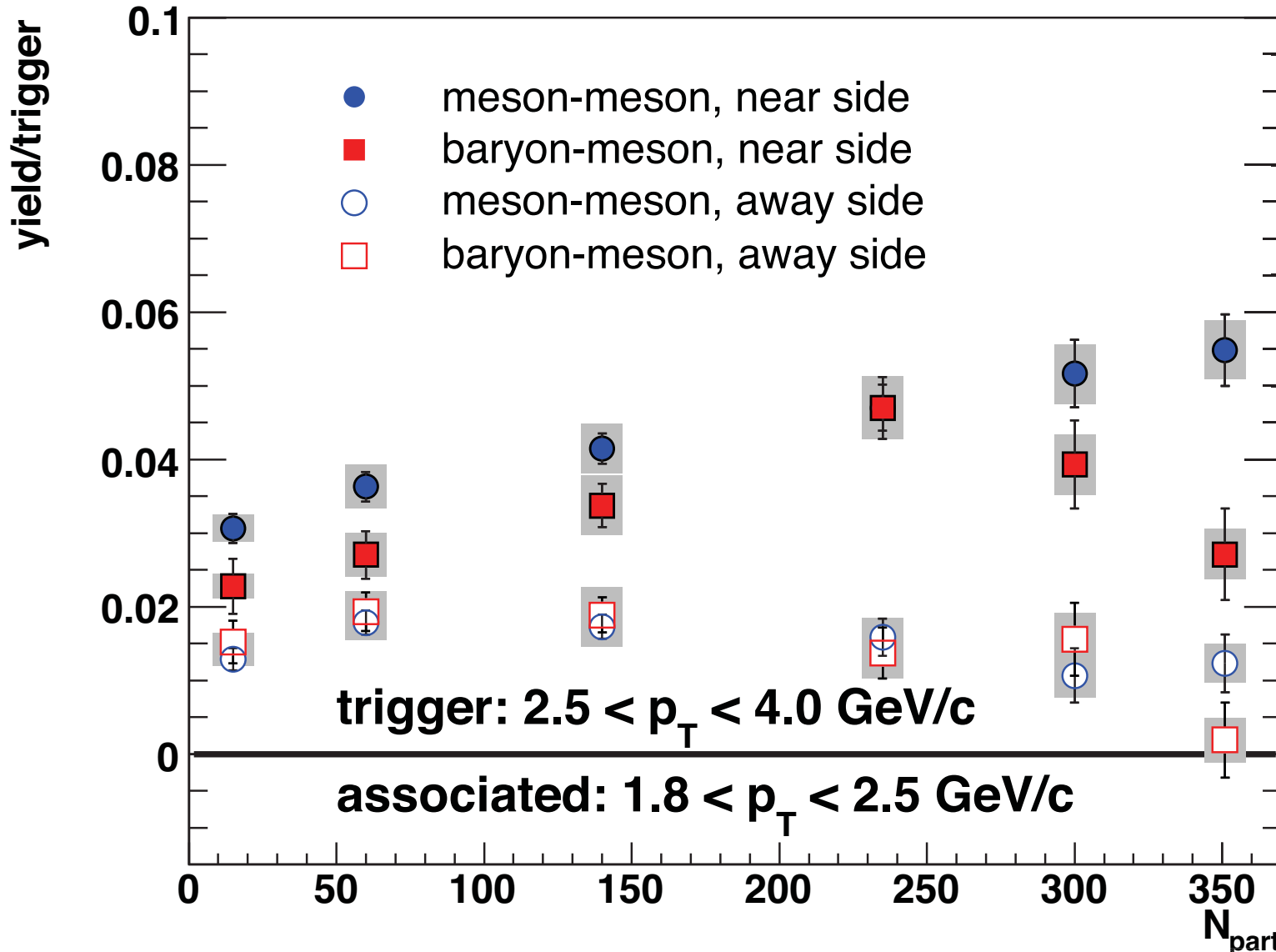


*Protons less absorbed
in nuclear collisions than pions
because of dominant
color transparent higher twist process*

← **Central**

- ■ Au+Au 0-10%
- △ ▲ Au+Au 20-30%
- ● Au+Au 60-92%
- ★ p+p, $\sqrt{s} = 53$ GeV, ISR
- e⁺e⁻, gluon jets, DELPHI
- e⁺e⁻, quark jets, DELPHI

← **Peripheral**

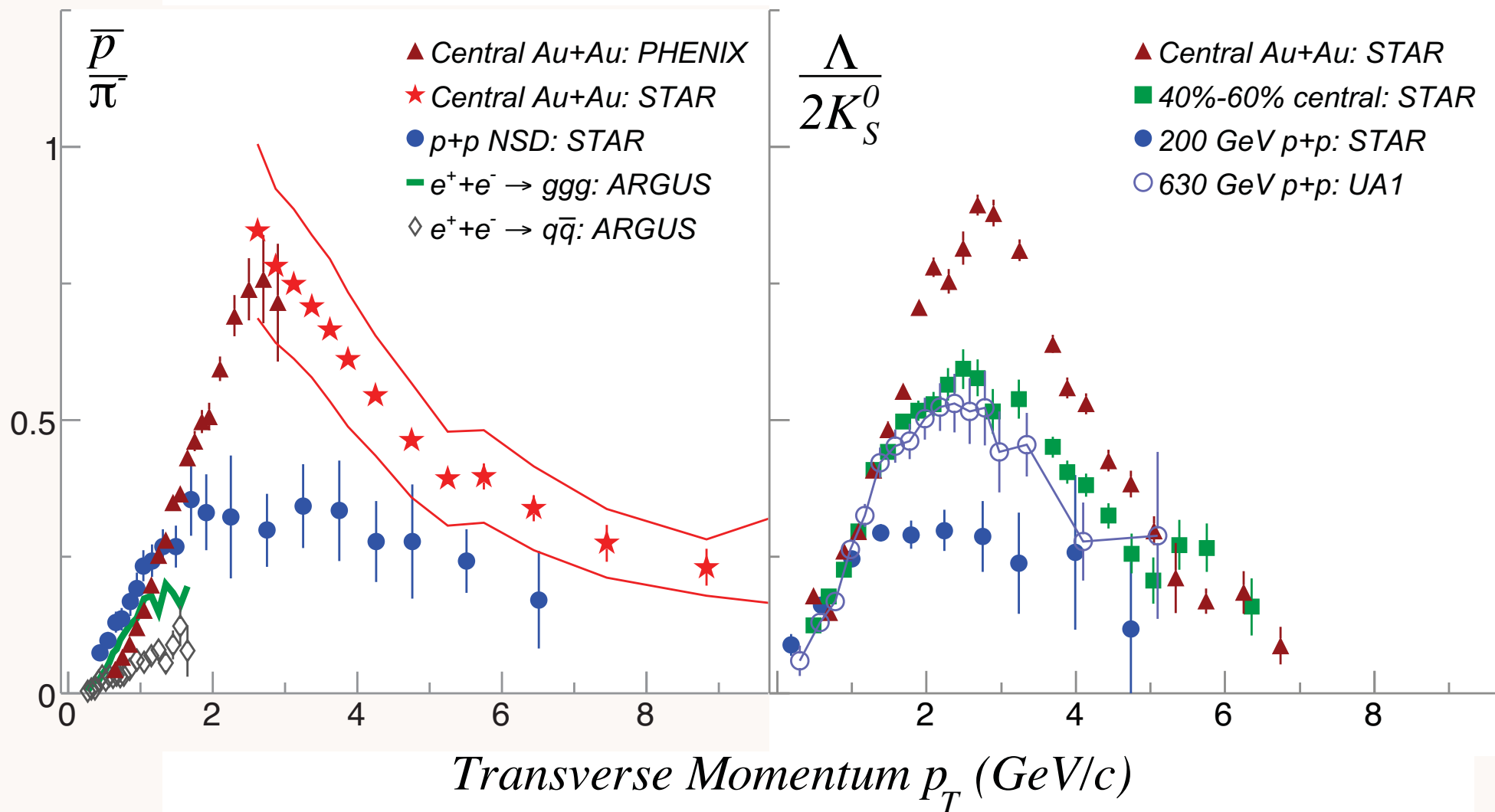


*proton trigger:
 # same-side particles
 decreases with centrality*



Proton production more dominated by color-transparent direct high- n_{eff} subprocesses

Baryon to Meson Ratios



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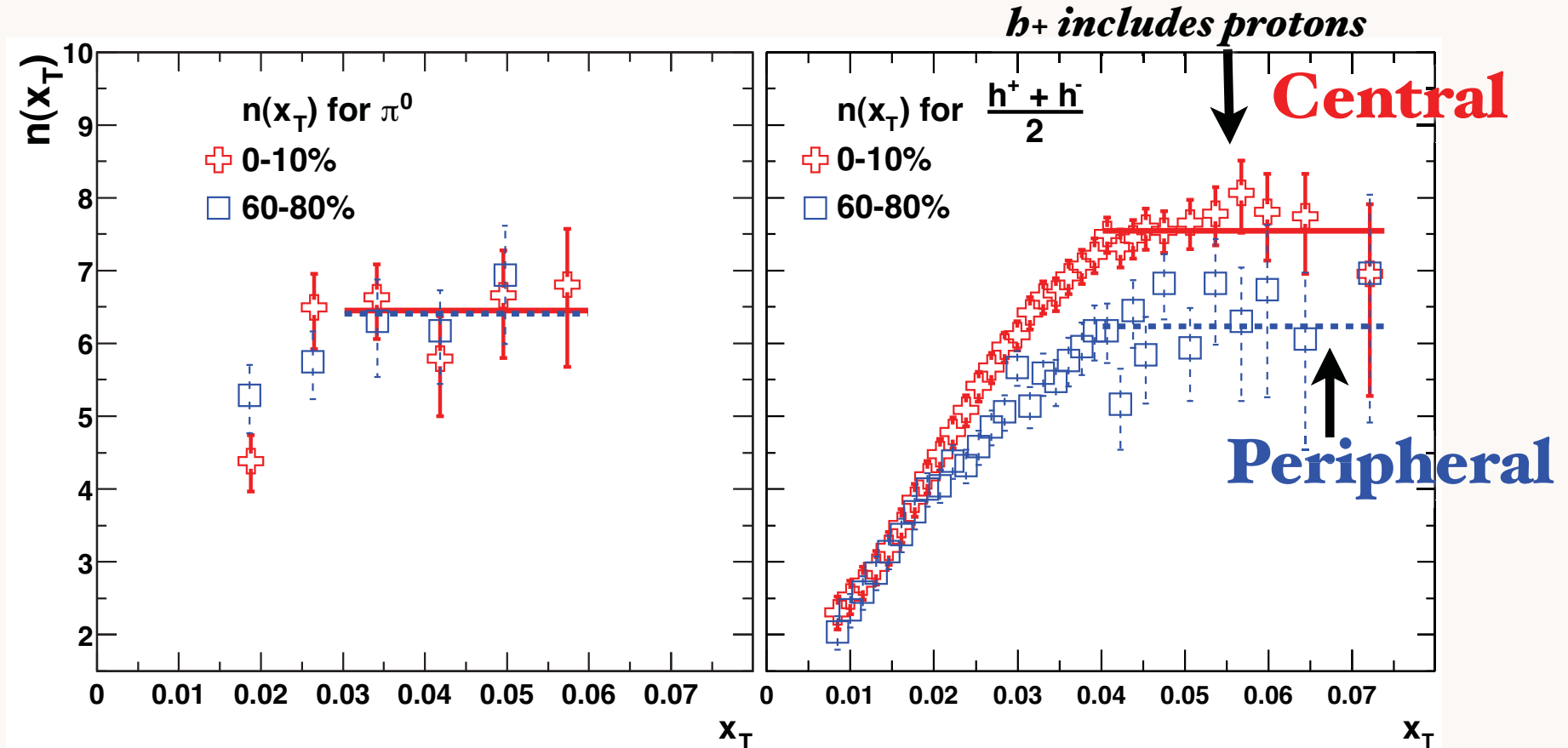
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Power-law exponent $n(x_T)$ for π^0 and h spectra in central and peripheral Au+Au collisions at $\sqrt{s_{NN}} = 130$ and 200 GeV

S. S. Adler, *et al.*, PHENIX Collaboration, *Phys. Rev. C* **69**, 034910 (2004) [nucl-ex/0308006].



Proton production dominated by color-transparent direct high n_{eff} subprocesses

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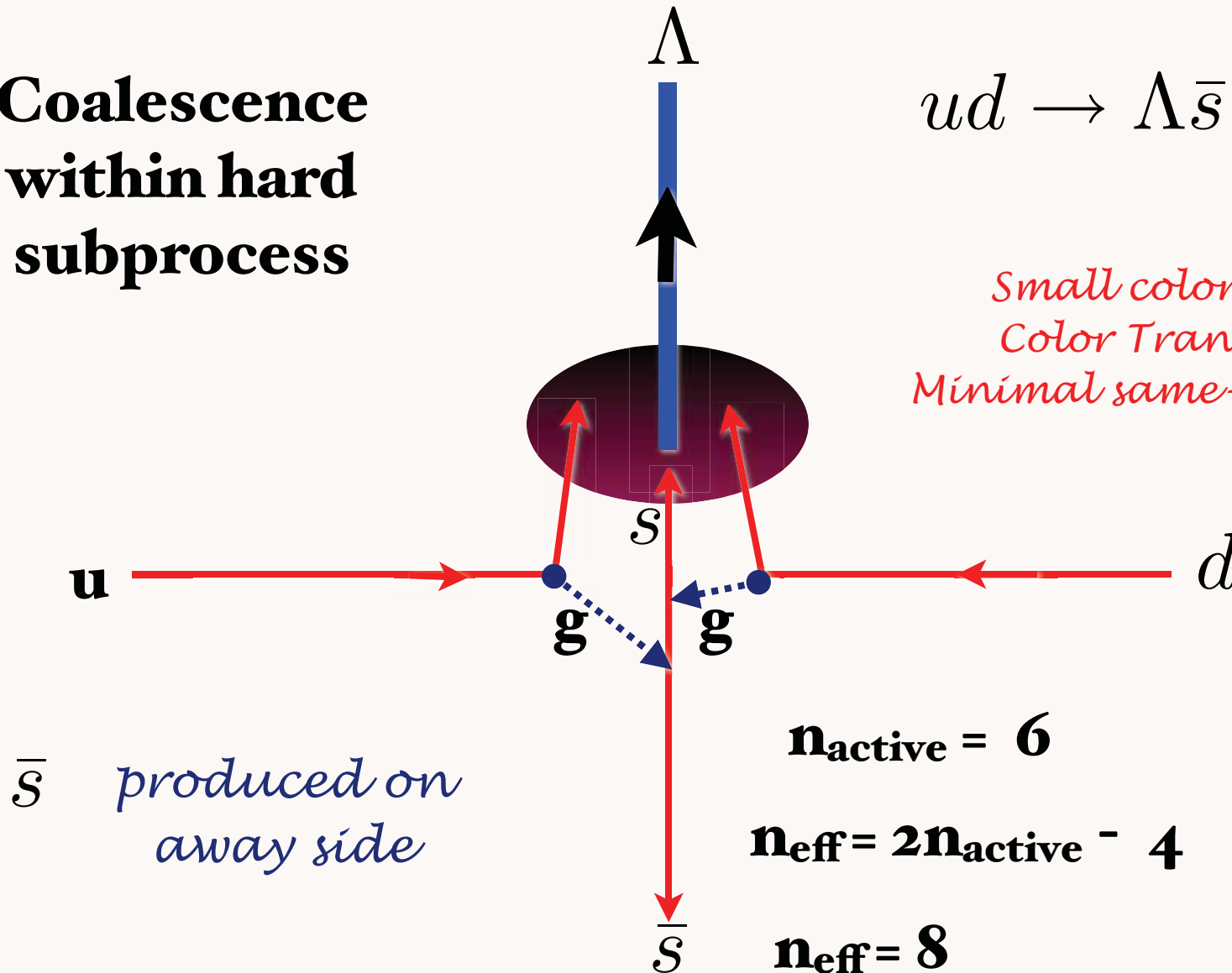
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Lambda can be made directly within hard subprocess

**Coalescence
within hard
subprocess**

$$ud \rightarrow \Lambda \bar{s}$$

*Small color-singlet
Color Transparent
Minimal same-side energy*



\bar{s} *produced on
away side*

$$n_{\text{active}} = 6$$

$$n_{\text{eff}} = 2n_{\text{active}} - 4$$

$$n_{\text{eff}} = 8$$

Sickles, sjb

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Baryon Anomaly: Evidence for Direct, Higher-Twist Subprocesses

- Explains anomalous power behavior at fixed x_T
- Protons more likely to come from direct higher-twist subprocess than pions
- Protons less absorbed than pions in central nuclear collisions because of color transparency
- Predicts increasing proton to pion ratio in central collisions
- Proton power n_{eff} increases with centrality since leading twist contribution absorbed
- Fewer same-side hadrons for proton trigger at high centrality
- Exclusive-inclusive connection at $x_T = 1$

Role of higher twist in hard inclusive reactions

- **Hadron can be produced directly in hard subprocess as in exclusive reactions**

“Semi-Exclusive Reactions”

- **Sum over reactions**

Hoyer, Mueller, Tang, sjb

- **Trigger bias: No wasted same-side energy**

- **Exclusive -inclusive connection important at high x_T**

- **Explanation of $n_{\text{eff}} = 8, 12$ observed at ISR, Fermilab: Chicago-Princeton experiments**

- **Direct Hadron Production -- color transparency and reduced same side absorption**

- **Critical to plot data at fixed x_T**

- **Interpretation of RHIC data is modified if higher twist subprocesses play an important role**

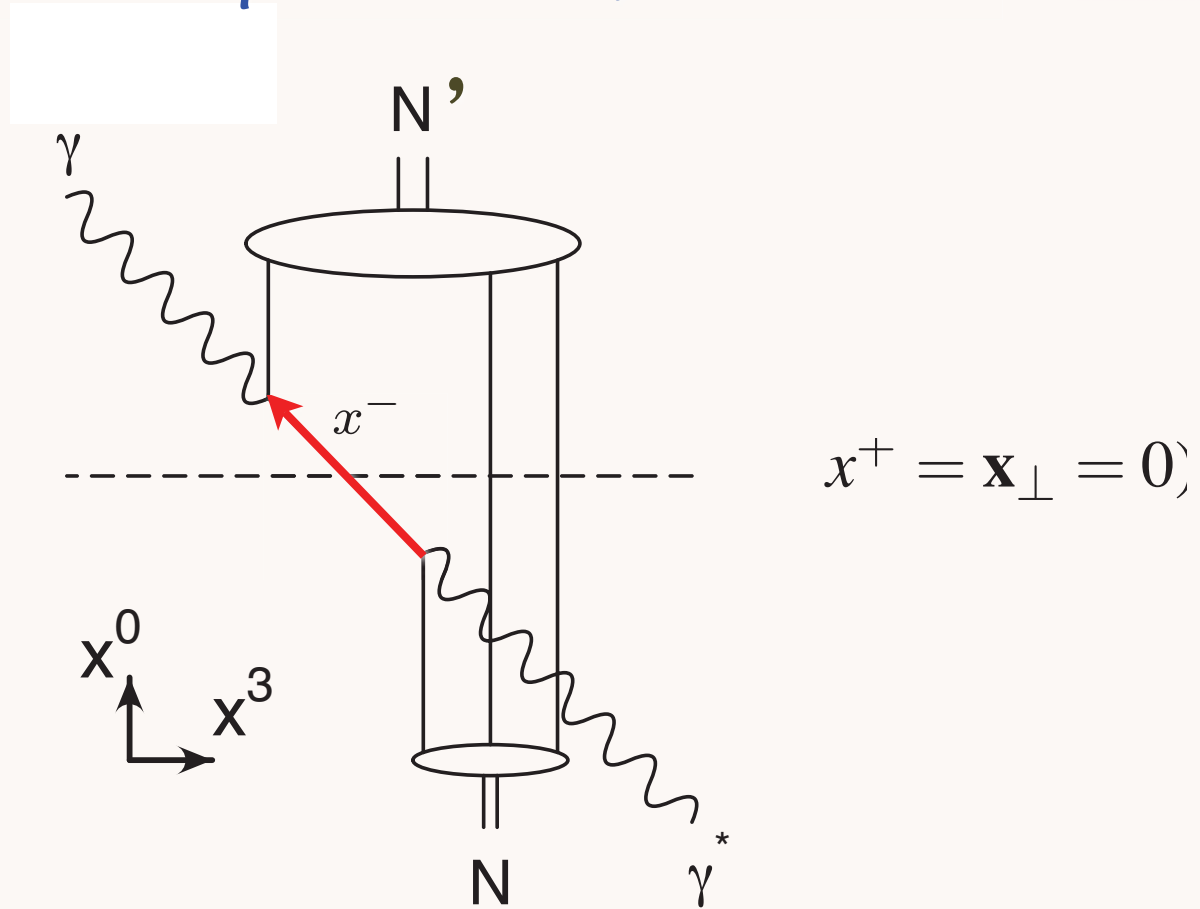
Some Applications of Light-Front Wavefunctions

- Exact formulae for form factors, quark and gluon distributions; vanishing anomalous gravitational moment; edm connection to anm
- Deeply Virtual Compton Scattering, generalized parton distributions, angular momentum sum rules
- Exclusive weak decay amplitudes
- Single spin asymmetries: Role of ISI and FSI
- Factorization theorems, DGLAP, BFKL, ERBL Evolution
- Quark interchange amplitude
- Relation of spin, momentum, and other distributions to physics of the hadron itself.

Space-time picture of DVCS

P. Hoyer

$$\sigma = \frac{1}{2}x^- P^+$$



The position of the struck quark differs by x^- in the two wave functions

**Measure x^- distribution from DVCS:
Take Fourier transform of skewness,
the longitudinal momentum transfer**

$$\xi = \frac{Q^2}{2p \cdot q}$$

S. J. Brodsky^a, D. Chakrabarti^b, A. Harindranath^c, A. Mukherjee^d, J. P. Vary^{e,a,f}

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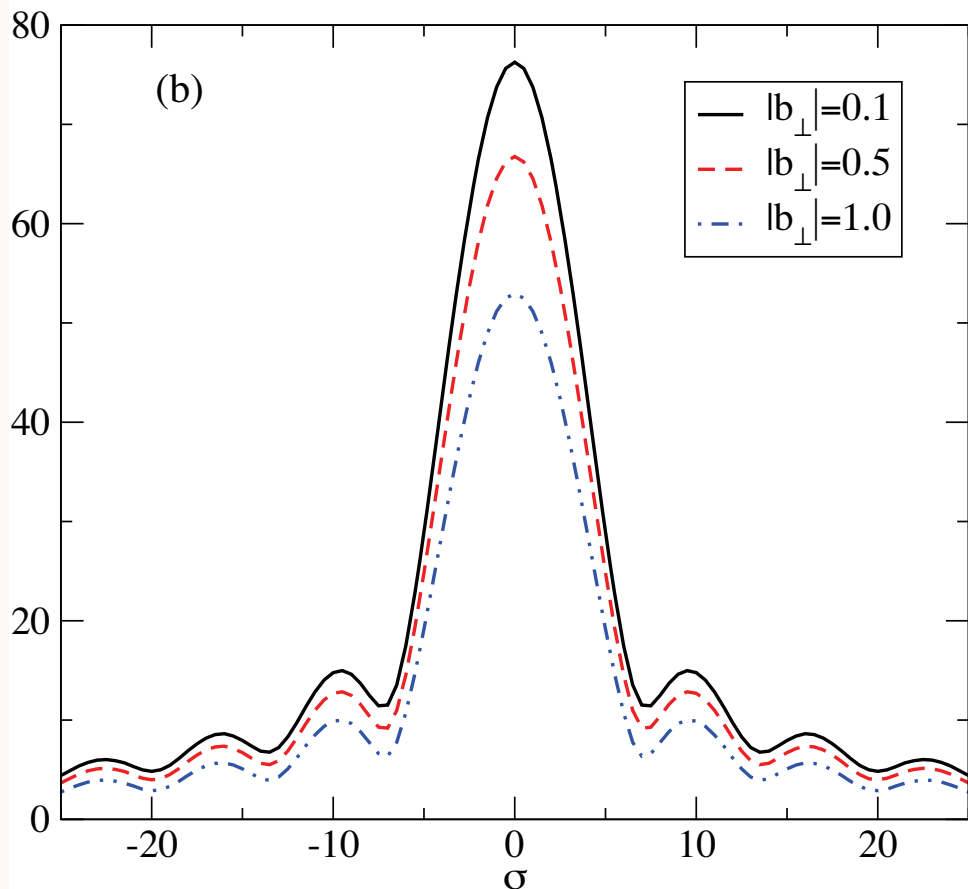
I54

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Hadron Optics

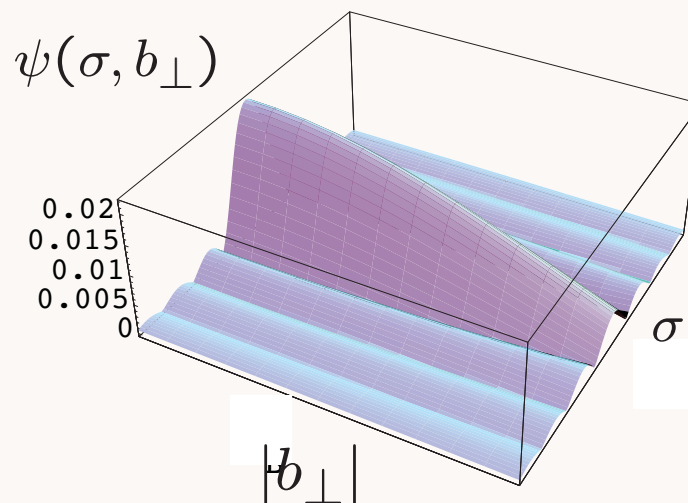
$$A(\sigma, \vec{b}_\perp) = \frac{1}{2\pi} \int d\xi e^{i\frac{1}{2}\xi\sigma} \tilde{A}(\xi, \vec{b}_\perp)$$

$$\sigma = \frac{1}{2}x^-P^+ \quad \xi = \frac{Q^2}{2p \cdot q}$$



DVCS Amplitude using holographic QCD meson LFWF

$$\Lambda_{QCD} = 0.32$$



The Fourier Spectrum of the DVCS amplitude in σ space for different fixed values of $|b_\perp|$.
GeV units

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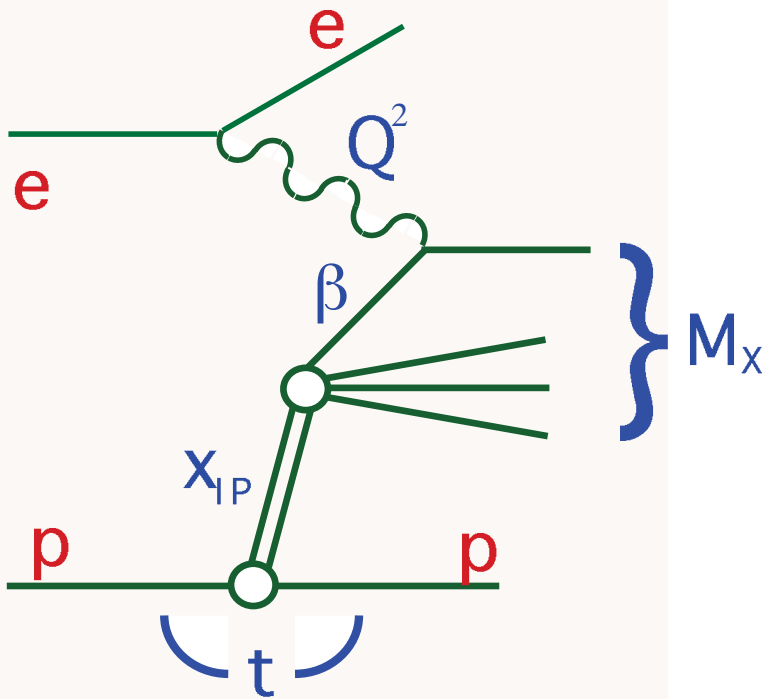
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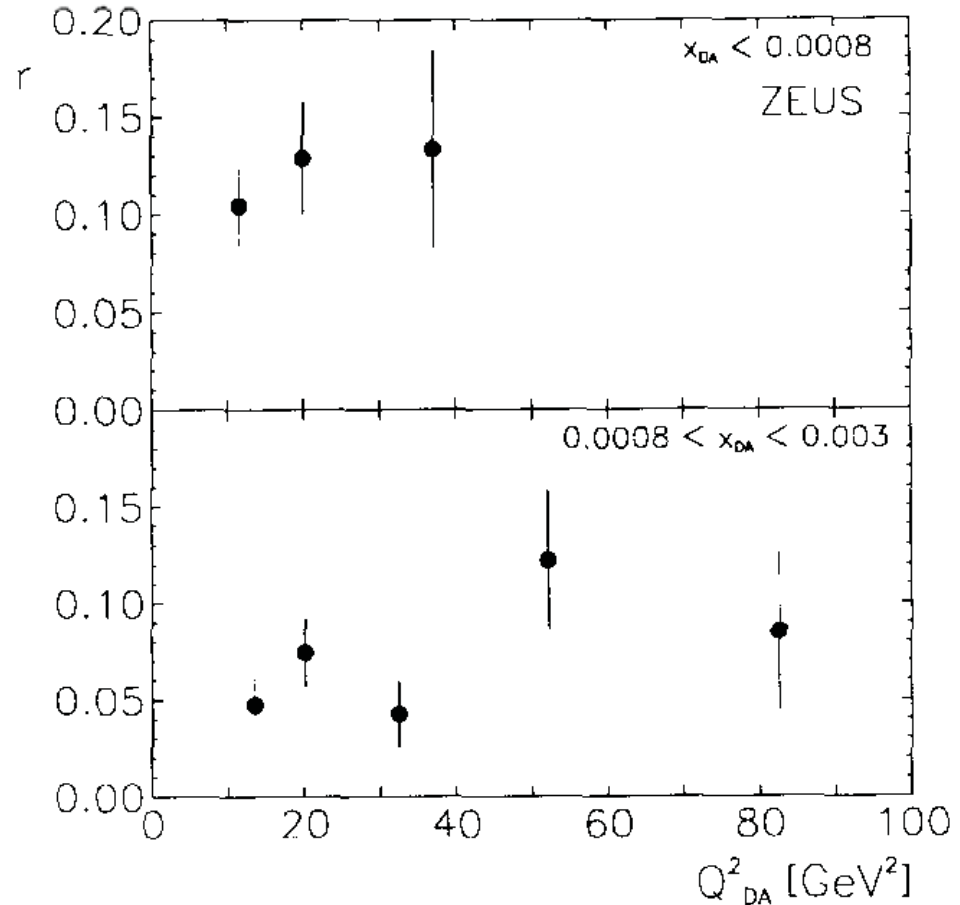
Hadron Dynamics at the Amplitude Level

- LFWFS are the universal hadronic amplitudes which underlie structure functions, GPDs, exclusive processes, distribution amplitudes, direct subprocesses, hadronization.
- Relation of spin, momentum, and other distributions to physics of the hadron itself.
- Connections between observables, orbital angular momentum
- Role of FSI and ISIs--Sivers effect

Remarkable observation at HERA



*10% to 15%
of DIS events
are
diffractive!*



Fraction r of events with a large rapidity gap, $\eta_{\max} < 1.5$, as a function of Q^2_{DA} for two ranges of x_{DA} . No acceptance corrections have been applied.

M. Derrick et al. [ZEUS Collaboration], Phys. Lett. B 315, 481 (1993).

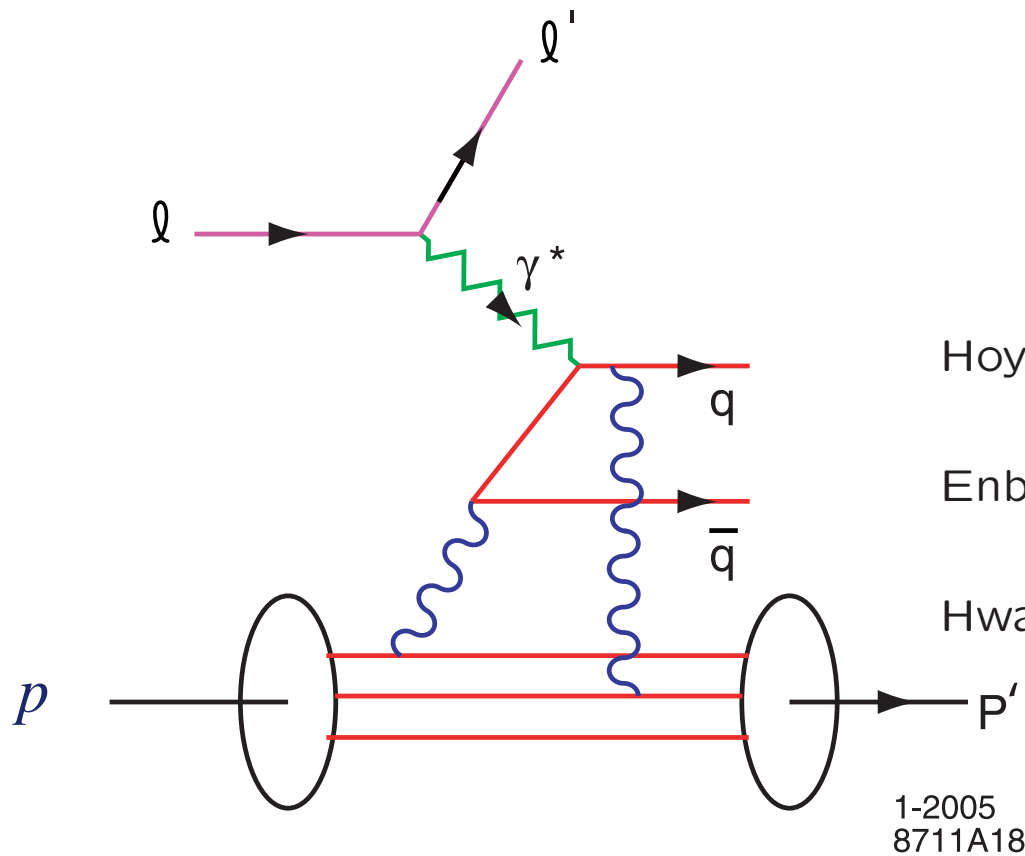
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Final-State Interaction Produces Diffractive DIS



Quark Rescattering

Hoyer, Marchal, Peigne, Sannino, SJB (BHM)

Enberg, Hoyer, Ingelman, SJB

Hwang, Schmidt, SJB

1-2005
8711A18

Low-Nussinov model of Pomeron

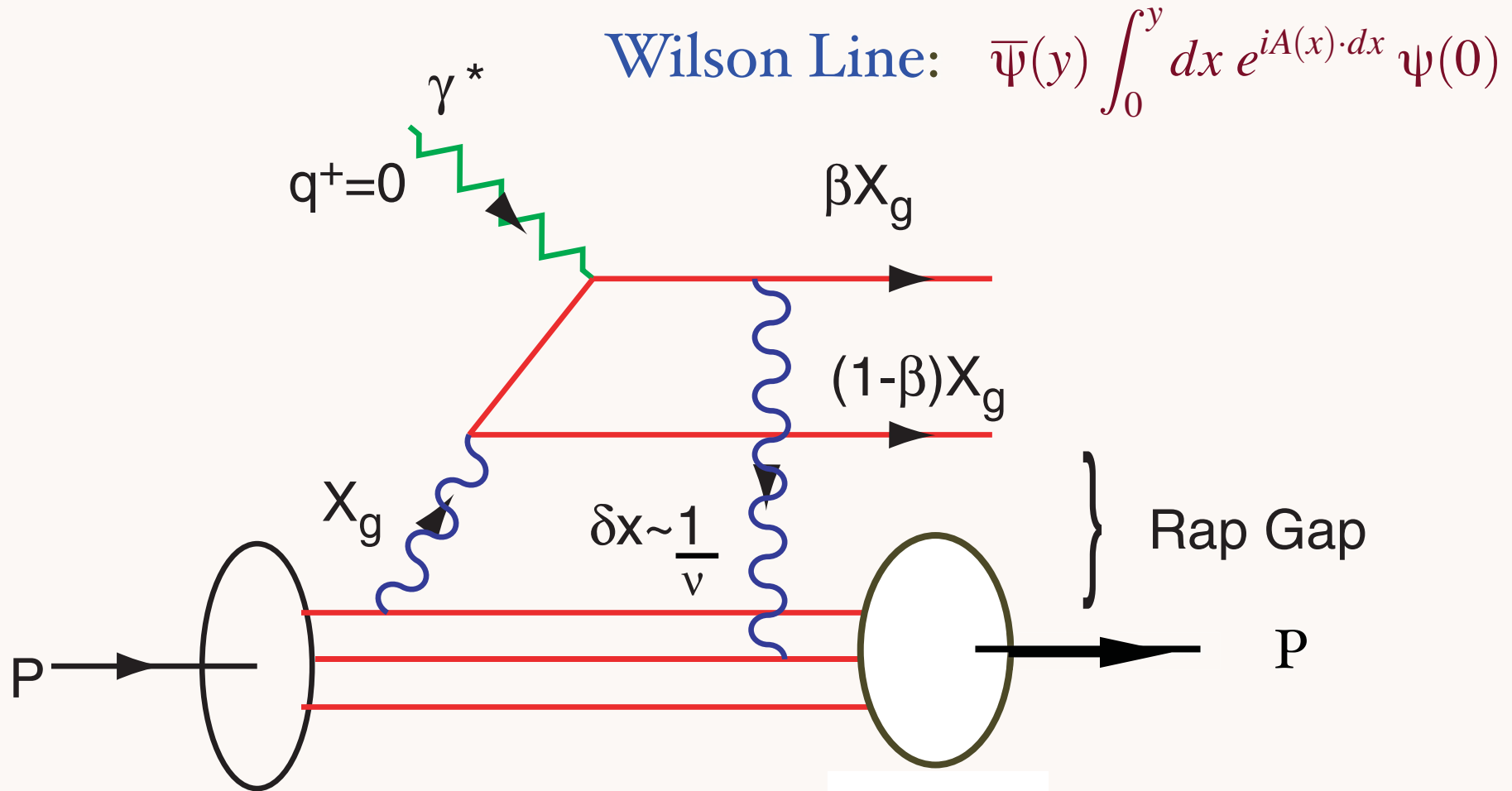
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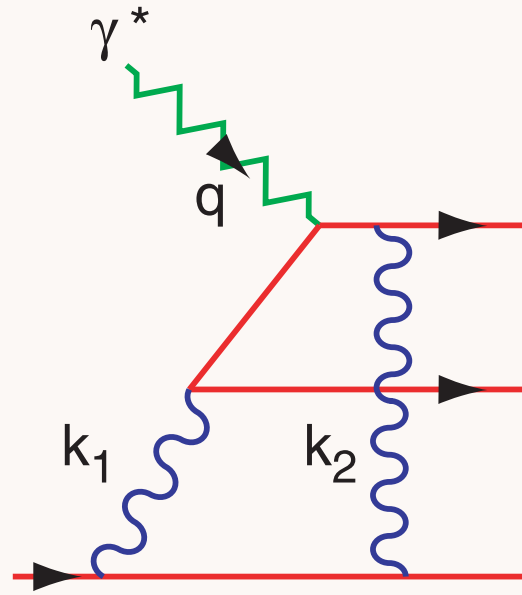
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QCD Mechanism for Rapidity Gaps

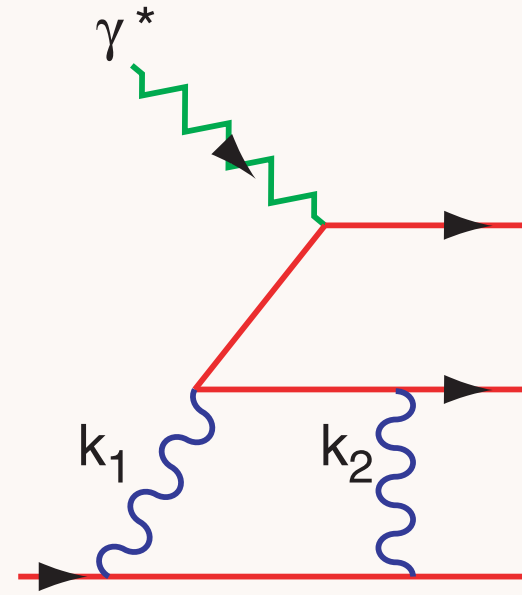


Reproduces lab-frame color dipole approach

Final State Interactions in QCD

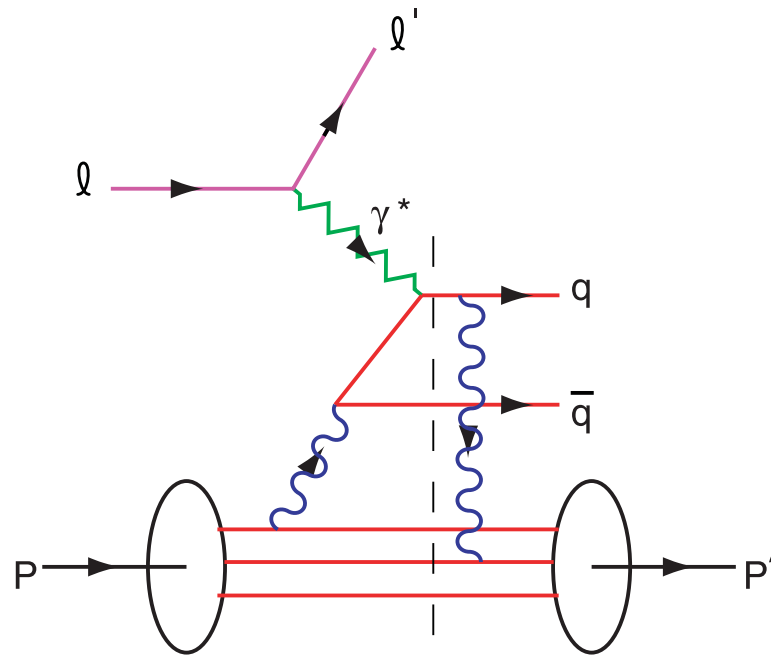


Feynman Gauge



Light-Cone Gauge

Result is Gauge Independent



Integration over on-shell domain produces phase i

Need Imaginary Phase to Generate Pomeron

Need Imaginary Phase to Generate
T-Odd Single-Spin Asymmetry

Physics of FSI not in Wavefunction of Target

Physics of Rescattering

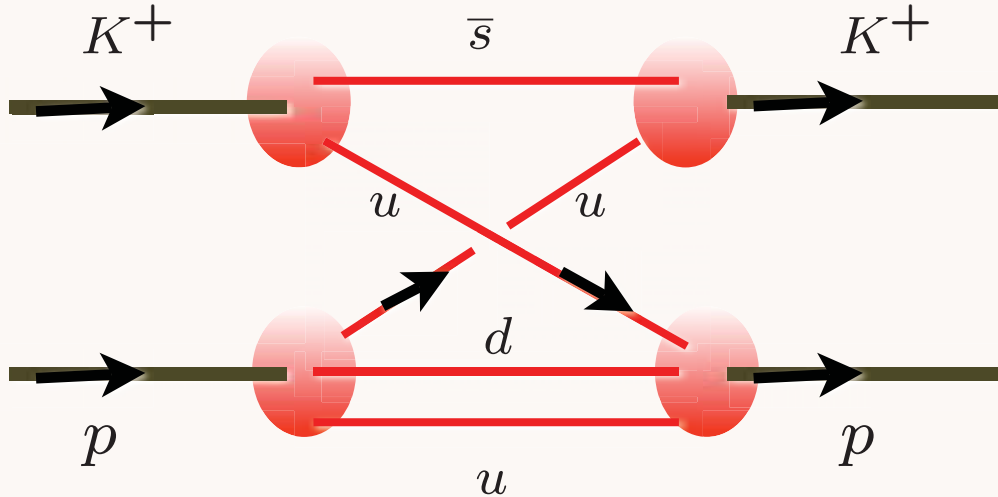
- Sivers Asymmetry and Diffractive DIS: New Insights into Final State Interactions in QCD
- Origin of Hard Pomeron
- Structure Functions not Probability Distributions!
- T-odd SSAs, Shadowing, Antishadowing
- Diffractive dijets/ trijets, doubly diffractive Higgs
- Novel Effects: Color Transparency, Color Opaqueness, Intrinsic Charm, Odderon

Holographic Connection between LF and AdS/CFT

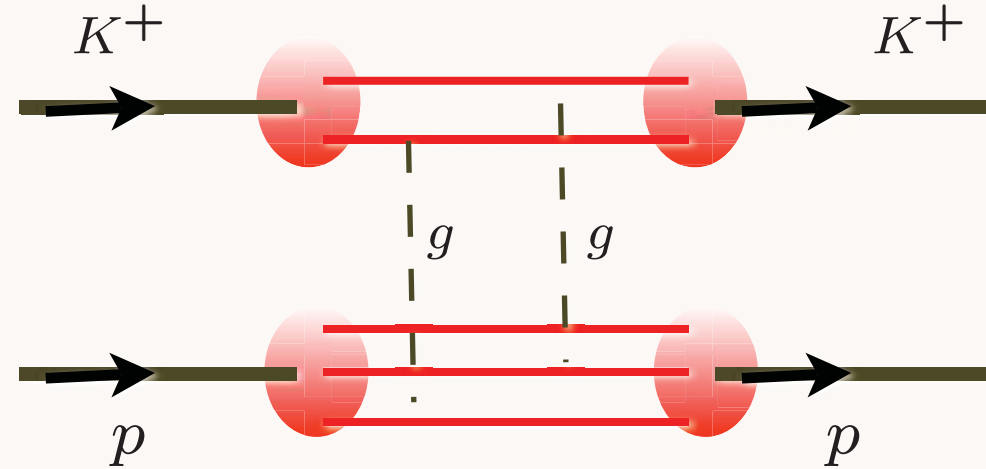
- Predictions for hadronic spectra, light-front wavefunctions, interactions
- Deduce meson and baryon wavefunctions, distribution amplitude, structure function from holographic constraint
- Identification of Orbital Angular Momentum Casimir for $SO(2)$: LF Rotations
- Extension to massive quarks

New Perspectives for QCD from AdS/CFT

- LFWFs: Fundamental frame-independent description of hadrons at amplitude level
- Holographic Model from AdS/CFT : Confinement at large distances and conformal behavior at short distances
- Model for LFWFs, meson and baryon spectra: many applications!
- New basis for diagonalizing Light-Front Hamiltonian
- Physics similar to MIT bag model, but covariant. No problem with support $0 < x < 1$.
- Quark Interchange dominant force at short distances



Quark Interchange
(Spin exchange in atom-atom scattering)



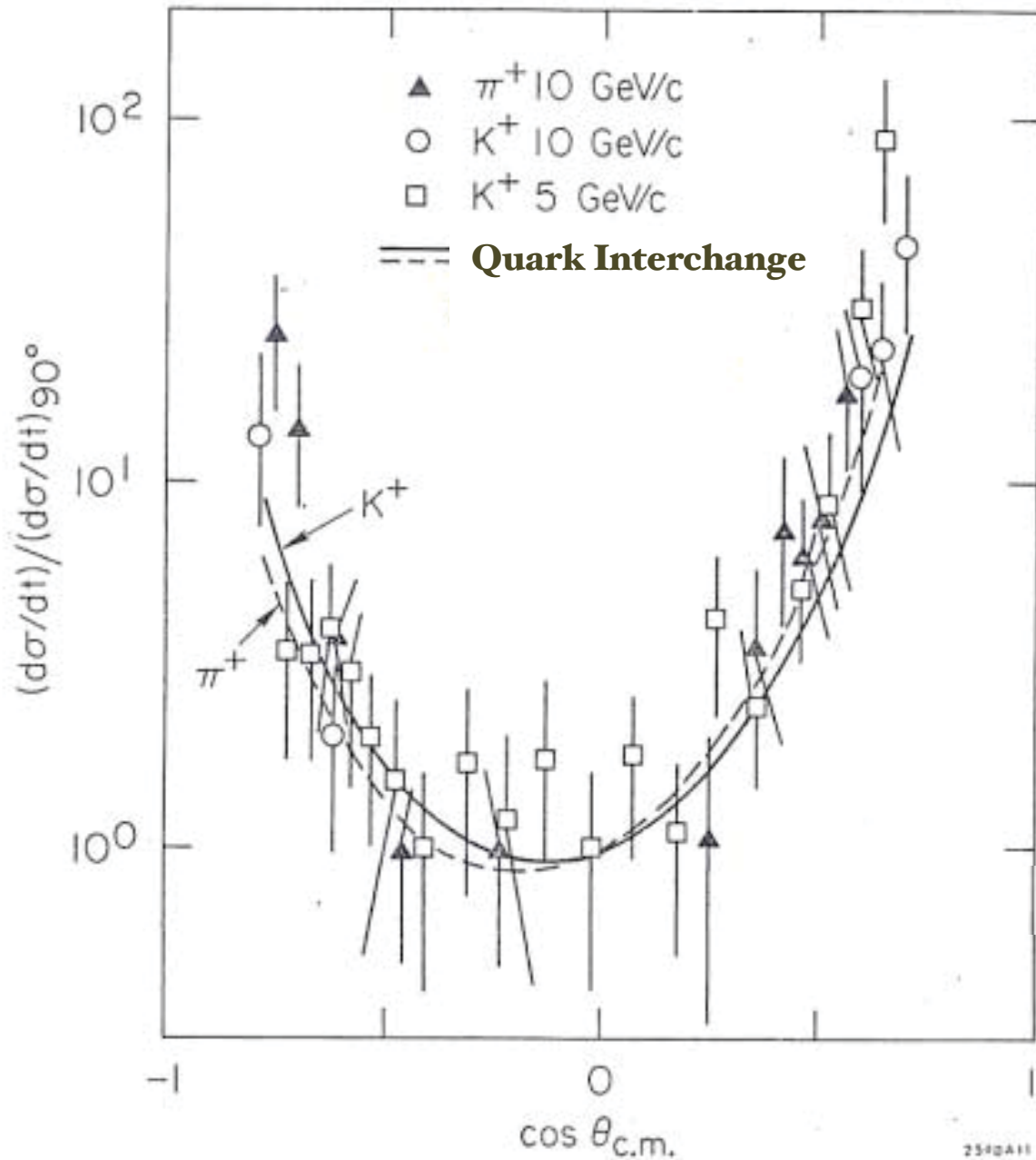
Gluon Exchange
(Van der Waal -- Landshoff)

$$\frac{d\sigma}{dt} = \frac{|M(s,t)|^2}{s^2}$$

$$M(t, u)_{\text{interchange}} \propto \frac{1}{ut^2}$$

$$M(s, t)_{\text{gluonexchange}} \propto sF(t)$$

MIT Bag Model (de Tar), large \$N_c\$, ('t Hooft), AdS/CFT
all predict dominance of quark interchange:



AdS/CFT explains why quark interchange is dominant interaction at high momentum transfer in exclusive reactions

$$M(t, u)_{\text{interchange}} \propto \frac{1}{ut^2}$$

Non-linear Regge behavior:

$$\alpha_R(t) \rightarrow -1$$

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Comparison of Exclusive Reactions at Large t

B. R. Baller,^(a) G. C. Blazey,^(b) H. Courant, K. J. Heller, S. Heppelmann,^(c) M. L. Marshak,
E. A. Peterson, M. A. Shupe, and D. S. Wahl^(d)
University of Minnesota, Minneapolis, Minnesota 55455

D. S. Barton, G. Bunce, A. S. Carroll, and Y. I. Makdisi
Brookhaven National Laboratory, Upton, New York 11973

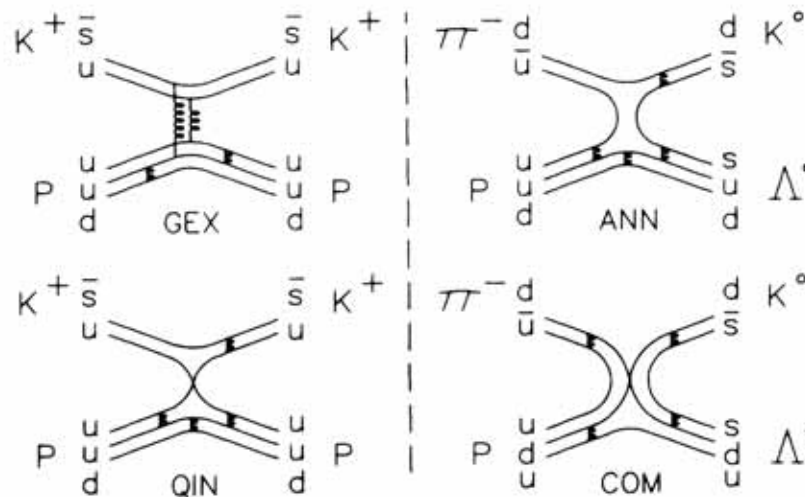
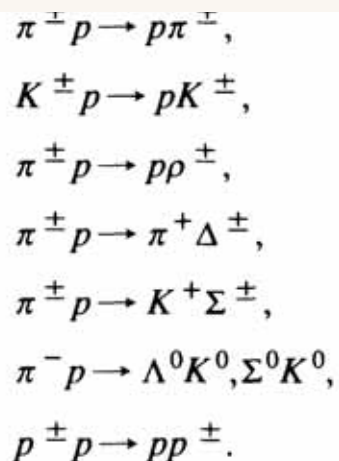
and

S. Gushue^(e) and J. J. Russell

Southeastern Massachusetts University, North Dartmouth, Massachusetts 02747

(Received 28 October 1987; revised manuscript received 3 February 1988)

Cross sections or upper limits are reported for twelve meson-baryon and two baryon-baryon reactions for an incident momentum of 9.9 GeV/c, near 90° c.m.: $\pi^\pm p \rightarrow p\pi^\pm, p\rho^\pm, \pi^+\Delta^\pm, K^+\Sigma^\pm, (\Lambda^0/\Sigma^0)K^0$; $K^\pm p \rightarrow pK^\pm$; $p^\pm p \rightarrow pp^\pm$. By studying the flavor dependence of the different reactions, we have been able to isolate the quark-interchange mechanism as dominant over gluon exchange and quark-antiquark annihilation.



Light-Front QCD Phenomenology

- Hidden color, Intrinsic glue, sea, Color Transparency
- Near Conformal Behavior of LFWFs at Short Distances; PQCD constraints
- Vanishing anomalous gravitomagnetic moment
- Relation between edm and anomalous magnetic moment
- Cluster Decomposition Theorem for relativistic systems
- OPE: DGLAP, ERBL evolution; invariant mass scheme

New Perspectives on QCD Phenomena from AdS/CFT

- **AdS/CFT:** Duality between string theory in Anti-de Sitter Space and Conformal Field Theory
- New Way to Implement Conformal Symmetry
- Holographic Model: Conformal Symmetry at Short Distances, Confinement at large distances
- Remarkable predictions for hadronic spectra, wavefunctions, interactions
- AdS/CFT provides novel insights into the quark structure of hadrons

Challenging Conventional Wisdom

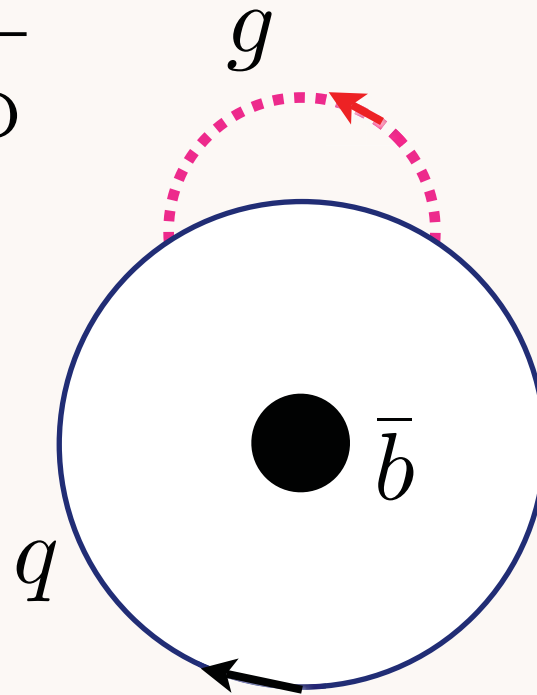
- Renormalization scale **is not** arbitrary; **multiple scales, unambiguous at given order**
- Heavy quark distributions **do not** derive exclusively from DGLAP or gluon splitting -- **component intrinsic to hadron wavefunction**
- Initial and final-state interactions **are not always** power suppressed in a hard QCD reaction
- LFWFS are universal, but measured nuclear parton distributions **are not** universal -- **antishadowing is flavor dependent**
- Hadroproduction at large transverse momentum **does not** derive exclusively from 2 to 2 scattering subprocesses
- Quark and Gluon condensates reside within hadrons

Lesson from QED and Lamb Shift:

maximum wavelength of bound quarks and gluons

$$k > \frac{1}{\Lambda_{\text{QCD}}}$$

$$\lambda < \Lambda_{\text{QCD}}$$



B-Meson

Shrock, sjb

Use Dyson-Schwinger Equation for bound-state quark propagator: find confined condensate

$$\langle \bar{b} | \bar{q} q | \bar{b} \rangle \text{ not } \langle 0 | \bar{q} q | 0 \rangle$$

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*Quark and Gluon condensates
reside within hadrons, not vacuum*
Shrock, sjb

- **Bound-State Dyson-Schwinger Equations**
- **LF vacuum trivial up to $k^+ = 0$ zero modes**
- **Analogous to finite size superconductor**
- **Usual picture for $m_\pi \rightarrow 0$**
- **Implications for cosmological constant --
reduction by 55 orders of magnitude!**

Determinations of the vacuum Gluon Condensate

$$\langle 0 | \frac{\alpha_s}{\pi} G^2 | 0 \rangle [\text{GeV}^4]$$

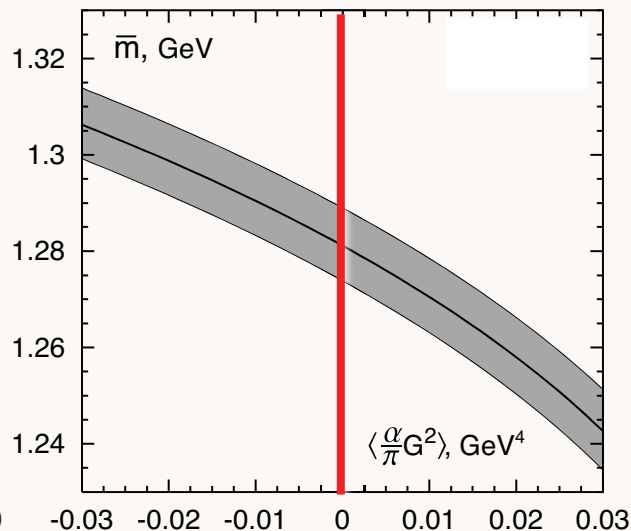
-0.005 ± 0.003 from τ decay.

Davier et al.

$+0.006 \pm 0.012$ from τ decay. Geshkenbein, Ioffe, Zyablyuk

$+0.009 \pm 0.007$ from charmonium sum rules

Ioffe, Zyablyuk



*Consistent with zero
vacuum condensate*

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String Theory



AdS/CFT

Mapping of Poincare' and Conformal $SO(4,2)$ symmetries of 3+1 space to AdS5 space

Goal: First Approximant to QCD

Counting rules for Hard Exclusive Scattering
Regge Trajectories
QCD at the Amplitude Level



AdS/QCD

Conformal behavior at short distances + Confinement at large distance

Semi-Classical QCD / Wave Equations



Holography

Boost Invariant 3+1 Light-Front Wave Equations

$J=0, 1, 1/2, 3/2$ plus L

Integrable!



Hadron Spectra, Wavefunctions, Dynamics

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