

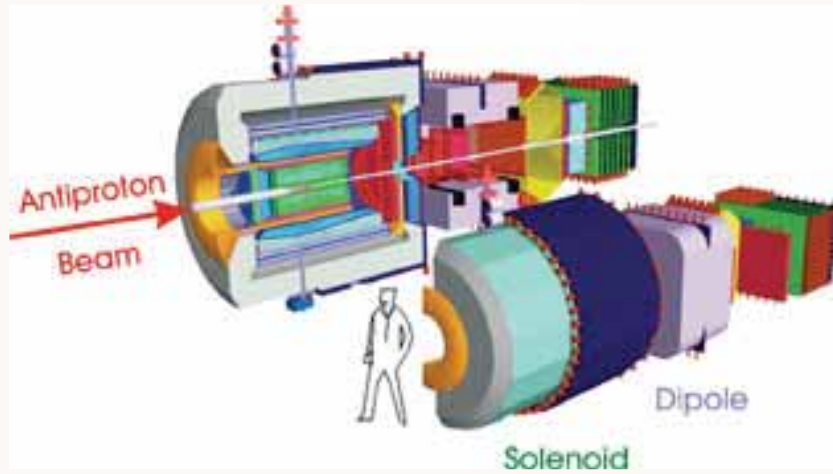
# *Novel QCD and Nuclear Physics at FAIR*

**Stan Brodsky, SLAC**

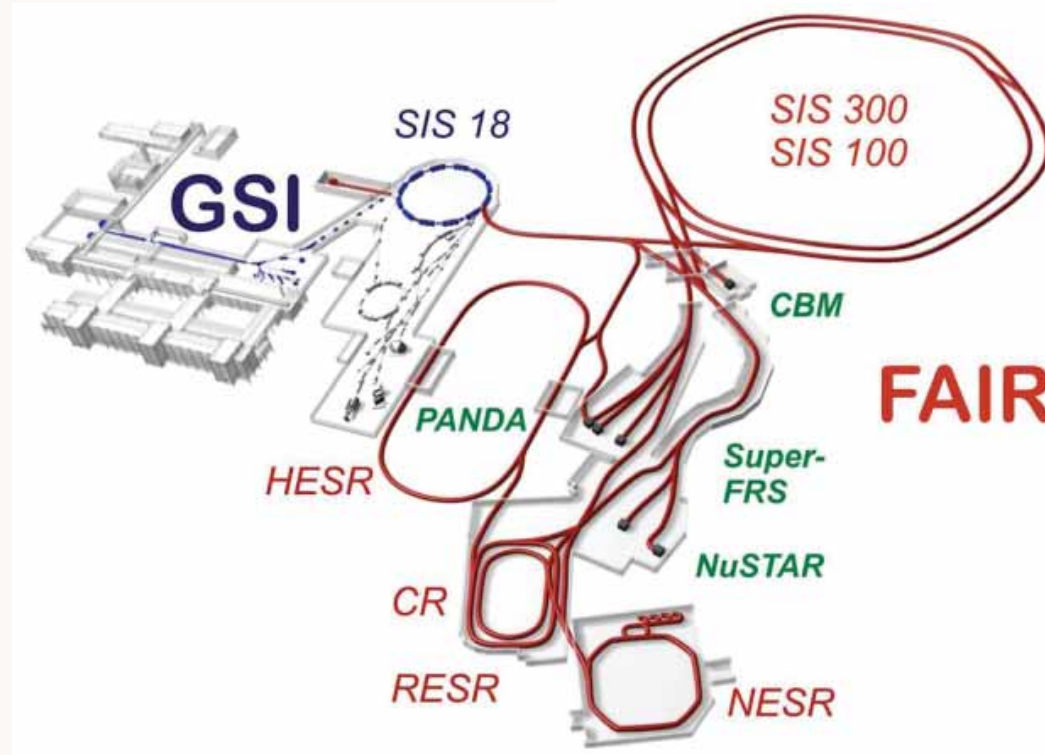
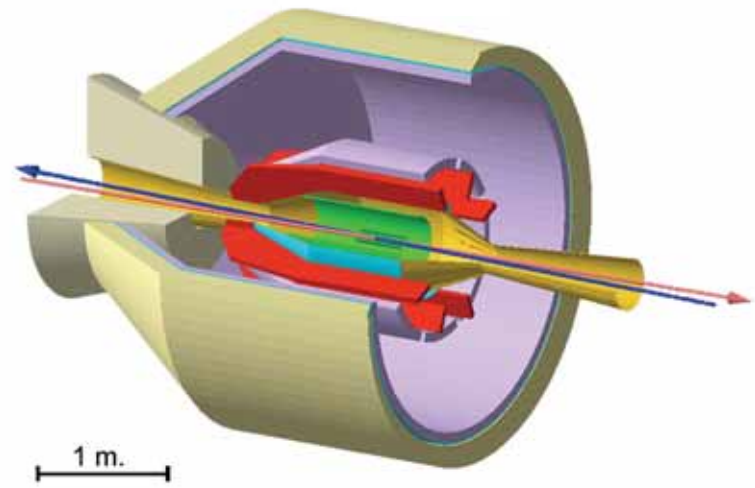


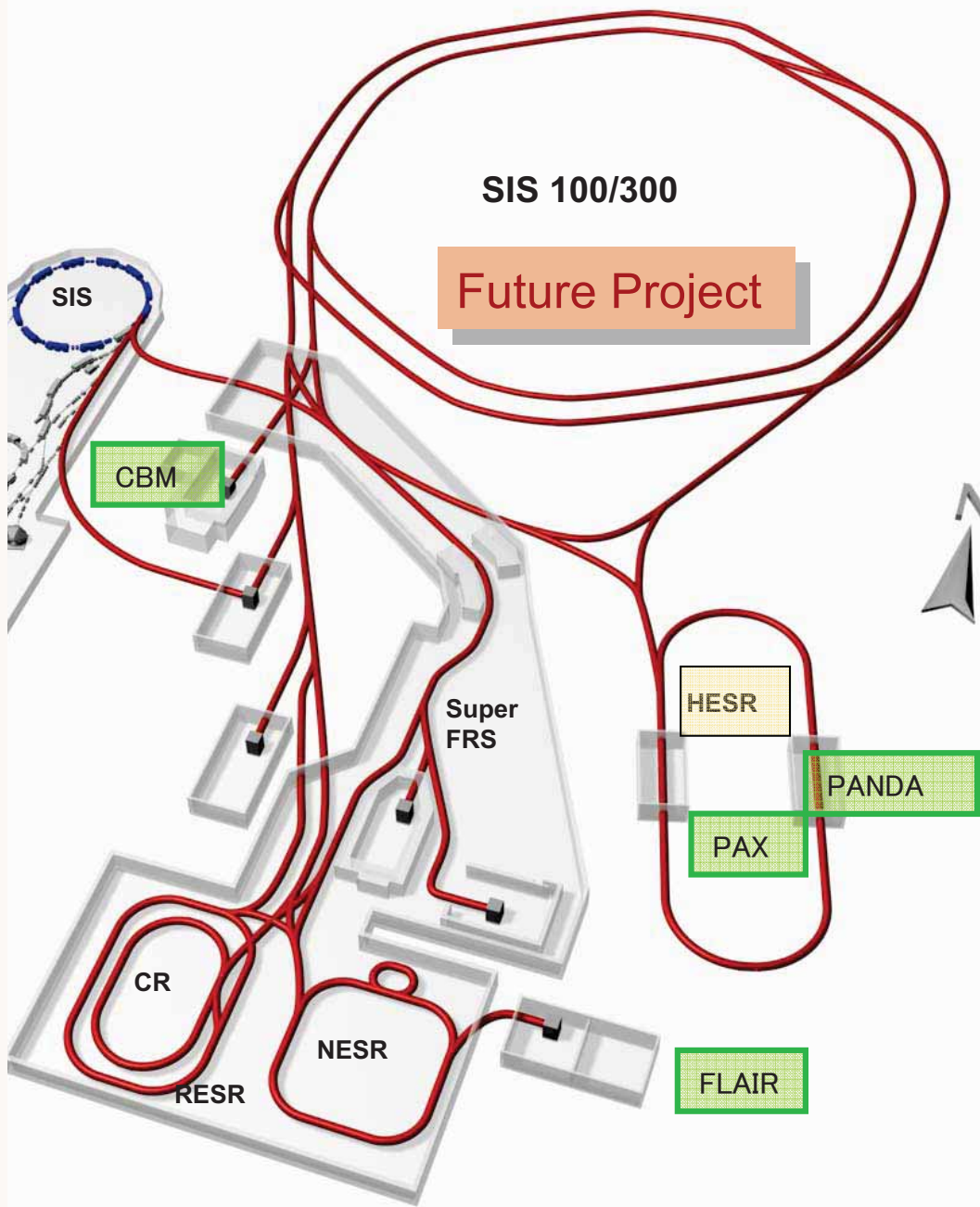
*GSI-FAIR Workshop, Prerow, Germany October 11, 2009*

# Panda



# PAX





# Facility for Antiproton and Ion Research

## Primary Beams

- $10^{12}/s$ ; 1.5 GeV/u;  $^{238}\text{U}^{28+}$
- $10^{10}/s$   $^{238}\text{U}^{73+}$  up to 35 GeV/u
- $3 \times 10^{13}/s$  30 GeV protons

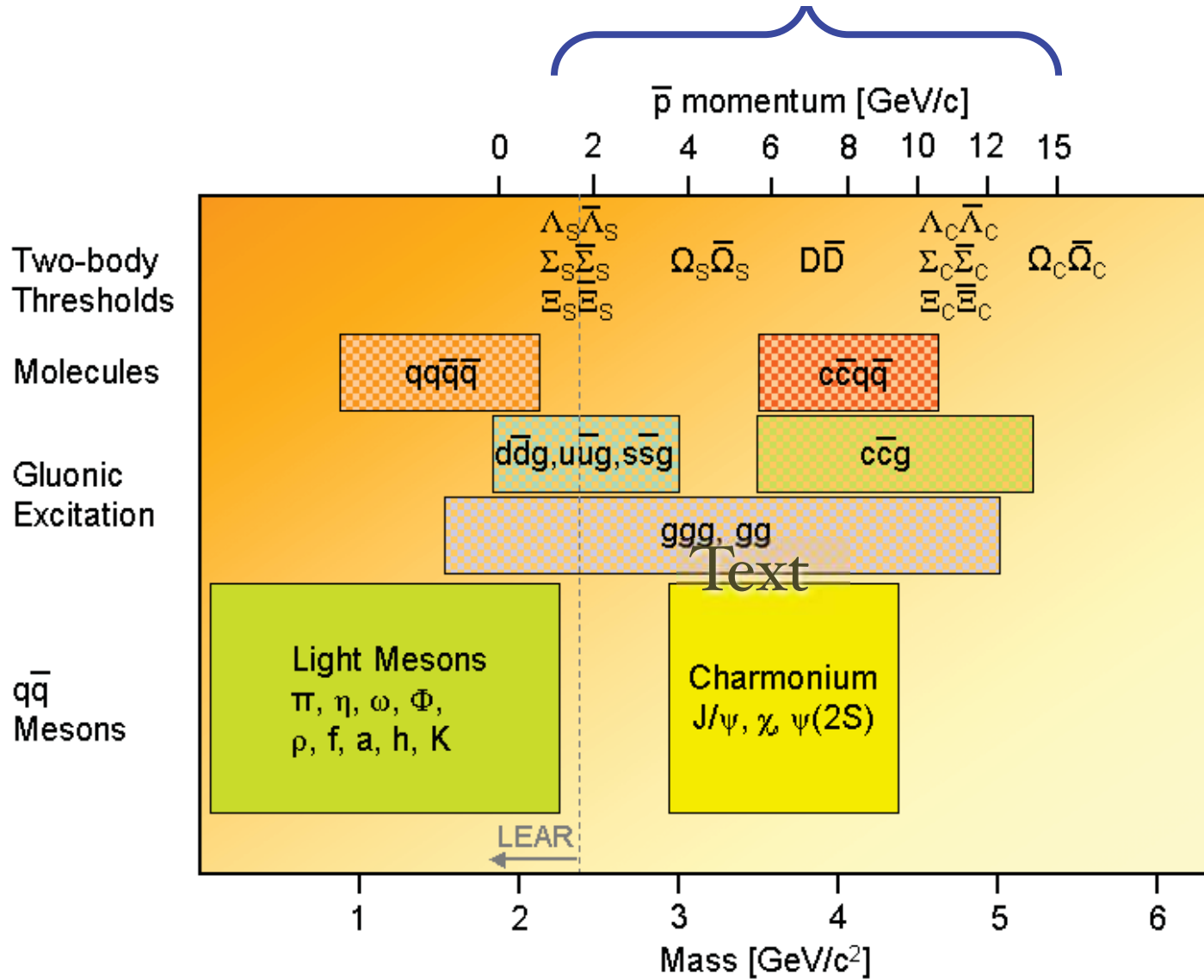
## Secondary Beams

- broad range of radioactive beams up to 1.5 - 2 GeV/u; up to factor 10 000 higher in intensity than presently
- antiprotons 3 - 30 GeV

## Storage and Cooler Rings

- radioactive beams
- $10^{11}$  antiprotons 1 - 15 GeV/c, stored and cooled

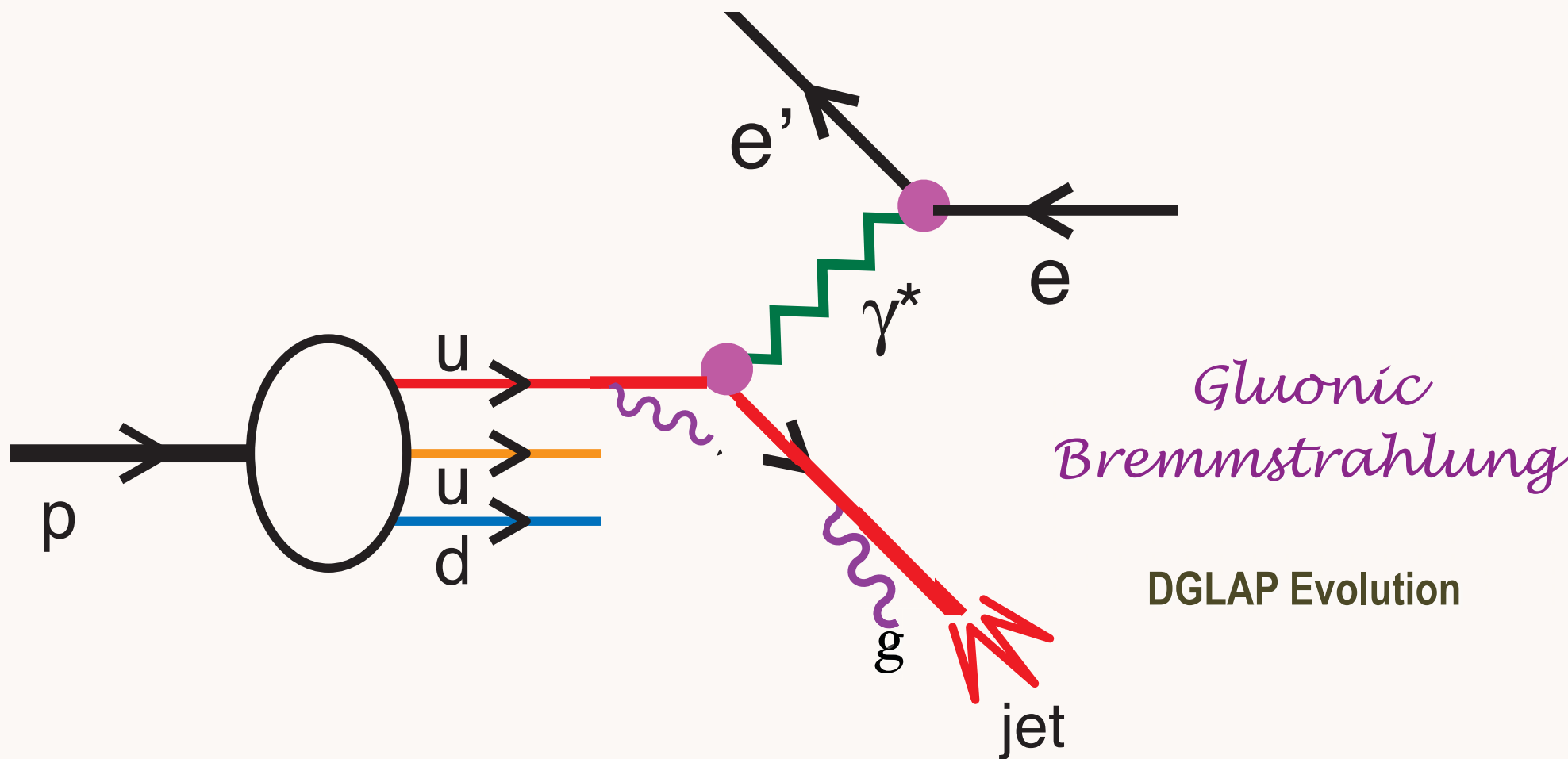
# Mass and Anti-Proton Momentum Range at PAX, PANDA



- Production of open charm
- Charmed hybrids
- Glueballs
- Charmonium

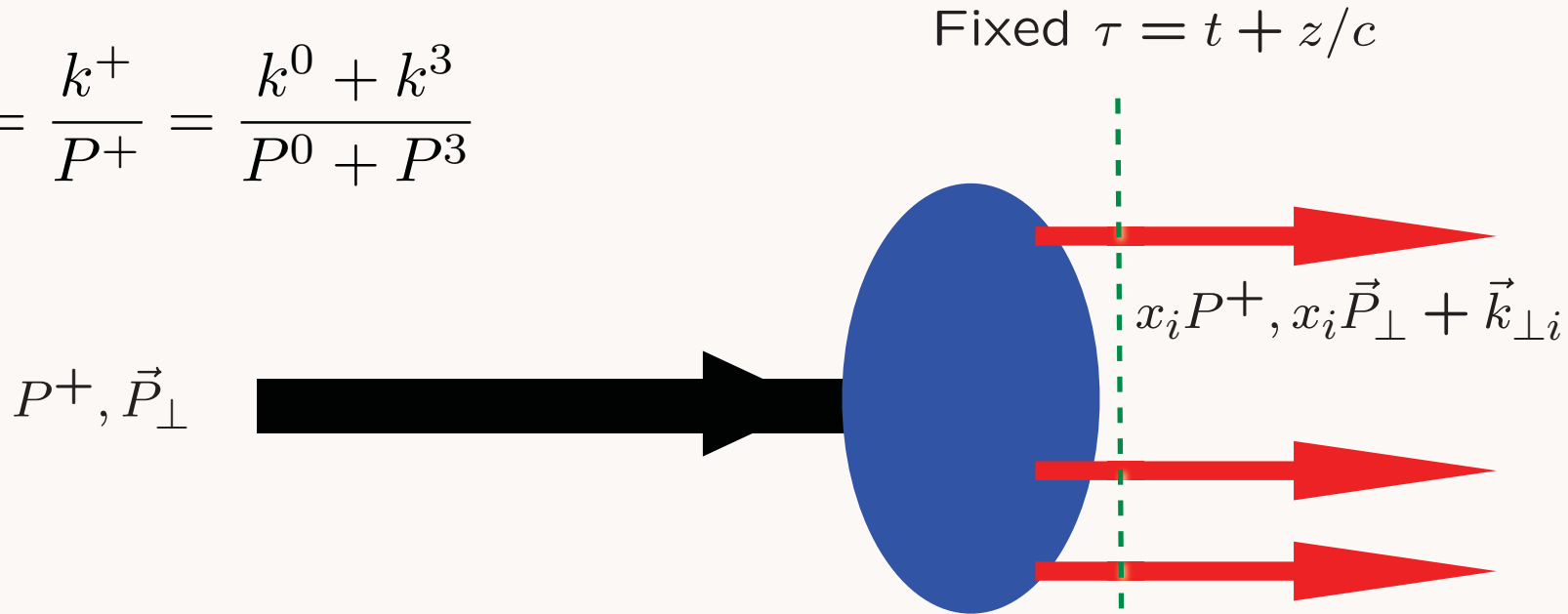
*Michael Düren*

# Deep Inelastic Electron-Proton Scattering



# Light-Front Wavefunctions: rigorous representation of composite systems in quantum field theory

$$x = \frac{k^+}{P^+} = \frac{k^0 + k^3}{P^0 + P^3}$$



$$\Psi_n(x_i, \vec{k}_{\perp i}, \lambda_i)$$

$$\sum_i^n x_i = 1$$

$$\sum_i^n \vec{k}_{\perp i} = \vec{0}_\perp$$

*Invariant under boosts! Independent of  $P^\mu$*

$$|p, S_z\rangle = \sum_{n=3} \Psi_n(x_i, \vec{k}_{\perp i}, \lambda_i) |n; \vec{k}_{\perp i}, \lambda_i\rangle$$

*sum over states with  $n=3, 4, \dots$  constituents*

The Light Front Fock State Wavefunctions

$$\Psi_n(x_i, \vec{k}_{\perp i}, \lambda_i)$$

are boost invariant; they are independent of the hadron's energy and momentum  $P^\mu$ .

The light-cone momentum fraction

$$x_i = \frac{k_i^+}{p^+} = \frac{k_i^0 + k_i^z}{P^0 + P^z}$$

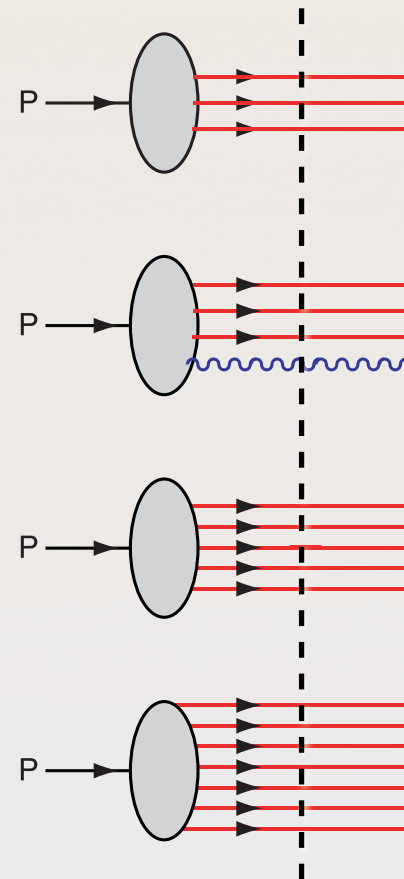
are boost invariant.

$$\sum_i^n k_i^+ = P^+, \quad \sum_i^n x_i = 1, \quad \sum_i^n \vec{k}_{i\perp} = \vec{0}^\perp.$$

**Intrinsic heavy quarks,**

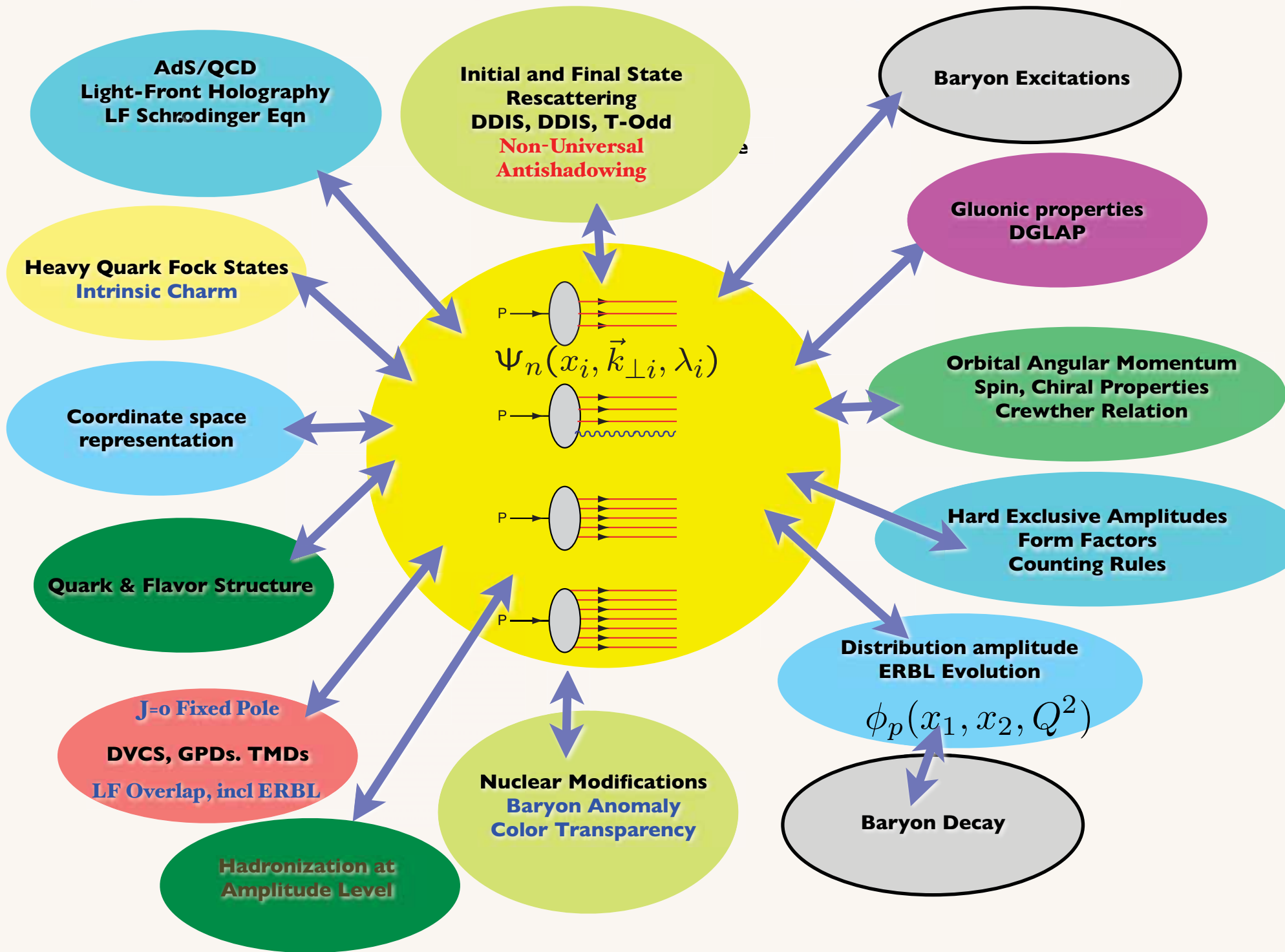
$$\bar{s}(x) \neq s(x)$$

$$\bar{u}(x) \neq \bar{d}(x)$$



*Fixed LF time*

# QCD and the LF Hadron Wavefunctions





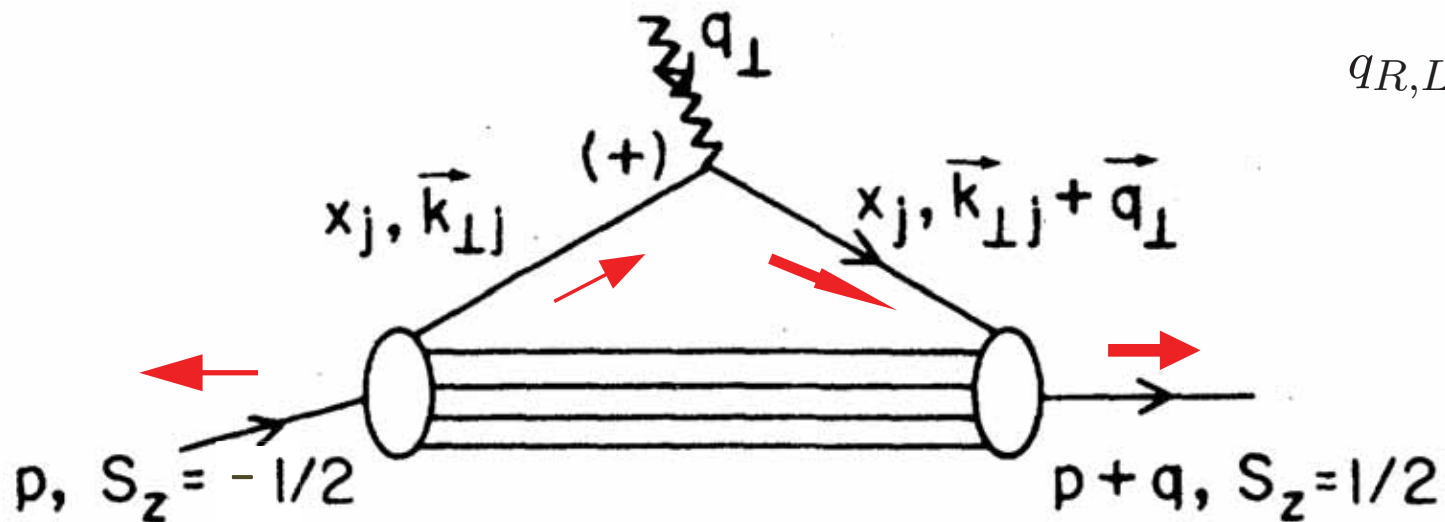
$$\frac{F_2(q^2)}{2M} = \sum_a \int [dx][d^2\mathbf{k}_\perp] \sum_j e_j \frac{1}{2} \times$$

Drell, sjb

$$\left[ -\frac{1}{q^L} \psi_a^{\uparrow*}(x_i, \mathbf{k}'_{\perp i}, \lambda_i) \psi_a^\downarrow(x_i, \mathbf{k}_{\perp i}, \lambda_i) + \frac{1}{q^R} \psi_a^{\downarrow*}(x_i, \mathbf{k}'_{\perp i}, \lambda_i) \psi_a^\uparrow(x_i, \mathbf{k}_{\perp i}, \lambda_i) \right]$$

$$\mathbf{k}'_{\perp i} = \mathbf{k}_{\perp i} - x_i \mathbf{q}_\perp$$

$$\mathbf{k}'_{\perp j} = \mathbf{k}_{\perp j} + (1 - x_j) \mathbf{q}_\perp$$

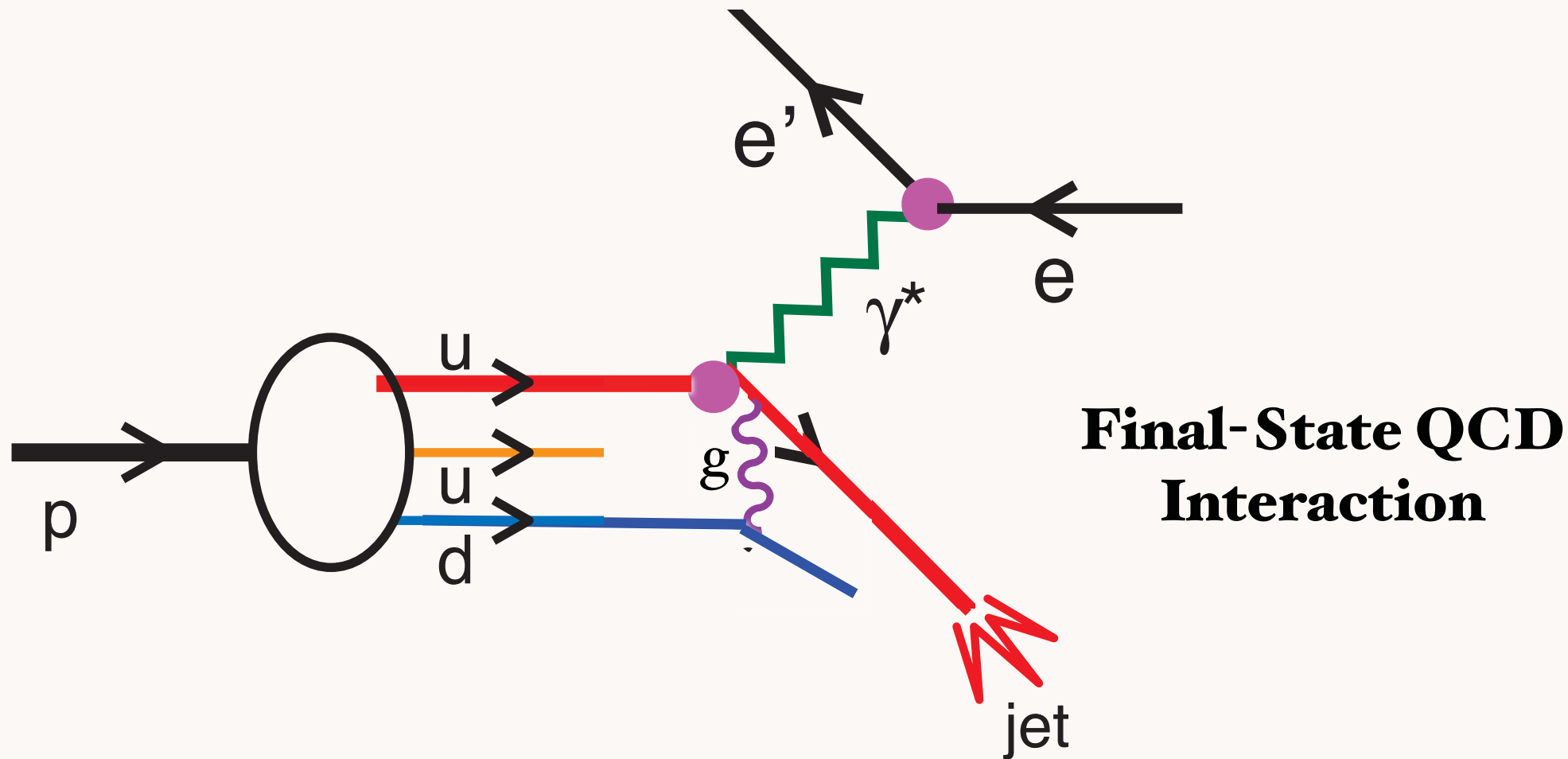


$$q_{R,L} = q^x \pm iq^y$$

Must have  $\Delta l_z = \pm 1$  to have nonzero  $F_2(q^2)$

*Same matrix elements appear in Sivers effect  
-- connection to quark anomalous moments*

# Deep Inelastic Electron-Proton Scattering



*Conventional wisdom:  
Final-state interactions of struck quark can be neglected*

*Single-spin asymmetries*

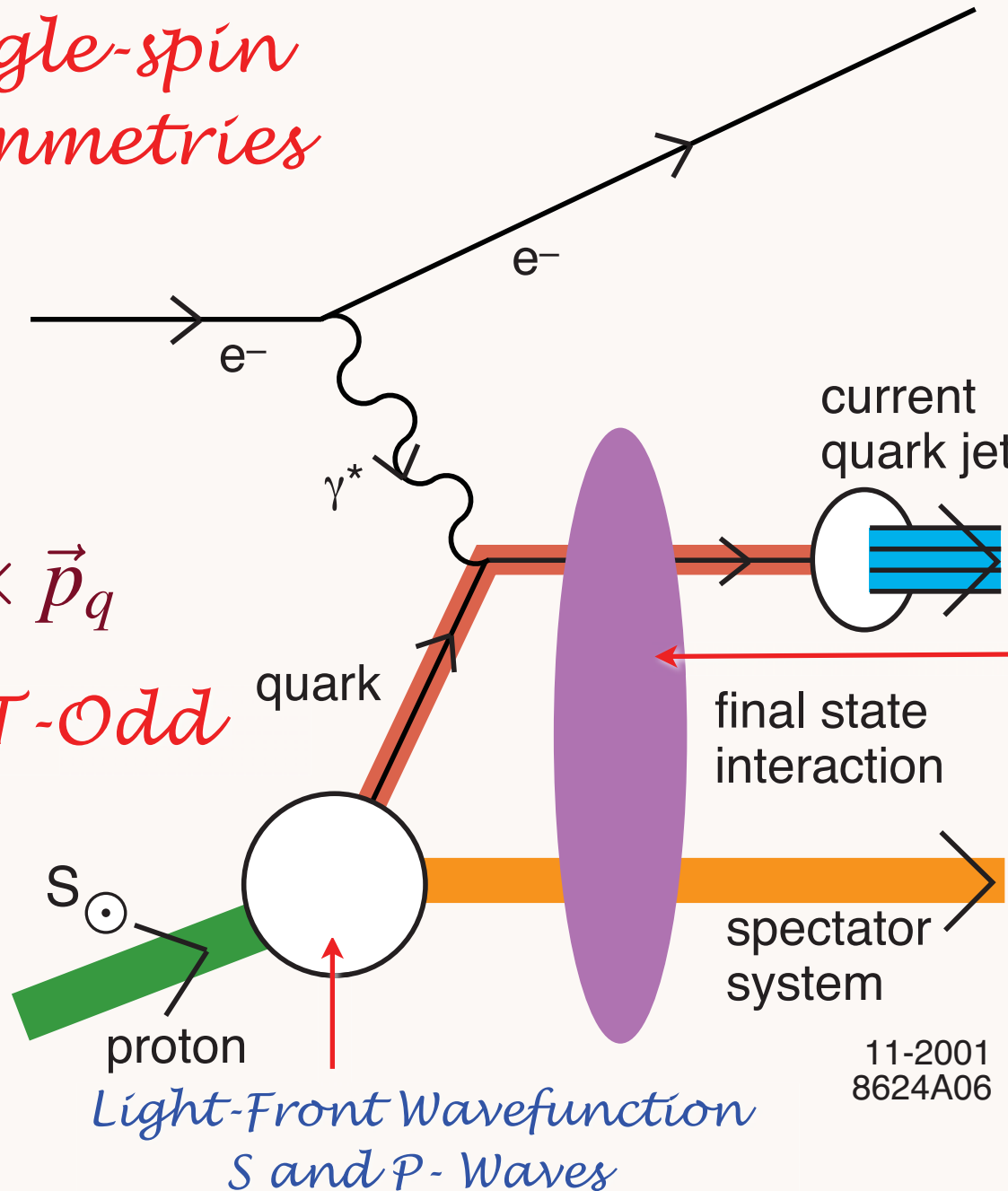
# Leading Twist Sivers Effect

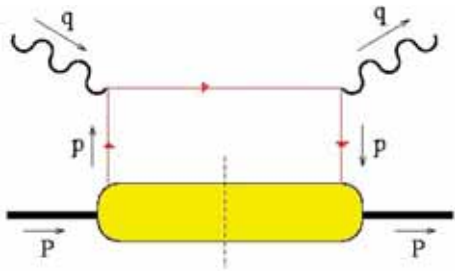
Hwang,  
Schmidt, sjb

Collins, Burkardt  
Ji, Yuan

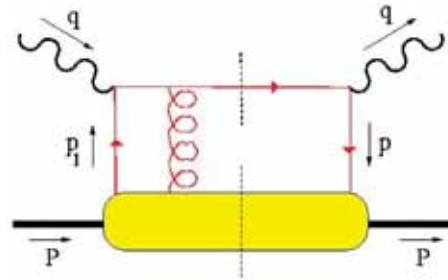
*QCD S- and P-Coulomb Phases  
--Wilson Line*

$i \vec{S}_p \cdot \vec{q} \times \vec{p}_q$   
*Pseudo-T-Odd*





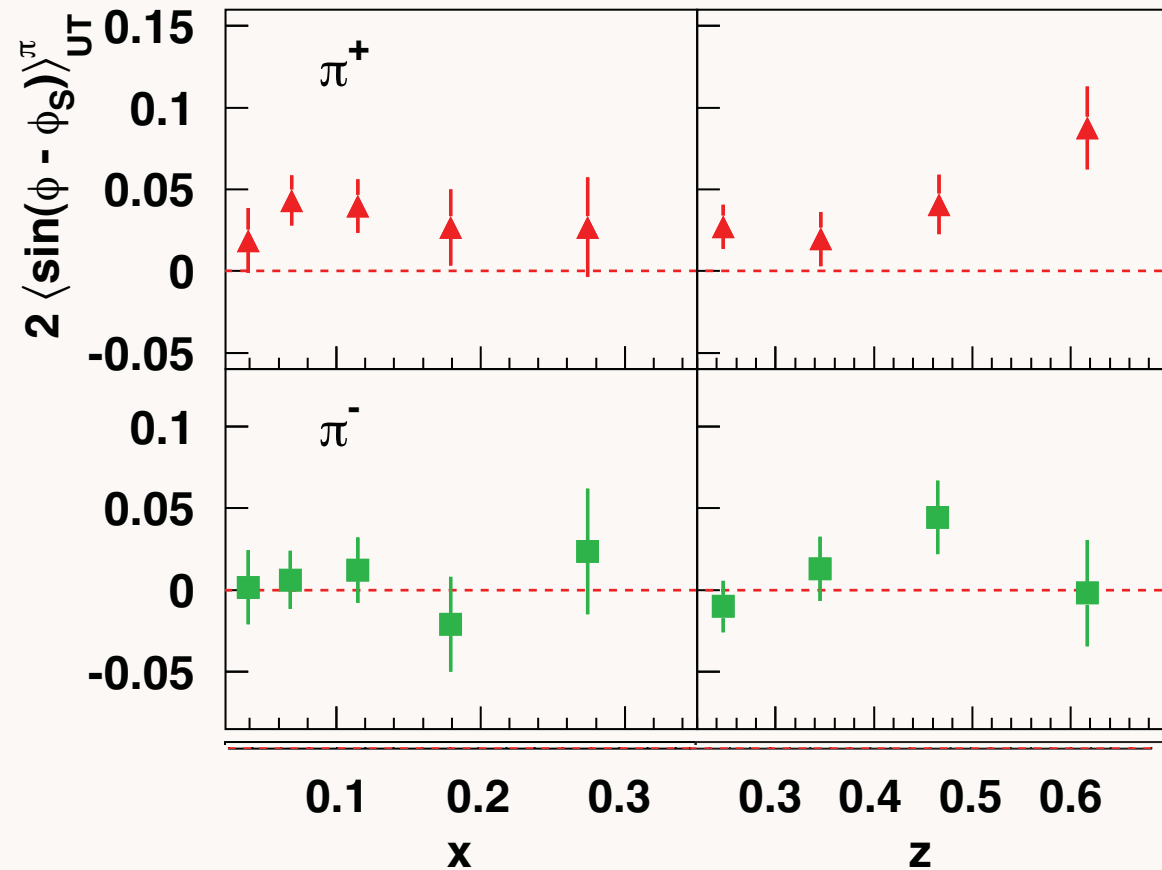
can interfere with



and produce a T-odd effect!  
(also need  $L_z \neq 0$ )

HERMES coll., A. Airapetian et al., Phys. Rev. Lett. 94 (2005) 012002.

## Sivers asymmetry from HERMES



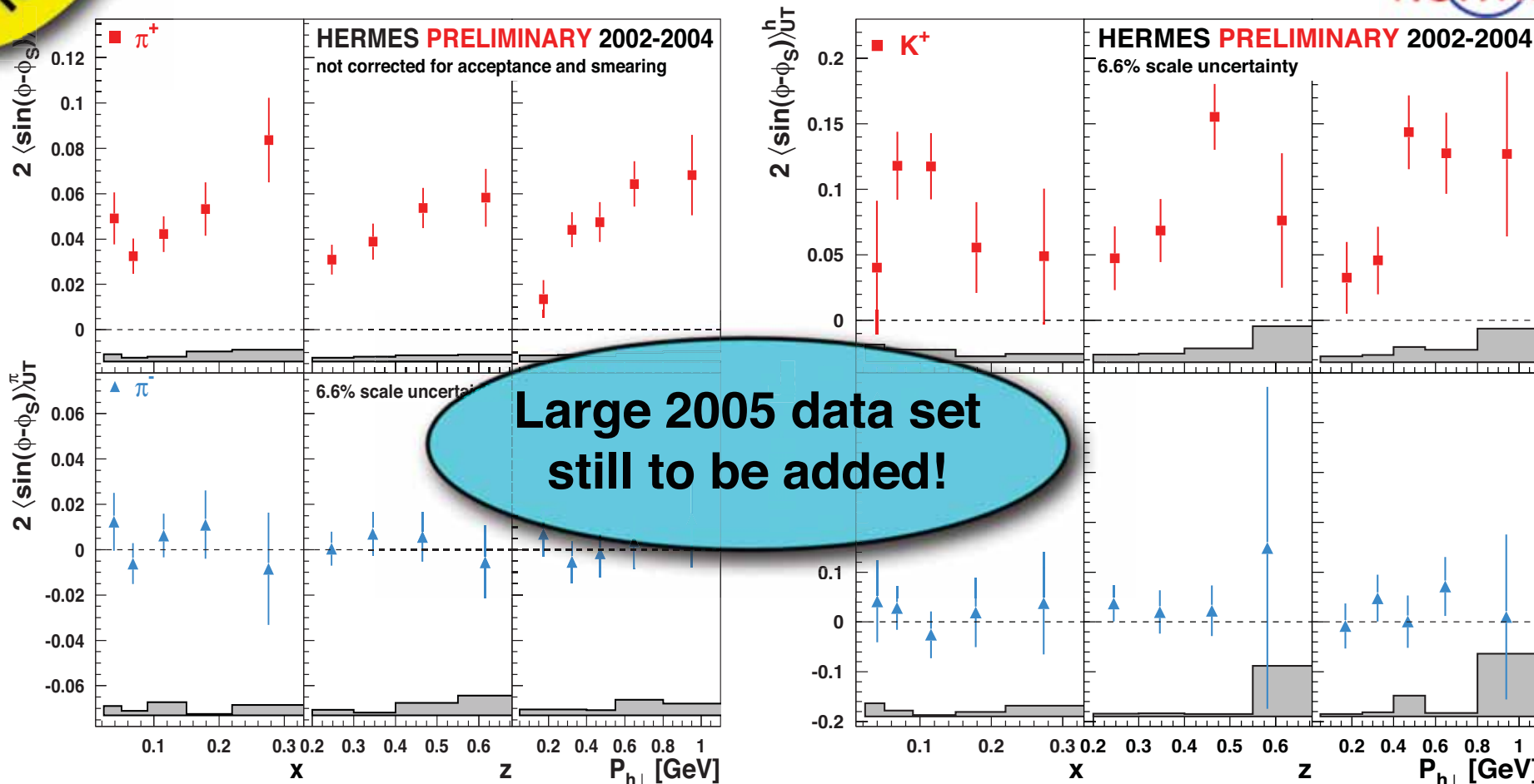
- First evidence for non-zero Sivers function!
- $\Rightarrow$  presence of non-zero **quark orbital angular momentum!**
- **Positive** for  $\pi^+$ ...  
Consistent with zero for  $\pi^-$ ...

**Gamberg: Hermes data compatible with BHS model**

**Schmidt, Lu: Hermes charge pattern follow quark contributions to anomalous moment**

**NEW!**

# Sivers Moments for Kaons from 2002–2004 Data



Large 2005 data set still to be added!

- Effect about **equal** for  $K^- = s\bar{u}$  and  $\pi^- = d\bar{u} \rightarrow$  note: same antiquark ...
- + Effect seems larger for  $K^+ = u\bar{s}$  than  $\pi^+ = u\bar{d}$  at  $x \approx 0.1 \dots$  !

N. Makins

Schmidt, Lu:  
 pattern follows quark contributions  
 to anomalous moment

FAIR Workshop  
 Prerow, October 11, 2009

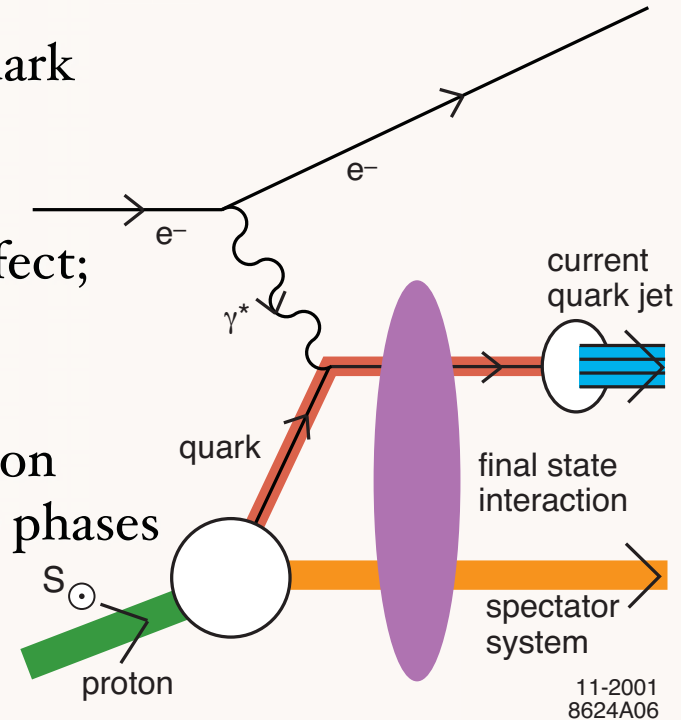
Novel QCD Physics

Stan Brodsky  
 SLAC

# Final-State Interactions Produce Pseudo-T-Odd (Sivers Effect)

- Leading-Twist Bjorken Scaling!
- Requires nonzero orbital angular momentum of quark
- Arises from the interference of Final-State QCD Coulomb phases in S- and P- waves; Wilson line effect; gauge independent
- Relate to the quark contribution to the target proton anomalous magnetic moment and final-state QCD phases
- QCD phase at soft scale: **IR Fixed Point?**
- New window to QCD coupling and running gluon mass in the IR
- QED S and P Coulomb phases infinite -- difference of phases finite

$$i \vec{S} \cdot \vec{p}_{jet} \times \vec{q}$$



*Single-spin asymmetries*

# Leading Twist Sivers Effect

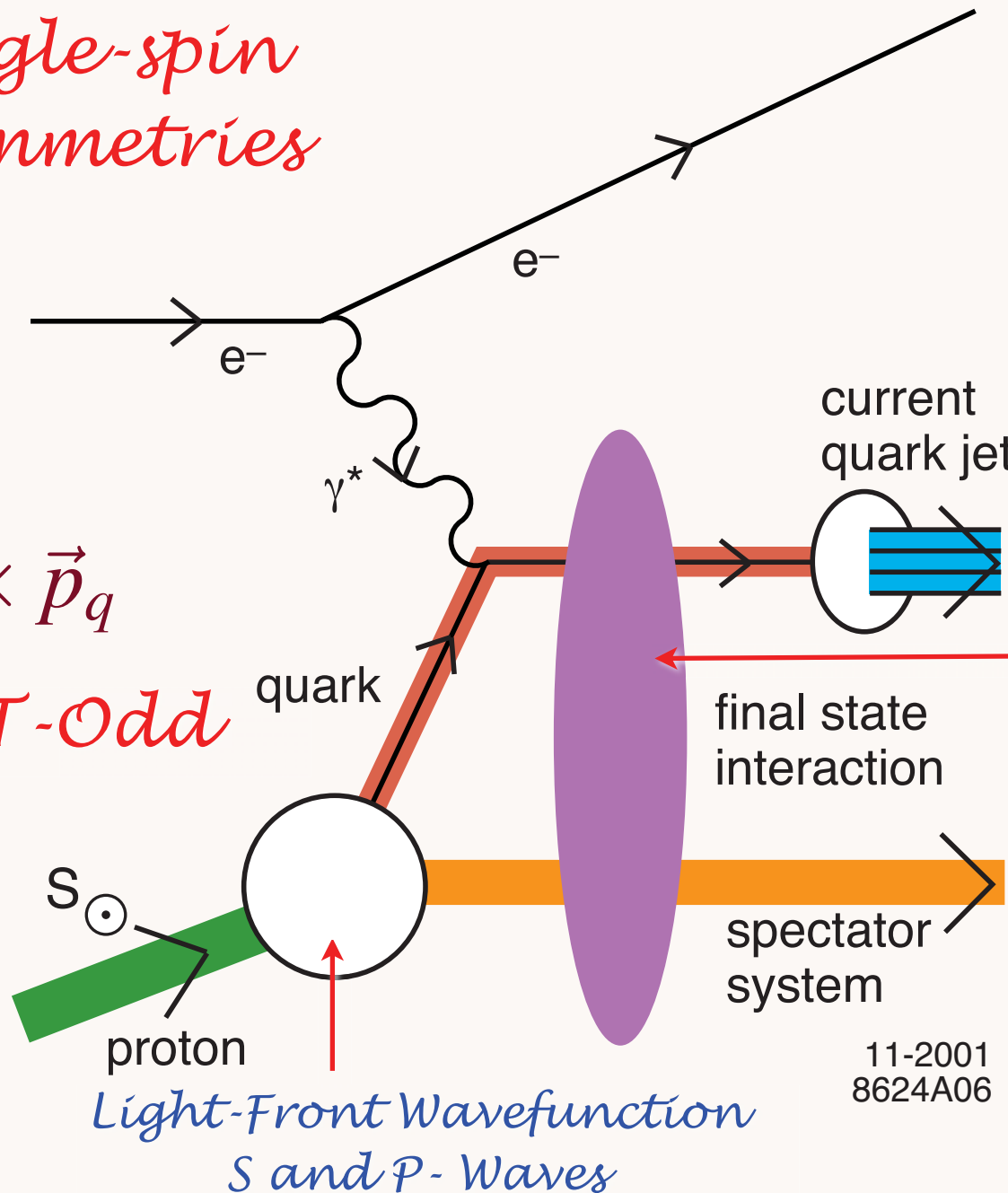
Hwang,  
Schmidt, sjb

Collins, Burkardt  
Ji, Yuan

*QCD S- and P-  
Coulomb Phases  
--Wilson Line*

$$i \vec{S}_p \cdot \vec{q} \times \vec{p}_q$$

*Pseudo-T-Odd*

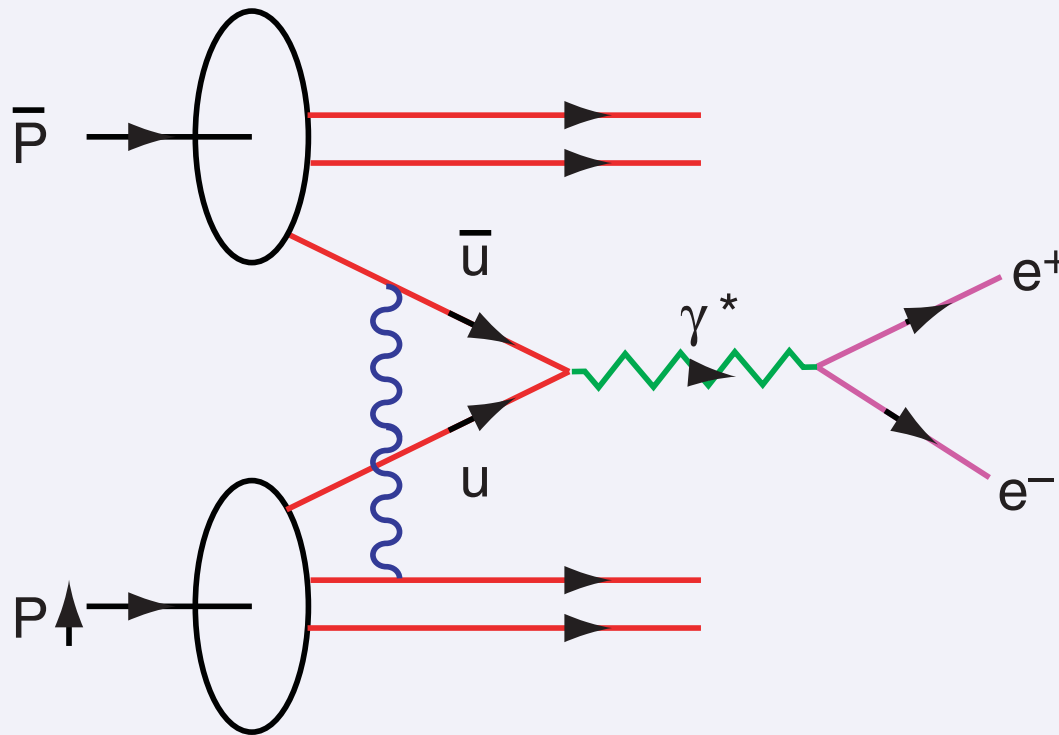


11-2001  
8624A06

*Light-Front Wavefunction  
S and P-Waves*

# Predict Opposite Sign SSA in DY !

Collins;  
Hwang,  
Schmidt. sjb



Single Spin Asymmetry In the Drell Yan Process

$$\vec{S}_p \cdot \vec{p} \times \vec{q}_{\gamma^*}$$

Quarks Interact in the Initial State

Interference of Coulomb Phases for  $S$  and  $P$  states

Produce Single Spin Asymmetry [Siver's Effect] Proportional to the Proton Anomalous Moment and  $\alpha_s$ .

Opposite Sign to DIS! No Factorization



# Key QCD FAIR Experiment

Measure single-spin asymmetry  $A_N$  in Drell-Yan reactions

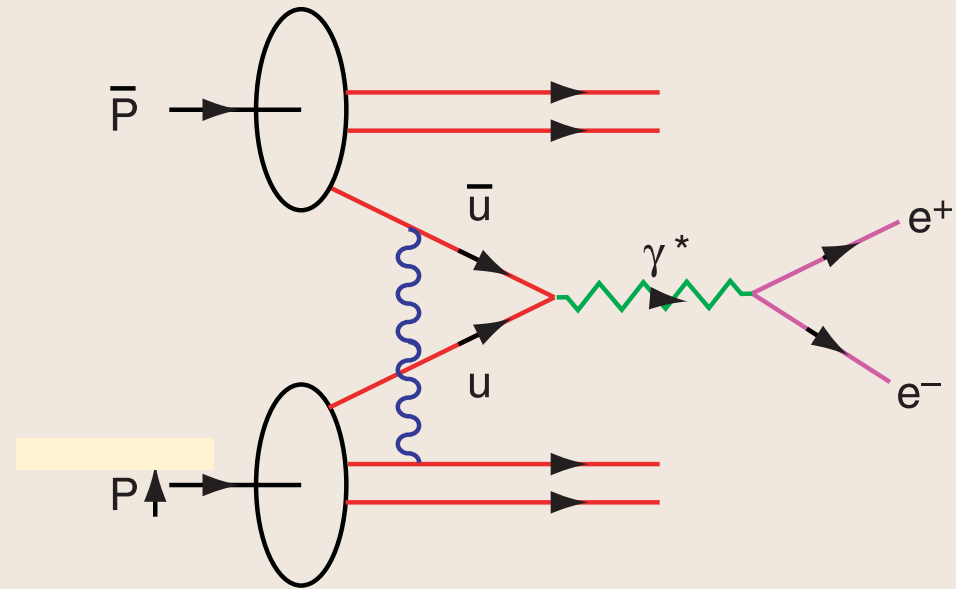
Leading-twist Bjorken-scaling  $A_N$  from  $S, P$ -wave initial-state gluonic interactions

Predict:  $A_N(DY) = -A_N(DIS)$   
Opposite in sign!

$$Q^2 = x_1 x_2 s$$

$$Q^2 = 4 \text{ GeV}^2, s = 80 \text{ GeV}^2$$

$$x_1 x_2 = .05, x_F = x_1 - x_2$$



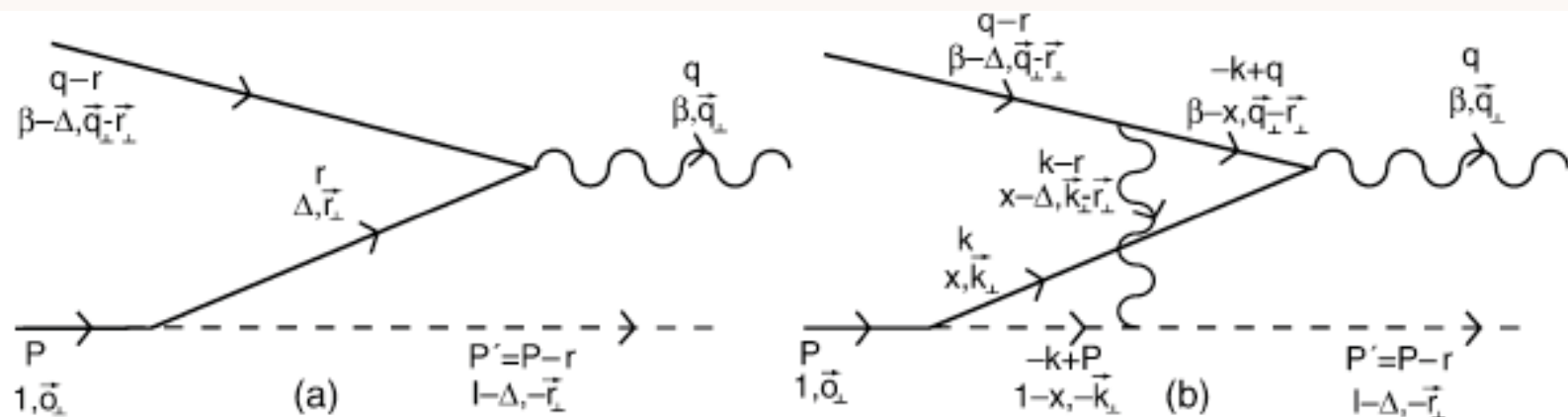
$$\bar{p} p_{\uparrow} \rightarrow l^{+} l^{-} X$$

$$\vec{S} \cdot \vec{q} \times \vec{p} \text{ correlation}$$

# Initial-state interactions and single-spin asymmetries in Drell–Yan processes <sup>☆</sup>

Stanley J. Brodsky <sup>a</sup>, Dae Sung Hwang <sup>a,b</sup>, Ivan Schmidt <sup>c</sup>

Nuclear Physics B 642 (2002) 344–356

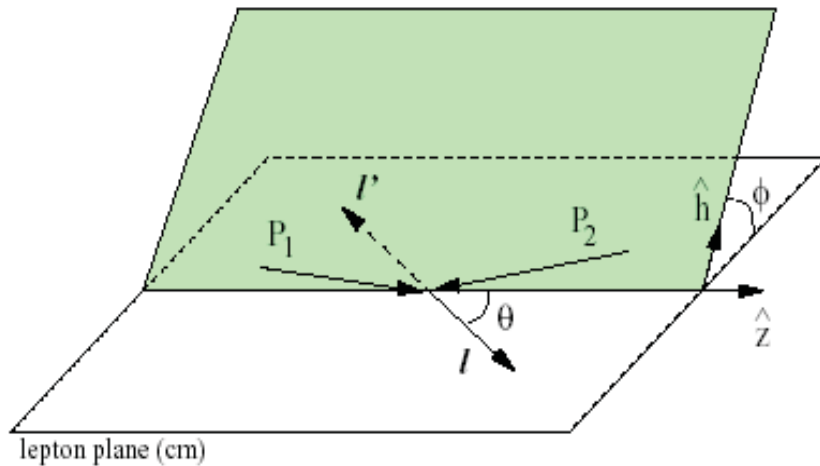


$$\mathcal{P}_y = -\frac{e_1 e_2}{8\pi} \frac{2(\Delta M + m)r^1}{[(\Delta M + m)^2 + \vec{r}_\perp^2]} \left[ \vec{r}_\perp^2 + \Delta(1 - \Delta) \left( -M^2 + \frac{m^2}{\Delta} + \frac{\lambda^2}{1 - \Delta} \right) \right] \\ \times \frac{1}{\vec{r}_\perp^2} \ln \frac{\vec{r}_\perp^2 + \Delta(1 - \Delta) \left( -M^2 + \frac{m^2}{\Delta} + \frac{\lambda^2}{1 - \Delta} \right)}{\Delta(1 - \Delta) \left( -M^2 + \frac{m^2}{\Delta} + \frac{\lambda^2}{1 - \Delta} \right)}.$$

Here  $\Delta = \frac{q^+}{2P \cdot q} = \frac{q^+}{2M\nu}$  where  $\nu$  is the energy of the lepton pair in the target rest frame.

# Drell-Yan angular distribution

## *Unpolarized DY*



Lam – Tung SR :  $1 - \lambda = 2\nu$

NLO pQCD :  $\lambda \approx 1 \quad \mu \approx 0 \quad \nu \approx 0$

experiment :  $\nu \approx 0.3$

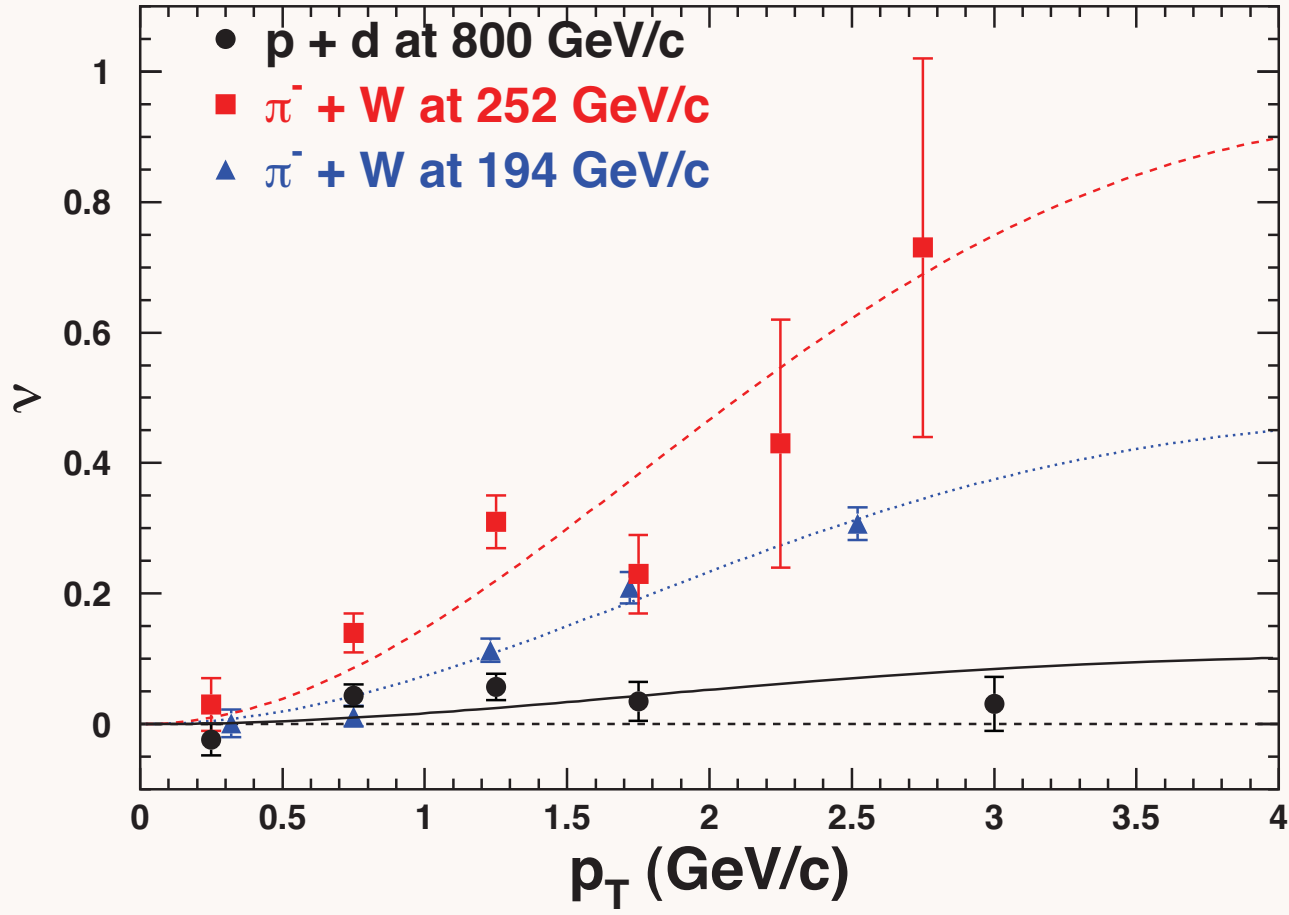
$$\frac{1}{\sigma} \frac{d\sigma}{d\Omega} = \frac{3}{4\pi} \frac{1}{\lambda + 3} \left( 1 + \lambda \cos^2 \theta + \mu \sin 2\theta \cos \phi + \frac{\nu}{2} \sin^2 \theta \cos 2\phi \right)$$

- Experimentally, a violation of the Lam-Tung sum rule is observed by sizeable  $\cos 2\phi$  moments
- Several model explanations
  - higher twist
  - spin correlation due to non-trivial QCD vacuum
  - Non-zero Boer Mulders function

**B. Seitz**

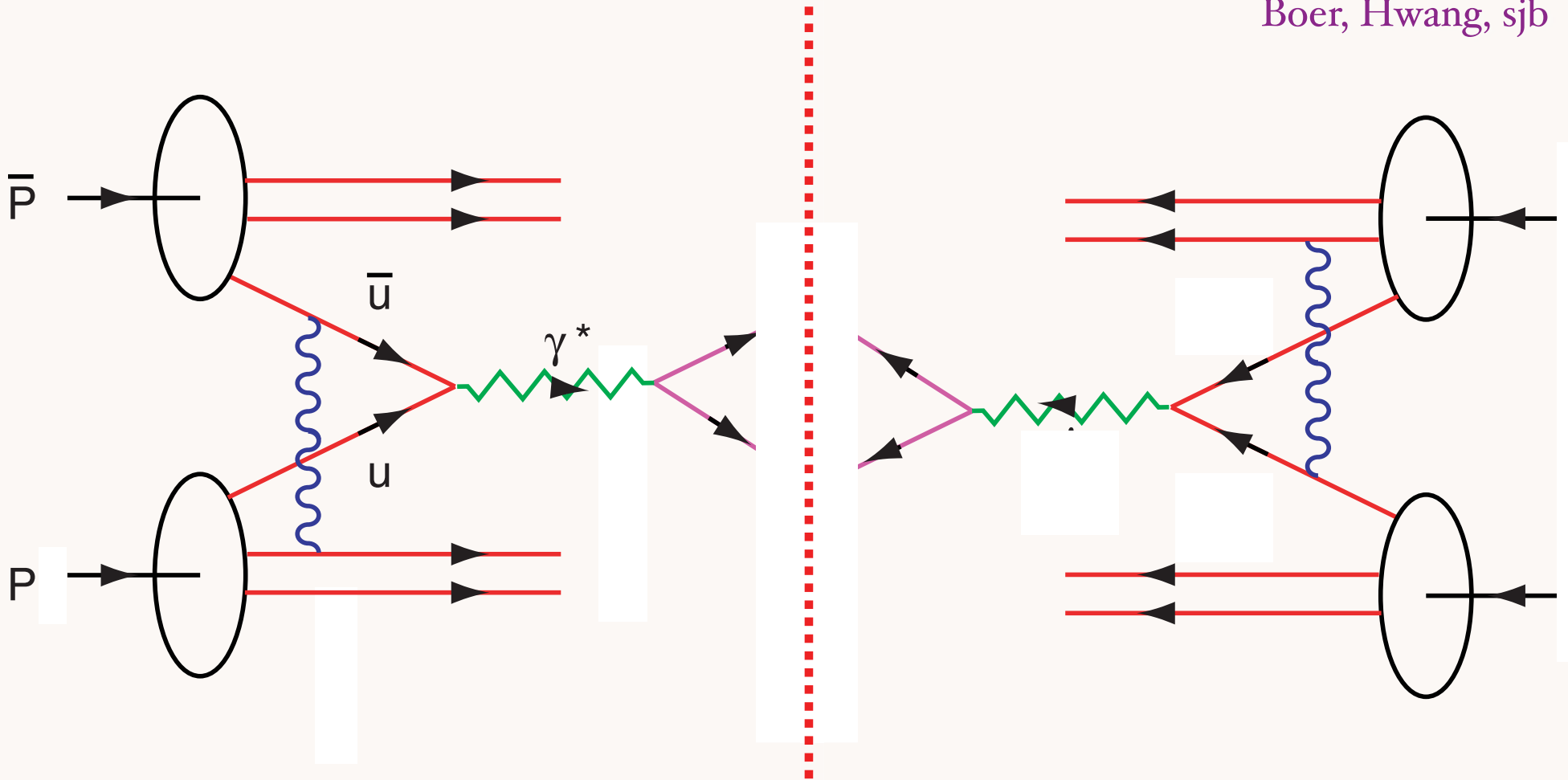
# Measurement of Angular Distributions of Drell-Yan Dimuons in $p + d$ Interaction at 800 GeV/c

(FNAL E866/NuSea Collaboration)

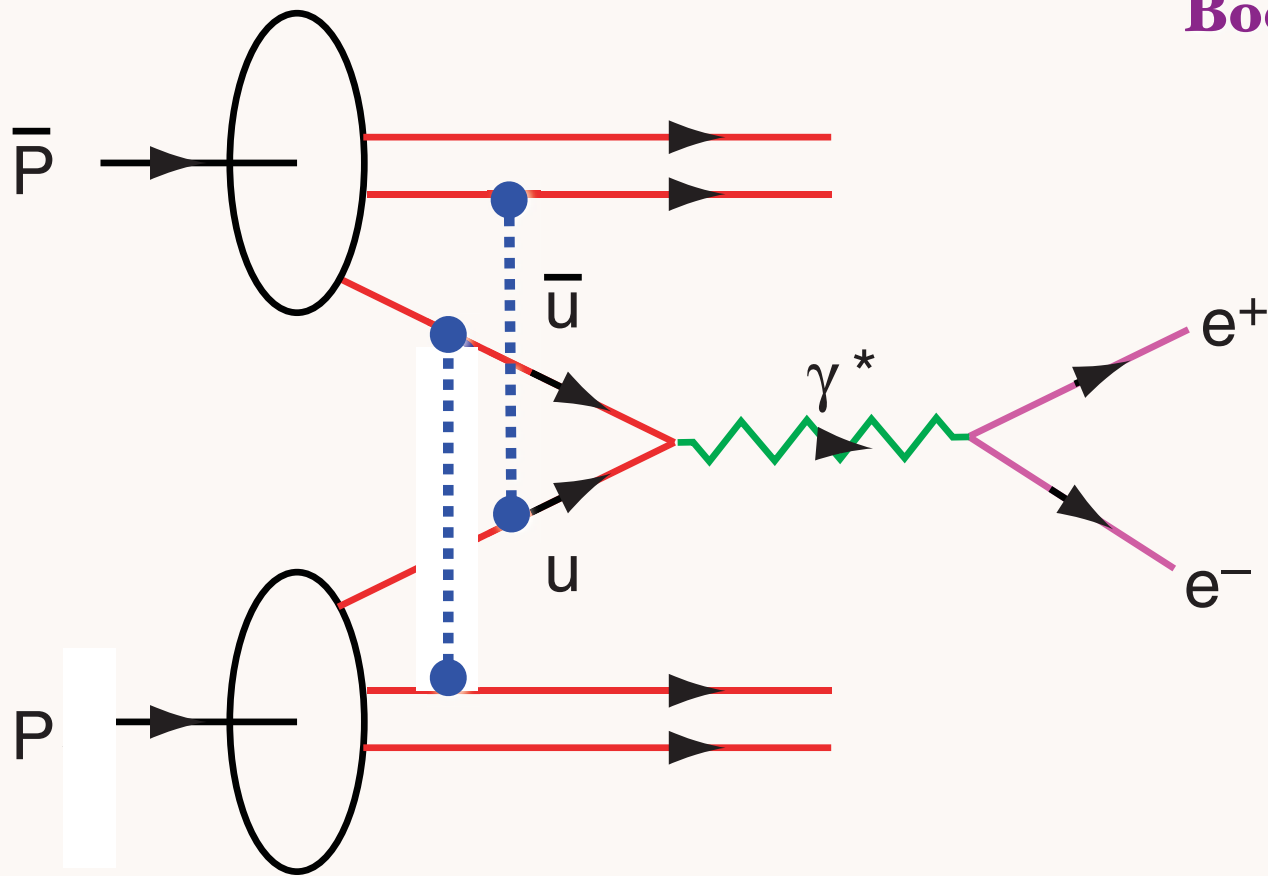


Huge Effect in  
 $\pi W \rightarrow \mu^+ \mu^- X$   
 Negligible Effect  
 $pd \rightarrow \mu^+ \mu^- X$

Parameter  $\nu$  vs.  $p_T$  in the Collins-Soper frame for three Drell-Yan measurements. Fits to the data using Eq. 3 and  $M_C = 2.4 \text{ GeV}/c^2$  are also shown.



**$DY \cos 2\phi$  correlation at leading twist from double ISI**



**$DY \cos 2\phi$  correlation at leading twist from double ISI**

*Product of Boer - Mulders Functions*

$$h_1^\perp(x_1, \mathbf{p}_\perp^2) \times \bar{h}_1^\perp(x_2, \mathbf{k}_\perp^2)$$

$$f_1 = \text{[Diagram: Yellow circle with a light blue center]}$$

*Unpolarized Distribution*

$$g_{1L} = \text{[Diagram: Yellow circle with light blue center and right-pointing arrow]} - \text{[Diagram: Yellow circle with light blue center and left-pointing arrow]}$$

*Bj Sum Rule*

$$h_{1T} = \text{[Diagram: Yellow circle with light blue center and up-pointing arrow]} - \text{[Diagram: Yellow circle with light blue center and down-pointing arrow]}$$

*Transversity*

$$f_{1T}^\perp = \text{[Diagram: Yellow circle with light blue center and up-pointing arrow]} - \text{[Diagram: Yellow circle with light blue center and down-pointing arrow]}$$

*Sivers Function*

$$h_1^\perp = \text{[Diagram: Yellow circle with light blue center and down-pointing arrow]} - \text{[Diagram: Yellow circle with light blue center and up-pointing arrow]}$$

*Boer-Mulders Function*

*T-Odd:  
Require ISI or FSI*

# Double Initial-State Interactions

generate anomalous  $\cos 2\phi$

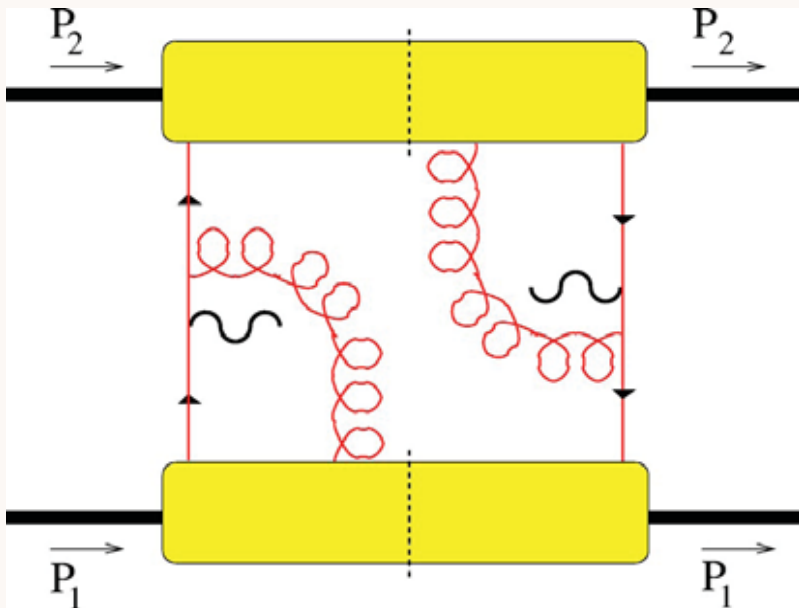
Boer, Hwang, sjb

## Drell-Yan planar correlations

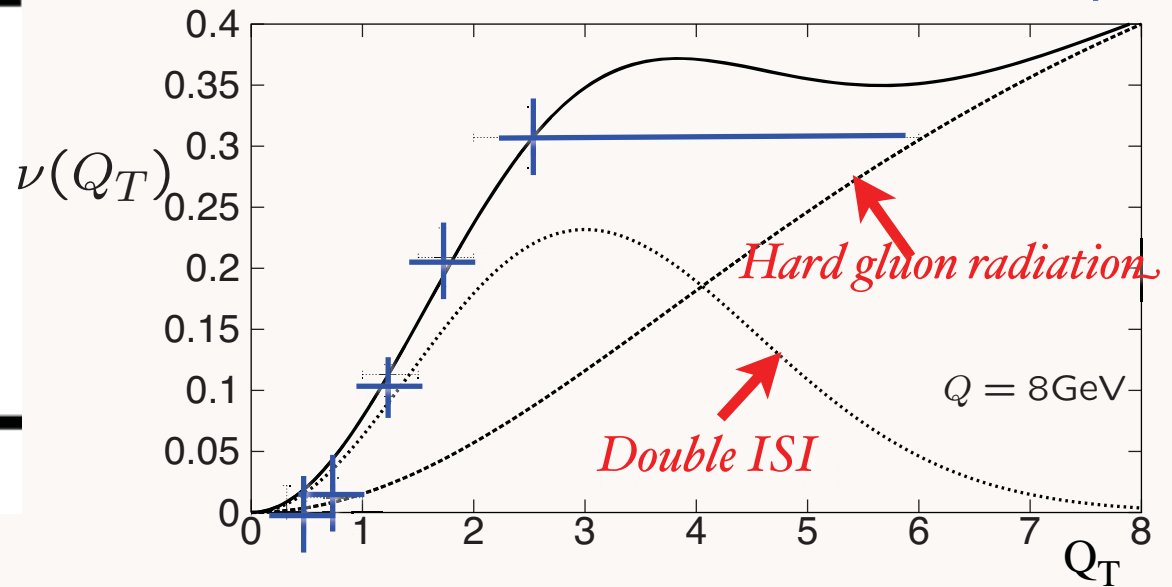
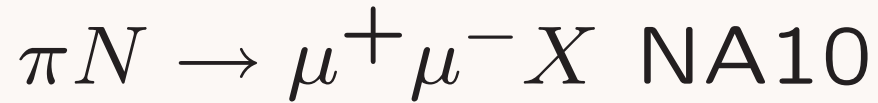
$$\frac{1}{\sigma} \frac{d\sigma}{d\Omega} \propto \left( 1 + \lambda \cos^2 \theta + \mu \sin 2\theta \cos \phi + \frac{\nu}{2} \sin^2 \theta \cos 2\phi \right)$$

PQCD Factorization (Lam Tung):  $1 - \lambda - 2\nu = 0$

$$\frac{\nu}{2} \propto h_1^\perp(\pi) h_1^\perp(N)$$



**Violates Lam-Tung relation!**



Model: Boer,  
Stan Brodsky  
SLAC

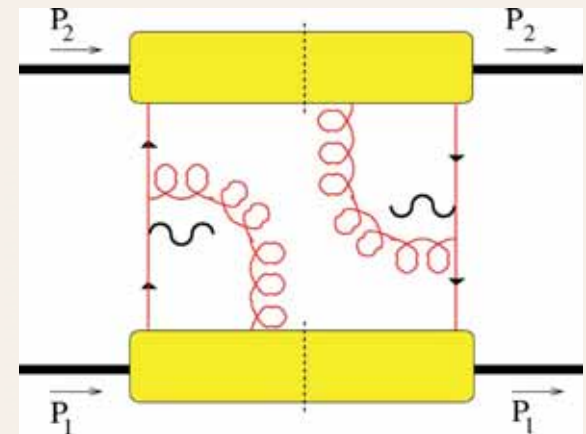


# Anomalous effect from Double ISI in Massive Lepton Production

Boer, Hwang, sjb

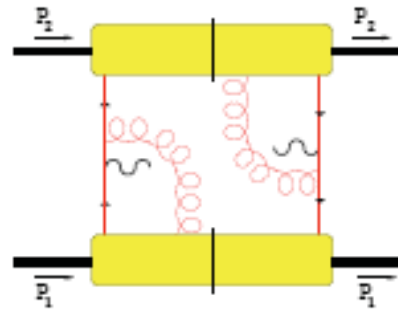
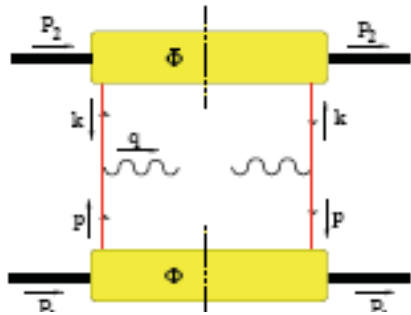
$\cos 2\phi$  correlation

- Leading Twist, valence quark dominated
- Violates Lam-Tung Relation!
- Not obtained from standard PQCD subprocess analysis
- Normalized to the square of the single spin asymmetry in semi-inclusive DIS
- No polarization required
- Challenge to standard picture of PQCD Factorization



# Key QCD FAIR Experiment

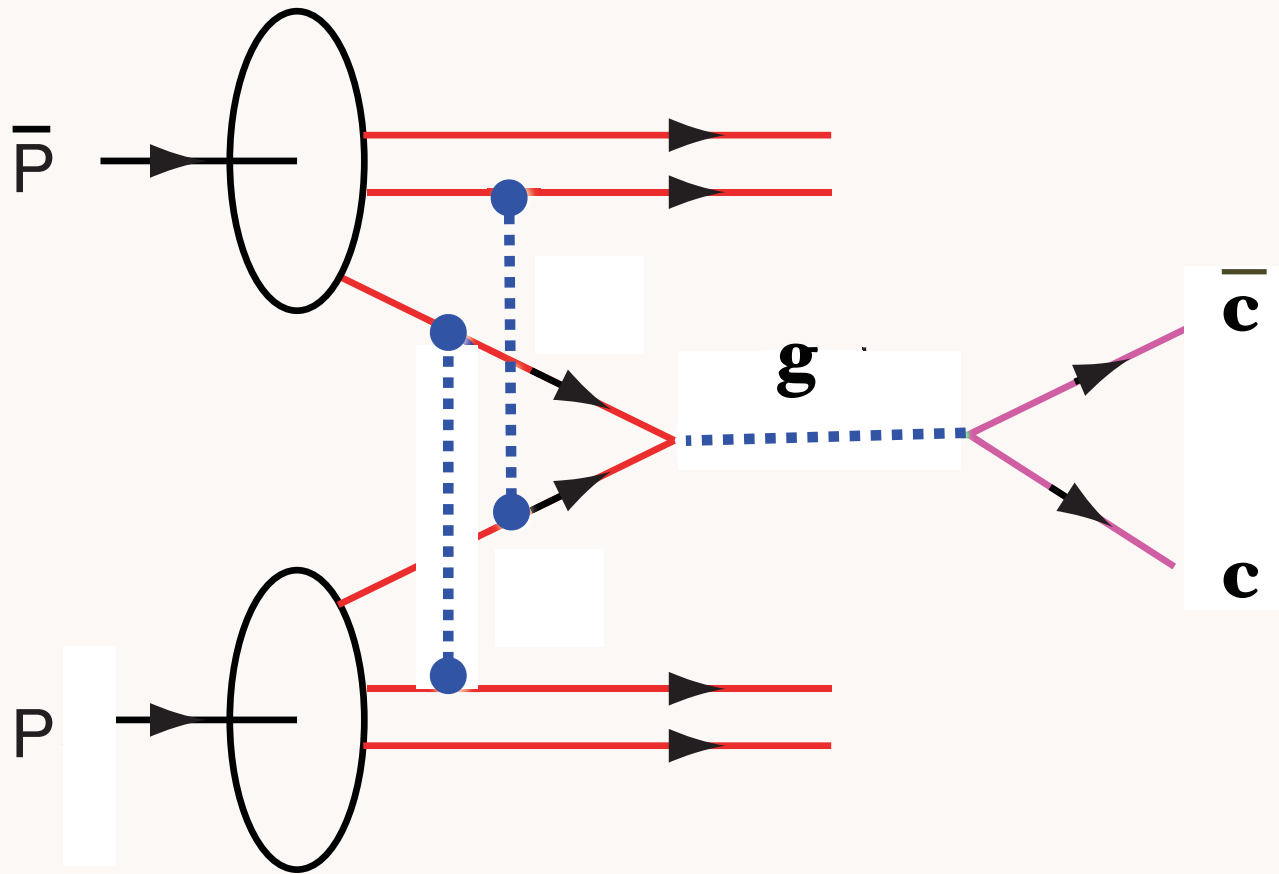
$\cos 2\phi$  correlation in DY from double ISI



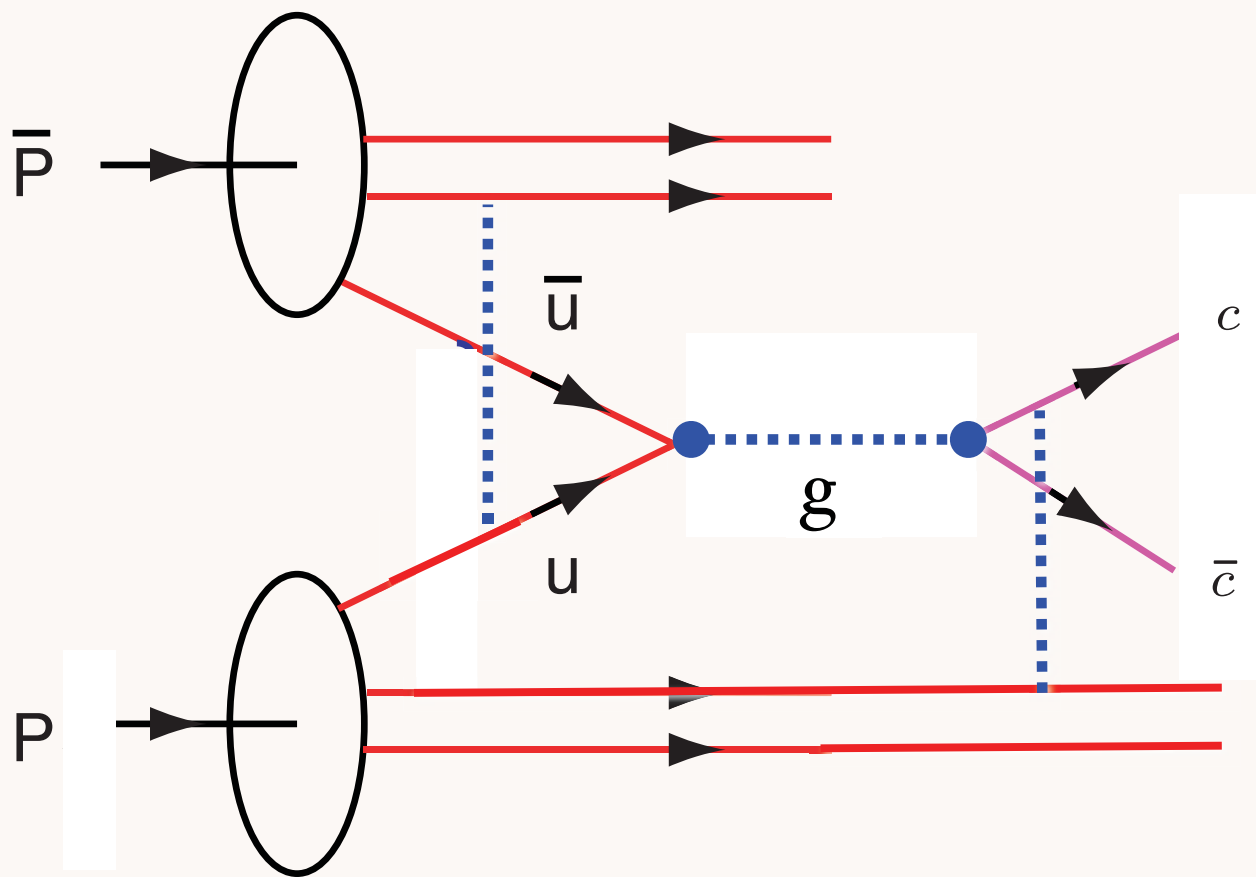
Boer, Hwang, sjb

## Abstract

We show that initial-state interactions contribute to the  $\cos 2\phi$  distribution in unpolarized Drell-Yan lepton pair production  $pp$  and  $p\bar{p} \rightarrow \ell^+\ell^-X$ , without suppression. The asymmetry is expressed as a product of chiral-odd distributions  $h_1^\perp(x_1, p_\perp^2) \times \bar{h}_1^\perp(x_2, k_\perp^2)$ , where the quark-transversity function  $h_1^\perp(x, p_\perp^2)$  is the transverse momentum dependent, light-cone momentum distribution of transversely polarized quarks in an *unpolarized* proton. We compute this (naive)  $T$ -odd and chiral-odd distribution function and the resulting  $\cos 2\phi$  asymmetry explicitly in a quark-scalar diquark model for the proton with initial-state gluon interaction. In this model the function  $h_1^\perp(x, p_\perp^2)$  equals the  $T$ -odd (chiral-even) Sivers effect function  $f_{1T}^\perp(x, p_\perp^2)$ . This suggests that the single-spin asymmetries in the SIDIS and the Drell-Yan process are closely related to the  $\cos 2\phi$  asymmetry of the unpolarized Drell-Yan process, since all can arise from the same underlying mechanism. This provides new insight regarding the role of quark and gluon orbital angular momentum as well as that of initial- and final-state gluon exchange interactions in hard QCD processes.



**$\cos 2\phi$  correlation for charm pair production at leading twist from double ISI**

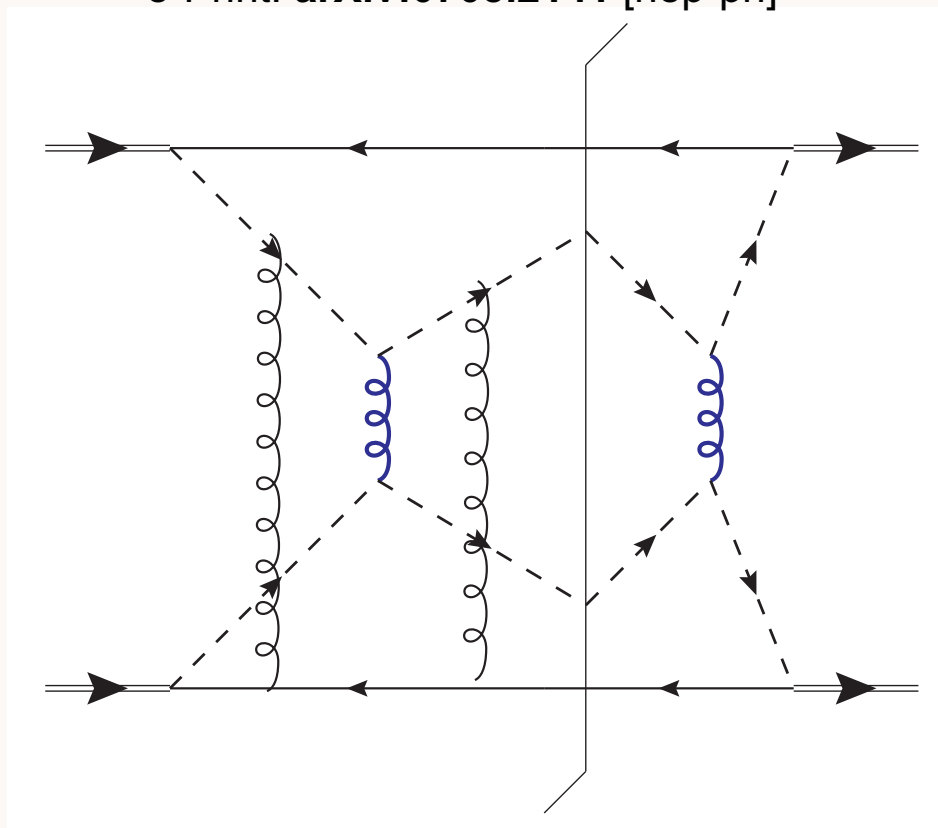


*Problem for factorization when both ISI and FSI occur*

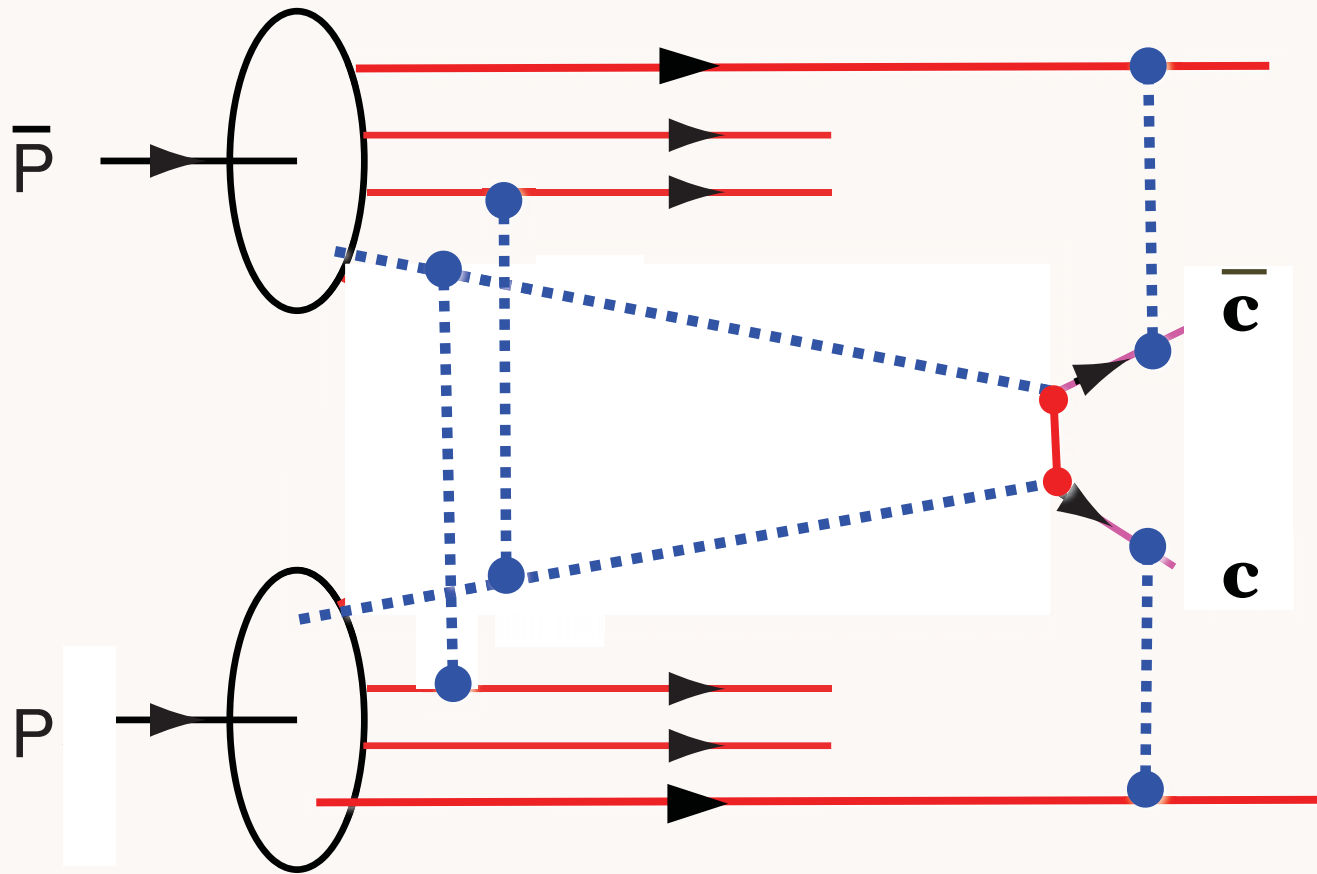
# Factorization is violated in production of high-transverse-momentum particles in hadron-hadron collisions

John Collins, [Jian-Wei Qiu](#) . ANL-HEP-PR-07-25, May 2007.

e-Print: [arXiv:0705.2141](#) [hep-ph]

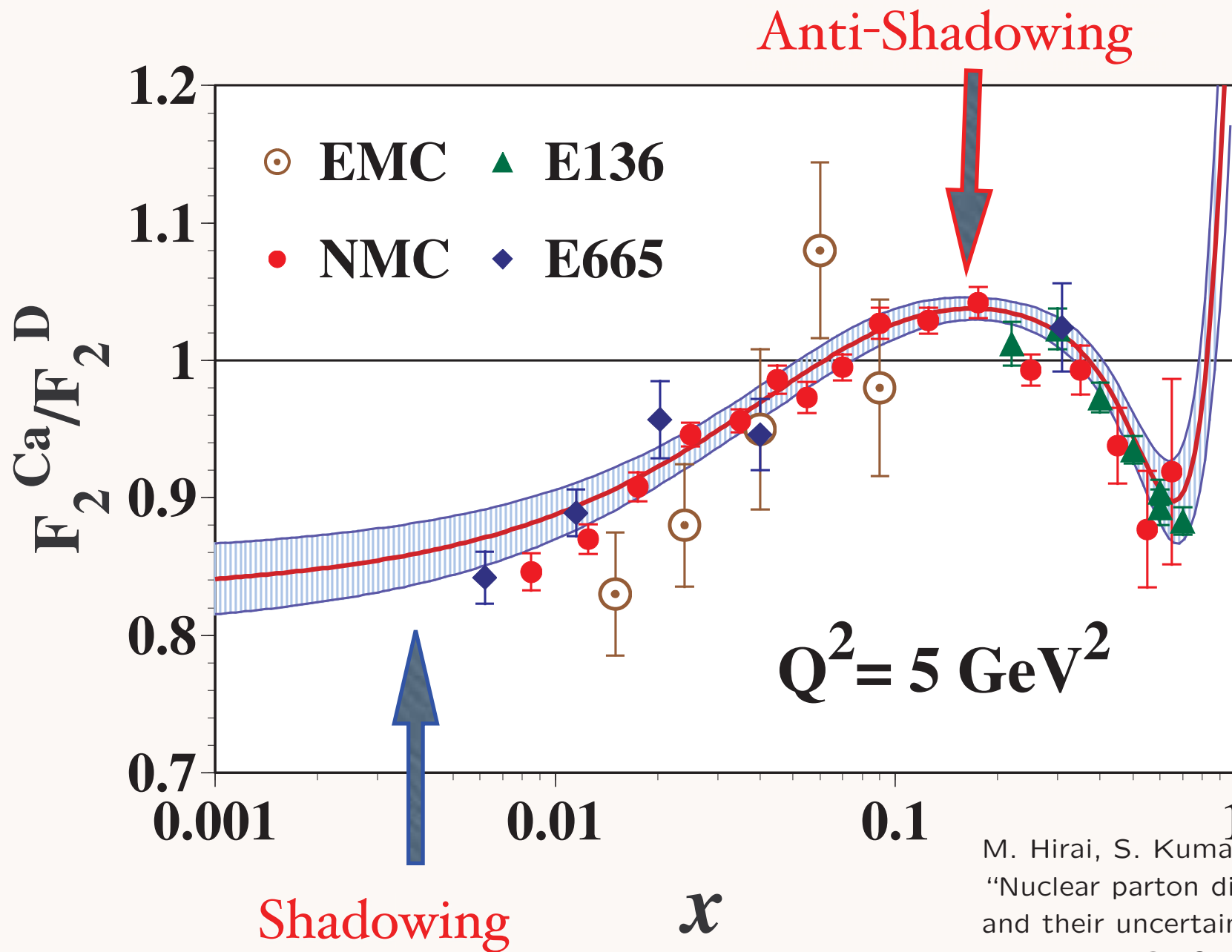


The exchange of two extra gluons, as in this graph, will tend to give non-factorization in unpolarized cross sections.



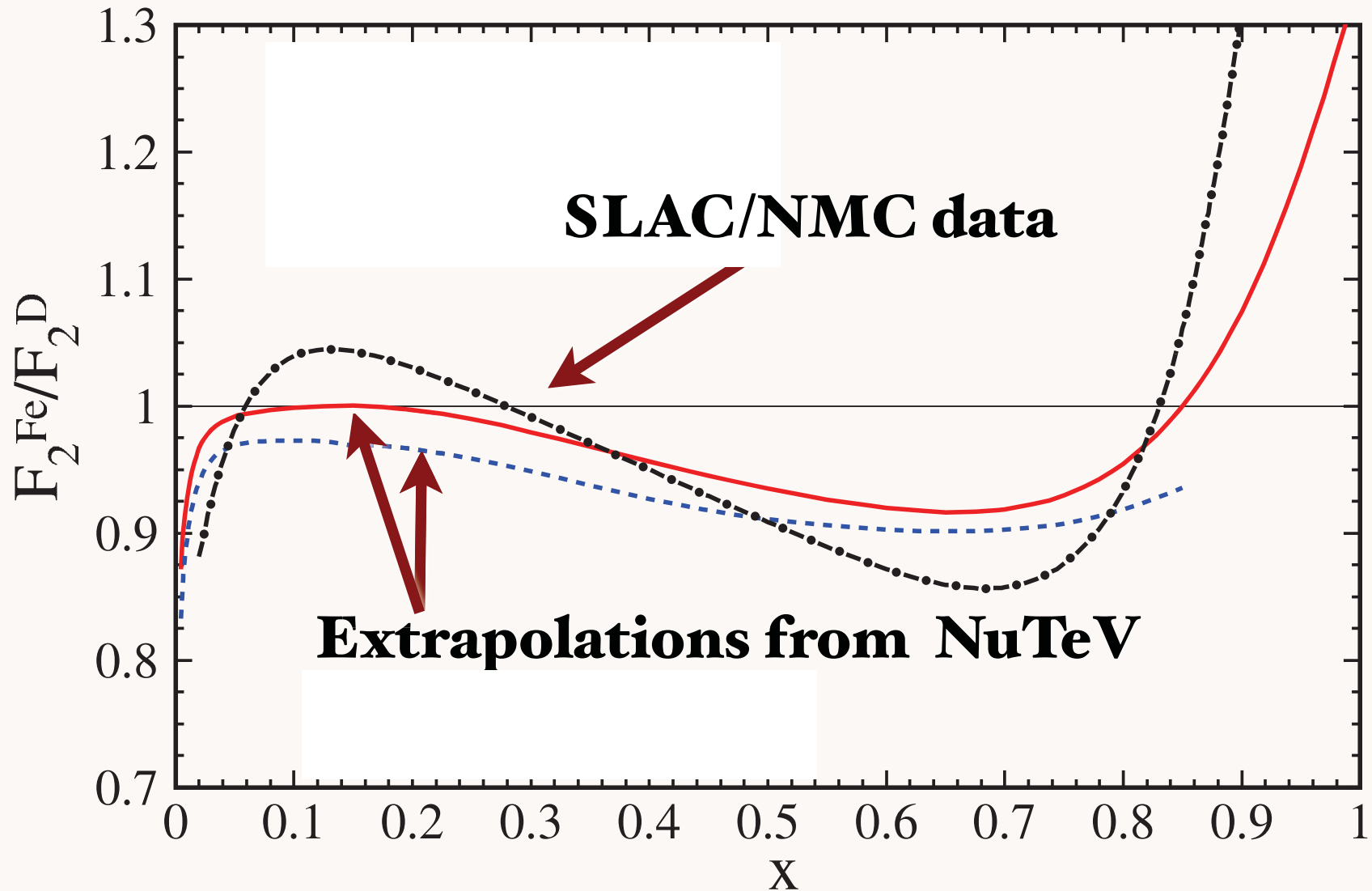
$\cos 2\phi$  correlation for quarkonium production at leading twist from double ISI

**Enhanced by gluon color charge**  
**Also possible FSI**



M. Hirai, S. Kumano and T. H. Nagai,  
 "Nuclear parton distribution functions  
 and their uncertainties,"  
 Phys. Rev. C **70**, 044905 (2004)  
 [arXiv:hep-ph/0404093].

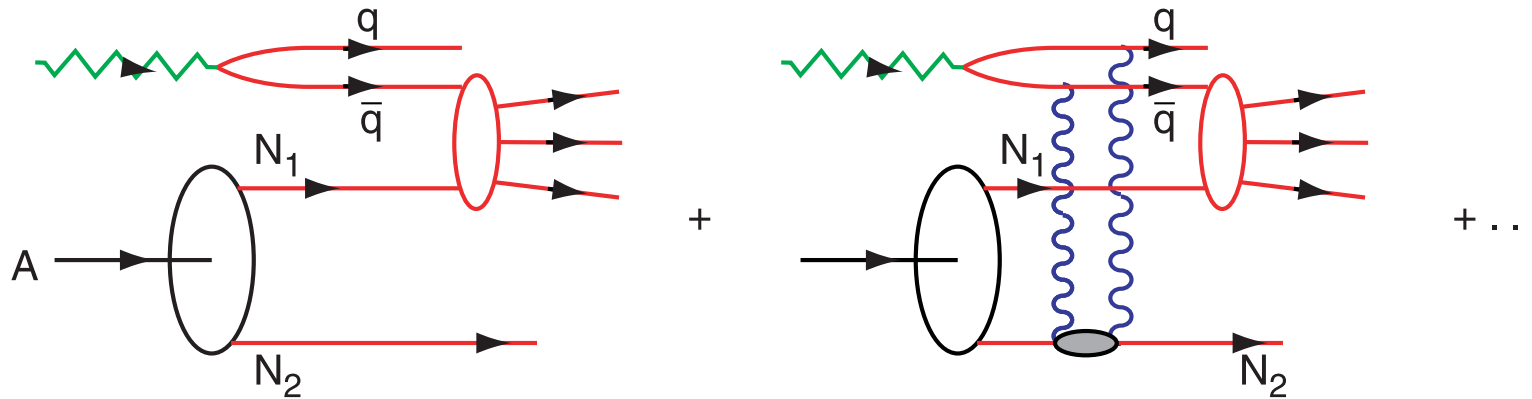
$$Q^2 = 5 \text{ GeV}^2$$



*Scheinbein, Yu, Keppel, Morfin, Olness, Owens*



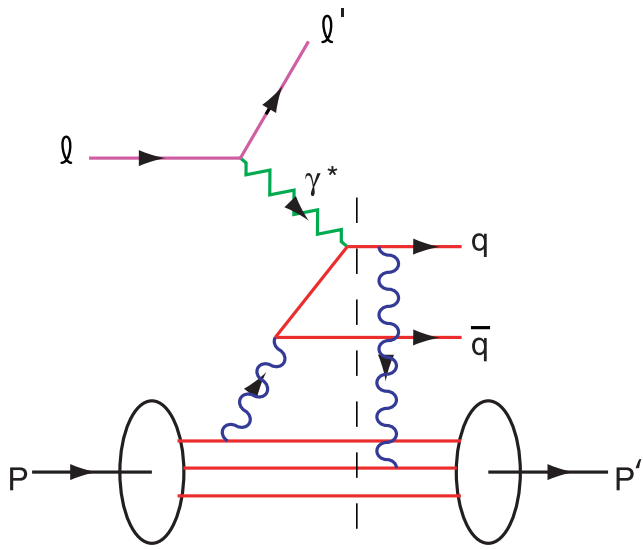
# Nuclear Shadowing in QCD



*Shadowing depends on understanding leading twist-diffraction in DIS*

**Nuclear Shadowing not included in nuclear LFWF !**

**Dynamical effect due to virtual photon interacting in nucleus**



*Shadowing depends on leading-twist DDIS*

***Integration over on-shell domain produces phase  $i$***

***Need Imaginary Phase to Generate Pomeron***

***Need Imaginary Phase to Generate T-Odd Single-Spin Asymmetry***

*Physics of FSI not in Wavefunction of Target*

*Antishadowing (Reggeon exchange) is not universal!*

**Schmidt, Yang, sjb**

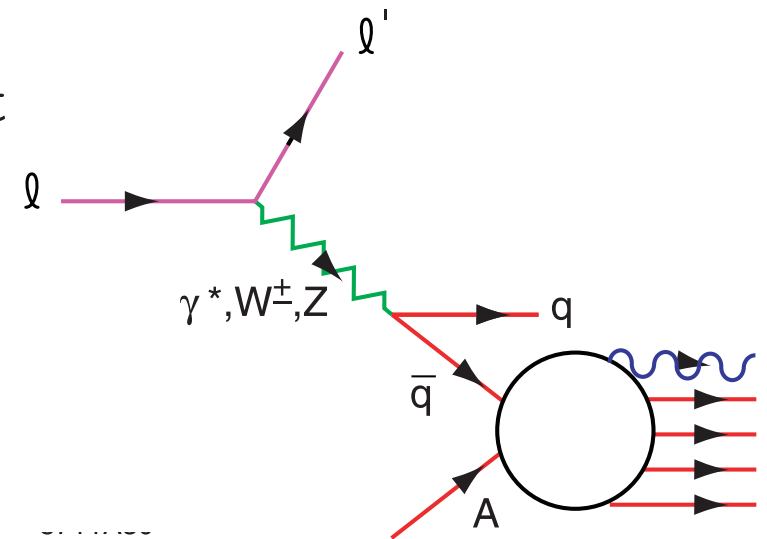
# Origin of Regge Behavior of Deep Inelastic Structure Functions

Antiquark interacts with target nucleus at energy  $\hat{s} \propto \frac{1}{x_{bj}}$

Regge contribution:  $\sigma_{\bar{q}N} \sim \hat{s}^{\alpha_R - 1}$

Nonsinglet Kuti-Weisskoff  $F_{2p} - F_{2n} \propto \sqrt{x_{bj}}$  at small  $x_{bj}$ .

Shadowing of  $\sigma_{\bar{q}M}$  produces shadowing of nuclear structure function.



Landshoff, Polkinghorne, Short  
Close, Gunion, sjb  
Schmidt, Yang, Lu, sjb

# Reggeon Exchange

Phase of two-step amplitude relative to one step:

$$\frac{1}{\sqrt{2}}(1 - i) \times i = \frac{1}{\sqrt{2}}(i + 1)$$

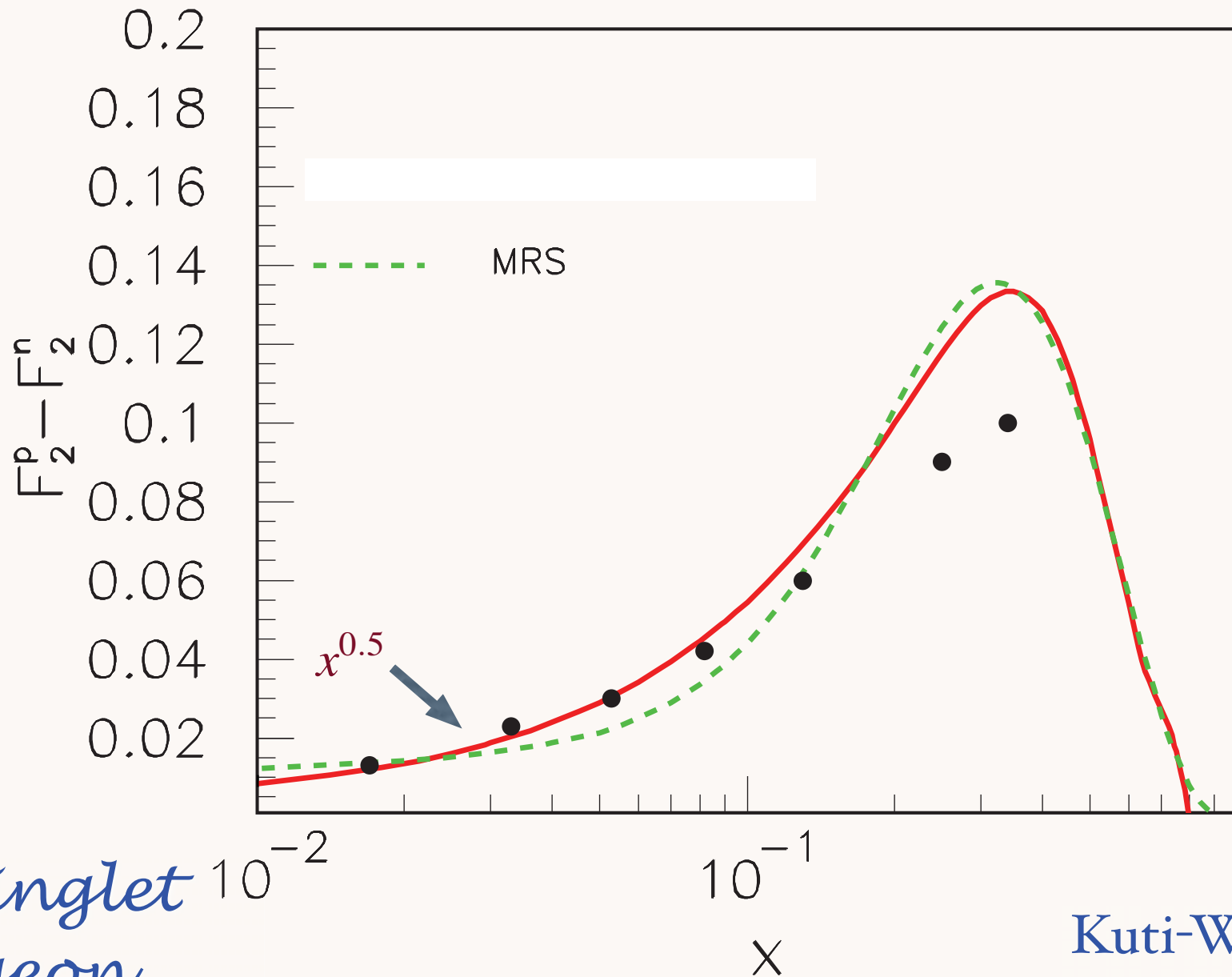
Constructive Interference

Depends on quark flavor!

Thus antishadowing is not universal

Different for couplings of  $\gamma^*$ ,  $Z^0$ ,  $W^\pm$

*Critical test: Tagged Drell-Yan*



*Non-singlet  
Reggeon  
Exchange*

*Kuti-Weisskopf  
behavior*

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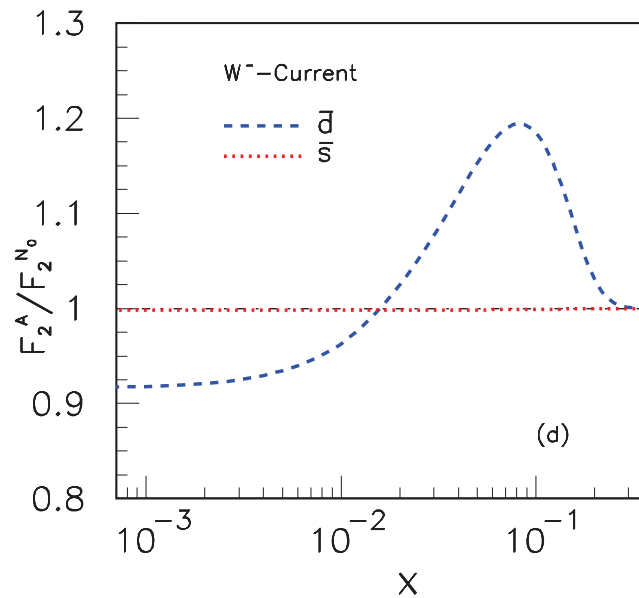
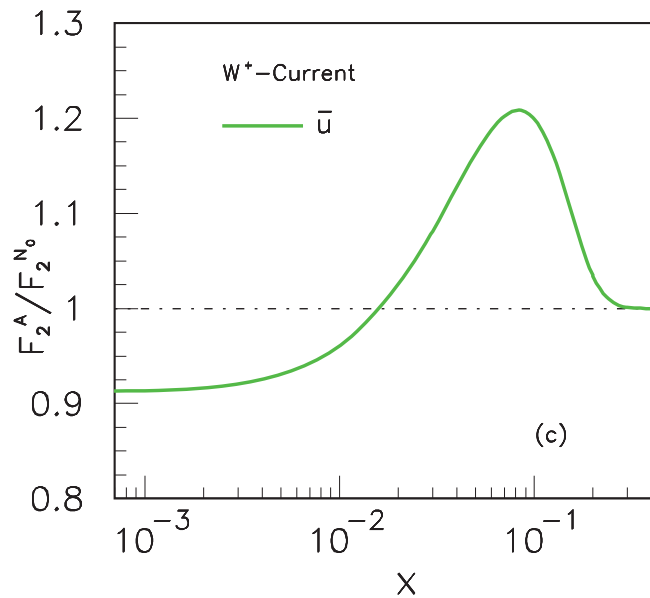
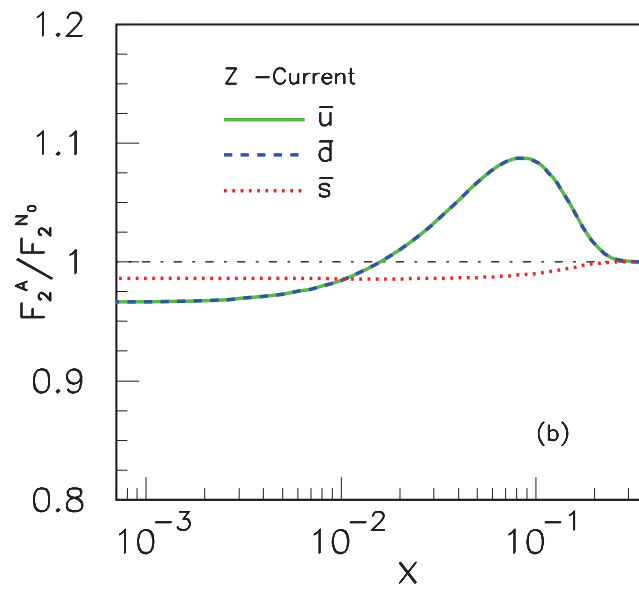
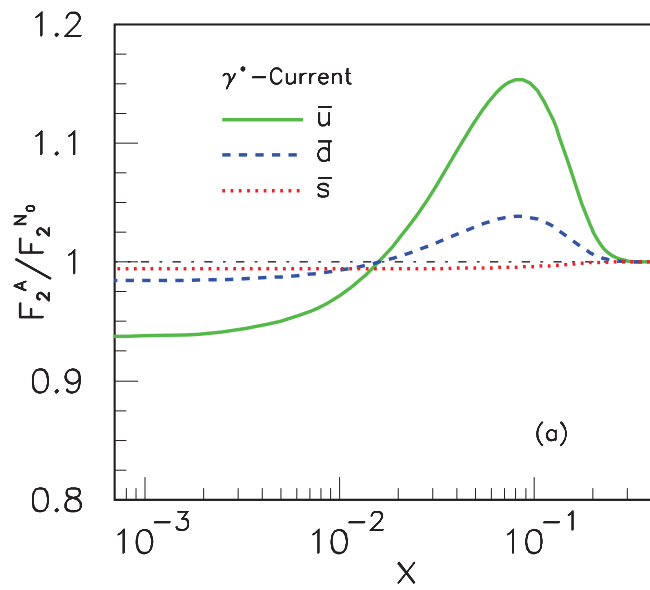
**Novel QCD Physics**

**Stan Brodsky  
SLAC**

# Shadowing and Antishadowing in Lepton-Nucleus Scattering

- Shadowing: **Destructive Interference** of Two-Step and One-Step Processes  
*Pomeron Exchange*
- Antishadowing: **Constructive Interference** of Two-Step and One-Step Processes!  
*Reggeon and Odderon Exchange*
- Antishadowing is Not Universal!  
Electromagnetic and weak currents:  
different nuclear effects !  
**Potentially significant for NuTeV Anomaly}**

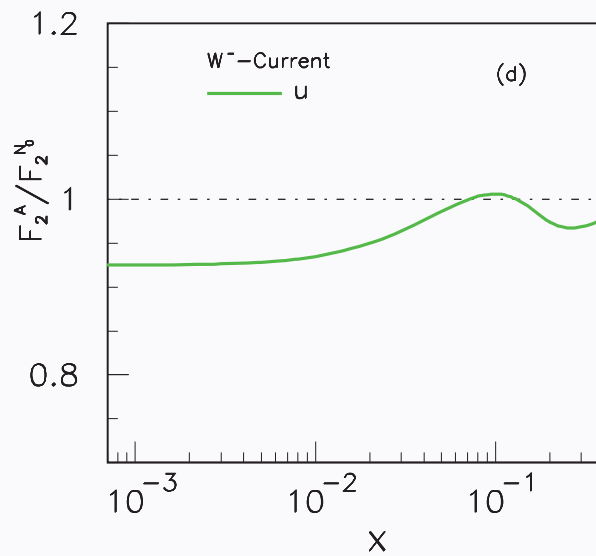
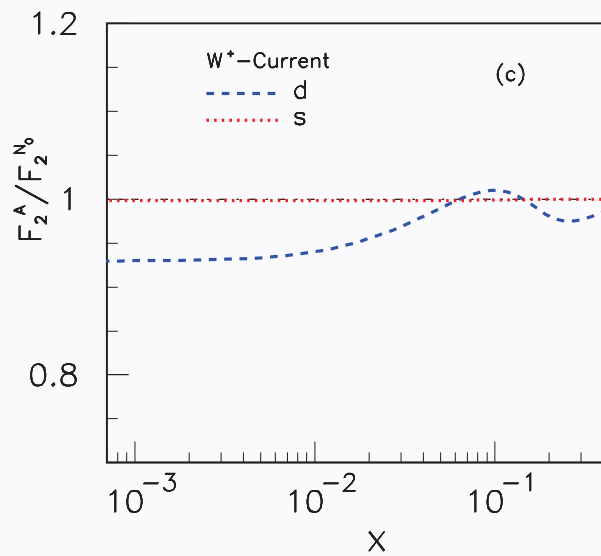
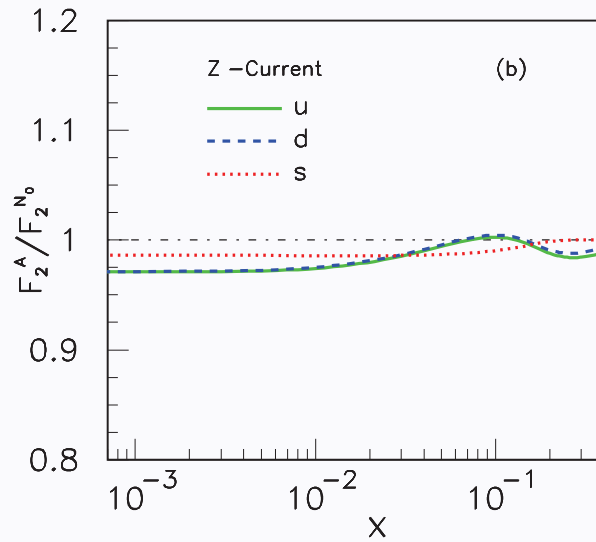
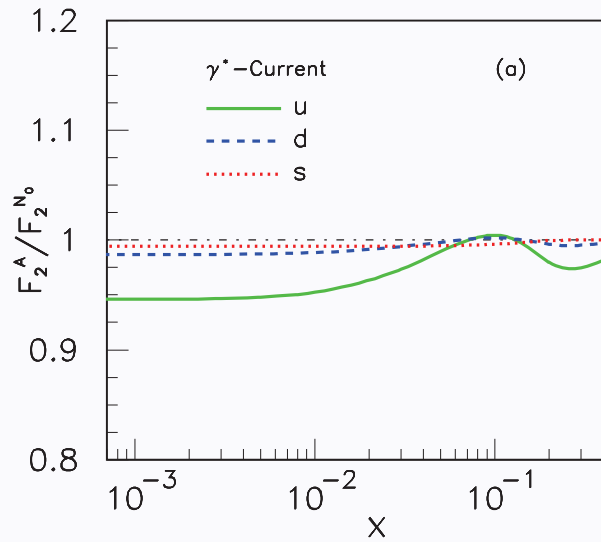
Jian-Jun Yang  
Ivan Schmidt  
Hung Jung Lu  
sjb



Schmidt, Yang; sjb

*Nuclear Antishadowing not universal!*

# Shadowing and Antishadowing of DIS Structure Functions

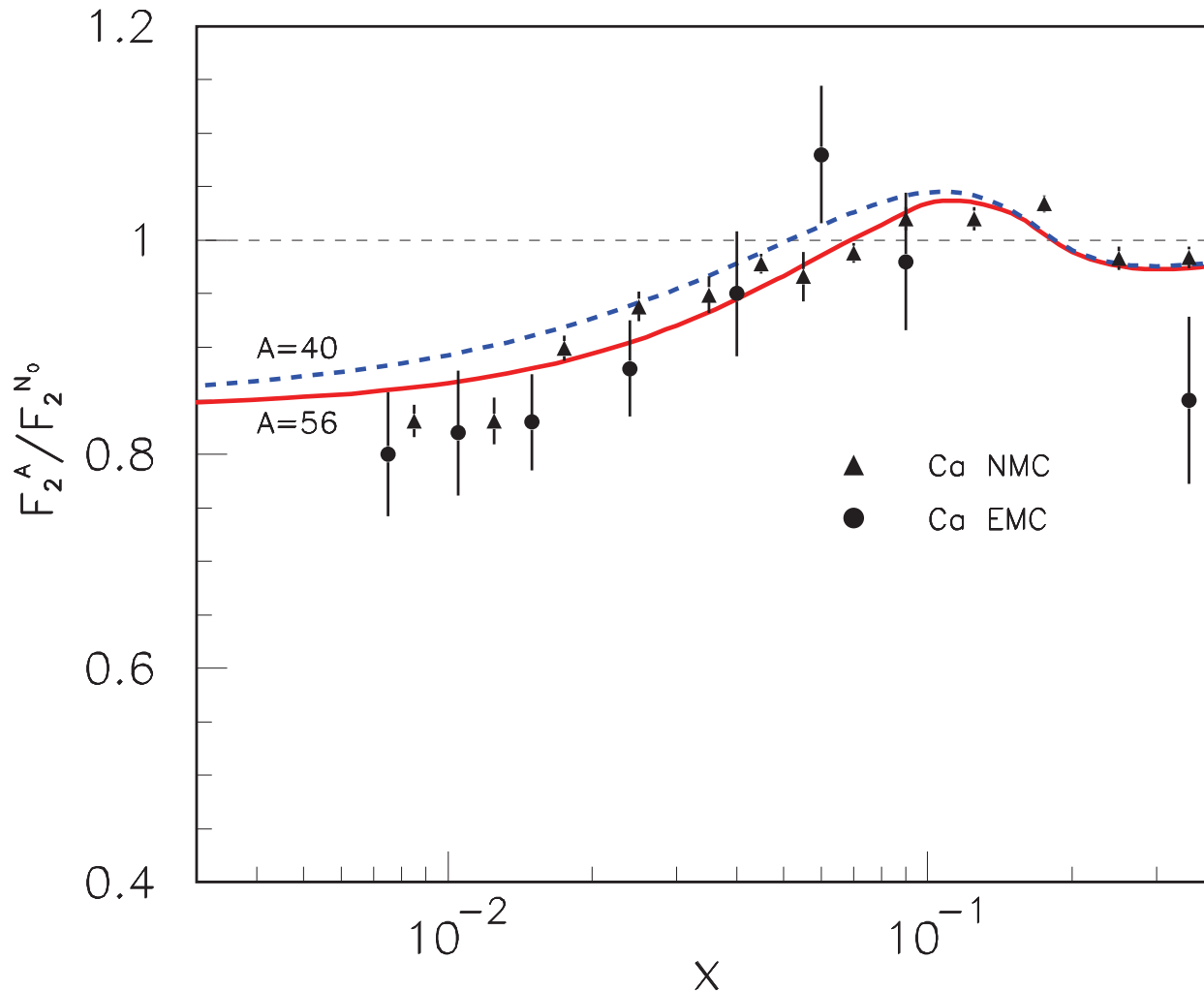


S. J. Brodsky, I. Schmidt and J. J. Yang,  
 “Nuclear Antishadowing in  
 Neutrino Deep Inelastic Scattering,”  
 Phys. Rev. D 70, 116003 (2004)  
 [arXiv:hep-ph/0409279].

**Modifies**  
**NuTeV extraction of**  
 $\sin^2 \theta_W$

**Test in flavor-tagged  
 lepton-nucleus collisions**





**Predicted nuclear shadowing and antishadowing at  $Q^2 = 1 \text{ GeV}^2$**

S. J. Brodsky, I. Schmidt and J. J. Yang,  
 "Nuclear Antishadowing in  
 Neutrino Deep Inelastic Scattering,"  
 Phys. Rev. D 70, 116003 (2004)  
 [arXiv:hep-ph/0409279].

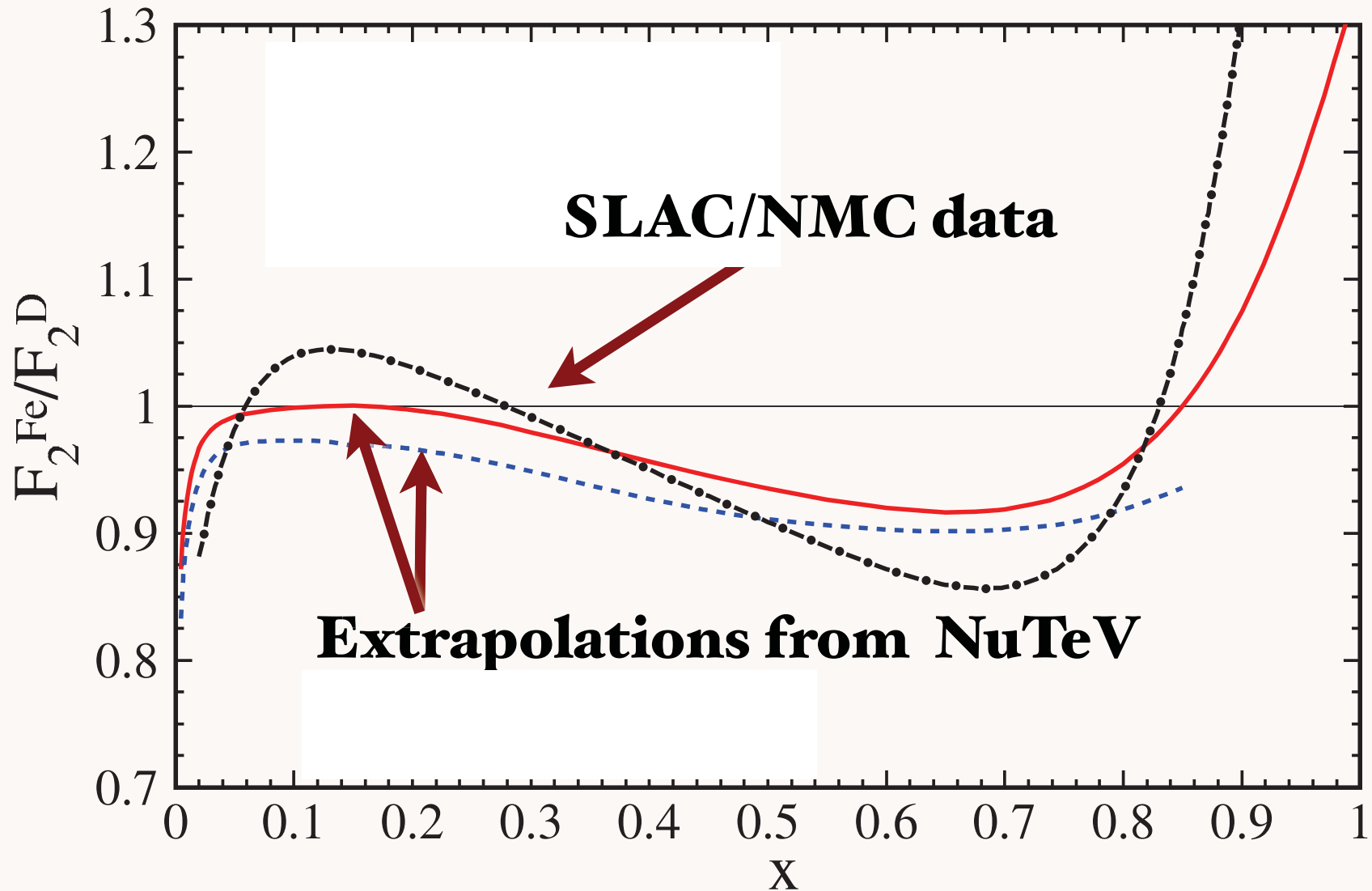
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**Novel QCD Physics**

**41**

**Stan Brodsky**  
**SLAC**

$$Q^2 = 5 \text{ GeV}^2$$



*Scheinbein, Yu, Keppel, Morfin, Olness, Owens*

# Key QCD FAIR Experiment

## Measure Non-Universal Anti-Shadowing in Drell-Yan

$$\bar{p}A \rightarrow \ell^+ \ell^- X$$

$$Q^2 = x_1 x_2 s$$

$$x_1 x_2 = .05, x_F = x_1 - x_2$$

$$A^\alpha(x_1) = \frac{2 \frac{d\sigma}{dQ^2 dx_F}(\bar{p}A \rightarrow \ell^+ \ell^- X)}{A \frac{d\sigma}{dQ^2 dx_F}(\bar{p}d \rightarrow \ell^+ \ell^- X)}$$

Flavor  
u, d tag

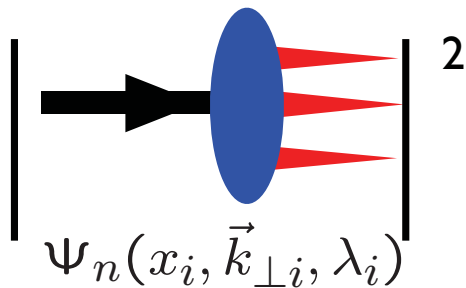
Schmidt, Yang, sjb

Deviations from  $(1 + \cos^2 \theta)$

$\cos 2\phi$  correlation.

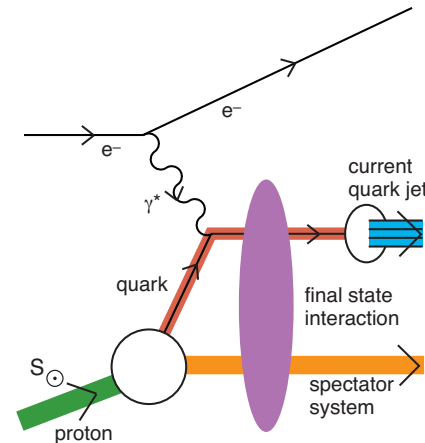
# Static

- Square of Target LFWFs
- No Wilson Line
- Probability Distributions
- Process-Independent
- T-even Observables
- No Shadowing, Anti-Shadowing
- Sum Rules: Momentum and  $J^z$
- DGLAP Evolution; mod. at large  $x$
- No Diffractive DIS



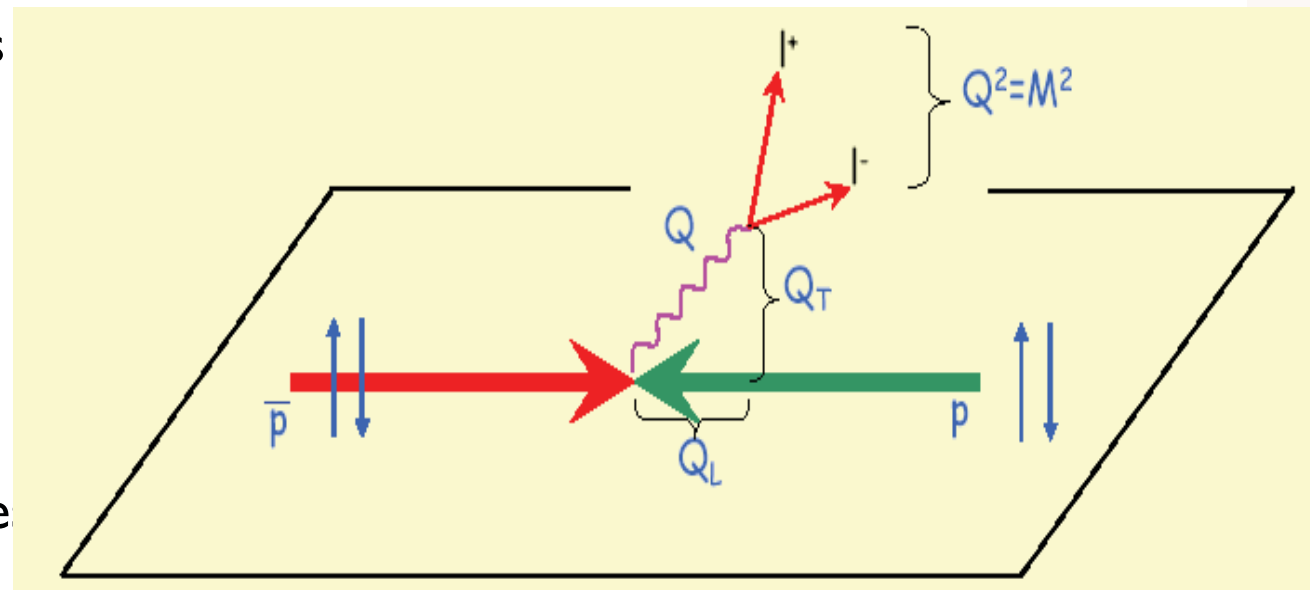
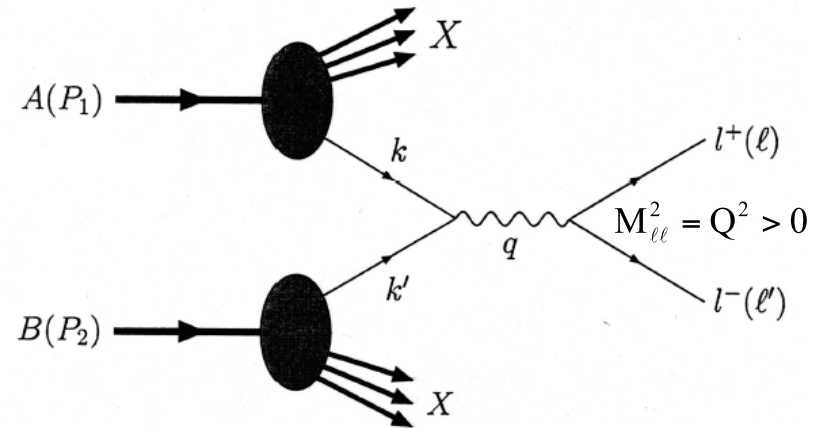
# Dynamic

- Modified by Rescattering: ISI & FSI
- Contains Wilson Line, Phases
- No Probabilistic Interpretation
- Process-Dependent - From Collision
- T-Odd (Sivers, Boer-Mulders, etc.)
- Shadowing, Anti-Shadowing, Saturation
- Sum Rules Not Proven
- DGLAP Evolution
- Hard Pomeron and Odderon Diffractive DIS



# The Drell-Yan process

- process complementary to DIS
- cross section directly related to parton distribution functions
- no fragmentation functions involved
- all valence quarks will contribute in anti-proton annihilation
- wealth of (spin)-observable



**B. Seitz**

**Stan Brodsky  
SLAC**

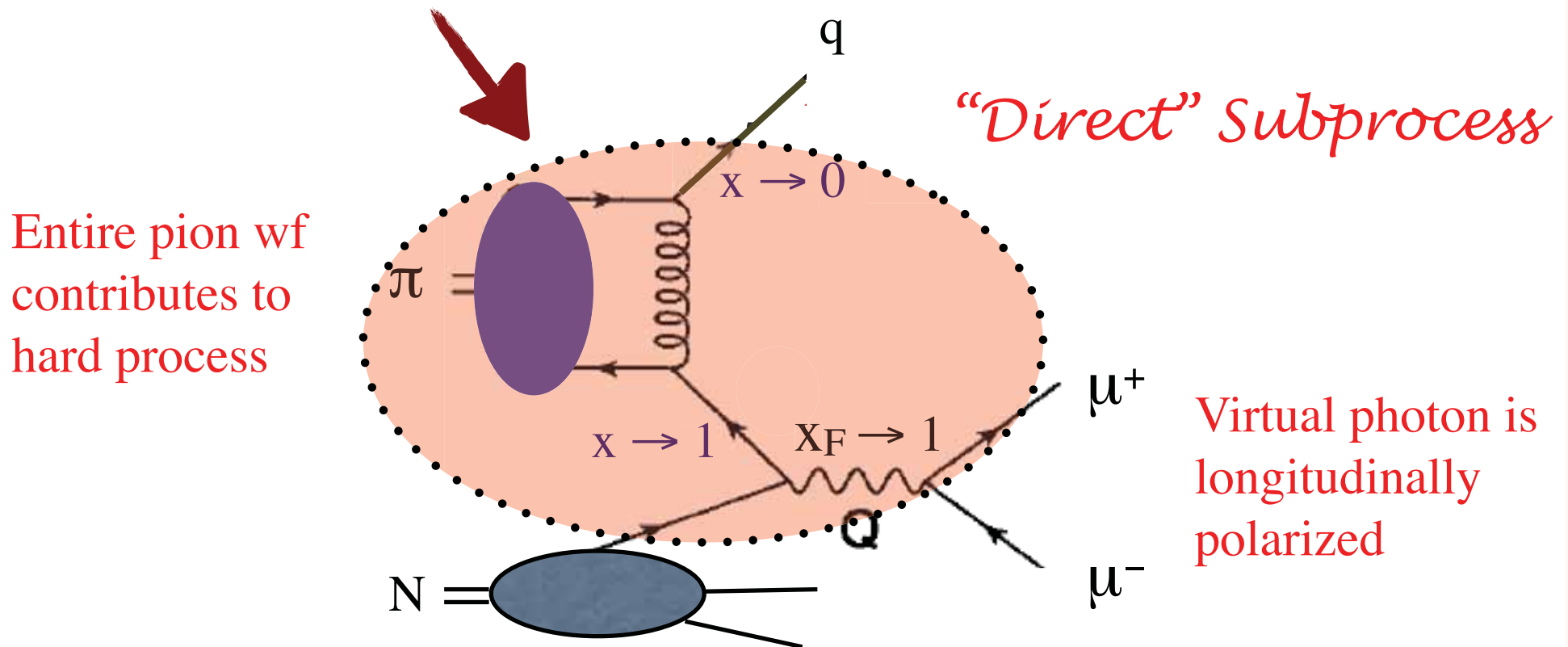
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$$\pi N \rightarrow \mu^+ \mu^- X \text{ at high } x_F$$

In the limit where  $(1-x_F)Q^2$  is fixed as  $Q^2 \rightarrow \infty$

*Light-Front Wavefunctions from AdS/CFT*



Berger, sjb  
Khoze, Brandenburg, Muller, sjb

Hoyer Vanttinen

$$\pi^- N \rightarrow \mu^+ \mu^- X \text{ at } 80 \text{ GeV}/c$$

$$\frac{d\sigma}{d\Omega} \propto 1 + \lambda \cos^2\theta + \rho \sin 2\theta \cos\phi + \omega \sin^2\theta \cos 2\phi.$$

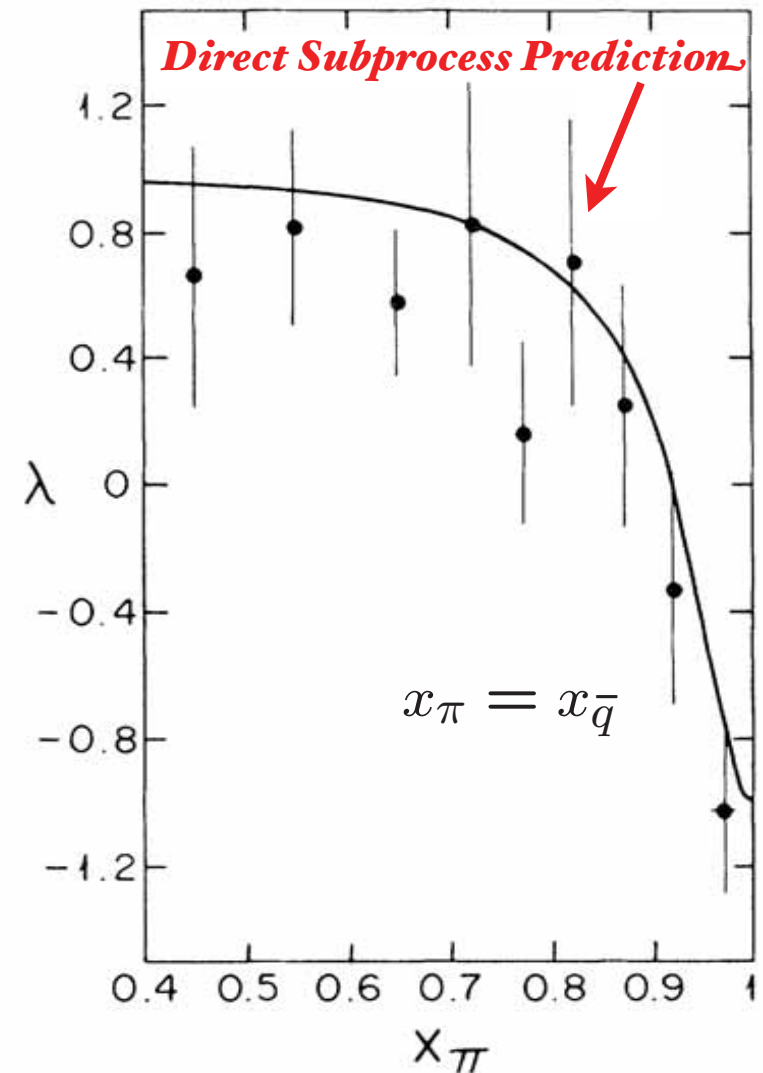
$$\frac{d^2\sigma}{dx_\pi d\cos\theta} \propto x_\pi \left[ (1-x_\pi)^2 (1 + \cos^2\theta) + \frac{4}{9} \frac{\langle k_T^2 \rangle}{M^2} \sin^2\theta \right]$$

$$\langle k_T^2 \rangle = 0.62 \pm 0.16 \text{ GeV}^2/c^2$$

$$Q^2 = M^2$$

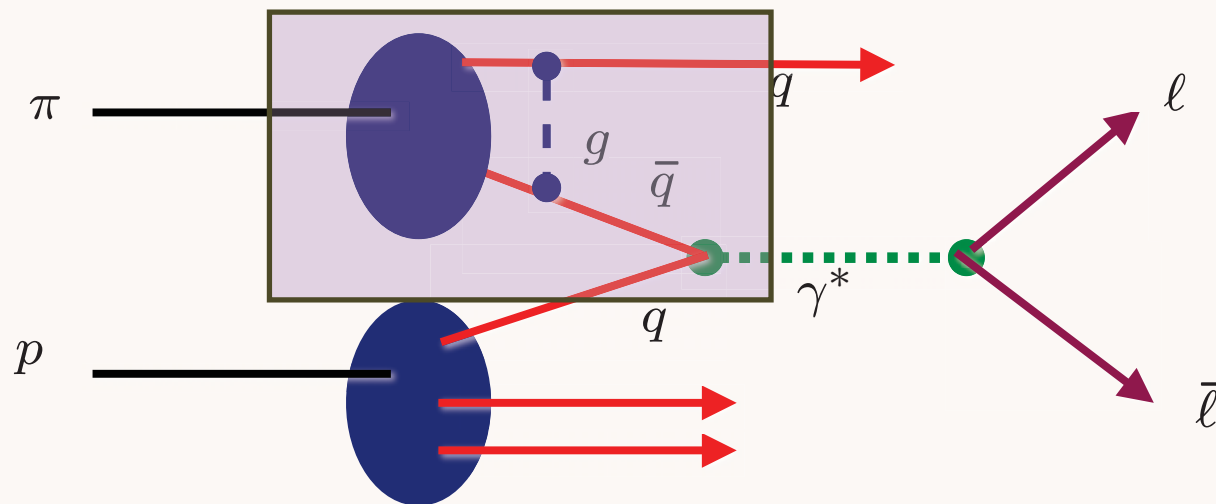
*Dramatic change in  
angular distribution at  
large  $x_F$*

**Example of a higher-twist  
direct subprocess**

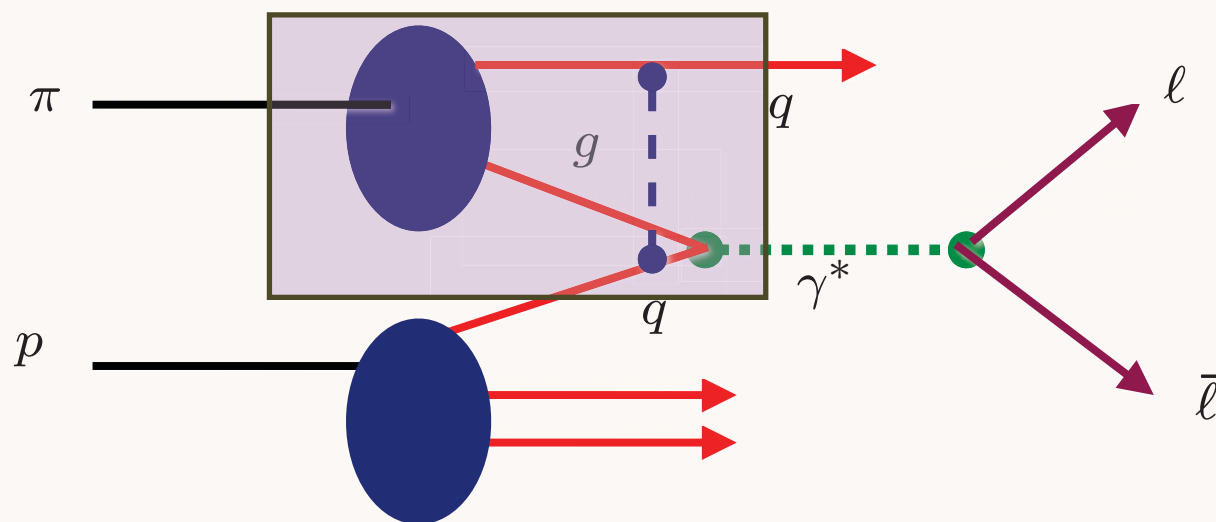


Chicago-Princeton  
Collaboration

Phys.Rev.Lett.55:2649,1985



$$\pi q \rightarrow \gamma^* q$$



**Initial State Interaction**

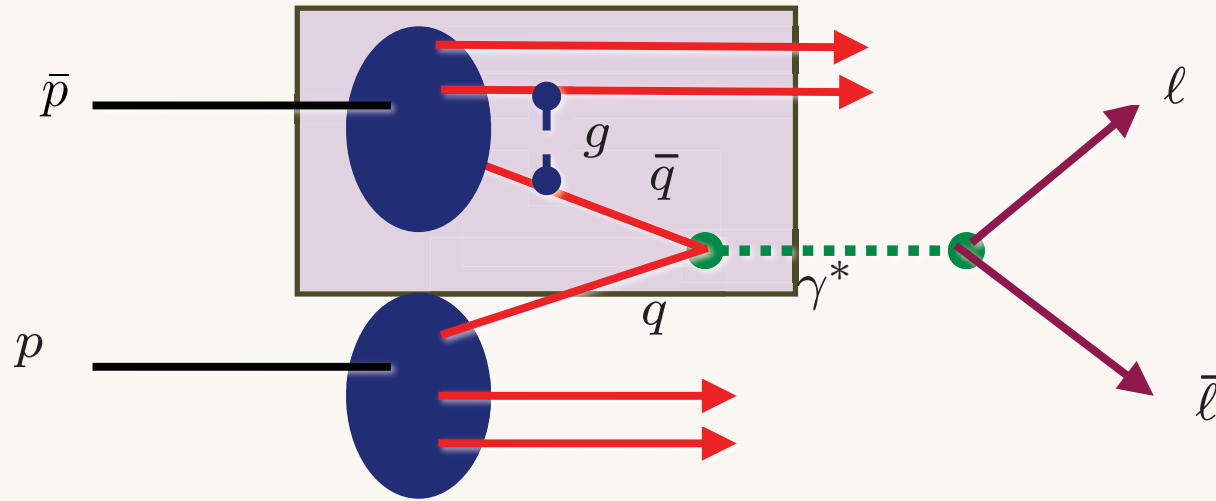
***Pion appears directly in subprocess at large  $x_F$***

*All of the pion's momentum is transferred to the lepton pair*

*Lepton Pair is produced longitudinally polarized*

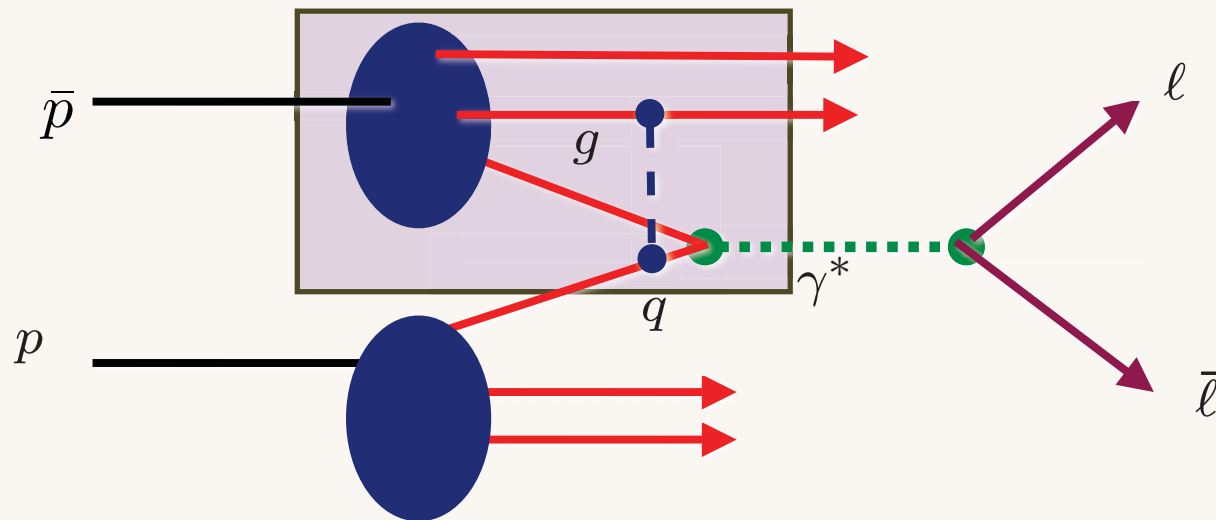


$$A(1-x)^3(1+\cos^2\theta) + B\frac{(1-x)\sin^2\theta}{Q^2} + C\frac{(1+\cos^2\theta)}{(1-x)Q^4}$$



**Key FAIR  
Experiment**

$$[\bar{q}q]q \rightarrow \gamma^* \bar{q}$$



***Diquark appears directly in subprocess***

*All of the diquark's momentum is transferred to the lepton pair  
Lepton Pair is produced longitudinally polarized*

# Topics for FAIR in Di-Muon Production

- Direct Higher Twist Processes
- Single-Spin Asymmetry
- Double Spin Correlation: Transversity
- Lam-Tung Violation in Continuum and J/Psi Production: Double ISI
- Role of quark-quark scattering plus bremsstrahlung: color dipole approach
- Double Drell-Yan: Glauber vs Handbag
- Associated System - Tetraquark and Gluonium States
- Non-Universal Anti-shadowing!

*Crucial Test of Leading -Twist QCD:  
Scaling at fixed  $x_T$*

$$x_T = \frac{2p_T}{\sqrt{s}}$$

$$E \frac{d\sigma}{d^3p} (pN \rightarrow \pi X) = \frac{F(x_T, \theta_{CM})}{p_T^{n_{eff}}}$$

***Parton model:  $n_{eff} = 4$***

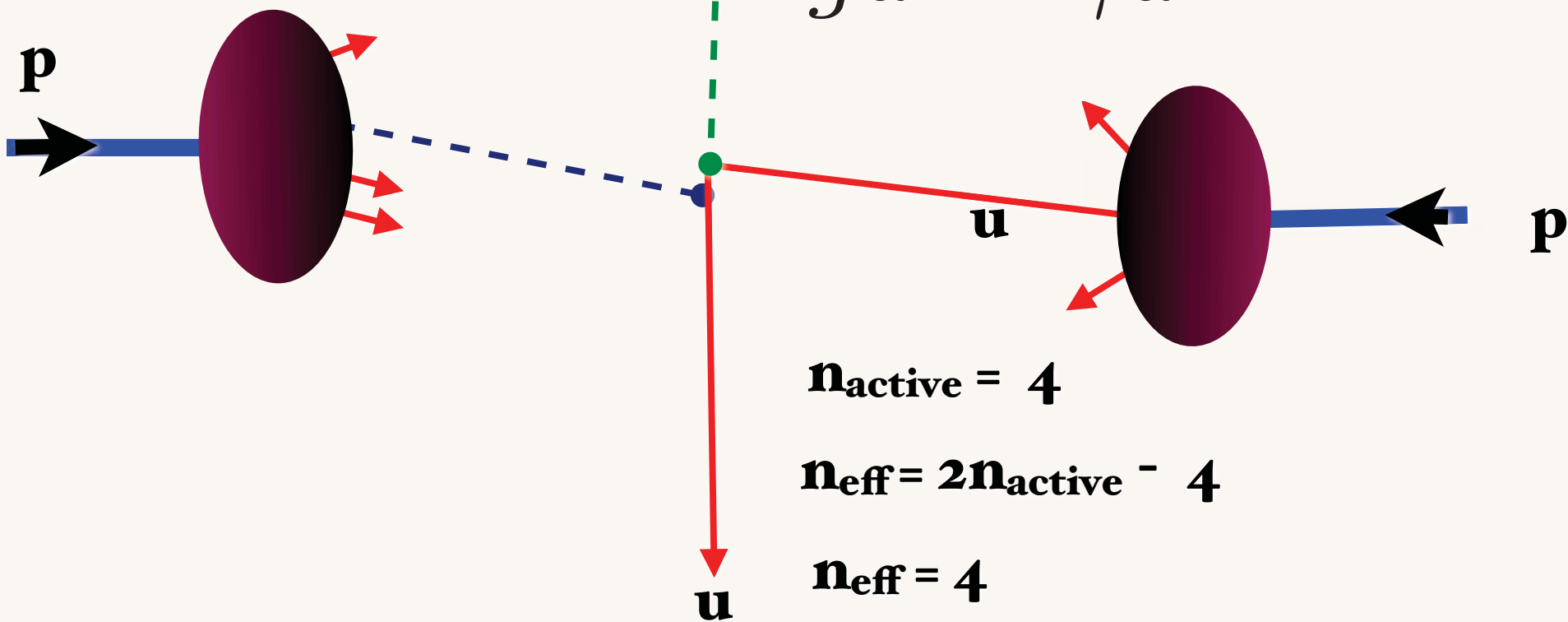
***As fundamental as Bjorken scaling in DIS***

**Conformal scaling:  $n_{eff} = 2 n_{active} - 4$**

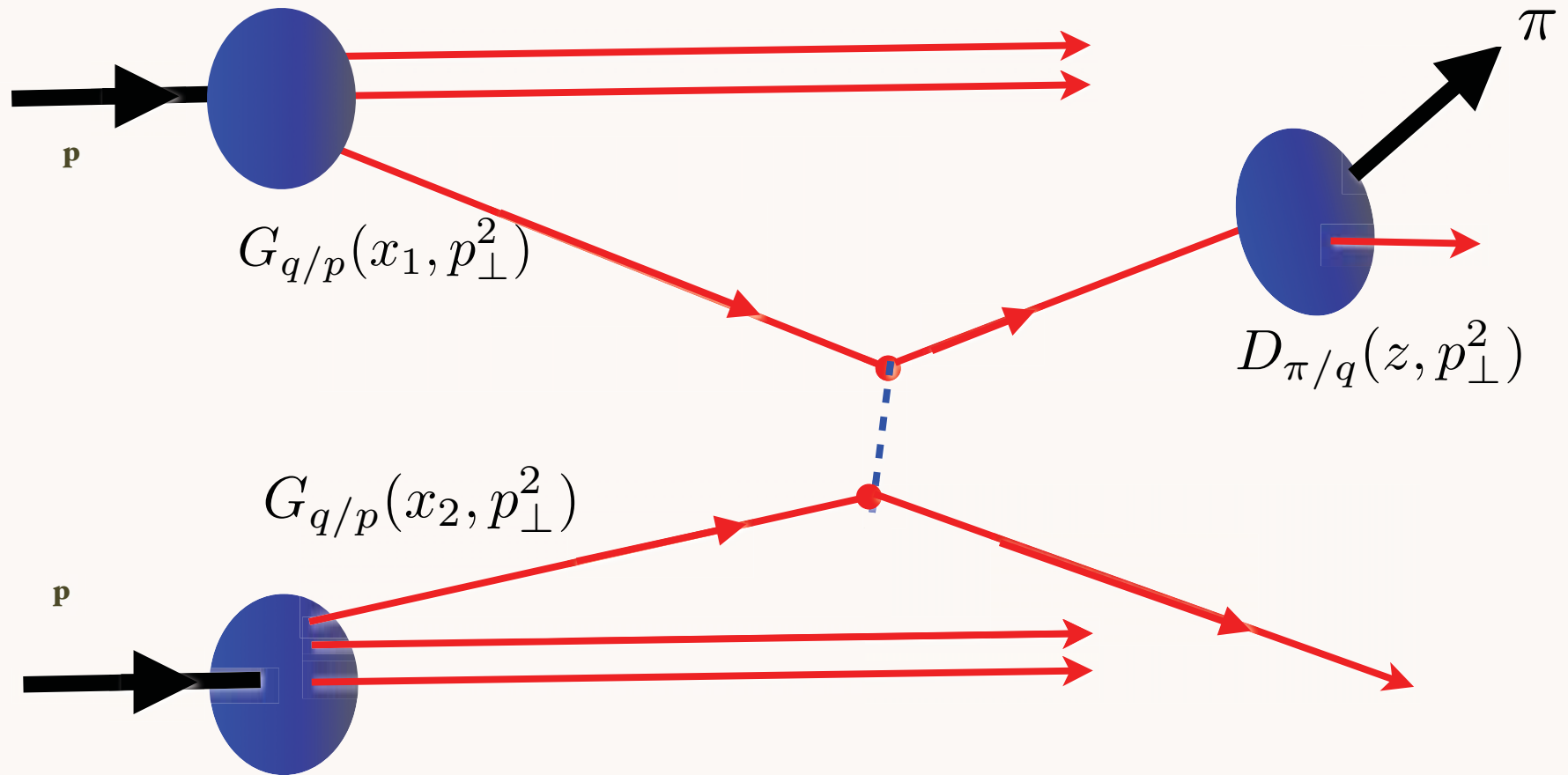
$pp \rightarrow \gamma X$

$$E \frac{d\sigma}{d^3p}(pp \rightarrow \gamma X) = \frac{F(\theta_{cm}, x_T)}{p_T^4}$$

$gu \rightarrow \gamma u$



# Leading-Twist Contribution to Hadron Production

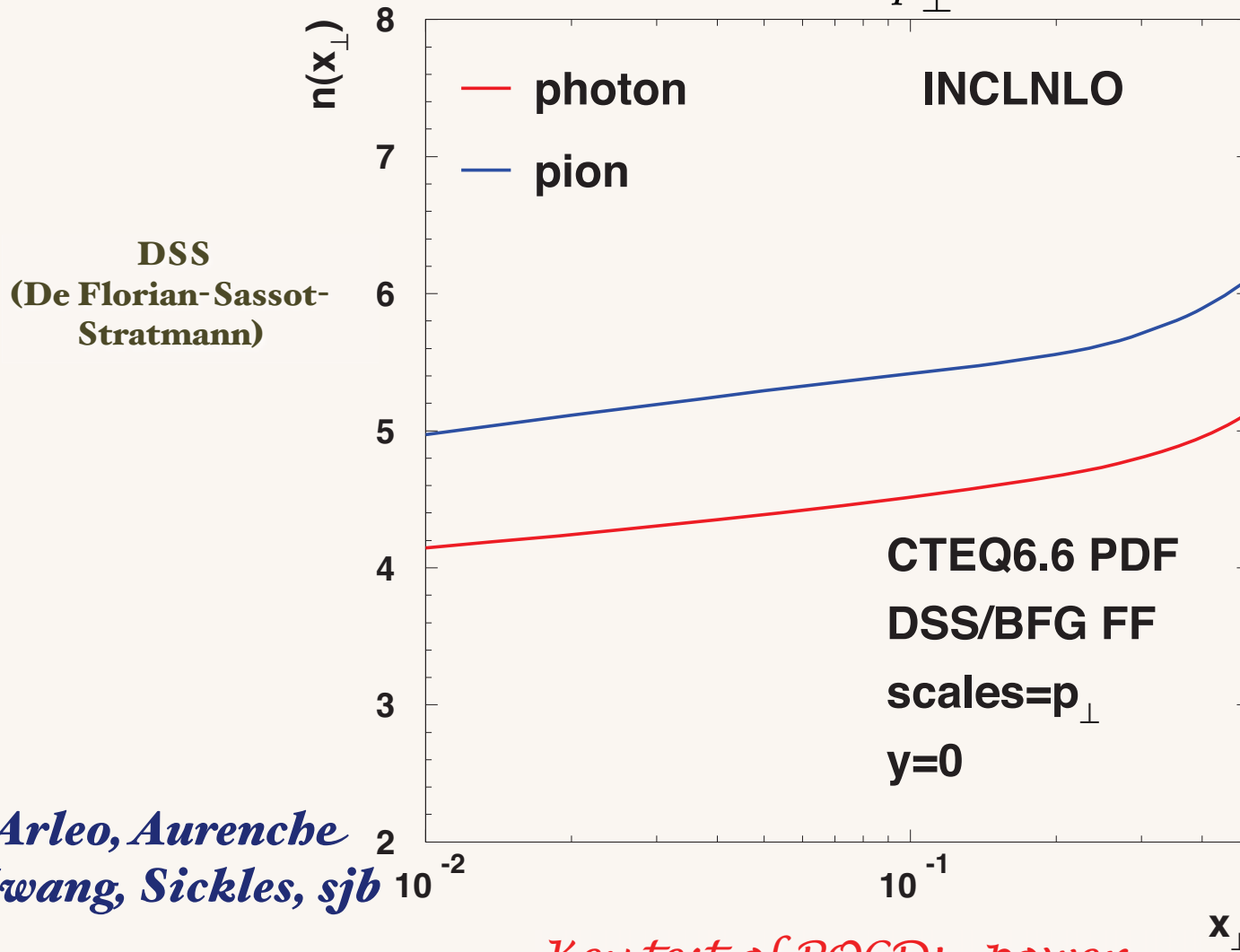


*Parton model and Conformal Scaling:*

$$\frac{d\sigma}{d^3 p / E} = \alpha_s^2 \frac{F(x_{\perp}, y)}{p_{\perp}^4}$$

QCD prediction: Modification of power fall-off due to DGLAP evolution and the Running Coupling

$$\frac{d\sigma}{d^3p/E} = \frac{F(x_{\perp}, y)}{p_{\perp}^{n(x_{\perp})}}$$



$$pp \rightarrow \pi X$$

$$pp \rightarrow \gamma X$$

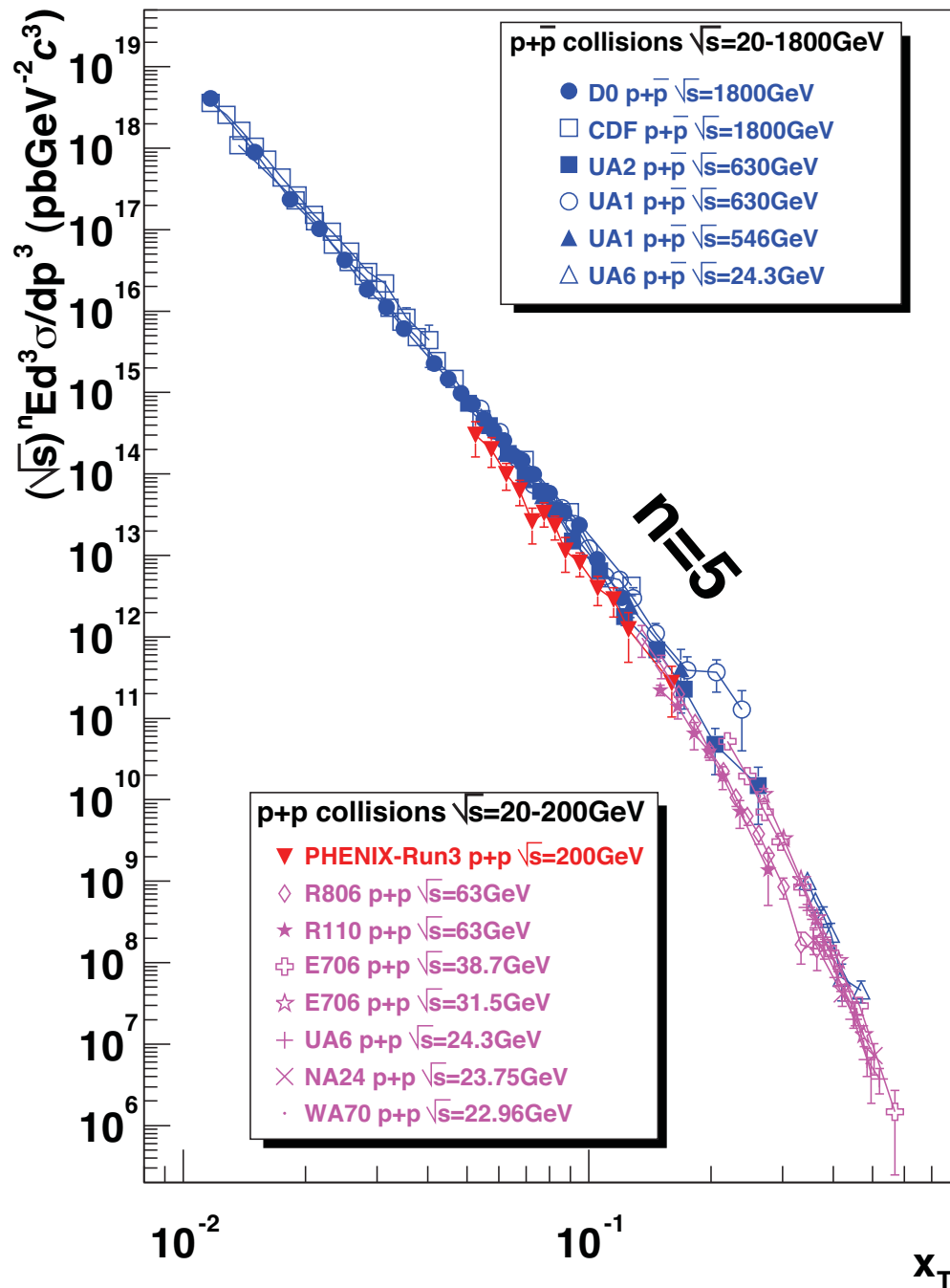
$$5 < p_{\perp} < 20 \text{ GeV}$$

$$70 \text{ GeV} < \sqrt{s} < 4 \text{ TeV}$$

Key test of pQCD: power-law fall-off at fixed  $x_{\perp}$

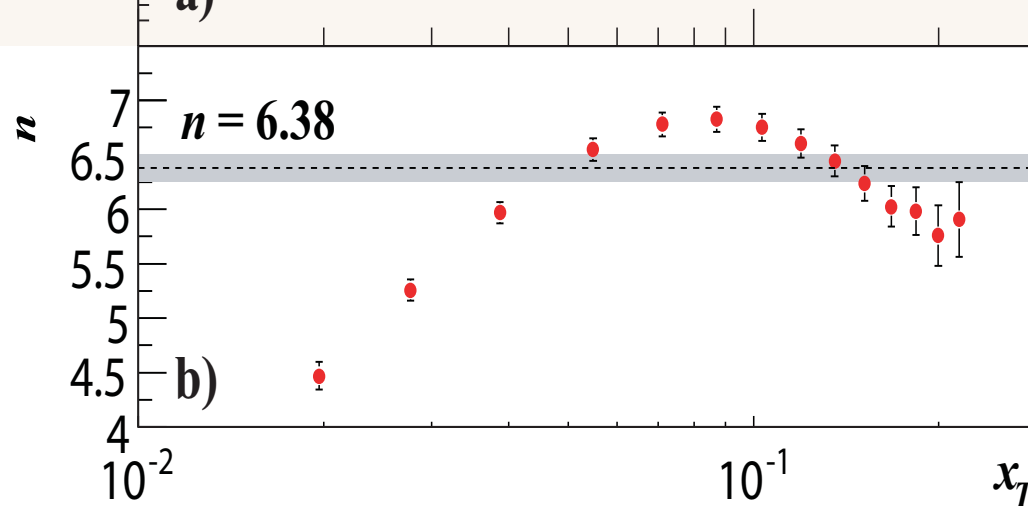
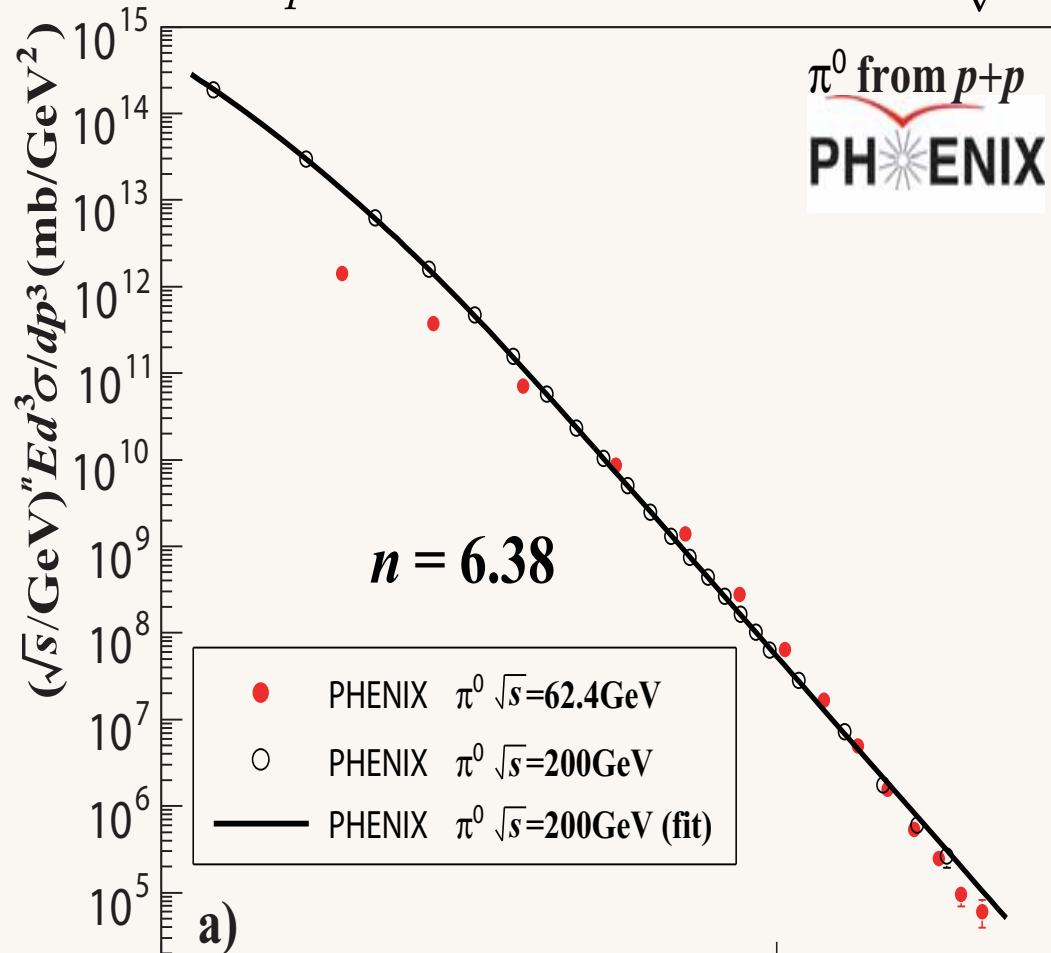
Arleo, Aurenche  
Hwang, Sickles, sjb  
Pirner, Raufeisen, sjb

$$\sqrt{s}^n E \frac{d\sigma}{d^3p} (pp \rightarrow \gamma X) \text{ at fixed } x_T$$



**$x_T$ -scaling of  
direct photon  
production is  
consistent with  
PQCD**

$$\sqrt{s}^n E \frac{d\sigma}{d^3p} (pp \rightarrow \pi^0 X) \text{ at fixed } x_T = \frac{2p_T}{\sqrt{s}}$$

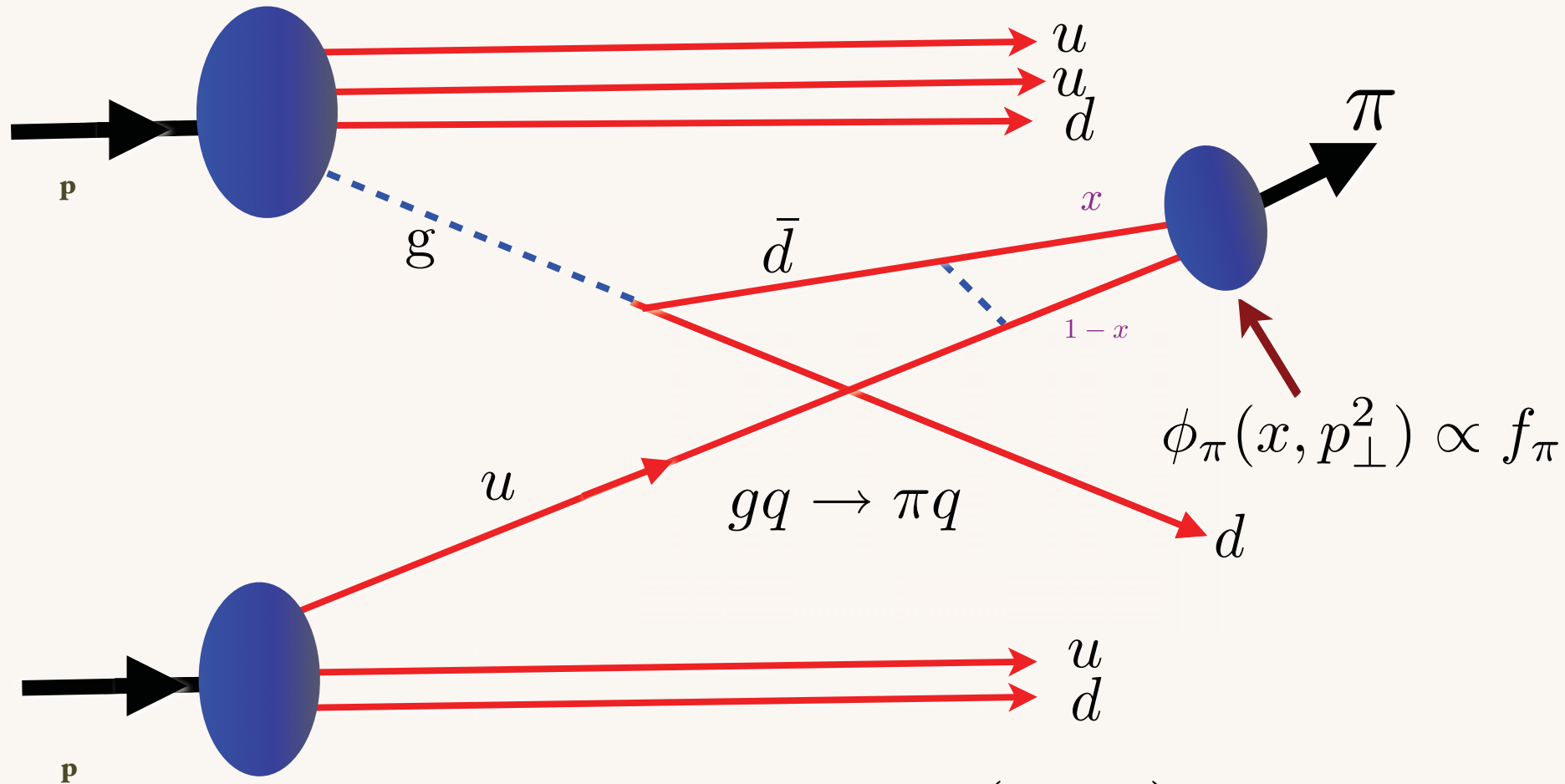


M. J.  
Tannenbaum

PHENIX  
62.4 and 200  
GeV data



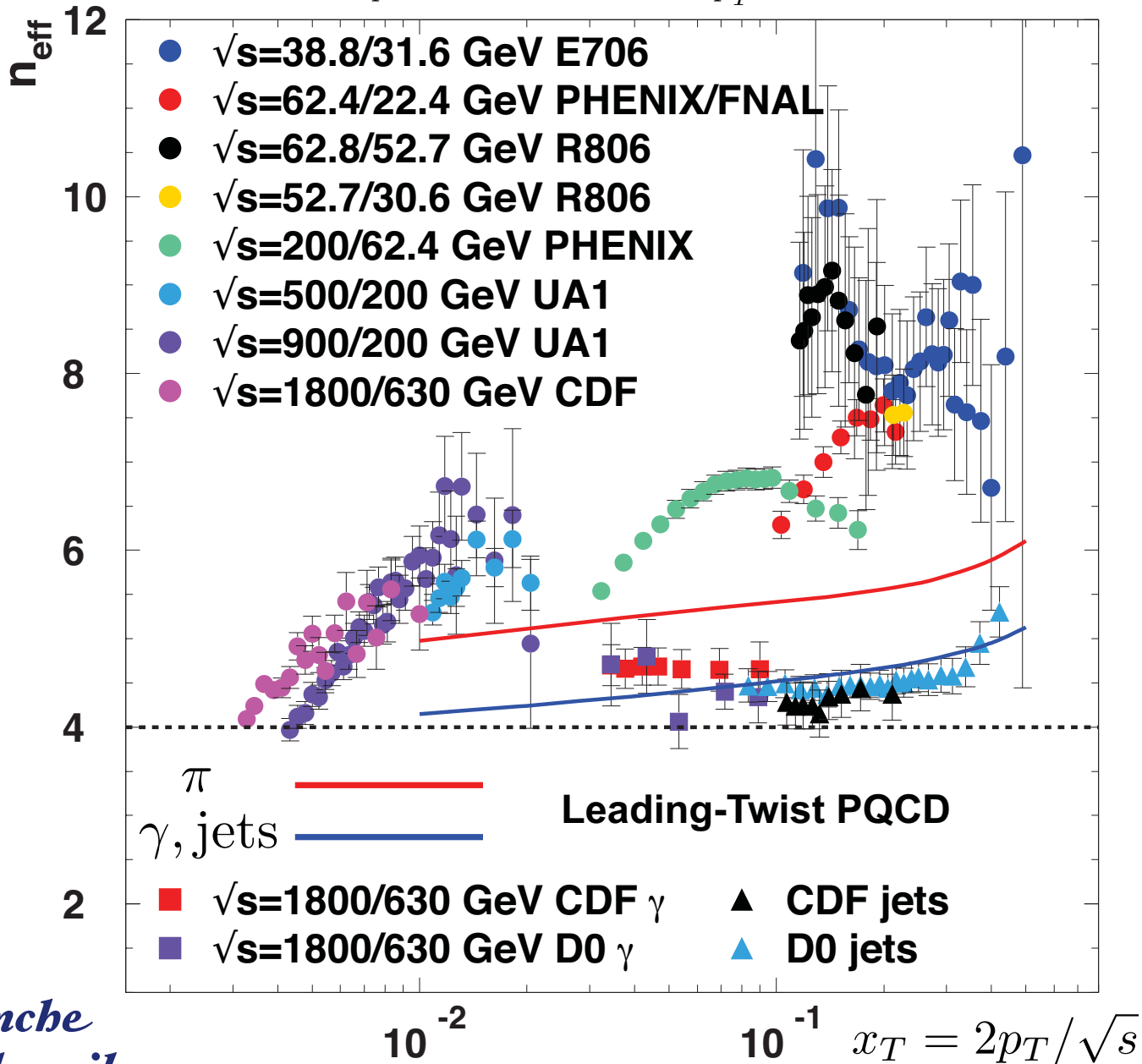
# Higher-Twist Contribution to Hadron Production



$$\frac{d\sigma}{d^3 p/E} = \alpha_s^3 f_\pi^2 \frac{F(x_\perp, y)}{p_\perp^6}$$

No Fragmentation Function

$$E \frac{d\sigma}{d^3p}(pp \rightarrow HX) = \frac{F(x_T, \theta_{CM} = \pi/2)}{p_T^{n_{\text{eff}}}}$$



*Arleo, Aurenche  
Hwang, Sickles, sjb*

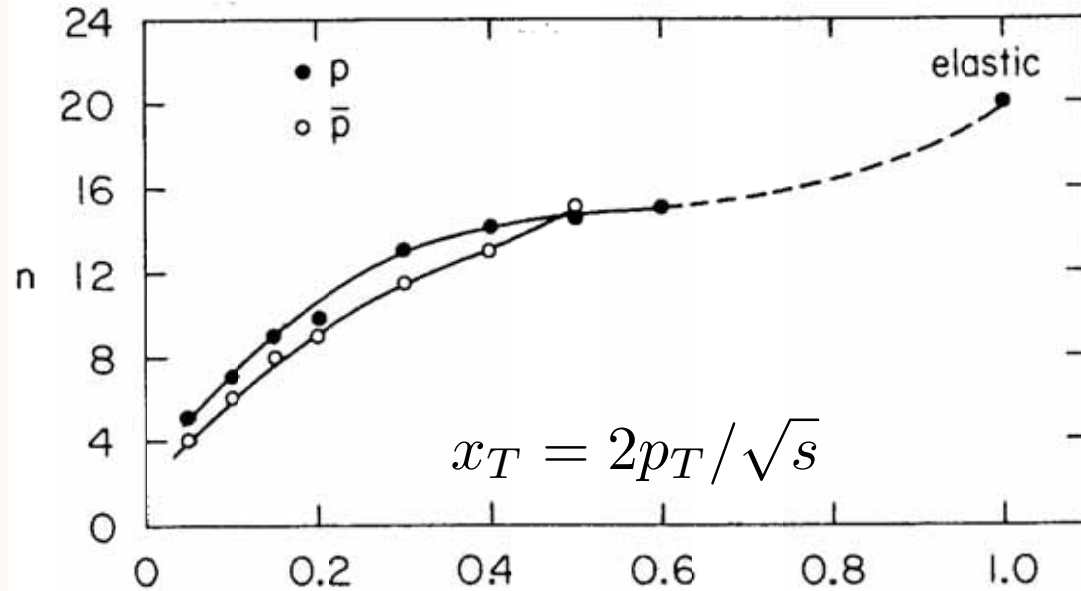
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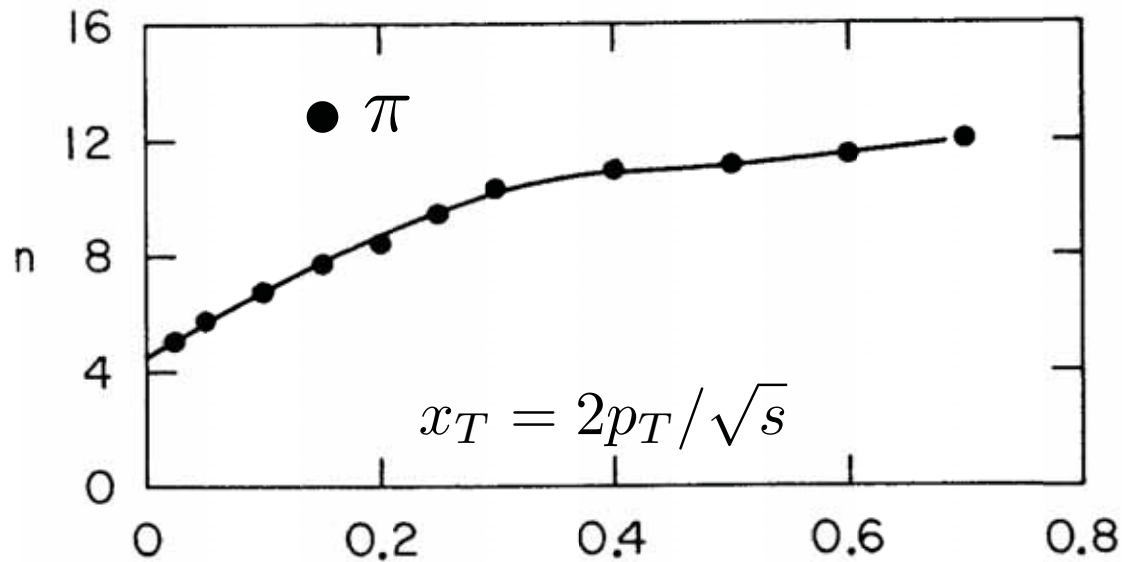
Stan Brodsky  
SLAC

$$E \frac{d\sigma}{d^3p} (pp \rightarrow HX) = \frac{F(x_T, \theta_{cm} = \pi/2)}{p_T^n}$$

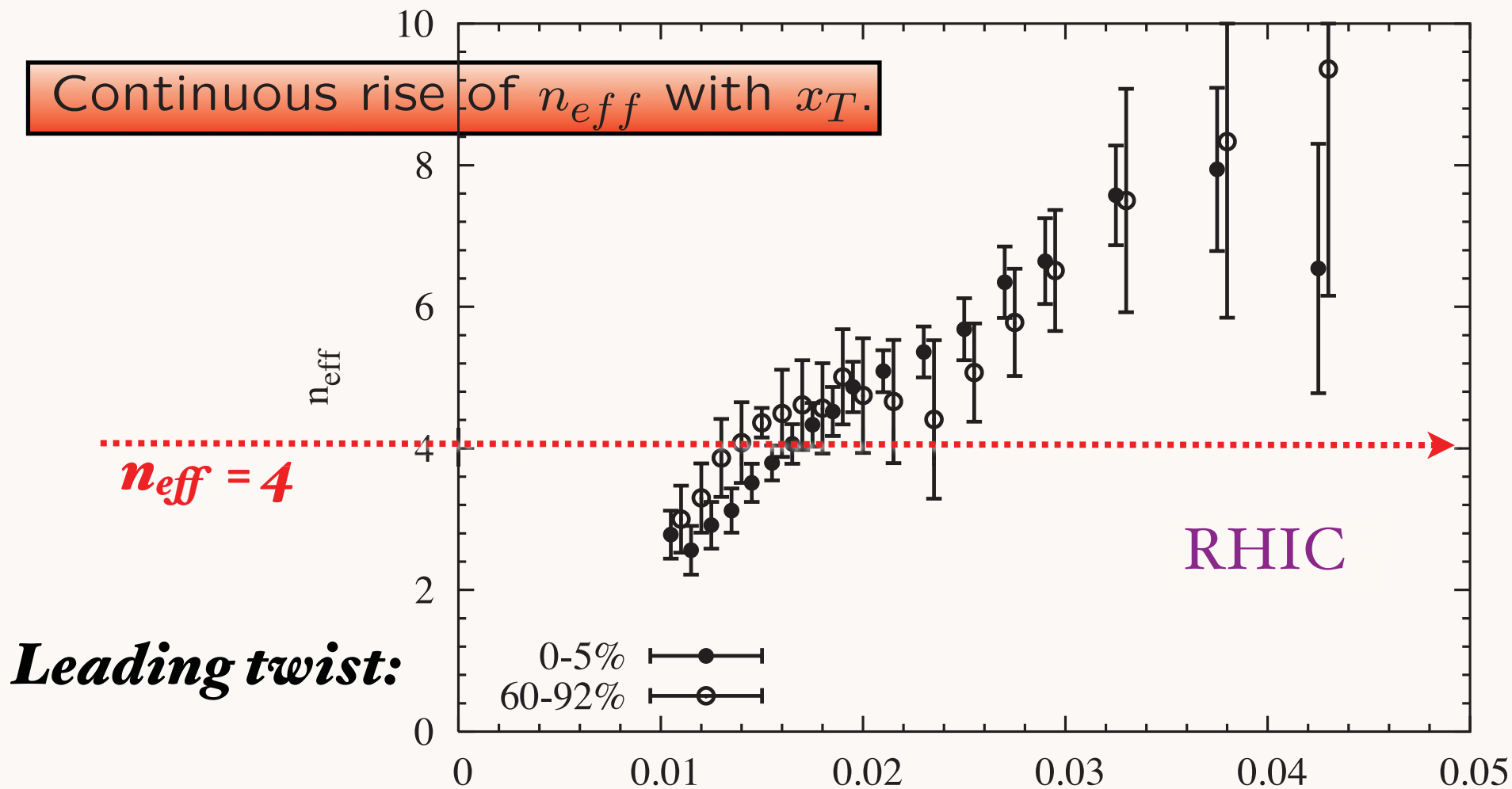


*Clear evidence  
for higher-twist  
contributions*

**J. W. Cronin, SSI 1974**



Protons produced in AuAu collisions at RHIC do not exhibit clear scaling properties in the available  $p_T$  range. Shown are data for central (0 – 5%) and for peripheral (60 – 90%) collisions.



$$E \frac{d\sigma}{d^3p}(pN \rightarrow pX) = \frac{F(x_T, \theta_{CM})}{p_T^{n_{eff}}}$$

*Baryon can be made directly within hard subprocess*

**Coalescence  
within hard  
subprocess**

**Bjorken  
Blankenbecler, Gunion, sjb  
Berger, sjb  
Hoyer, et al: Semi-Exclusive**

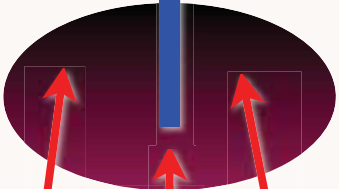
**p**

$$uu \rightarrow p d \bar{d}$$

$$\phi_p(x_1, x_2, x_3) \propto \Lambda_{QCD}^2$$

*Small color-singlet  
Color Transparent  
Minimal same-side energy*

**u**



**d**

**u**

*Collision can produce 3  
collinear quarks*

$$n_{\text{active}} = 6$$

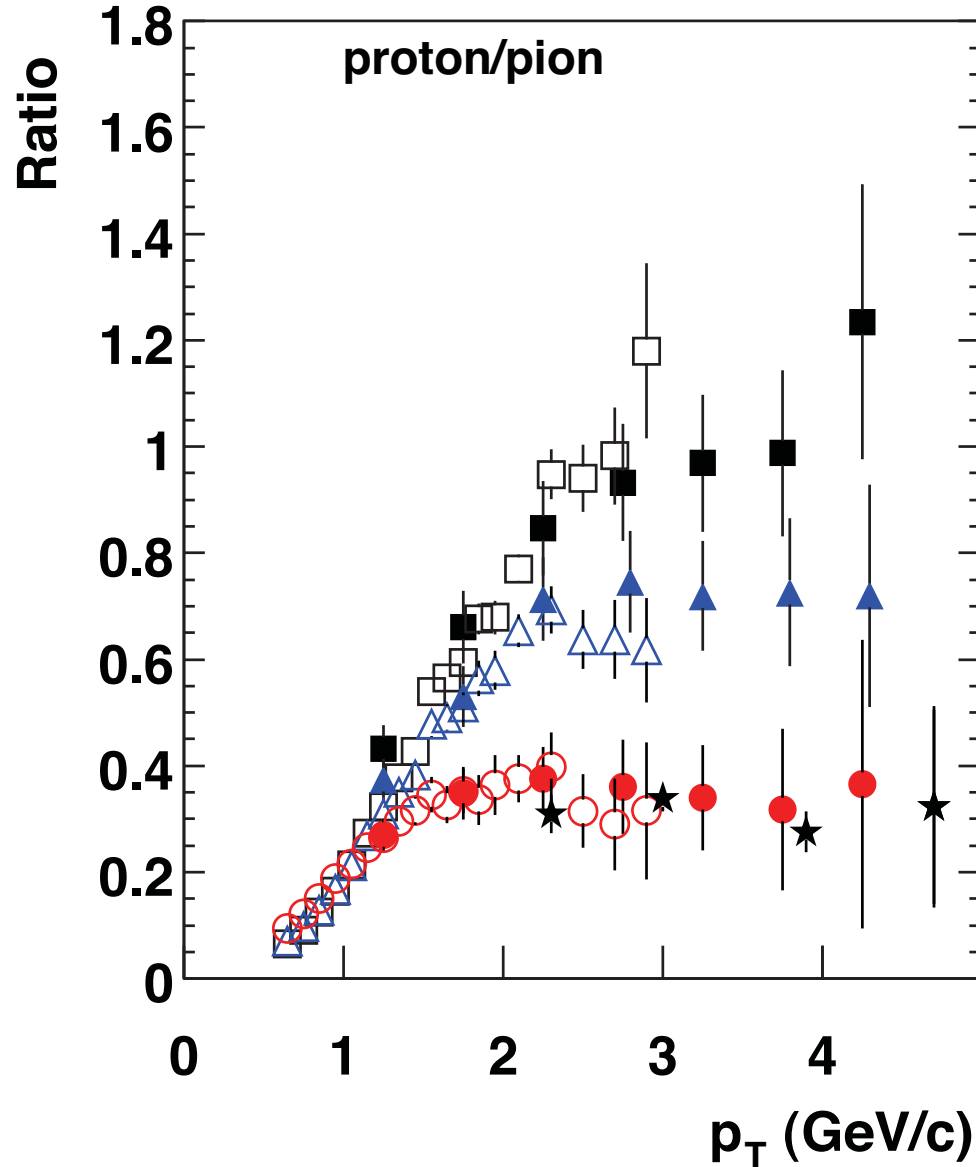
$$qq \rightarrow B \bar{q}$$

$$n_{\text{eff}} = 2n_{\text{active}} - 4$$

$$n_{\text{eff}} = 8$$

**d**

*Particle ratio changes with centrality!*



*Protons less absorbed  
in nuclear collisions than pions  
because of dominant  
color transparent higher twist process*

← **Central**

- ■ Au+Au 0-10%
- △ ▲ Au+Au 20-30%
- ● Au+Au 60-92%
- ★ p+p,  $\sqrt{s} = 53$  GeV, ISR
- e<sup>+</sup>e<sup>-</sup>, gluon jets, DELPHI
- ..... e<sup>+</sup>e<sup>-</sup>, quark jets, DELPHI

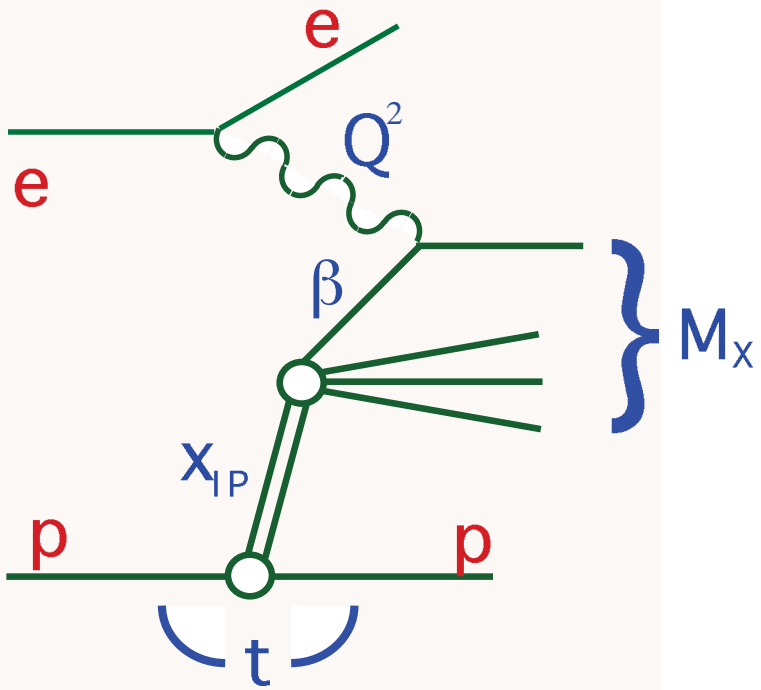
← **Peripheral**

*Tannenbaum:  
"Baryon Anomaly"*

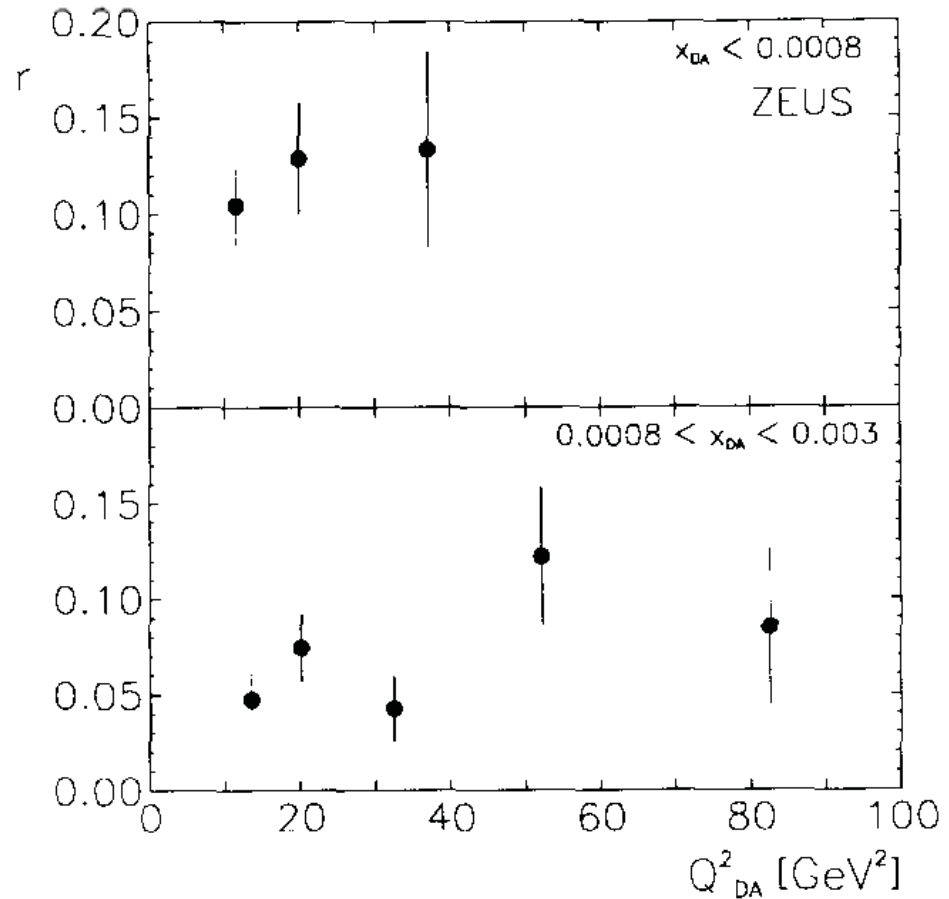
# *Evidence for Direct, Higher-Twist Subprocesses*

- Anomalous power behavior at fixed  $x_T$
- Protons more likely to come from direct subprocess than pions
- Protons less absorbed than pions in central nuclear collisions because of **color transparency**
- Predicts increasing proton to pion ratio in central collisions -- seen at RHIC
- Exclusive-inclusive connection at  $x_T = 1$

# Remarkable observation at HERA



10% to 15%  
of DIS events  
are  
diffractive!



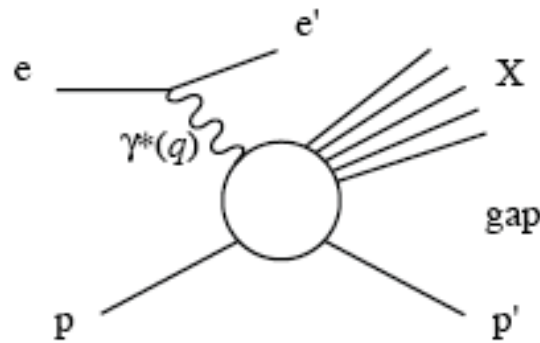
Fraction  $r$  of events with a large rapidity gap,  $\eta_{\max} < 1.5$ , as a function of  $Q^2_{DA}$  for two ranges of  $x_{DA}$ . No acceptance corrections have been applied.

M. Derrick et al. [ZEUS Collaboration], Phys. Lett. B 315, 481 (1993).



# DDIS

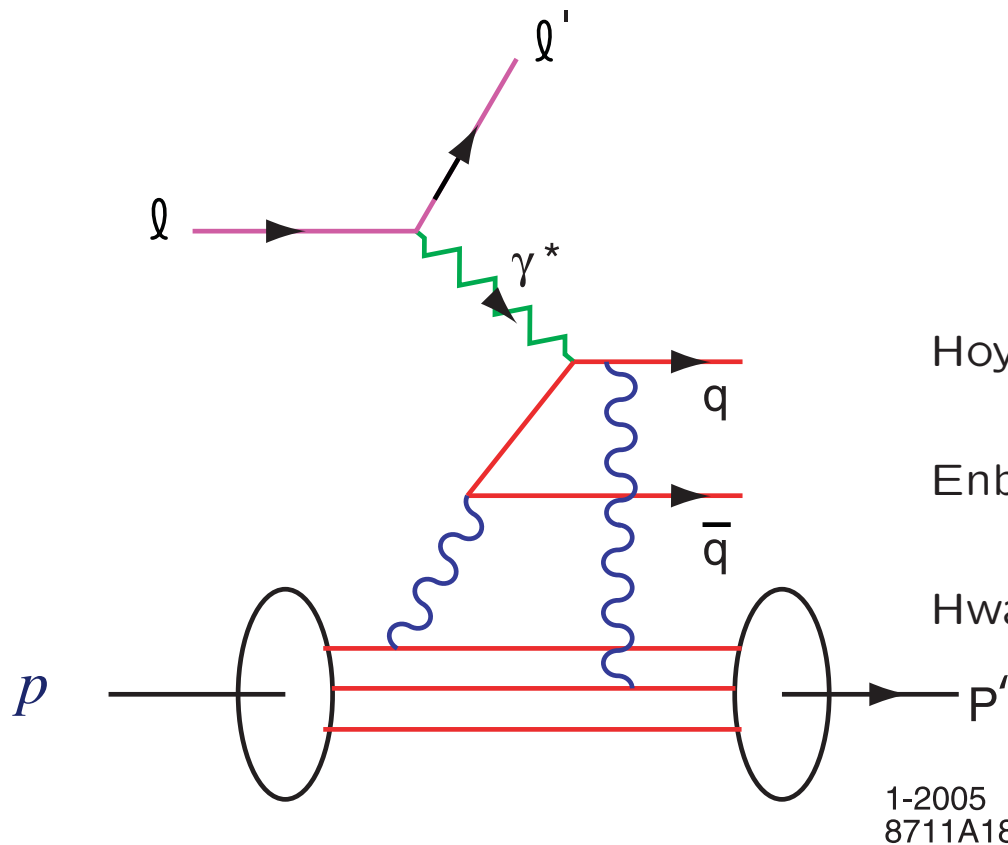
*Diffractive Deep Inelastic  
Lepton-Proton Scattering*



- In a large fraction ( $\sim 10\text{--}15\%$ ) of DIS events, the proton escapes intact, keeping a large fraction of its initial momentum
- This leaves a large *rapidity gap* between the proton and the produced particles
- The  $t$ -channel exchange must be *color singlet*  $\rightarrow$  a *pomeron*

**Profound effect: target stays intact despite production of a massive system X**

# Final-State Interaction Produces Diffractive DIS



## Quark Rescattering

Hoyer, Marchal, Peigne, Sannino, SJB (BHM)

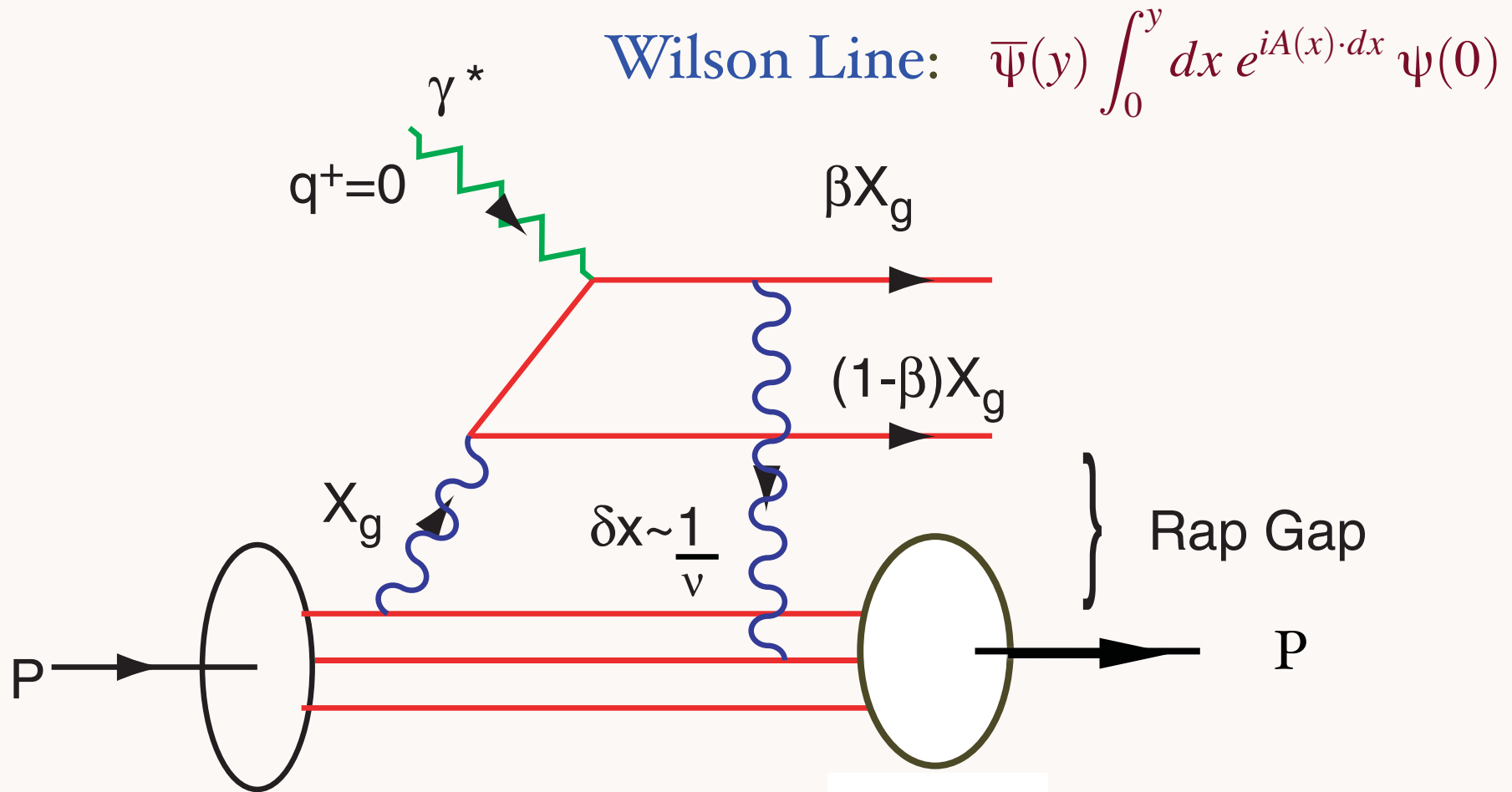
Enberg, Hoyer, Ingelman, SJB

Hwang, Schmidt, SJB

1-2005  
8711A18

## Low-Nussinov model of Pomeron

# QCD Mechanism for Rapidity Gaps



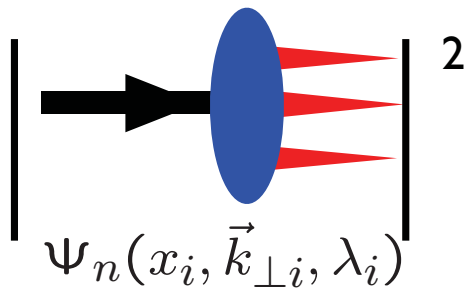
**Reproduces lab-frame color dipole approach**

# Physics of Rescattering

- Sivers Asymmetry and Diffractive DIS: New Insights into Final State Interactions in QCD
- Origin of Hard Pomeron
- Structure Functions not Probability Distributions! *Not square of LFWFs*
- T-odd SSAs, Shadowing, Antishadowing
- Diffractive dijets/ trijets, doubly diffractive Higgs
- Novel Effects: Color Transparency, Color Opaqueness, Intrinsic Charm, Odderon

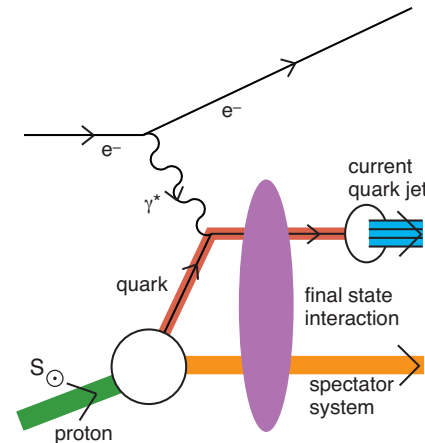
# Static

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# Dynamic

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- Hard Pomeron and Odderon Diffractive DIS



# Key QCD FAIR Experiment

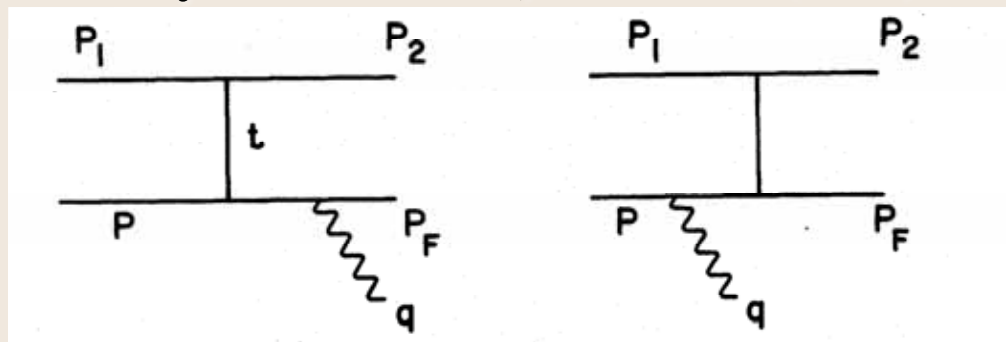
Double-Diffractive Drell-Yan

$$\bar{p}p \rightarrow \bar{p} + \ell^+ \ell^- + p$$

**Large-Mass Timelike Muon Pairs in Hadronic Interactions**

S. M. Berman\*, D. J. Levy, and T. L. Neff§

Phys. Rev. Lett. 23, 1363–1365 (1969)



Prototype for exclusive Higgs production

$$|p, S_z\rangle = \sum_{n=3} \Psi_n(x_i, \vec{k}_{\perp i}, \lambda_i) |n; \vec{k}_{\perp i}, \lambda_i\rangle$$

*sum over states with  $n=3, 4, \dots$  constituents*

The Light Front Fock State Wavefunctions

$$\Psi_n(x_i, \vec{k}_{\perp i}, \lambda_i)$$

are boost invariant; they are independent of the hadron's energy and momentum  $P^\mu$ .

The light-cone momentum fraction

$$x_i = \frac{k_i^+}{p^+} = \frac{k_i^0 + k_i^z}{P^0 + P^z}$$

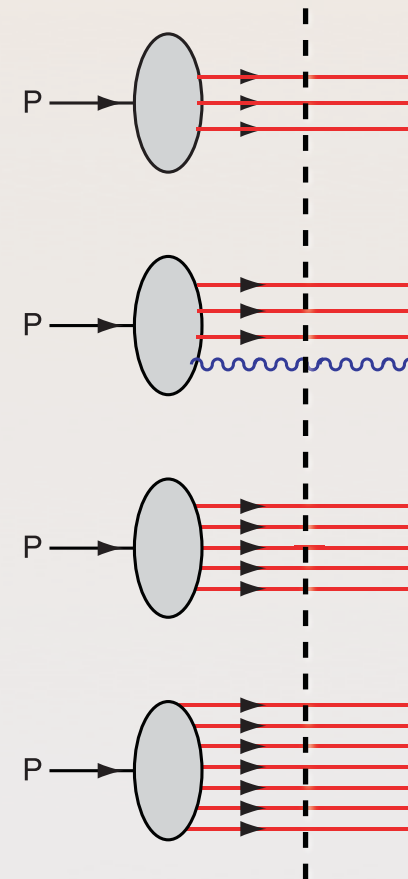
are boost invariant.

$$\sum_i^n k_i^+ = P^+, \quad \sum_i^n x_i = 1, \quad \sum_i^n \vec{k}_{i\perp} = \vec{0}^\perp.$$

**Intrinsic heavy quarks,**

$$\bar{s}(x) \neq s(x)$$

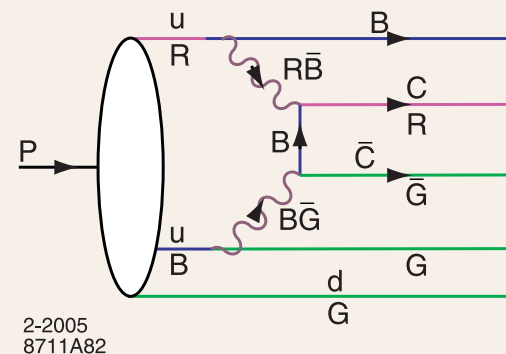
$$\bar{u}(x) \neq \bar{d}(x)$$



*Fixed LF time*

# Intrinsic Heavy-Quark Fock States

- Rigorous prediction of QCD, OPE
- Color-Octet Color-Octet Fock State!

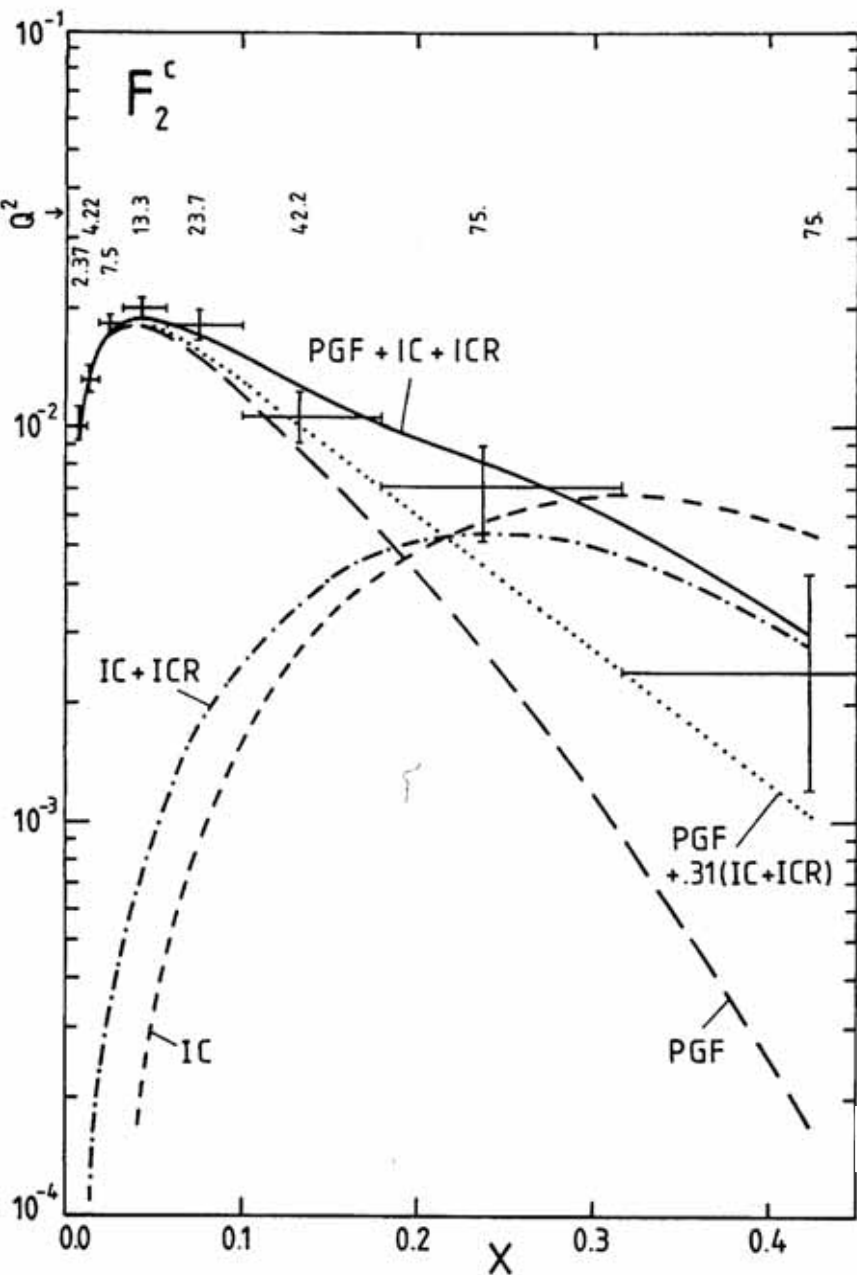


- Probability  $P_{Q\bar{Q}} \propto \frac{1}{M_Q^2}$   $P_{Q\bar{Q}Q\bar{Q}} \sim \alpha_s^2 P_{Q\bar{Q}}$   $P_{c\bar{c}/p} \simeq 1\%$
- Large Effect at high x
- Greatly increases kinematics of colliders such as Higgs production (Kopeliovich, Schmidt, Soffer, sjb)
- Severely underestimated in conventional parameterizations of heavy quark distributions (Pumplin, Tung)
- Many empirical tests



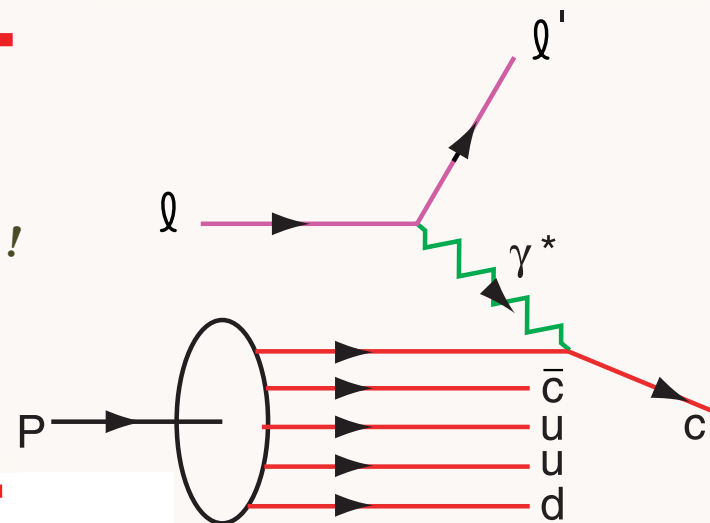
# Measurement of Charm Structure Function

J. J. Aubert et al. [European Muon Collaboration], "Production Of Charmed Particles In 250-GeV Mu+ - Iron Interactions," Nucl. Phys. B 213, 31 (1983).



## First Evidence for Intrinsic Charm

factor of 30!

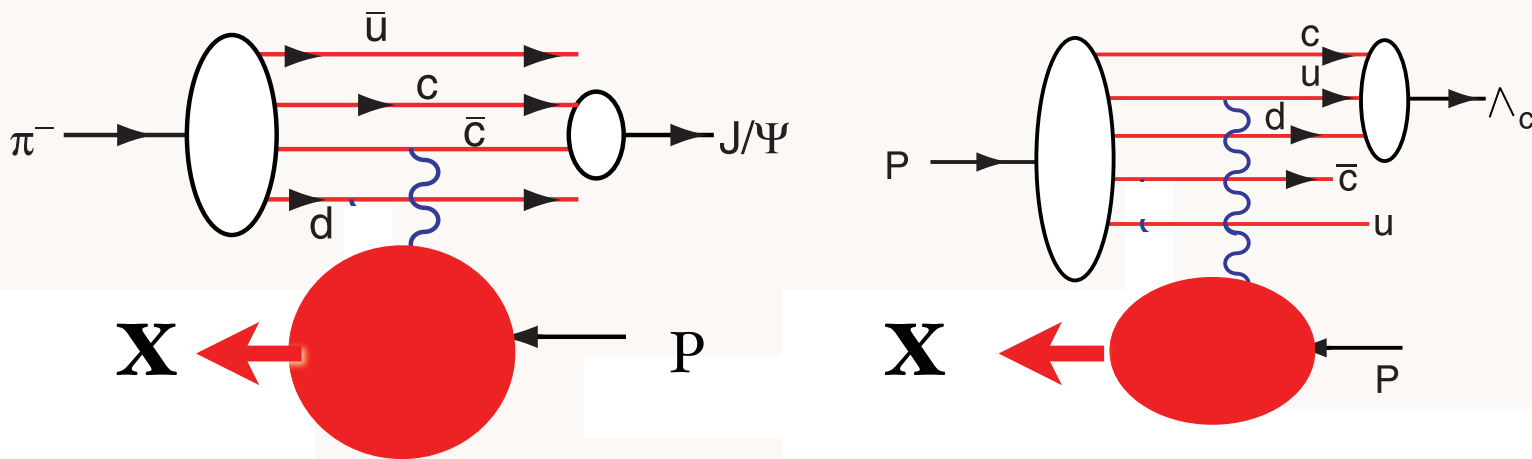


**DGLAP / Photon-Gluon Fusion: factor of 30 too small**

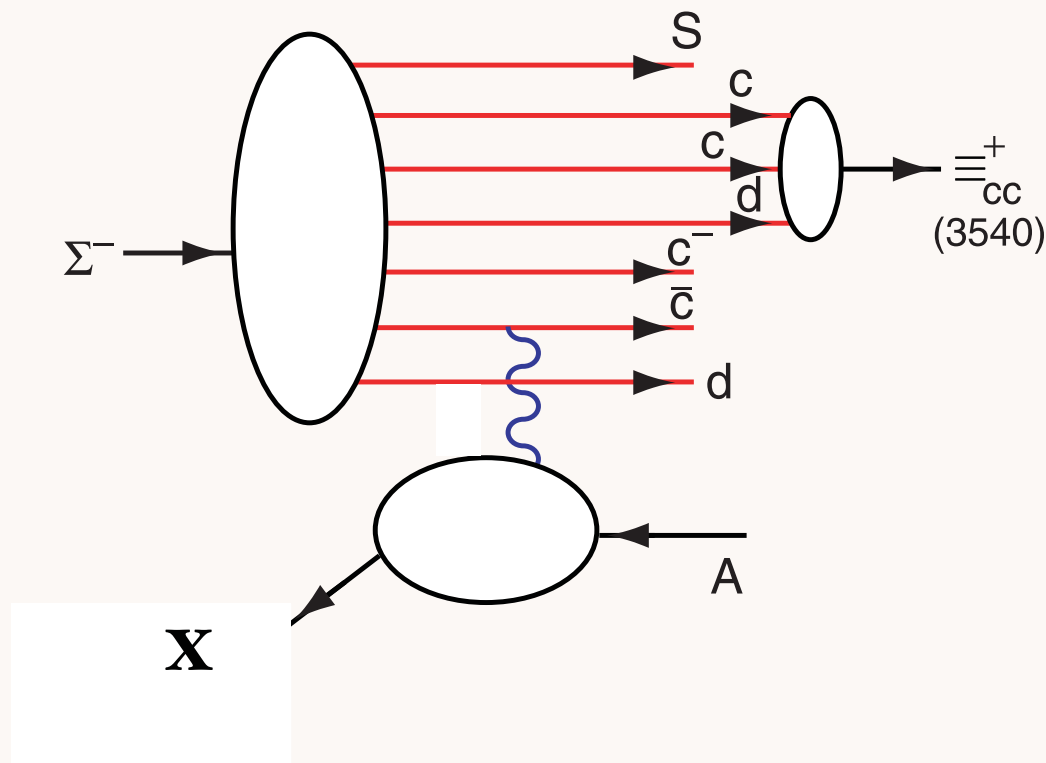
- EMC data:  $c(x, Q^2) > 30 \times \text{DGLAP}$   
 $Q^2 = 75 \text{ GeV}^2, x = 0.42$
- High  $x_F$   $pp \rightarrow J/\psi X$
- High  $x_F$   $pp \rightarrow J/\psi J/\psi X$
- High  $x_F$   $pp \rightarrow \Lambda_c X$
- High  $x_F$   $pp \rightarrow \Lambda_b X$
- High  $x_F$   $pp \rightarrow \Xi(ccd)X$  (SELEX)

**C.H. Chang, J.P. Ma, C.F. Qiao and X.G. Wu,  
Hadronic production of the doubly charmed baryon  $\Xi_{cc}$  with  
intrinsic charm,” arXiv:hep-ph/0610205.**

# Leading Hadron Production from Intrinsic Charm



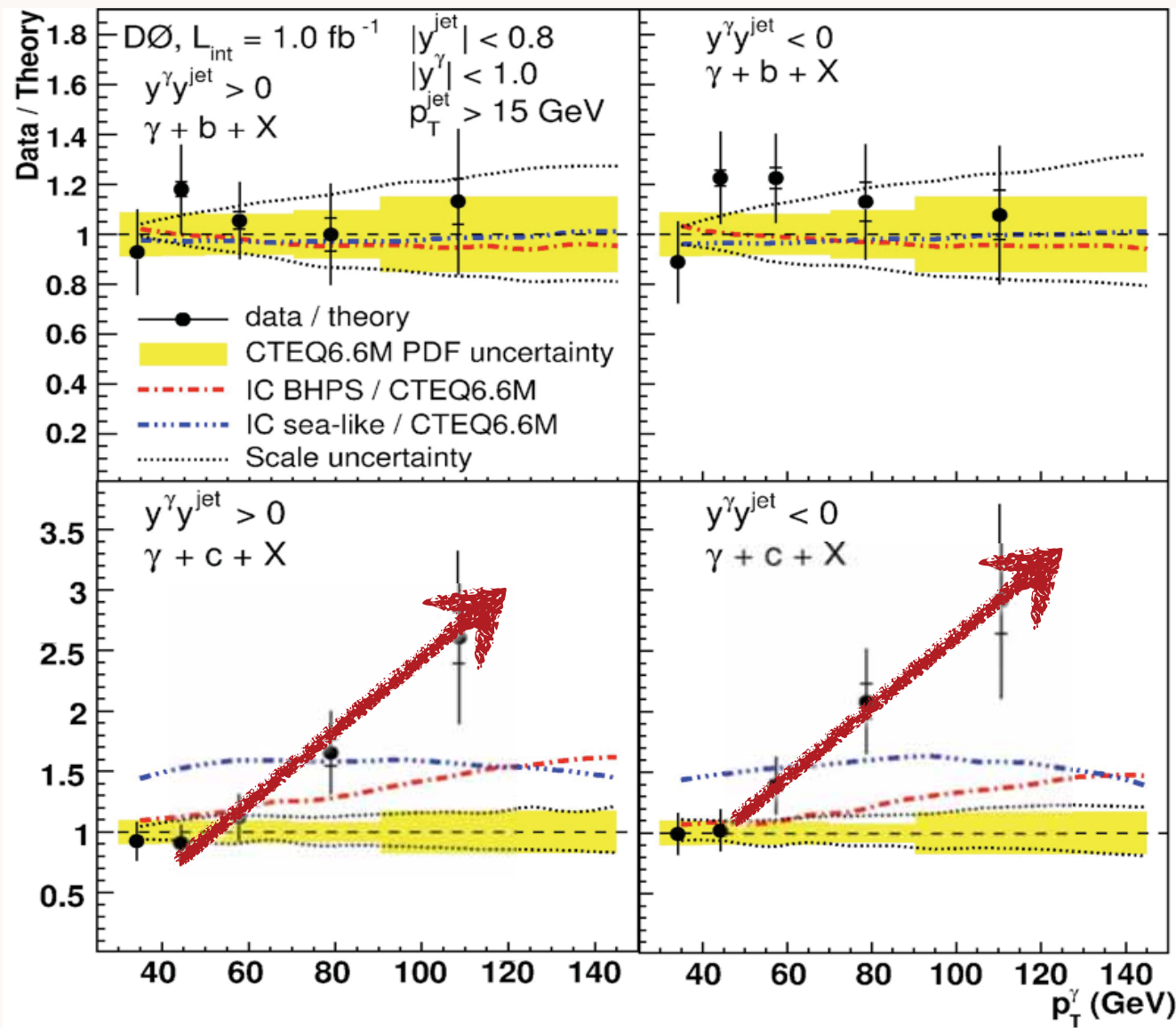
Coalescence of Comoving Charm and Valence Quarks  
Produce  $J/\psi$ ,  $\Lambda_c$  and other Charm Hadrons at High  $x_F$



## *Production of a Double-Charm Baryon*

**SELEX high  $x_F$**        $\langle x_F \rangle = 0.33$

Measurement of  $\gamma + b + X$  and  $\gamma + c + X$  Production Cross Sections  
in  $p\bar{p}$  Collisions at  $\sqrt{s} = 1.96$  TeV



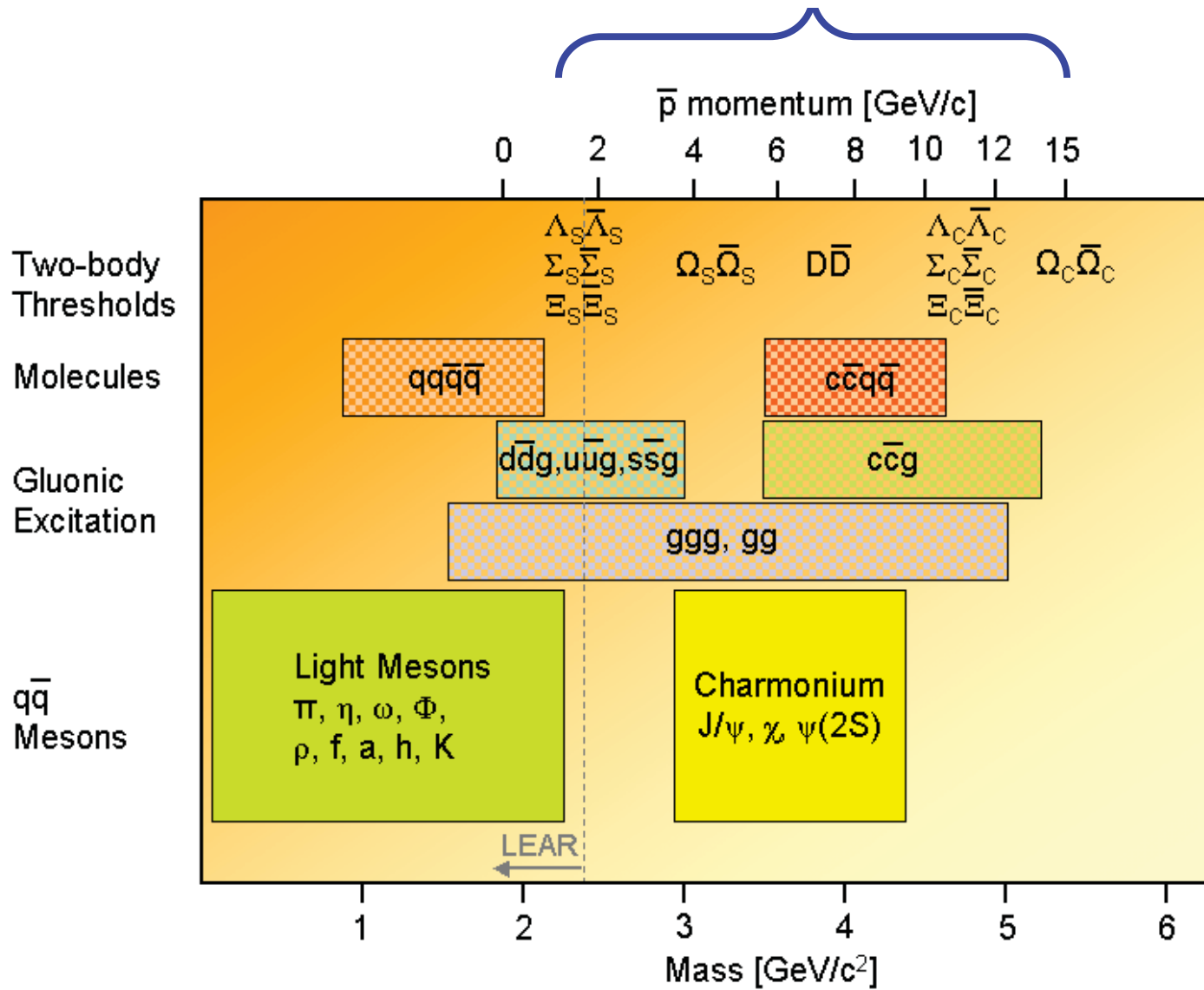
$$\frac{\Delta\sigma(\bar{p}p \rightarrow \gamma c X)}{\Delta\sigma(\bar{p}p \rightarrow \gamma b X)}$$

**Ratio  
insensitive to  
gluon PDF,  
scales**

**Signal for  
significant IC  
at  $x > 0.1$  ?**



# Mass and Anti-Proton Momentum Range at PAX, PANDA

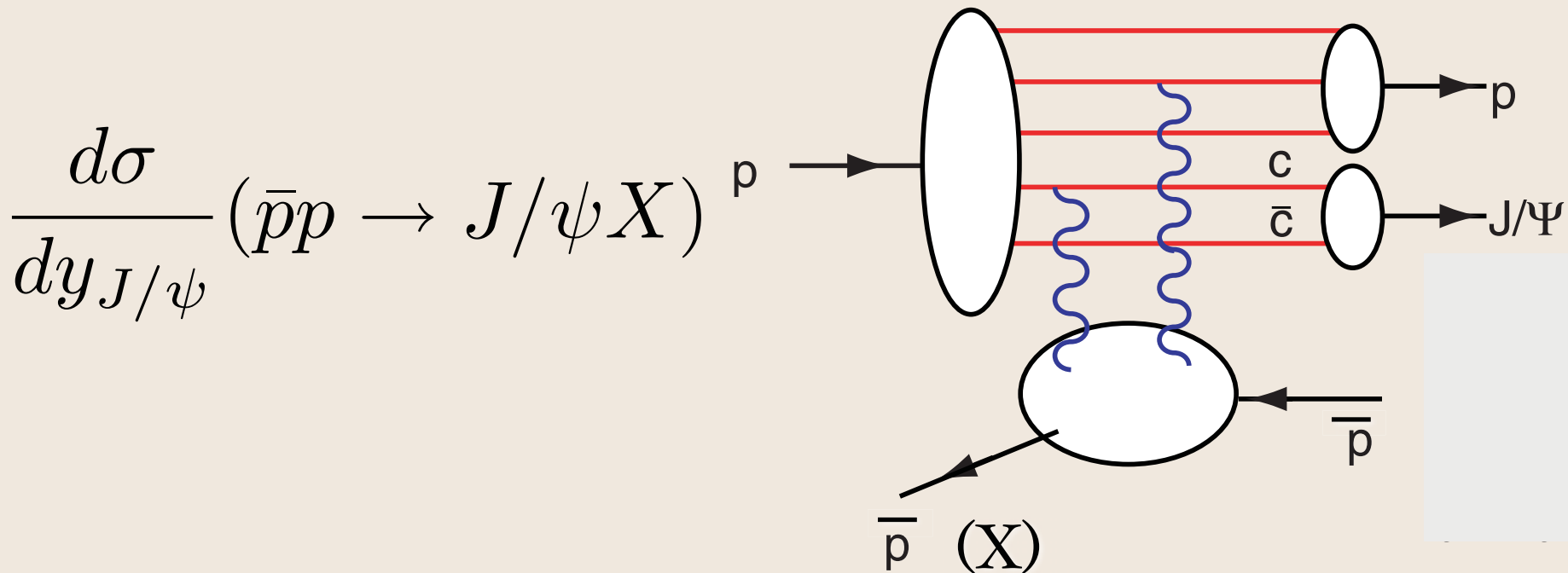


- Production of open charm
- Charmed hybrids
- Glueballs
- Charmonium

*Michael Düren*

# Key QCD FAIR Experiment

J-P Lansberg, sjb



Heavy Quarkonium produced in **TARGET** rapidity region

**Important Test of Intrinsic Charm**



# Key QCD FAIR Experiment

Measure diffractive hidden charm production at forward  $x_F$

*Even close to threshold*

$$\frac{d\sigma}{dt_1 dt_2 dx_F} (\bar{p}p \rightarrow \bar{p} + J/\psi + p)$$

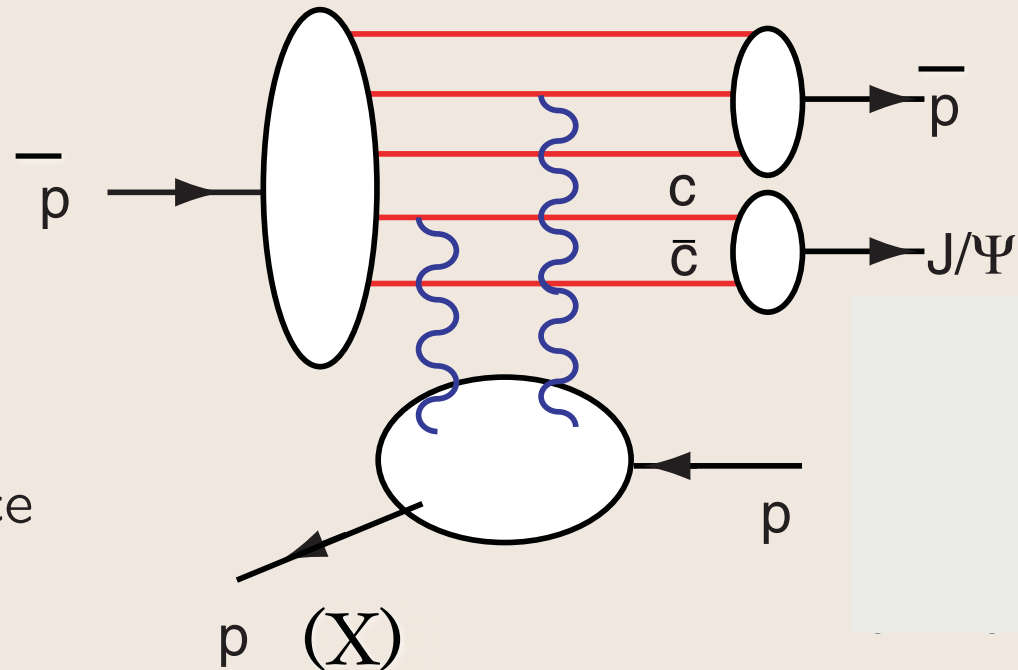
$$\frac{d\sigma}{dt dx_F} (\bar{p}p \rightarrow \bar{p} + J/\psi + X)$$

Anomalous nuclear dependence

$$\frac{d\sigma}{dx_F} (\bar{p}A \rightarrow J/\psi + X)$$

$A^{\alpha(x_2)}$  versus  $A^{\alpha(x_F)}$

## Important Tests of Intrinsic Charm



# Open and Hidden Charm Production Near Threshold

$$\bar{p}p \rightarrow J/\psi X$$

$$\bar{p}p \rightarrow D\bar{D}X$$

$$\bar{p}p \rightarrow \Lambda_c D X$$

- Several Mechanisms for Inclusive Production:

$$gg \rightarrow c\bar{c}$$

$$q\bar{q} \rightarrow g \rightarrow c\bar{c}$$

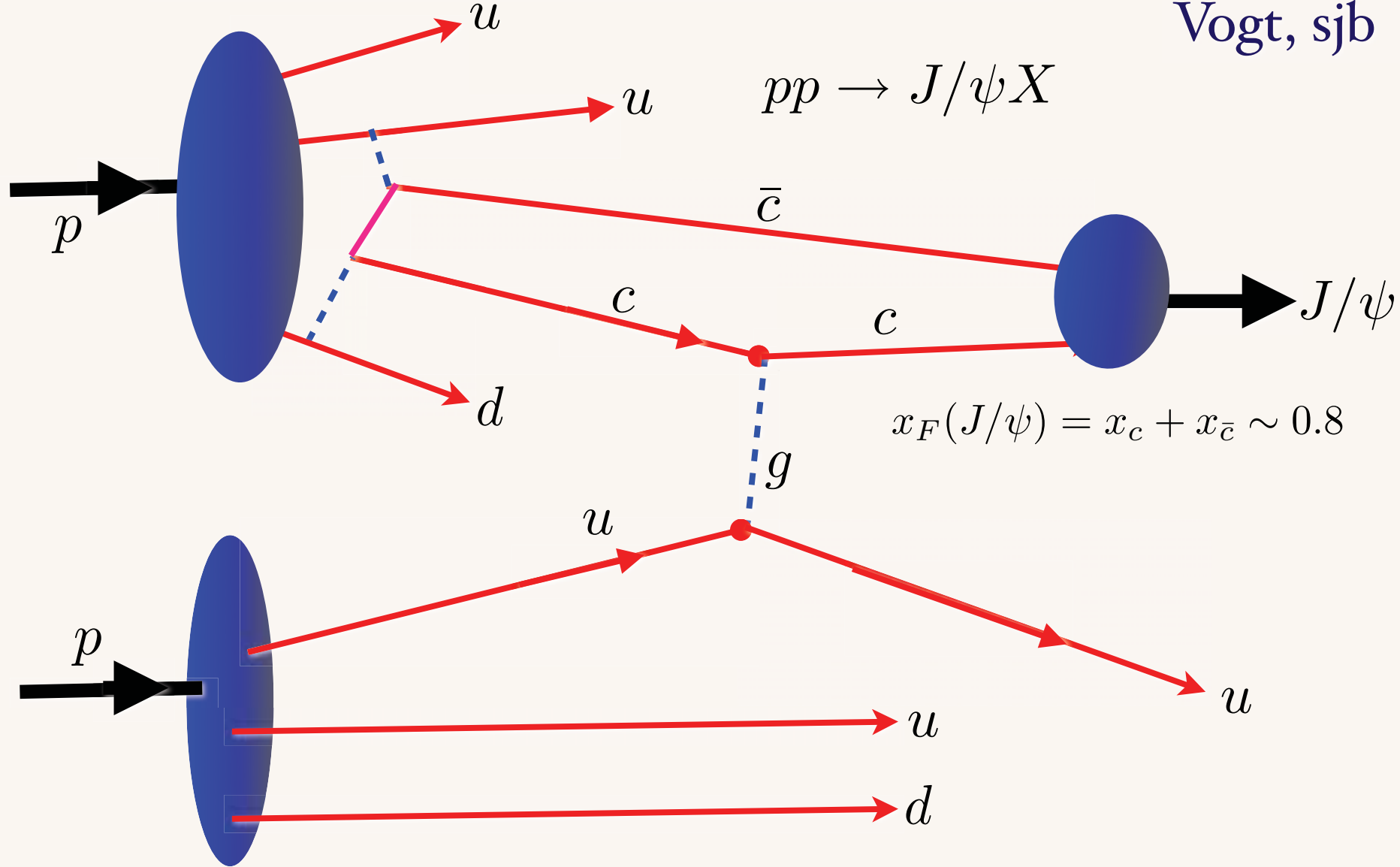
$$c_I + g \rightarrow cg$$

$$[c_I + \bar{c}_I] + g \rightarrow J/\psi$$

*ISI and FSI, Schwinger Sommerfeld Threshold Corrections*

*Intrinsic Heavy Quark Contribution to Quarkonium Hadroproduction at High  $x_F$*

Vogt, sjb



*Maximal Wavefunction Strength at Minimal Invariant Mass : Equal Rapidity*

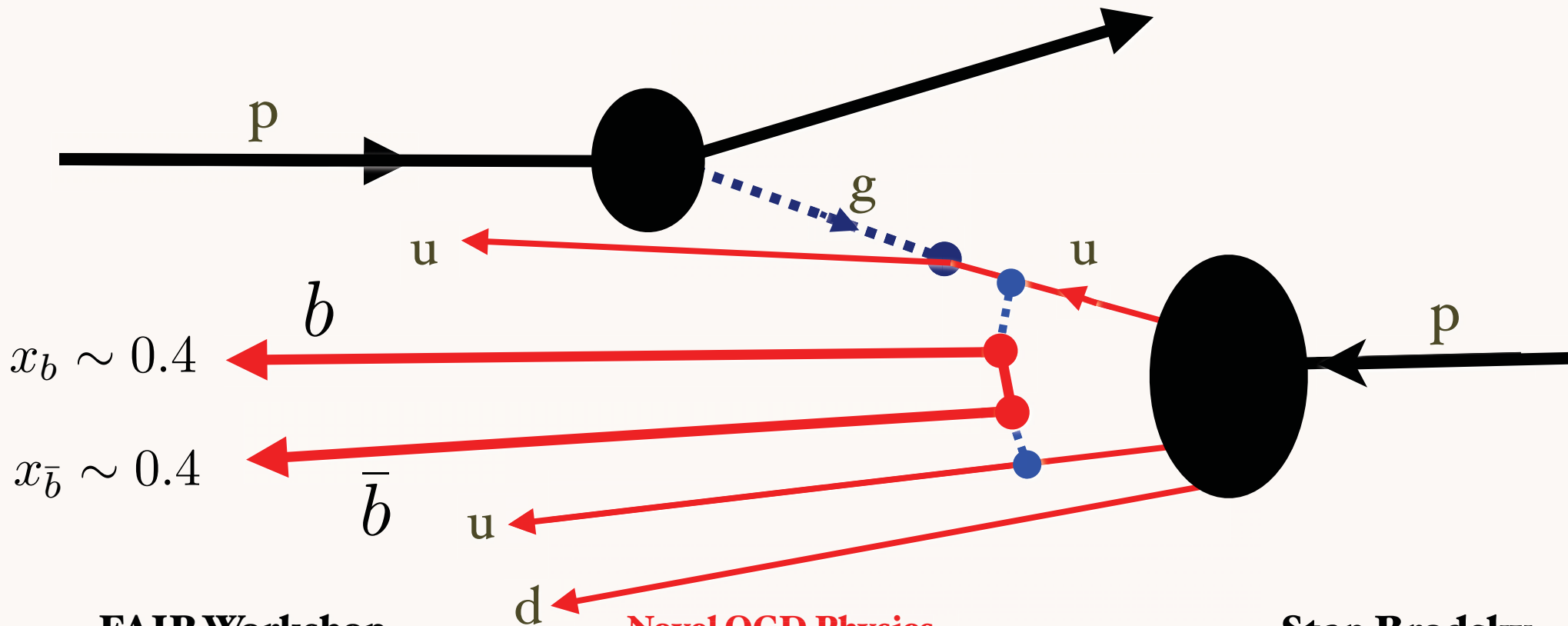
$$x_i \propto \frac{m_{\perp i}}{\sum_j m_{\perp j}}$$

# Excitation of Intrinsic Heavy Quarks in Proton

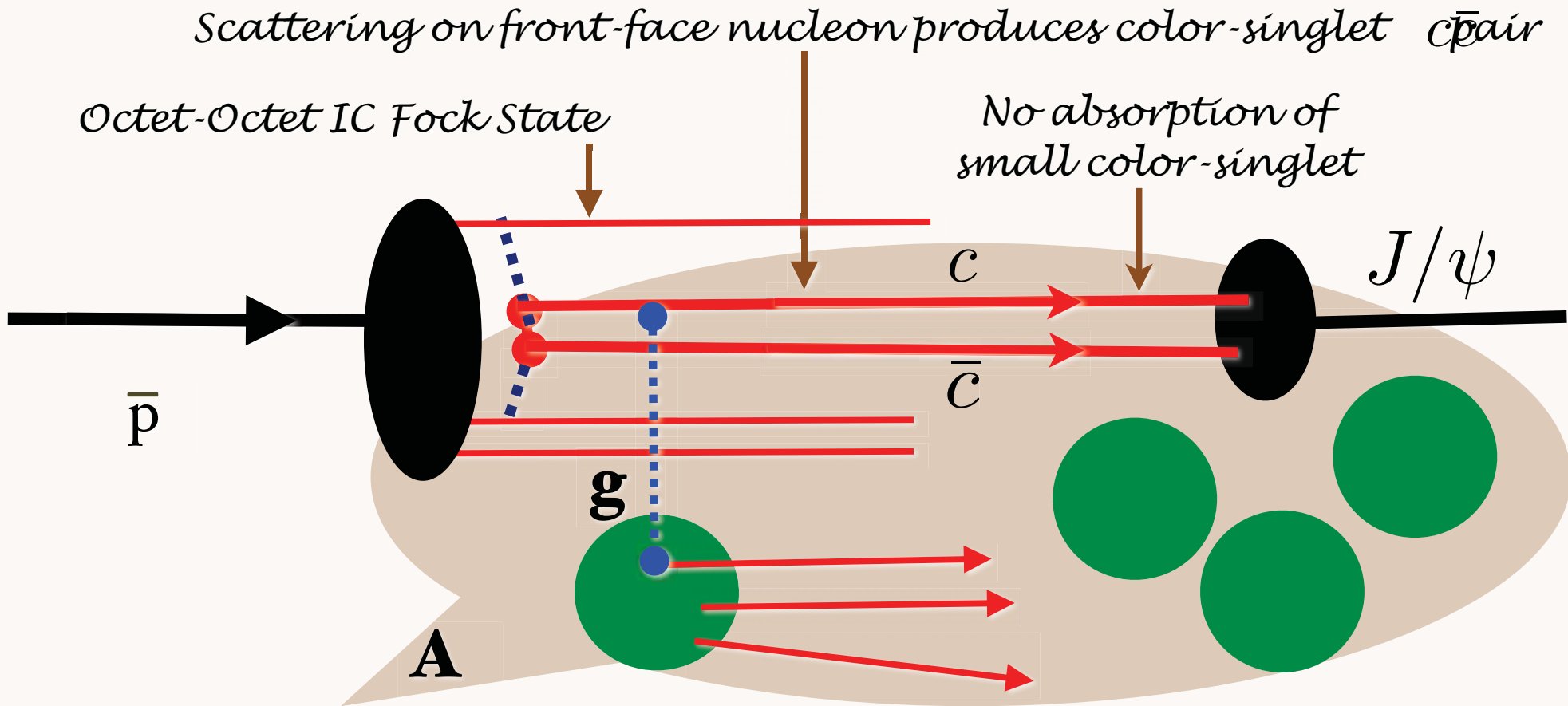
Amplitude maximal at small invariant mass, equal rapidity

$$x_i \sim \frac{m_{\perp i}}{\sum_j^n m_{\perp j}}$$

Produce forward, high  $x_F$   
 $\Upsilon(b\bar{b}), \Lambda_b(bud), B^+(\bar{b}u), B^0(\bar{b}d)$



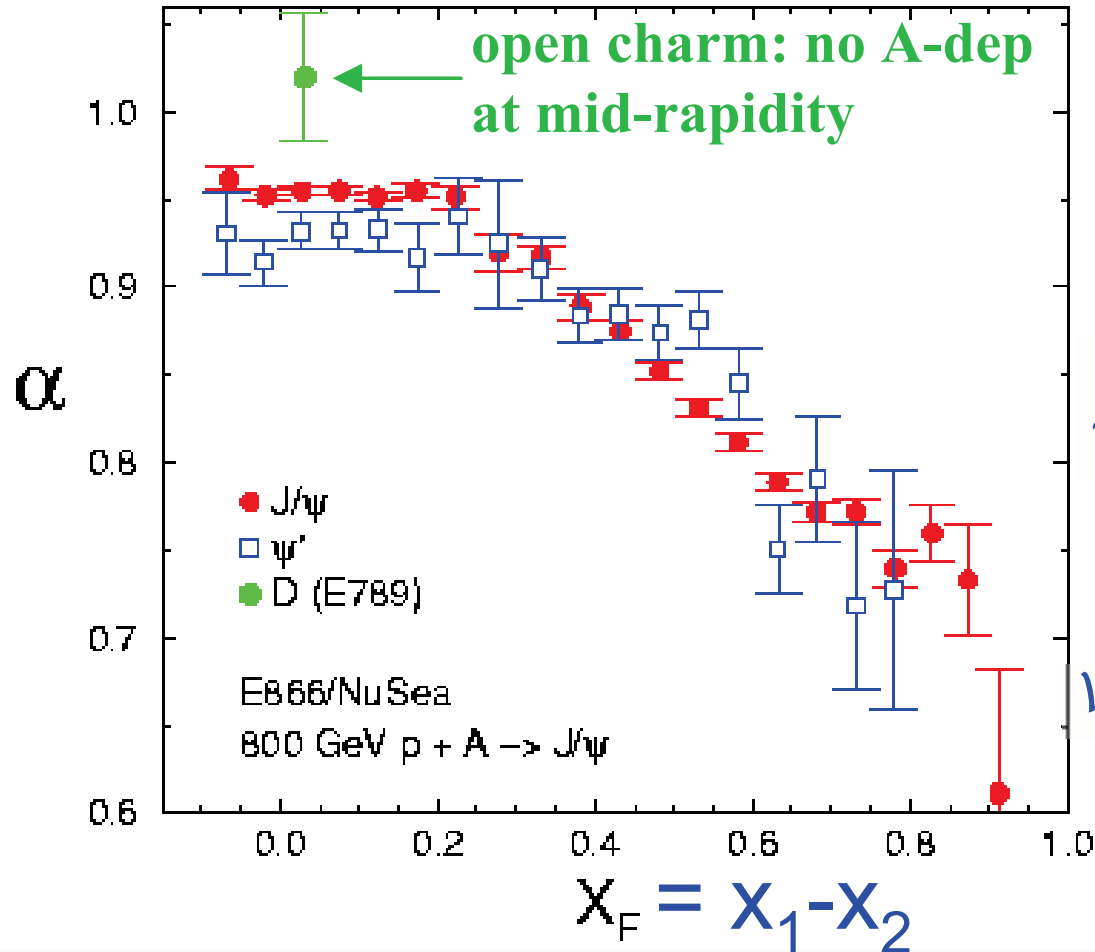
*Color-Opaque IC Fock state  
interacts on nuclear front surface*



$$\frac{d\sigma}{dx_F}(pA \rightarrow J/\psi X) = A^{2/3} \times \frac{d\sigma}{dx_F}(pN \rightarrow J/\psi X)$$

800 GeV p-A (FNAL)  $\sigma_A = \sigma_p * A^\alpha$   
 PRL 84, 3256 (2000); PRL 72, 2542 (1994)

$$\frac{d\sigma}{dx_F} (pA \rightarrow J/\psi X)$$



Remarkably Strong Nuclear Dependence for Fast Charmonium

Violation of PQCD Factorization!

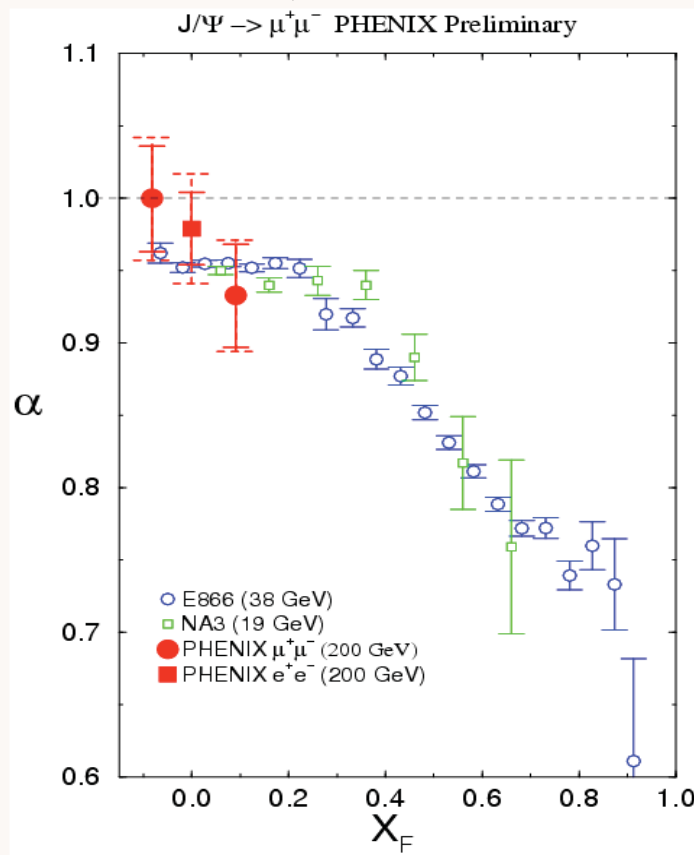
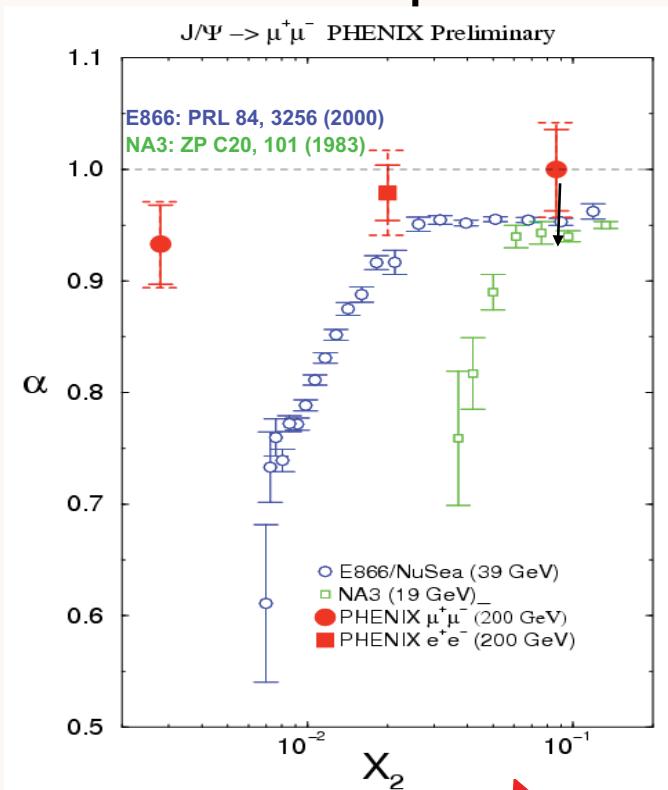
Violation of factorization in charm hadroproduction.

P. Hoyer, M. Vanttinen (Helsinki U.), U. Sukhatme (Illinois U., Chicago) . HU-TFT-90-14, May 1990. 7pp.  
 Published in Phys.Lett.B246:217-220,1990

# J/ $\psi$ nuclear dependence vrs rapidity, $x_{Au}$ , $x_F$

M. Leitch

## PHENIX compared to lower energy measurements



Huge  
"absorption"  
effect

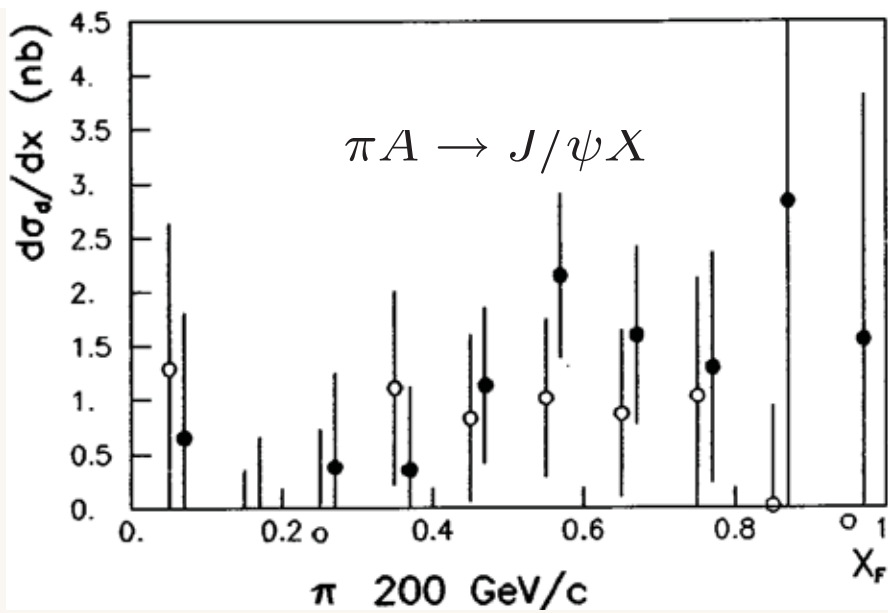


Klein, Vogt, PRL 91:142301, 2003  
Kopeliovich, NP A696:669, 2001

Violates PQCD  
factorization!

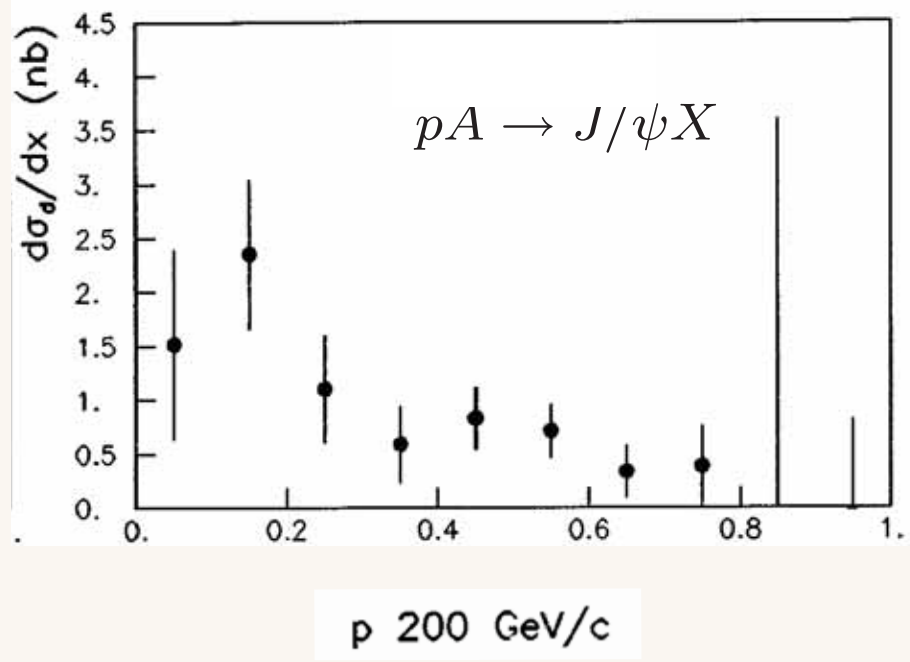
$$\frac{d\sigma}{dx_F}(pA \rightarrow J/\psi X)$$

Hoyer, Sukhatme, Vanttinen



$A^{2/3}$  component

J. Badier et al, NA3



$$\frac{d\sigma}{dx_F}(pA \rightarrow J/\psi X) = A^1 \frac{d\sigma_1}{dx_F} + A^{2/3} \frac{d\sigma_{2/3}}{dx_F}$$

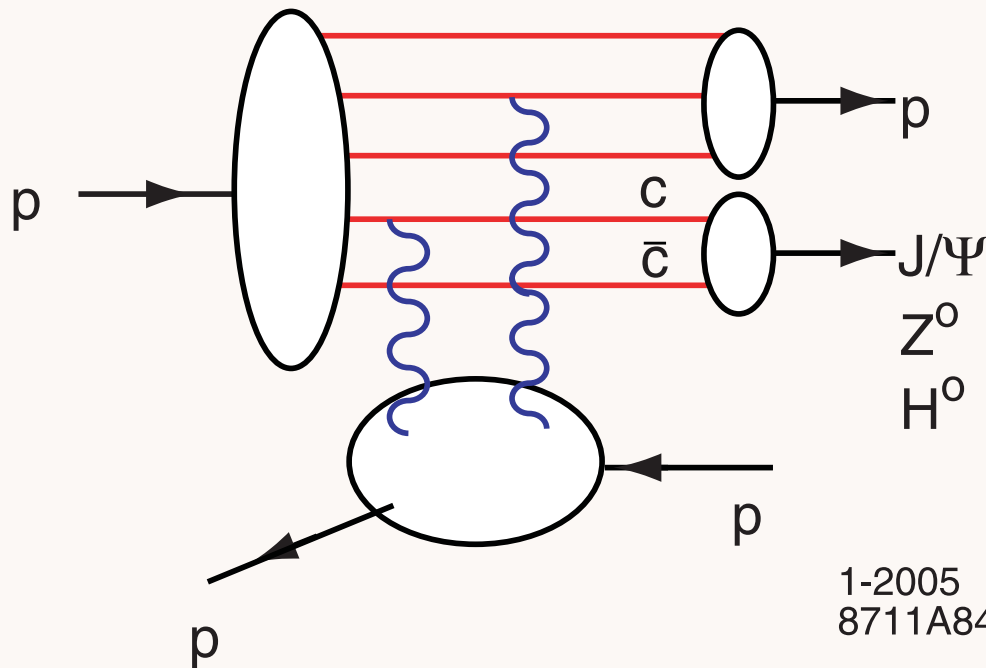
**Excess beyond conventional PQCD subprocesses**



- IC Explains Anomalous  $\alpha(x_F)$  not  $\alpha(x_2)$  dependence of  $pA \rightarrow J/\psi X$   
(Mueller, Gunion, Tang, SJB)
- Color Octet IC Explains  $A^{2/3}$  behavior at high  $x_F$  (NA3, Fermilab) *Color Opacity*  
(Kopeliovitch, Schmidt, Soffer, SJB)
- IC Explains  $J/\psi \rightarrow \rho\pi$  puzzle  
(Karliner, SJB)
- IC leads to new effects in  $B$  decay  
(Gardner, SJB)

## Higgs production at $x_F = 0.8$

# Intrinsic Charm Mechanism for Exclusive Diffraction Production



$$p p \rightarrow J/\psi p p$$

$$x_{J/\psi} = x_c + x_{\bar{c}}$$

Exclusive Diffractive  
High- $X_F$  Higgs Production

Kopeliovitch, Schmidt, Soffer, sjb

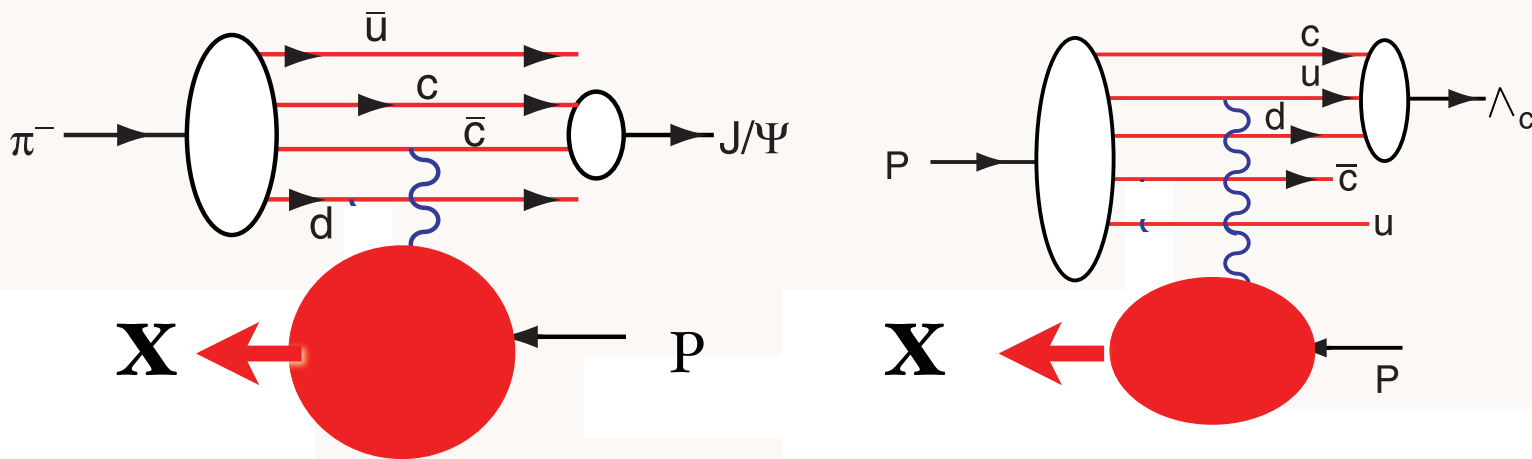
1-2005  
8711A84

Intrinsic  $c\bar{c}$  pair formed in color octet  $8_C$  in proton wavefunction Large Color Dipole

Collision produces color-singlet  $J/\psi$  through color exchange

RHIC Experiment

# Leading Hadron Production from Intrinsic Charm



Coalescence of Comoving Charm and Valence Quarks  
Produce  $J/\psi$ ,  $\Lambda_c$  and other Charm Hadrons at High  $x_F$