

Form **8453-EO**

**Exempt Organization Declaration and Signature for Electronic Filing**

OMB No. 1545-1879

For calendar year 2013, or tax year beginning 09/01, 2013, and ending 08/31, 2014

**2013**

Department of the Treasury  
Internal Revenue Service

For use with Forms 990, 990-EZ, 990-PF, 1120-POL, and 8868

Name of exempt organization

Employer identification number

THE BOARD OF TRUSTEES OF THE LELAND STAN

94-1156365

**Part I Type of Return and Return Information (Whole Dollars Only)**

Check the box for the type of return being filed with Form 8453-EO and enter the applicable amount, if any, from the return. If you check the box on line 1a, 2a, 3a, 4a, or 5a below and the amount on that line of the return being filed with this form was blank, then leave line 1b, 2b, 3b, 4b, or 5b, whichever is applicable, blank (do not enter -0-). If you entered -0- on the return, then enter -0- on the applicable line below. Do not complete more than one line in Part I.

1a Form 990 check here	<input checked="" type="checkbox"/>	b Total revenue, if any (Form 990, Part VIII, column (A), line 12) . . .	1b	<u>5717023246</u>
2a Form 990-EZ check here	<input type="checkbox"/>	b Total revenue, if any (Form 990-EZ, line 9) . . . . .	2b	
3a Form 1120-POL check here	<input type="checkbox"/>	b Total tax (Form 1120-POL, line 22) . . . . .	3b	
4a Form 990-PF check here	<input type="checkbox"/>	b Tax based on investment income (Form 990-PF, Part VI, line 5)	4b	
5a Form 8868 check here	<input type="checkbox"/>	b Balance due (Form 8868, Part I, line 3c or Part II, line 8c) . . . .	5b	

**Part II Declaration of Officer**

6  I authorize the U.S. Treasury and its designated Financial Agent to initiate an Automated Clearing House (ACH) electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the organization's federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment.

If a copy of this return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I certify that I executed the electronic disclosure consent contained within this return allowing disclosure by the IRS of this Form 990/990-EZ/990-PF (as specifically identified in Part I above) to the selected state agency(ies).

Under penalties of perjury, I declare that I am an officer of the above named organization and that I have examined a copy of the organization's 2013 electronic return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the organization's electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the organization's return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund.

Sign Here

Indira Calandra 7/13/2015  
Signature of officer Date

Sr Associate VP  
Title for Finance

**Part III Declaration of Electronic Return Originator (ERO) and Paid Preparer (see instructions)**

I declare that I have reviewed the above organization's return and that the entries on Form 8453-EO are complete and correct to the best of my knowledge. If I am only a collector, I am not responsible for reviewing the return and only declare that this form accurately reflects the data on the return. The organization officer will have signed this form before I submit the return. I will give the officer a copy of all forms and information to be filed with the IRS, and have followed all other requirements in Pub. 4163, Modernized e-File (MeF) information for Authorized IRS e-file Providers for Business Returns. If I am also the Paid Preparer, under penalties of perjury I declare that I have examined the above organization's return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. This Paid Preparer declaration is based on all information of which I have any knowledge.

<b>ERO's Use Only</b>	ERO's signature	<u>[Signature]</u>	Date	<u>7/6/15</u>	Check if also paid preparer	<input checked="" type="checkbox"/>	Check if self-employed	<input type="checkbox"/>	ERO's SSN or PTIN	<u>P00438748</u>
	Firm's name (or yours if self-employed), address, and ZIP code	<u>PRICEWATERHOUSECOOPERS LLP</u>			<u>2 COMMERCE SQ, #1700, 2001 MARKET ST,</u>		<u>PHILADELPHIA PA 19103</u>		EIN	<u>13-4008324</u>
									Phone no.	<u>267-330-3000</u>

Under penalties of perjury, I declare that I have examined the above return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer is based on all information of which the preparer has any knowledge.

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name				
	Firm's address				
				Firm's EIN	Phone no.

For Privacy Act and Paperwork Reduction Act Notice, see back of form.

Form **8453-EO** (2013)

JSA

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6558EF 7377

V 13-7.15

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

Form 990

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

2013

Department of the Treasury Internal Revenue Service

Do not enter Social Security numbers on this form as it may be made public. Information about Form 990 and its instructions is at www.irs.gov/form990.

Open to Public Inspection

A For the 2013 calendar year, or tax year beginning 09/01, 2013, and ending 08/31, 2014

Form sections B through K: B Check if applicable; C Name of organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY; D Employer identification number 94-1156365; E Telephone number (650) 725-1732; G Gross receipts \$ 30106358334; H(a) Is this a group return for subordinates? Yes No; H(b) Are all subordinates included? Yes No; I Tax-exempt status: X 501(c)(3); J Website: WWW.STANFORD.EDU; K Form of organization: X Corporation; L Year of formation: 1885; M State of legal domicile: CA

Part I Summary

Table with 3 columns: Line number, Description, and Amount. Rows include: 1 Briefly describe the organization's mission...; 2-7a Activities & Governance; 8-12 Revenue; 13-19 Expenses; 20-22 Net Assets or Fund Balances.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here: Signature of officer, Date, Type or print name and title. Paid Preparer Use Only: Print/Type preparer's name ROBERT W. FRIZ, Preparer's signature, Date, Check self-employed, PTIN P00438748, Firm's name PRICEWATERHOUSECOOPERS LLP, Firm's EIN 13-4008324, Firm's address 2 COMMERCE SQ, #1700, 2001 MARKET ST, PHILADELPHIA, PA 19103, Phone no. 267-330-3000

May the IRS discuss this return with the preparer shown above? (see instructions) X Yes No

For Paperwork Reduction Act Notice, see the separate instructions.

Form 990 (2013)

Form **8868**

# Application for Extension of Time To File an Exempt Organization Return

(Rev. January 2014)

OMB No. 1545-1709

Department of the Treasury  
Internal Revenue Service

► **File a separate application for each return.**  
► **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).**

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number, see instructions
Type or print  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY	Employer identification number (EIN) or 94-1156365
	Number, street, and room or suite no. If a P.O. box, see instructions. 3145 PORTER DRIVE	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. PALO ALTO, CA 94304	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

• The books are in the care of ► CHRISTOPHER CANELLOS, TAX DIRECTOR

Telephone No. ► 650-725-1732 Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ . If this is for the whole group, check this box  . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until APRIL 15, 20 15, to file the exempt organization return for the organization named above. The extension is for the organization's return for:  
►  calendar year 20 \_\_\_\_ or

►  tax year beginning SEPTEMBER 01, 20 13, and ending AUGUST 31, 20 14.

2 If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  
 Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$
c	<b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box  **Note**. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY	Employer identification number (EIN) or 94-1156365
	Number, street, and room or suite no. If a P.O. box, see instructions. 3145 PORTER DRIVE	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. PALO ALTO, CA 94304	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 1

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of **CHRISTOPHER CANELLOS, TAX DIRECTOR**  
Telephone No. **650-725-1732** Fax No. \_\_\_\_\_
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ . If this is for the whole group, check this box  . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

- I request an additional 3-month extension of time until **JULY 15**, 20 **15**.
- For calendar year \_\_\_\_\_, or other tax year beginning **SEPTEMBER 01**, 20 **13**, and ending **AUGUST 31**, 20 **14**.
- If the tax year entered in line 5 is for less than 12 months, check reason:  Initial return  Final return  
 Change in accounting period
- State in detail why you need the extension **INFORMATION REQUIRED TO PREPARE A COMPLETE AND ACCURATE RETURN IS NOT YET AVAILABLE.**

<b>8a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>8a</b>	\$
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	<b>8b</b>	\$
<b>c Balance due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>8c</b>	\$

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature Christopher Canellos Title Tax Director Date 4-6-15

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III [X]

1 Briefly describe the organization's mission:

STANFORD UNIVERSITY IS ONE OF A SELECT GROUP OF AMERICAN UNIVERSITIES THAT HAVE ACHIEVED EMINENCE IN BOTH UNDERGRADUATE AND GRADUATE EDUCATION, AND RESEARCH - CONT'D SCH O.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [ ] Yes [X] No

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [ ] Yes [X] No

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ 1,492,359,889. including grants of \$ 34,980,642. ) (Revenue \$ 719,761,507. ) INSTRUCTION AND DEPARTMENTAL RESEARCH - SEE SCH O.

4b (Code: ) (Expenses \$ 1,075,576,597. including grants of \$ 21,843,993. ) (Revenue \$ 230,055,514. ) ORGANIZED RESEARCH - REVENUE AMOUNT REPORTED ON LINE 4B DOES NOT INCLUDE \$1,036,090,761 IN FEDERAL RESEARCH SUPPORT. CONT'D SCH O.

4c (Code: ) (Expenses \$ 896,358,713. including grants of \$ 1,254,656. ) (Revenue \$ 848,817,699. ) UNIVERSITY AUXILIARY ACTIVITIES - SEE SCH O.

4d Other program services (Describe in Schedule O.) (Expenses \$ 644,217,432. including grants of \$ 355,258,811. ) (Revenue \$ 402,714,638. )

4e Total program service expenses 4,108,512,631.

Part IV Checklist of Required Schedules

Table with 3 columns: Question ID, Question Text, Yes, No. Rows include questions 1 through 20b regarding organizational requirements and reporting.

Part IV Checklist of Required Schedules (continued)

Table with 3 columns: Question ID, Question Text, Yes, No. Rows include questions 21 through 38 regarding grants, tax-exempt bonds, excess benefit transactions, and controlled entities.



Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V [X]

Table with columns for line number, description, and Yes/No checkboxes. Includes lines 1a through 14b with various tax-related questions and numerical inputs.



Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI [X]

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include 1a (35), 1b (25), 2, 3, 4, 5, 6, 7a, 7b, 8a, 8b, 9.

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include 10a, 10b, 11a, 11b, 12a, 12b, 12c, 13, 14, 15a, 15b, 16a, 16b.

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed CA
18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: CHRISTOPHER CANELLOS 3145 PORTER DRIVE, PALO ALTO, CA 94304 650-725-1732

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII.  X

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) JOHN HENNESSY PRESIDENT/TRUSTEE	50.00	X		X				805,963.	0	331,213.
(2) FRED W. ALVAREZ TRUSTEE	2.00	X						0	0	0
(3) ROBERT M. BASS TRUSTEE, APPOINTED 10/01/2013	2.00	X						0	0	0
(4) WILLIAM R. BRODY TRUSTEE, UNTIL 05/31/2014	2.00	X						0	0	0
(5) BROOK H. BYERS TRUSTEE	2.00	X						0	0	0
(6) JAMES E. CANALES TRUSTEE	2.00	X						0	0	0
(7) ROANN COSTIN TRUSTEE	2.00	X						0	0	0
(8) JAMES G. COULTER TRUSTEE	2.00	X						0	0	0
(9) DEBORAH A. DECOTIS TRUSTEE	2.00	X						0	0	0
(10) STEVEN A. DENNING TRUSTEE	2.00	X						0	0	0
(11) BRUCE W. DUNLEVIE TRUSTEE	2.00	X						0	0	0
(12) ARMANDO GARZA TRUSTEE	2.00	X						0	0	0
(13) JOHN A. GUNN TRUSTEE	2.00 2.00	X						0	0	0
(14) GAIL B. HARRIS TRUSTEE	2.00	X						0	0	0

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
15) CHRISTINE U. HAZY TRUSTEE	2.00	X						0	0	0
16) RONALD B. JOHNSON TRUSTEE	2.00	X						0	0	0
17) LATONIA G. KARR TRUSTEE	2.00	X						0	0	0
18) FRANK D. LEE TRUSTEE	2.00	X						0	0	0
19) BERNARD LIAUTAUD TRUSTEE	2.00	X						0	0	0
20) SUSAN R. MCCAWE TRUSTEE	2.00	X						0	0	0
21) LLOYD M. METZ TRUSTEE	2.00	X						0	0	0
22) HAMID R. MOGHADAM TRUSTEE	2.00	X						0	0	0
23) PAUL A. ORMOND TRUSTEE	2.00	X						0	0	0
24) RUTH M. PORAT TRUSTEE	2.00	X						0	0	0
25) LAURENE POWELL JOBS TRUSTEE	2.00	X						0	0	0
<b>1b Sub-total</b> . . . . .								805,963.	0	331,213.
<b>c Total from continuation sheets to Part VII, Section A</b> . . . . .								17,775,986.	0	5,307,858.
<b>d Total (add lines 1b and 1c)</b> . . . . .								18,581,949.	0	5,639,071.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶ 5458

	Yes	No
3 Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	X	
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .		X

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
ATTACHMENT 1		

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ▶ 820

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
( 26) JEFFREY S. RAIKES TRUSTEE	2.00	X					0	0	0	
( 27) MINDY B. ROGERS TRUSTEE, APPOINTED 12/01/2013	2.00	X					0	0	0	
( 28) VICTORIA B. ROGERS TRUSTEE	2.00	X					0	0	0	
( 29) KAVITARK RAM SHRIRAM TRUSTEE	2.00	X					0	0	0	
( 30) RONALD P. SPOGLI TRUSTEE	2.00	X					0	0	0	
( 31) SRINIJA SRINIVASAN TRUSTEE, APPOINTED 4/01/2014	2.00	X					0	0	0	
( 32) ISAAC STEIN TRUSTEE	2.00 .50	X					0	0	0	
( 33) THOMAS F. STEYER TRUSTEE	2.00	X					0	0	0	
( 34) GENE SYKES TRUSTEE, APPOINTED 02/01/2014	2.00	X					0	0	0	
( 35) VAUGHN C. WILLIAMS TRUSTEE	2.00 2.00	X					0	0	0	
( 36) JERRY YANG TRUSTEE	2.00	X					0	0	0	
<b>1b Sub-total</b> . . . . .										
<b>c Total from continuation sheets to Part VII, Section A</b> . . . . .										
<b>d Total (add lines 1b and 1c)</b> . . . . .										

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶ 5458

	Yes	No
3 Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	X	
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .		X

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ▶

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
( 37) JOHN ETCHEMENDY PROVOST	50.00			X			610,604.	0	359,127.	
( 38) RANDALL LIVINGSTON VP BUS AFFAIRS/CFO	50.00			X			553,511.	0	197,000.	
( 39) MARTIN SHELL VP DEVELOPMENT	50.00			X			661,415.	0	150,642.	
( 40) DEBRA ZUMWALT VP GENERAL COUNSEL	50.00			X			575,158.	0	185,240.	
( 41) ROBERT C. REIDY VP LAND, BUILDINGS & REAL EST	50.00			X			1,366,832.	0	1,483,978.	
( 42) DAVID DEMAREST VP PUBLIC AFFAIRS	50.00			X			345,910.	0	105,968.	
( 43) HOWARD WOLF PRES. OF STANFORD ALUM. ASSOC.	50.00			X			381,493.	0	199,117.	
( 44) WILLIAM MADIA VP SLAC NAL	38.00			X			469,145.	0	28,712.	
( 45) DAVID JONES VP HUMAN RESOURCES	50.00			X			339,892.	0	48,477.	
( 46) JOHN POWERS PRESIDENT, STANFORD MGNT CO.	50.00					X	2,292,110.	0	790,351.	
( 47) LLOYD B. MINOR DEAN, SCHOOL OF MEDICINE	50.00 4.00					X	1,727,637.	0	375,607.	
<b>1b Sub-total</b> . . . . .										
<b>c Total from continuation sheets to Part VII, Section A</b> . . . . .										
<b>d Total (add lines 1b and 1c)</b> . . . . .										

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶ 5458

	Yes	No
3 Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	X	
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .		X

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ▶

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
( 48) RICHARD SALLER DEAN, SCHOOL OF HUM & SCIENCES	50.00				X			545,440.	0	36,413.
( 49) GARY STEINBERG CHAIR, NEUROSURGERY	50.00					X		1,289,496.	0	46,915.
( 50) THOMAS KRUMMEL CHAIR, SURGERY	50.00 2.00					X		1,031,725.	0	54,929.
( 51) DAVID SHAW COACH	50.00					X		1,720,219.	0	504,216.
( 52) FRANK HANLEY CHIEF, PED CARDIOTHORACIC	50.00					X		1,891,602.	0	46,932.
( 53) JOHNNY DAWKINS COACH	50.00					X		1,278,444.	0	513,499.
( 54) PHILIP A. PIZZO PROF & FORMER DEAN, SCH OF MED	50.00 2.00						X	695,353.	0	180,735.
<b>1b Sub-total</b> . . . . .										
<b>c Total from continuation sheets to Part VII, Section A</b> . . . . .										
<b>d Total (add lines 1b and 1c)</b> . . . . .										

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶ 5458

	Yes	No
<b>3</b> Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	X	
<b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	X	
<b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .		X

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ▶

**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII  X

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1a</b> Federated campaigns . . . . .	<b>1a</b>						
	<b>b</b> Membership dues . . . . .	<b>1b</b>						
	<b>c</b> Fundraising events . . . . .	<b>1c</b>	1,264,337.					
	<b>d</b> Related organizations . . . . .	<b>1d</b>						
	<b>e</b> Government grants (contributions) . . . . .	<b>1e</b>	1,036,090,761.					
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above . . . . .	<b>1f</b>	784,367,532.					
	<b>g</b> Noncash contributions included in lines 1a-1f: \$ . . . . .		245,018,382.					
	<b>h Total.</b> Add lines 1a-1f . . . . .			1,821,722,630.				
	<b>Program Service Revenue</b>	<b>Business Code</b>						
<b>2a</b> <u>STUDENT INCOME</u>			900099	782,538,051.	782,538,051.			
<b>b</b> <u>NONGOVERNMENT RESEARCH</u>			900099	230,055,514.	230,055,514.			
<b>c</b> <u>PATIENT CARE</u>			900099	697,504,797.	697,504,797.			
<b>d</b> <u>SPECIAL PROGRAMS</u>			900099	490,234,483.	490,234,483.			
<b>e</b> <u>DRIVING RANGE</u>			713910	642,461.		642,461.		
<b>f</b> All other program service revenue . . . . .				374,052.		374,052.		
<b>g Total.</b> Add lines 2a-2f . . . . .				2,201,349,358.				
<b>Other Revenue</b>	<b>3</b> Investment income (including dividends, interest, and other similar amounts) . . . . .			297,273,970.		8,811,276.	288,462,694.	
	<b>4</b> Income from investment of tax-exempt bond proceeds . . . . .			102,837.			102,837.	
	<b>5</b> Royalties . . . . .			8,483,958.			8,483,958.	
	<b>6a</b> Gross rents . . . . .	(i) Real	144,742,099.					
		<b>b</b> Less: rental expenses . . . . .		41,117,682.				
		<b>c</b> Rental income or (loss) . . . . .		103,624,417.				
		<b>d</b> Net rental income or (loss) . . . . .			103,624,417.			103,624,417.
	<b>7a</b> Gross amount from sales of assets other than inventory . . . . .	(i) Securities	5,625,153,848.	2,487,988.				
		<b>b</b> Less: cost or other basis and sales expenses . . . . .		2,932,110.				
		<b>c</b> Gain or (loss) . . . . .		1,282,343,388.				
		<b>d</b> Net gain or (loss) . . . . .			1,281,899,266.		3,965,732.	1,277,933,534.
	<b>8a</b> Gross income from fundraising events (not including \$ <u>1,264,337.</u> of contributions reported on line 1c). See Part IV, line 18 . . . . .	<b>a</b>		137,722.				
		<b>b</b> Less: direct expenses . . . . .		628,639.				
		<b>c</b> Net income or (loss) from fundraising events . . . . .			-490,917.			-490,917.
	<b>9a</b> Gross income from gaming activities. See Part IV, line 19 . . . . .	<b>a</b>						
<b>b</b> Less: direct expenses . . . . .								
<b>c</b> Net income or (loss) from gaming activities . . . . .				0				
<b>10a</b> Gross sales of inventory, less returns and allowances . . . . .	<b>a</b>		4,903,924.					
	<b>b</b> Less: cost of goods sold . . . . .	ATCH 2	1,846,197.					
	<b>c</b> Net income or (loss) from sales of inventory . . . . .			3,057,727.			3,057,727.	
<b>Miscellaneous Revenue</b>			<b>Business Code</b>					
<b>11a</b> _____								
	<b>b</b> _____							
	<b>c</b> _____							
	<b>d</b> All other revenue . . . . .							
<b>e Total.</b> Add lines 11a-11d . . . . .				0				
<b>12 Total revenue.</b> See instructions . . . . .				5,717,023,246.	2,200,332,845.	13,793,521.	1,681,174,250.	



**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

<b>Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.</b>	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21 .	20,085,970.	20,085,970.		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22 . . . . .	382,738,678.	382,738,678.		
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16 . . . .	10,513,454.	10,513,454.		
4 Benefits paid to or for members . . . . .	0			
5 Compensation of current officers, directors, trustees, and key employees . . . . .	11,887,400.	4,160,590.	6,775,818.	950,992.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .	589,017.	376,971.		212,046.
7 Other salaries and wages . . . . .	2,133,284,126.	1,958,311,594.	127,263,432.	47,709,100.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) . . . . .	129,471,136.	108,967,174.	16,914,329.	3,589,633.
9 Other employee benefits . . . . .	264,920,140.	222,965,519.	34,609,616.	7,345,005.
10 Payroll taxes . . . . .	119,457,589.	100,539,443.	15,606,142.	3,312,004.
11 Fees for services (non-employees):				
a Management . . . . .	2,721,168.	2,412,299.	308,869.	
b Legal . . . . .	11,223,044.	1,652,748.	9,523,709.	46,587.
c Accounting . . . . .	2,256,704.	167,859.	2,088,393.	452.
d Lobbying . . . . .	59,007.	6,072.	52,905.	30.
e Professional fundraising services. See Part IV, line 17.	763,836.			763,836.
f Investment management fees . . . . .	26,867,551.		26,867,551.	
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.) . . . . .	206,554,184.	168,591,563.	34,904,989.	3,057,632.
12 Advertising and promotion . . . . .	5,520,735.	5,340,742.	104,726.	75,267.
13 Office expenses . . . . .	248,210,879.	228,065,230.	15,374,104.	4,771,545.
14 Information technology . . . . .	21,287,944.	11,349,086.	9,489,240.	449,618.
15 Royalties . . . . .	15,242,687.	9,747,921.	5,492,744.	2,022.
16 Occupancy . . . . .	194,143,873.	175,441,414.	16,739,708.	1,962,751.
17 Travel . . . . .	72,998,109.	67,675,271.	3,435,430.	1,887,408.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . .	7,094.		7,094.	
19 Conferences, conventions, and meetings . . . . .	11,877,366.	10,689,951.	1,015,815.	171,600.
20 Interest . . . . .	74,859,807.	73,678,184.	976,148.	205,475.
21 Payments to affiliates . . . . .	0			
22 Depreciation, depletion, and amortization . . . . .	310,958,429.	275,691,574.	34,116,828.	1,150,027.
23 Insurance . . . . .	18,462,011.	4,199,881.	14,247,297.	14,833.
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a <u>EQUIPMENT RENT &amp; MAINTENANCE</u> . . . . .	9,334,390.	8,358,763.	843,829.	131,798.
b <u>PRINTING &amp; PUBLICATION</u> . . . . .	10,883,950.	8,412,795.	1,463,227.	1,007,928.
c <u>SLAC NON-SALARY EXPENSE</u> . . . . .	153,190,413.	153,132,605.	57,703.	105.
d <u>RESEARCH SUBAWARDS</u> . . . . .	66,004,302.	65,999,575.	4,573.	154.
e All other expenses . . . . .	105,185,613.	29,239,705.	72,714,124.	3,231,784.
<b>25 Total functional expenses.</b> Add lines 1 through 24e	4,641,560,606.	4,108,512,631.	450,998,343.	82,049,632.
26 <b>Joint costs.</b> Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) . . . . .	0			

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

Table with columns for (A) Beginning of year, (B) End of year, and rows for Assets (1-16), Liabilities (17-26), and Net Assets or Fund Balances (27-34). Includes sub-rows for 10a and 10b, and 10c.

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12)	<b>1</b>	5,717,023,246.
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25)	<b>2</b>	4,641,560,606.
<b>3</b>	Revenue less expenses. Subtract line 2 from line 1	<b>3</b>	1,075,462,640.
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	<b>4</b>	25,723,105,228.
<b>5</b>	Net unrealized gains (losses) on investments	<b>5</b>	2,389,402,583.
<b>6</b>	Donated services and use of facilities	<b>6</b>	0
<b>7</b>	Investment expenses	<b>7</b>	0
<b>8</b>	Prior period adjustments	<b>8</b>	0
<b>9</b>	Other changes in net assets or fund balances (explain in Schedule O)	<b>9</b>	33,170,777.
<b>10</b>	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	<b>10</b>	29,221,141,228.

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

- 1** Accounting method used to prepare the Form 990:  Cash  Accrual  Other \_\_\_\_\_  
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant? .....  
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:  
 Separate basis  Consolidated basis  Both consolidated and separate basis
- b** Were the organization's financial statements audited by an independent accountant? .....  
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:  
 Separate basis  Consolidated basis  Both consolidated and separate basis
- c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? .....  
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? .....
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

	Yes	No
<b>2a</b>		X
<b>2b</b>	X	
<b>2c</b>	X	
<b>3a</b>	X	
<b>3b</b>	X	

SCHEDULE A (Form 990 or 990-EZ)

Public Charity Status and Public Support

OMB No. 1545-0047

2013

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust. Attach to Form 990 or Form 990-EZ. Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY

Employer identification number 94-1156365

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
2 X A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.)
3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
4 A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii).
5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv).
6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi).
8 A community trust described in section 170(b)(1)(A)(vi).
9 An organization that normally receives: (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975.
10 An organization organized and operated exclusively to test for public safety.
11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
a Type I b Type II c Type III-Functionally integrated d Type III-Non-functionally integrated
e By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box.
g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?
(ii) A family member of a person described in (i) above?
(iii) A 35% controlled entity of a person described in (i) or (ii) above?
h Provide the following information about the supported organization(s).

Table with 2 columns: Yes, No. Rows 11g(i), 11g(ii), 11g(iii).

Table with 7 main columns: (i) Name of supported organization, (ii) EIN, (iii) Type of organization, (iv) Is the organization in col. (i) listed in your governing document?, (v) Did you notify the organization in col. (i) of your support?, (vi) Is the organization in col. (i) organized in the U.S., (vii) Amount of monetary support. Includes rows (A) through (E) and a Total row.

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2013

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Table with 7 columns: (a) 2009, (b) 2010, (c) 2011, (d) 2012, (e) 2013, (f) Total. Rows include: 1 Gifts, grants, contributions, and membership fees received; 2 Tax revenues levied for the organization's benefit; 3 The value of services or facilities furnished by a governmental unit; 4 Total; 5 The portion of total contributions by each person; 6 Public support.

Section B. Total Support

Table with 7 columns: (a) 2009, (b) 2010, (c) 2011, (d) 2012, (e) 2013, (f) Total. Rows include: 7 Amounts from line 4; 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources; 9 Net income from unrelated business activities; 10 Other income; 11 Total support; 12 Gross receipts from related activities; 13 First five years.

Section C. Computation of Public Support Percentage

Table with 2 columns: Line number, Percentage. Rows include: 14 Public support percentage for 2013 (81.41%); 15 Public support percentage from 2012 Schedule A; 16a 33 1/3% support test - 2013 (checked); 16b 33 1/3% support test - 2012; 17a 10%-facts-and-circumstances test - 2013; 17b 10%-facts-and-circumstances test - 2012; 18 Private foundation.

Part III Support Schedule for Organizations Described in Section 509(a)(2)
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II.
If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Table with 7 columns: (a) 2009, (b) 2010, (c) 2011, (d) 2012, (e) 2013, (f) Total. Rows include: 1 Gifts, grants, contributions, and membership fees received; 2 Gross receipts from admissions, merchandise sold or services performed; 3 Gross receipts from activities that are not an unrelated trade or business; 4 Tax revenues levied for the organization's benefit; 5 The value of services or facilities furnished by a governmental unit; 6 Total; 7a Amounts included on lines 1, 2, and 3 received from disqualified persons; 7b Amounts included on lines 2 and 3 received from other than disqualified persons; 7c Add lines 7a and 7b; 8 Public support (Subtract line 7c from line 6).

Section B. Total Support

Table with 7 columns: (a) 2009, (b) 2010, (c) 2011, (d) 2012, (e) 2013, (f) Total. Rows include: 9 Amounts from line 6; 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources; 10b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975; 10c Add lines 10a and 10b; 11 Net income from unrelated business activities not included in line 10b; 12 Other income. Do not include gain or loss from the sale of capital assets; 13 Total support. (Add lines 9, 10c, 11, and 12.)

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here.

Section C. Computation of Public Support Percentage

Table with 3 columns: Description, 2013, 2012. Row 15: Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f)). Row 16: Public support percentage from 2012 Schedule A, Part III, line 15.

Section D. Computation of Investment Income Percentage

Table with 3 columns: Description, 2013, 2012. Row 17: Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f)). Row 18: Investment income percentage from 2012 Schedule A, Part III, line 17.

19a 33 1/3% support tests - 2013. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization.

19b 33 1/3% support tests - 2012. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization.

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions.

**Part IV Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

ATTACHMENT 1

SCHEDULE A, PART II - OTHER INCOME

DESCRIPTION	2009	2010	2011	2012	2013	TOTAL
GROSS INCOME - FUNDRAISING	112,816.	132,562.	176,687.	606,990.	137,722.	1,166,777.
GROSS INCOME - INVENTORY SALES	5,036,341.	4,964,460.	5,040,777.	5,734,575.	4,903,924.	25,680,077.
TOTALS	<u>5,149,157.</u>	<u>5,097,022.</u>	<u>5,217,464.</u>	<u>6,341,565.</u>	<u>5,041,646.</u>	<u>26,846,854.</u>



SCHEDULE C (Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

OMB No. 1545-0047

2013

Open to Public Inspection

For Organizations Exempt From Income Tax Under section 501(c) and section 527

- Complete if the organization is described below. Attach to Form 990 or Form 990-EZ. See separate instructions. Information about Schedule C (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Department of the Treasury Internal Revenue Service

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Table with 2 columns: Name of organization (THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY) and Employer identification number (94-1156365)

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
2 Political expenditures \$
3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 \$
2 Enter the amount of any excise tax incurred by organization managers under section 4955 \$
3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? Yes No
4a Was a correction made? Yes No
b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities \$
2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities \$
3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b \$
4 Did the filing organization file Form 1120-POL for this year? Yes No
5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments.

Table with 5 columns: (a) Name, (b) Address, (c) EIN, (d) Amount paid from filing organization's funds, (e) Amount of political contributions received and promptly and directly delivered to a separate political organization.

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2013

**Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

**A** Check  if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

**B** Check  if the filing organization checked box A and "limited control" provisions apply.

<b>Limits on Lobbying Expenditures</b> (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
<b>1a</b>	Total lobbying expenditures to influence public opinion (grass roots lobbying) . . . . .														
<b>b</b>	Total lobbying expenditures to influence a legislative body (direct lobbying) . . . . .														
<b>c</b>	Total lobbying expenditures (add lines 1a and 1b) . . . . .														
<b>d</b>	Other exempt purpose expenditures . . . . .														
<b>e</b>	Total exempt purpose expenditures (add lines 1c and 1d) . . . . .														
<b>f</b>	Lobbying nontaxable amount. Enter the amount from the following table in both columns.														
<table border="1" style="width: 100%;"> <thead> <tr> <th style="text-align: left;">If the amount on line 1e, column (a) or (b) is:</th> <th style="text-align: left;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e.														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.														
Over \$17,000,000	\$1,000,000.														
<b>g</b>	Grassroots nontaxable amount (enter 25% of line 1f) . . . . .														
<b>h</b>	Subtract line 1g from line 1a. If zero or less, enter -0- . . . . .														
<b>i</b>	Subtract line 1f from line 1c. If zero or less, enter -0- . . . . .														
<b>j</b>	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? . . . . .		<input type="checkbox"/> Yes <input type="checkbox"/> No												

**4-Year Averaging Period Under Section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

<b>Lobbying Expenditures During 4-Year Averaging Period</b>					
Calendar year (or fiscal year beginning in)	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) Total
<b>2a</b> Lobbying nontaxable amount					
<b>b</b> Lobbying ceiling amount (150% of line 2a, column (e))					
<b>c</b> Total lobbying expenditures					
<b>d</b> Grassroots nontaxable amount					
<b>e</b> Grassroots ceiling amount (150% of line 2d, column (e))					
<b>f</b> Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

Table with 3 main columns: Question, (a) Yes/No, and (b) Amount. Rows include questions about influencing legislation, media advertisements, mailings, publications, grants, and direct contact with legislators.

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

Table with 3 columns: Question, Yes, and No. Rows include questions about dues received, in-house lobbying expenditures, and carryover of lobbying and political expenditures.

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."

Table with 3 columns: Question, Yes, and No. Rows include questions about dues from members, nondeductible lobbying and political expenditures, and carryover of excess amounts.

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, line 2; and Part II-B, line 1. Also, complete this part for any additional information.

SEE PAGE 4

**Part IV** Supplemental Information (continued)

## PART II-B - LOBBYING ACTIVITY EXPLANATION

AN INSUBSTANTIAL PORTION OF STANFORD UNIVERSITY'S TOTAL ACTIVITIES INVOLVED LEGISLATIVE AND REGULATORY MATTERS OF DIRECT CONCERN TO HIGHER EDUCATION OR OF COMPELLING IMPORTANCE TO STANFORD IN PARTICULAR. INSTITUTIONAL EFFORTS TO INFLUENCE LEGISLATION AND REGULATION ARE DIRECTED BY THE OFFICE OF GOVERNMENT AND COMMUNITY RELATIONS.

DURING FISCAL YEAR 2013-2014, THE OFFICE CONSISTED OF SIX PROFESSIONAL STAFF MEMBERS, ONE INTERN, ONE TEMPORARY STUDENT, AND TWO SUPPORT PERSONS. THE UNIVERSITY DOES NOT HAVE A LOBBYING OFFICE IN EITHER WASHINGTON, DC OR SACRAMENTO, CA. DURING THIS PERIOD, THE UNIVERSITY HIRED AN OUTSIDE REGISTERED LOBBYIST IN SACRAMENTO FOR PART-TIME WORK ON STATE ISSUES. ACTIVITIES OF GOVERNMENT & COMMUNITY RELATIONS STAFF INCLUDE CONTACTS BY LETTERS, PHONE CALLS AND MEETINGS WITH LEGISLATORS, MEMBERS OF THEIR STAFFS, OR OTHER GOVERNMENT OFFICIALS, AND MEETINGS WITH LOCAL CITIZENS REGARDING STANFORD ISSUES WITH LOCAL GOVERNMENT.

IN FISCAL YEAR 2013-2014, LEGISLATIVE, EXECUTIVE AND LOCAL REGULATORY ISSUES HANDLED BY THE UNIVERSITY INCLUDED THE FOLLOWING:

LOCAL ISSUES: ZONING AND LAND USES RELATED TO PROPERTY OWNED BY THE UNIVERSITY AND CONTIGUOUS TO THE UNIVERSITY'S ACADEMIC CAMPUS.

STATE ISSUES: CALIFORNIA ENVIRONMENTAL QUALITY ACT (CEQA) REFORM; STUDENT ATHLETE BILL OF RIGHTS; GENETIC INFORMATION PRIVACY ACT; STUDENT SAFETY; SEXUAL ASSAULT; PERSONAL INFORMATION SECURITY; LIENS; LABORERS AND

**Part IV** Supplemental Information (continued)

EMPLOYEES; POSTSECONDARY EDUCATION; ANIMAL RESEARCH.

FEDERAL ISSUES: STUDENT AID AND EDUCATION AND RESEARCH POLICY ISSUES;  
TAXATION; REIMBURSEMENT OF FEDERAL RESEARCH COSTS; FUNDING LEVELS OF  
RESEARCH (E.G. NIH, DOE, NASA, DOD, NSF, NEH); FUNDING FOR STANFORD  
LINEAR ACCELERATOR CENTER; MEDICARE FUNDING FOR TEACHING HOSPITALS;  
RESEARCH POLICY; INTELLECTUAL PROPERTY; AND IMMIGRATION POLICY.

THE TOTAL BUDGET EXPENDED BY THE OFFICE OF GOVERNMENT AND COMMUNITY  
RELATIONS WAS \$1,402,218. THE ESTIMATED TOTAL EXPENSES FOR LOBBYING ARE  
AS FOLLOWS:

SALARIES AND BENEFITS	\$491,907
GENERAL OFFICE OVERHEAD	\$147,608
PAID CONSULTANT, COALITION DUES	\$59,006
DUES TO MEMBER ORGANIZATIONS	\$9,044
TRAVEL	\$17,691
	_____
TOTAL	\$725,256

AMOUNTS APPEARING ON PART II-B ARE REASONABLE ALLOCATIONS OF THE ABOVE  
EXPENSES.

AN INVESTMENT PARTNERSHIP IN WHICH THE UNIVERSITY HOLDS A NONCONTROLLING  
LIMITED PARTNERSHIP INTEREST MAY, FROM TIME TO TIME, INCUR A LOBBYING  
EXPENDITURE. THE UNIVERSITY'S SHARE OF SUCH PARTNERSHIP EXPENDITURES

**Part IV** Supplemental Information (continued)

DURING THE TAX YEAR WAS \$29,904. NEITHER STANFORD, NOR ANY TRUSTEE OR OFFICER OF STANFORD, ENGAGED IN THE ACTIVITY CONDUCTED BY THE INVESTMENT PARTNERSHIPS.

THE TOTAL AMOUNT SPENT BY STANFORD IN LOBBYING REPRESENTS AN INSIGNIFICANT PART OF THE UNIVERSITY'S TOTAL EXPENDITURES, EVEN MAKING THE MOST GENEROUS ALLOWANCE FOR THE LOBBYING ACTIVITIES OF STANFORD UNIVERSITY EMPLOYEES OUTSIDE THE GOVERNMENT AND COMMUNITY RELATIONS OFFICE WORKING ON BEHALF OF THE UNIVERSITY.

SCHEDULE D (Form 990)

Supplemental Financial Statements

OMB No. 1545-0047

2013

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY

Employer identification number 94-1156365

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

Table with 3 columns: Question, (a) Donor advised funds, (b) Funds and other accounts. Includes rows for total number at end of year, aggregate contributions, aggregate grants, aggregate value, and two yes/no questions regarding donor advisement.

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

Form for Part II Conservation Easements. Includes checkboxes for types of easements, a table for 'Held at the End of the Tax Year' with rows 2a-2d, and several yes/no questions regarding monitoring and expenses.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

Form for Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Includes questions 1a, 1b, 2, and 3 regarding reporting requirements for art and historical treasures.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2013



Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a [X] Public exhibition
b [X] Scholarly research
c [X] Preservation for future generations
d [X] Loan or exchange programs
e [ ] Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? [ ] Yes [X] No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? [ ] Yes [ ] No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

Table with 2 columns: Description, Amount. Rows: 1c Beginning balance, 1d Additions during the year, 1e Distributions during the year, 1f Ending balance.

2a Did the organization include an amount on Form 990, Part X, line 21? [ ] Yes [ ] No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII. [ ]

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

Table with 6 columns: (a) Current year, (b) Prior year, (c) Two years back, (d) Three years back, (e) Four years back. Rows: 1a-1g (Beginning of year balance, Contributions, Net investment earnings, gains, and losses, Grants or scholarships, Other expenditures for facilities and programs, Administrative expenses, End of year balance).

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment 43.8573 %
b Permanent endowment 30.6557 %
c Temporarily restricted endowment 25.4870 %
The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations
(ii) related organizations

Table with 2 columns: Yes, No. Rows: 3a(i), 3a(ii), 3b.

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? [ ]

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Table with 5 columns: (a) Cost or other basis (investment), (b) Cost or other basis (other), (c) Accumulated depreciation, (d) Book value. Rows: 1a Land, 1b Buildings, 1c Leasehold improvements, 1d Equipment, 1e Other, Total.

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives . . . . .		
(2) Closely-held equity interests . . . . .		
(3) Other ATTACHMENT 1		
(A) CASH & CASH EQUIVALENTS	1,693,241,436.	FMV
(B) COLLATERAL FOR SECURITIES LOAN	163,448,846.	FMV
(C) DERIVATIVES	14,921,763.	FMV
(D) FIXED INCOME	1,436,007,902.	FMV
(E) REAL ESTATE	5,926,194,429.	FMV
(F) NATURAL RESOURCES	2,010,161,094.	FMV
(G) PRIVATE EQUITIES	6,057,504,362.	FMV
(H) ABSOLUTE RETURNS	5,304,604,584.	FMV
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 12.) ▶	21010960688.	

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

**Part IX Other Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.) . . . . . ▶	

**Part X Other Liabilities.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) LIABILITIES - SECURITY AGREEMENTS	191,010,895.
(3) US GOVERNMENT REFUNDABLE LOAN FUNDS	53,998,864.
(4) INC. BENEFICIARY SHARE-SPLIT INT.	436,162,826.
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	681,172,585.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

Table with 5 main rows and sub-rows (a-e) for adjustments. Total revenue reported as 5717023246.

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

Table with 5 main rows and sub-rows (a-e) for adjustments. Total expenses reported as 4641560606.

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

SEE PAGE 5

**Part XIII** Supplemental Information (continued)

SCHEDULE D, PART I, COLUMN (B)

COLUMN (B) REPRESENTS FUNDS CREATED TO MAKE GRANTS ONLY WITHIN THE UNIVERSITY.

SCHEDULE D, PART I, LINE 2

INCLUDED IN AGGREGATE CONTRIBUTIONS TO FUNDS ARE NEW GIFTS AS WELL AS TRANSFERS FROM OTHER ACCOUNTS WITHIN THE UNIVERSITY.

SCHEDULE D, PART III, LINE 1A

WORKS OF ART AND SPECIAL COLLECTIONS WORKS OF ART, HISTORICAL TREASURES, LITERARY WORKS, AND ARTIFACTS, WHICH ARE PRESERVED AND PROTECTED FOR EDUCATIONAL, RESEARCH AND PUBLIC EXHIBITION PURPOSES, ARE NOT CAPITALIZED. PURCHASES OF SUCH COLLECTIONS ARE RECORDED AS OPERATING EXPENSES IN THE PERIOD IN WHICH THEY ARE ACQUIRED.

SCHEDULE D, PART III, LINE 4

THE CANTOR ARTS CENTER AT STANFORD UNIVERSITY IS A MUSEUM COMMITTED TO INTELLECTUAL EXPLORATION AND THE PURSUIT OF KNOWLEDGE, FOSTERING A SENSE OF DISCOVERY THROUGH DIRECT EXPERIENCES WITH WORKS OF ART. THE CENTER COLLECTS, PRESERVES, AND STUDIES ART FROM ALL CULTURES AND PERIODS, SERVING THE UNIVERSITY AND THE PUBLIC THROUGH EXHIBITIONS AND PROGRAMS THAT INSPIRE THE UNDERSTANDING AND ENJOYMENT OF ART.

SCHEDULE D, PART V, LINES 1B & 1E

"CONTRIBUTIONS" (LINE 1B) INCLUDE CERTAIN INVESTMENT INCOME, CURRENT YEAR GIFTS TO ENDOWMENT, MATURED LIVING TRUSTS DIRECTED TO ENDOWMENT, AND CERTAIN OTHER FUNDS TRANSFERRED INTO THE ENDOWMENT.

**Part XIII** Supplemental Information (continued)

INCLUDED IN "OTHER EXPENDITURES FOR FACILITIES AND PROGRAMS" (LINE 1E) ARE FUNDS DISTRIBUTED ANNUALLY FROM ENDOWMENT PRINCIPAL TO SUPPORT SUCH FACILITIES AND PROGRAMS. PLEASE SEE FURTHER DISCUSSION BELOW IN "INTENDED USES OF THE ENDOWMENT FUNDS".

SCHEDULE D, PART V, LINE 2

BOARD DESIGNATED OR QUASI ENDOWMENT FUNDS ARE UNIVERSITY RESOURCES DESIGNATED BY THE BOARD AS ENDOWMENT AND ARE INVESTED FOR LONG-TERM APPRECIATION AND CURRENT INCOME. THESE ASSETS, HOWEVER, REMAIN AVAILABLE AND MAY BE SPENT AT THE BOARD'S DISCRETION.

THE UNIVERSITY CLASSIFIES AS PERMANENT ENDOWMENT A) THE ORIGINAL VALUE OF GIFTS DONATED TO THE PERMANENT ENDOWMENT AND B) ACCUMULATIONS TO THE PERMANENT ENDOWMENT MADE IN ACCORDANCE WITH THE DIRECTION OF THE APPLICABLE DONOR GIFT INSTRUMENT AT THE TIME THE ACCUMULATION IS ADDED TO THE FUND. THE REMAINING PORTION OF THE DONOR-RESTRICTED ENDOWMENT FUND THAT IS NOT CLASSIFIED IN PERMANENT ENDOWMENT IS CLASSIFIED AS TEMPORARILY RESTRICTED ENDOWMENT UNTIL THOSE AMOUNTS ARE AUTHORIZED FOR EXPENDITURE. IN THE ABSENCE OF DONOR STIPULATIONS OR LAW TO THE CONTRARY, NET UNREALIZED LOSSES ON PERMANENTLY RESTRICTED ENDOWMENT FUNDS FIRST REDUCE RELATED APPRECIATION ON TEMPORARILY RESTRICTED NET ASSETS AND THEN ON UNRESTRICTED NET ASSETS, AS NEEDED, UNTIL SUCH TIME AS THE FAIR VALUE OF THE FUND EQUALS OR EXCEEDS HISTORIC VALUE.

SCHEDULE D, PART V, LINE 3A(II) AND LINE 3B

INCLUDED IN THE ENDOWMENT BALANCE ON LINE 1G ARE THE ASSETS OF SHR

**Part XIII** Supplemental Information (continued)

HOLDINGS, INC., A RELATED ORGANIZATION.

SCHEDULE D, PART V, LINE 4

INTENDED USES OF THE ENDOWMENT FUNDS

IN 1885, LELAND AND JANE LATHROP STANFORD SAID OF THEIR FOUNDING GRANT

"IT SHALL CONSTITUTE THE FOUNDATION AND ENDOWMENT FOR THE UNIVERSITY

HEREIN PROVIDED, AND UPON THE TRUST THAT THE PRINCIPAL THEREOF SHALL

FOREVER REMAIN INTACT, AND THAT THE RENTS, ISSUES, AND PROFITS THEREOF

SHALL BE DEVOTED TO THE FOUNDATION AND MAINTENANCE OF THE UNIVERSITY

HEREBY FOUNDED AND ENDOWED, AND THE USES AND PURPOSES HEREIN MENTIONED."

TODAY, STANFORD'S ENDOWMENT CONSISTS OF THOUSANDS OF INDIVIDUAL FUNDS,

MANY OF WHICH ARE RESTRICTED TO PARTICULAR USES (E.G., SCHOLARSHIP FUNDS

FOR UNDERGRADUATES, FELLOWSHIP FUNDS TO SUPPORT GRADUATE STUDENTS,

RESEARCH FUNDS DIRECTED TO MANY DIFFERENT AREAS, PROGRAM SUPPORT FUNDS,

FUNDS TO SUPPORT PROFESSORS, FUNDS TO SUPPORT LECTURES, FUNDS TO PROVIDE

BUILDING AND GROUND MAINTENANCE, FUNDS TO SUPPORT THE UNIVERSITY'S

MUSEUM, FUNDS TO SUPPORT THE ACQUISITION OF LIBRARY MATERIALS).

THE FUNDS ARE INVESTED IN PERPETUITY FOR LONG TERM GROWTH AND THE PAYOUT

IS USED FOR THE PURPOSE SET FORTH IN THE GIFT DOCUMENT. SOME ENDOWMENT

FUNDS DO NOT HAVE PURPOSE RESTRICTIONS AND THE PAYOUT FROM THESE FUNDS IS

USED TO SUPPORT THE GENERAL OPERATIONS AND INFRASTRUCTURE OF THE

UNIVERSITY AS WELL AS MANY OTHER PARTS OF THE UNIVERSITY.

ENDOWMENT PAYOUT IS A RELIABLE SOURCE OF CONTINUING SUPPORT AND IS

CRUCIAL TO THE FUNDING OF THE MANY LONG TERM COMMITMENTS THAT THE

UNIVERSITY MUST MAKE. THE ENDOWMENT MAKES STANFORD LESS DEPENDENT ON

**Part XIII Supplemental Information** (continued)

OTHER MORE VARIABLE SOURCES OF INCOME. THE ENDOWMENT PAYOUT COVERS APPROXIMATELY 23% OF STANFORD'S TOTAL EXPENSES.

STANFORD IS CURRENTLY DIRECTING CONSIDERABLE RESEARCH AND EDUCATION EFFORTS TOWARD ADDRESSING THE CHALLENGES CRUCIAL TO THE WORLD. IN PARTICULAR, IT IS SEEKING SOLUTIONS TO SOME OF THE MOST CHALLENGING PROBLEMS IN HUMAN HEALTH, INTERNATIONAL PEACE AND SECURITY AND THE ENVIRONMENT AND SUSTAINABILITY. STANFORD CONTINUES TO BE ONE OF FEW PRIVATE INSTITUTIONS THAT ENROLL AND ADMIT THE VERY BEST APPLICANTS, REGARDLESS OF THEIR FAMILIES' INCOME AND PROVIDE SUFFICIENT FINANCIAL AID FOR ALL ADMITTED STUDENTS WHO HAVE UNIVERSITY-COMPUTED NEED, AND WHO MEET STATED FINANCIAL AND ELIGIBLE REQUIREMENTS, TO ATTEND THE UNIVERSITY. ENDOWMENT SUPPORT CONTRIBUTES GREATLY TO THE SUCCESS OF THESE EFFORTS.

IN PART AS A RESULT OF ENDOWMENT SUPPORT OF STUDENT AID, STANFORD IS ABLE TO WAIVE THE TOTAL COST OF TUITION FOR MANY OF ITS DESERVING STUDENTS. STANFORD RECENTLY EXPANDED FINANCIAL AID BY INCREASING THE INCOME THRESHOLDS AT WHICH PARENTS ARE NOT EXPECTED TO CONTRIBUTE TOWARD EDUCATIONAL COSTS. STANFORD WILL EXPECT NO PARENTAL CONTRIBUTION TOWARD TUITION FROM PARENTS WITH ANNUAL INCOMES BELOW \$125,000 - PREVIOUSLY \$100,000 - AND TYPICAL ASSETS. AND THERE WILL BE ZERO PARENTAL CONTRIBUTION TOWARD TUITION, ROOM OR BOARD FOR PARENTS WITH ANNUAL INCOMES BELOW \$65,000 - PREVIOUSLY \$60,000 - AND TYPICAL ASSETS. THIS EXPANSION OF THE FINANCIAL AID PROGRAM IS A DEMONSTRATION OF STANFORD'S COMMITMENT TO ACCESS FOR OUTSTANDING STUDENTS FROM ALL BACKGROUNDS - INCLUDING NOT ONLY THOSE FROM THE LOWEST SOCIOECONOMIC STATUS, BUT ALSO MIDDLE- AND UPPER-MIDDLE-CLASS FAMILIES WHO NEED OUR ASSISTANCE AS WELL.

**Part XIII** Supplemental Information (continued)

FOR THE YEAR ENDED AUGUST 31, 2014, ENDOWMENT PAYOUT SUPPORT INCLUDED THE FOLLOWING BROAD FUNCTIONS OF THE UNIVERSITY:

INSTRUCTION AND RESEARCH	\$288,700,970
STUDENT FINANCIAL AID	\$222,843,748
LIBRARIES	\$18,021,827

SCHEDULE D, PART X, LINE 2

ASC 740 LIABILITY - IN ACCORDANCE WITH THE GUIDANCE ON ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES, MANAGEMENT REGULARLY EVALUATES ITS TAX POSITIONS AND DOES NOT BELIEVE THE UNIVERSITY HAS ANY UNCERTAIN TAX POSITIONS THAT REQUIRE DISCLOSURE OR ADJUSTMENT TO THE FINANCIAL STATEMENTS.

SCHEDULE D, PART XI, LINE 2D

FINANCIAL AID	\$(248,847,732)
CHANGE IN POST RETIREMENT BENEFIT OBLIGATION	11,952,000
PAYMENTS TO LIVING TRUST BENEFICIARIES	(39,182,494)
NET HOSPITAL TRANSFERS	69,017,915
CHANGE IN VALUE OF SWAP AGREEMENTS	(8,616,644)
SWAP INTEREST RECLASS	(3,454,877)
GIFT TO HOSPITAL RECLASS	(2,575,000)
	-----
	\$(221,706,832)



**Part XIII** Supplemental Information (continued)

## SCHEDULE D, PART XI, LINE 4B

EXPENSES OF SPECIAL EVENTS FROM 990, PART VIII, LINE 8B \$ (628,639)

COST OF GOODS SOLD FROM 990 PART VIII, LINE 10B (1,846,197)

-----

\$ (2,474,836)

## SCHEDULE D, PART XII LINE 2D

EXPENSES OF SPECIAL EVENTS FROM 990, PART VIII, LINE 8B \$ 628,639

COST OF GOODS SOLD FROM 990 PART VIII, LINE 10B 1,846,197

-----

\$ 2,474,836

## SCHEDULE D, PART XIII LINE 4B

FINANCIAL AID \$ 248,847,732

SWAP INTEREST RECLASS 3,454,877

GIFT TO HOSPITAL RECLASS 2,575,000

-----

\$ 254,877,609

**Part XIII Supplemental Information** (continued)ATTACHMENT 1SCHEDULE D, PART VII - INVESTMENTS - OTHER SECURITIES

<u>DESCRIPTION</u>	<u>BOOK VALUE</u>	<u>COST OR FMV</u>
ASSETS HELD BY OTHER TRUSTEES	185,101,786.	FMV
OTHER INVESTMENTS	217,638,271.	FMV
ASSETS LIMITED TO USE-TRUSTEES	300,566,393.	FMV
HOSPITAL FUNDS IN MERGED POOL	-2,298,430,178.	FMV
TOTALS	<u>21,010,960,688.</u>	

SCHEDULE E (Form 990 or 990-EZ)

Schools

OMB No. 1545-0047

2013

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Complete if the organization answered "Yes" to Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.

Attach to Form 990 or Form 990-EZ.

Information about Schedule E (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY

Employer identification number 94-1156365

Part I

Table with 3 columns: Question, YES, NO. Rows include questions 1-7 regarding racial nondiscrimination policies, record keeping, and financial aid.

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or Form 990-EZ.

Schedule E (Form 990 or 990-EZ) (2013)

**Part II** **Supplemental Information.** Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also complete this part to provide any other additional information (see instructions).

SCHEDULE E, LINE 3

STANFORD MEETS THE CRITERIA OF SECTION 4.03.2(B) OF REV. PROC. 75-50 THROUGH ADMISSION AND FINANCIAL AID OFFICES OUTREACH PROGRAMS WHICH PUBLICIZE STANFORD UNIVERSITY'S ACADEMIC OPPORTUNITIES AT SECONDARY AND POST-SECONDARY SCHOOLS THROUGHOUT THE UNITED STATES.

SCHEDULE E, LINE 6A

STANFORD UNIVERSITY RECEIVED RESEARCH AND INSTRUCTIONAL GRANTS AND CONTRACTS, FELLOWSHIP AND STUDENT AID AWARDS, LIBRARY GRANTS, AND CONSTRUCTION AND CONTRACTS FROM VARIOUS FEDERAL AGENCIES.

**SCHEDULE F  
(Form 990)**

**Statement of Activities Outside the United States**

OMB No. 1545-0047

**2013**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
- ▶ Attach to Form 990. ▶ See separate instructions.
- ▶ Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY

Employer identification number  
94-1156365

**Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .  **Yes**  **No**

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) EAST ASIA AND THE PACIFIC	2.	34.	PROGRAM SERVICES	STUDY ABROAD	4,142,837.
(2) EUROPE	6.	206.	PROGRAM SERVICES	STUDY ABROAD	7,781,613.
(3) RUSSIA AND THE NEWLY INDEPENDENT		1.	PROGRAM SERVICES	STUDY ABROAD	86,952.
(4) SOUTH AMERICA	1.	20.	PROGRAM SERVICES	STUDY ABROAD	1,564,383.
(5) SUB-SAHARAN AFRICA	1.	16.	PROGRAM SERVICES	STUDY ABROAD	879,161.
(6) EAST ASIA AND THE PACIFIC	1.	10.	PROGRAM SERVICES	INTERDISCIPLINARY CENT	1,128,703.
(7) CENTRAL AMERICA/CARIBBEAN			PROGRAM SERVICES	EDUCATION	21,562.
(8) EAST ASIA AND THE PACIFIC		3.	PROGRAM SERVICES	EDUCATION	529,925.
(9) EUROPE		1.	PROGRAM SERVICES	EDUCATION	959,832.
(10) MIDDLE EAST AND NORTH AFRICA		1.	PROGRAM SERVICES	EDUCATION	205,992.
(11) NORTH AMERICA			PROGRAM SERVICES	EDUCATION	796,675.
(12) RUSSIA AND THE NEWLY INDEPENDENT		1.	PROGRAM SERVICES	EDUCATION	96,167.
(13) SOUTH AMERICA			PROGRAM SERVICES	EDUCATION	490,228.
(14) SOUTH ASIA			PROGRAM SERVICES	EDUCATION	867,117.
(15) SUB-SAHARAN AFRICA	1.	8.	PROGRAM SERVICES	EDUCATION	2,810,673.
(16) CENTRAL AMERICA/CARIBBEAN			PROGRAM SERVICES	EDUCATION: TRAVEL STU	824,675.
(17) EAST ASIA AND THE PACIFIC			PROGRAM SERVICES	EDUCATION: TRAVEL STU	1,845,193.
<b>3a Sub-total</b> . . . . .	12.	301.			25,031,688.
<b>b Total from continuation sheets to Part I</b> . . . . .		161.			12,371,990,287.
<b>c Totals (add lines 3a and 3b)</b>	12.	462.			12,397,021,975.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2013

**SCHEDULE F  
(Form 990)**

**Statement of Activities Outside the United States**

OMB No. 1545-0047

**2013**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
- ▶ Attach to Form 990. ▶ See separate instructions.
- ▶ Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY

Employer identification number  
94-1156365

**Part I General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .  **Yes**  **No**

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) EUROPE			PROGRAM SERVICES	EDUCATION: TRAVEL STU	4,170,553.
(2) MIDDLE EAST AND NORTH AFRICA			PROGRAM SERVICES	EDUCATION: TRAVEL STU	467,249.
(3) NORTH AMERICA			PROGRAM SERVICES	EDUCATION: TRAVEL STU	138,909.
(4) RUSSIA AND THE NEWLY INDEPENDENT			PROGRAM SERVICES	EDUCATION: TRAVEL STU	1,097,751.
(5) SOUTH AMERICA			PROGRAM SERVICES	EDUCATION: TRAVEL STU	1,204,632.
(6) SOUTH ASIA			PROGRAM SERVICES	EDUCATION: TRAVEL STU	735,058.
(7) SUB-SAHARAN AFRICA			PROGRAM SERVICES	EDUCATION: TRAVEL STU	473,970.
(8) CENTRAL AMERICA/CARIBBEAN		1.	PROGRAM SERVICES	RESEARCH	76,671.
(9) EAST ASIA AND THE PACIFIC		13.	PROGRAM SERVICES	RESEARCH	2,237,140.
(10) EUROPE		25.	PROGRAM SERVICES	RESEARCH	2,983,370.
(11) MIDDLE EAST AND NORTH AFRICA		4.	PROGRAM SERVICES	RESEARCH	103,360.
(12) NORTH AMERICA		7.	PROGRAM SERVICES	RESEARCH	377,753.
(13) RUSSIA AND THE NEWLY INDEPENDENT			PROGRAM SERVICES	RESEARCH	90,582.
(14) SOUTH AMERICA		1.	PROGRAM SERVICES	RESEARCH	264,411.
(15) SOUTH ASIA		4.	PROGRAM SERVICES	RESEARCH	846,833.
(16) SUB-SAHARAN AFRICA		10.	PROGRAM SERVICES	RESEARCH	997,819.
(17) EAST ASIA AND THE PACIFIC			PROGRAM SERVICES	RESEARCH SUB-AWARD CON	293,481.
<b>3a Sub-total</b> . . . . .					
<b>b Total from continuation sheets to Part I</b> . . . . .					
<b>c Totals (add lines 3a and 3b)</b>					

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2013

**SCHEDULE F  
(Form 990)**

**Statement of Activities Outside the United States**

OMB No. 1545-0047

**2013**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
- ▶ Attach to Form 990. ▶ See separate instructions.
- ▶ Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY

Employer identification number  
94-1156365

**Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

- 1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .  **Yes**  **No**
- 2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 **Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) EUROPE			PROGRAM SERVICES	RESEARCH SUB-AWARD CON	1,441,213.
(2) MIDDLE EAST AND NORTH AFRICA			PROGRAM SERVICES	RESEARCH SUB-AWARD CON	56,424.
(3) NORTH AMERICA			PROGRAM SERVICES	RESEARCH SUB-AWARD CON	147,075.
(4) SUB-SAHARAN AFRICA			PROGRAM SERVICES	RESEARCH SUB-AWARD CON	239,357.
(5) CENTRAL AMERICA/CARIBBEAN			PROGRAM SERVICES	CONFERENCES	1,837.
(6) EAST ASIA AND THE PACIFIC			PROGRAM SERVICES	CONFERENCES	100,485.
(7) EUROPE		2.	PROGRAM SERVICES	CONFERENCES	106,480.
(8) MIDDLE EAST AND NORTH AFRICA			PROGRAM SERVICES	CONFERENCES	6,160.
(9) NORTH AMERICA			PROGRAM SERVICES	CONFERENCES	18,462.
(10) SOUTH AMERICA			PROGRAM SERVICES	CONFERENCES	3,952.
(11) SUB-SAHARAN AFRICA			PROGRAM SERVICES	CONFERENCES	12,106.
(12) EAST ASIA AND THE PACIFIC			PROGRAM SERVICES	FOREIGN TRAVEL: ATHLE	1,365.
(13) EUROPE			PROGRAM SERVICES	FOREIGN TRAVEL: ATHLE	5,587.
(14) NORTH AMERICA			PROGRAM SERVICES	FOREIGN TRAVEL: ATHLE	16,580.
(15) RUSSIA AND THE NEWLY INDEPENDENT			PROGRAM SERVICES	FOREIGN TRAVEL: ATHLE	1,374.
(16) SOUTH ASIA			PROGRAM SERVICES	FOREIGN TRAVEL: ATHLE	55,227.
(17) EAST ASIA AND THE PACIFIC			PROGRAM SERVICES	FOREIGN TRAVEL: ACADE	56,071.
<b>3a</b> Sub-total . . . . .					
<b>b</b> Total from continuation sheets to Part I . . . . .					
<b>c</b> <b>Totals</b> (add lines 3a and 3b)					

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2013

**SCHEDULE F  
(Form 990)**

**Statement of Activities Outside the United States**

OMB No. 1545-0047

**2013**

**Open to Public Inspection**

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
- ▶ Attach to Form 990. ▶ See separate instructions.
- ▶ Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Department of the Treasury  
Internal Revenue Service

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY

Employer identification number  
94-1156365

**Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .  **Yes**  **No**

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) EUROPE			PROGRAM SERVICES	FOREIGN TRAVEL: ACADE	228,104.
(2) MIDDLE EAST AND NORTH AFRICA			PROGRAM SERVICES	FOREIGN TRAVEL: ACADE	62,379.
(3) NORTH AMERICA			PROGRAM SERVICES	FOREIGN TRAVEL: ACADE	86,278.
(4) RUSSIA AND THE NEWLY INDEPENDENT			PROGRAM SERVICES	FOREIGN TRAVEL: ACADE	6,580.
(5) SOUTH AMERICA			PROGRAM SERVICES	FOREIGN TRAVEL: ACADE	15,488.
(6) SOUTH ASIA			PROGRAM SERVICES	FOREIGN TRAVEL: ACADE	44,908.
(7) SUB-SAHARAN AFRICA			PROGRAM SERVICES	FOREIGN TRAVEL: ACADE	13,849.
(8) EAST ASIA AND THE PACIFIC			PROGRAM SERVICES	FOREIGN TRAVEL: ADMIN	60,372.
(9) EUROPE			PROGRAM SERVICES	FOREIGN TRAVEL: ADMIN	352,631.
(10) MIDDLE EAST AND NORTH AFRICA			PROGRAM SERVICES	FOREIGN TRAVEL: ADMIN	31,359.
(11) NORTH AMERICA			PROGRAM SERVICES	FOREIGN TRAVEL: ADMIN	793,631.
(12) RUSSIA AND THE NEWLY INDEPENDENT			PROGRAM SERVICES	FOREIGN TRAVEL: ADMIN	7,765.
(13) SOUTH AMERICA			PROGRAM SERVICES	FOREIGN TRAVEL: ADMIN	3,962.
(14) SOUTH ASIA			PROGRAM SERVICES	FOREIGN TRAVEL: ADMIN	67,485.
(15) SUB-SAHARAN AFRICA			PROGRAM SERVICES	FOREIGN TRAVEL: ADMIN	40,063.
(16) CENTRAL AMERICA/CARIBBEAN			PROGRAM SERVICES	FOREIGN TRAVEL: CLINI	7,006.
(17) EAST ASIA AND THE PACIFIC			PROGRAM SERVICES	FOREIGN TRAVEL: CLINI	371.
<b>3a</b> Sub-total . . . . .					
<b>b</b> Total from continuation sheets to Part I . . . . .					
<b>c</b> Totals (add lines 3a and 3b)					

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2013



**SCHEDULE F  
(Form 990)**

**Statement of Activities Outside the United States**

OMB No. 1545-0047

**2013**

**Open to Public Inspection**

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
- ▶ Attach to Form 990. ▶ See separate instructions.
- ▶ Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Department of the Treasury  
Internal Revenue Service

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY

Employer identification number  
94-1156365

**Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .  **Yes**  **No**

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) EUROPE			PROGRAM SERVICES	FOREIGN TRAVEL: CLINI	79,452.
(2) MIDDLE EAST AND NORTH AFRICA			PROGRAM SERVICES	FOREIGN TRAVEL: CLINI	6,028.
(3) NORTH AMERICA			PROGRAM SERVICES	FOREIGN TRAVEL: CLINI	64,117.
(4) RUSSIA AND THE NEWLY INDEPENDENT			PROGRAM SERVICES	FOREIGN TRAVEL: CLINI	3,005.
(5) SOUTH AMERICA			PROGRAM SERVICES	FOREIGN TRAVEL: CLINI	40,075.
(6) SOUTH ASIA			PROGRAM SERVICES	FOREIGN TRAVEL: CLINI	12,027.
(7) CENTRAL AMERICA/CARIBBEAN			PROGRAM SERVICES	FOREIGN TRAVEL: EDUCA	46,907.
(8) EAST ASIA AND THE PACIFIC			PROGRAM SERVICES	FOREIGN TRAVEL: EDUCA	1,437,696.
(9) EUROPE			PROGRAM SERVICES	FOREIGN TRAVEL: EDUCA	4,075,619.
(10) MIDDLE EAST AND NORTH AFRICA			PROGRAM SERVICES	FOREIGN TRAVEL: EDUCA	252,645.
(11) NORTH AMERICA			PROGRAM SERVICES	FOREIGN TRAVEL: EDUCA	640,817.
(12) RUSSIA AND THE NEWLY INDEPENDENT			PROGRAM SERVICES	FOREIGN TRAVEL: EDUCA	47,026.
(13) SOUTH AMERICA			PROGRAM SERVICES	FOREIGN TRAVEL: EDUCA	371,276.
(14) SOUTH ASIA			PROGRAM SERVICES	FOREIGN TRAVEL: EDUCA	186,971.
(15) SUB-SAHARAN AFRICA			PROGRAM SERVICES	FOREIGN TRAVEL: EDUCA	61,666.
(16) EAST ASIA AND THE PACIFIC			PROGRAM SERVICES	FOREIGN TRAVEL: EXTER	94,505.
(17) EUROPE			PROGRAM SERVICES	FOREIGN TRAVEL: EXTER	94,425.
<b>3a</b> Sub-total . . . . .					
<b>b</b> Total from continuation sheets to Part I . . . . .					
<b>c</b> Totals (add lines 3a and 3b)					

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2013

**SCHEDULE F  
(Form 990)**

**Statement of Activities Outside the United States**

OMB No. 1545-0047

**2013**

**Open to Public Inspection**

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
- ▶ Attach to Form 990. ▶ See separate instructions.
- ▶ Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Department of the Treasury  
Internal Revenue Service

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY

Employer identification number  
94-1156365

**Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .  **Yes**  **No**

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) MIDDLE EAST AND NORTH AFRICA			PROGRAM SERVICES	FOREIGN TRAVEL: EXTER	1,966.
(2) NORTH AMERICA			PROGRAM SERVICES	FOREIGN TRAVEL: EXTER	54,151.
(3) RUSSIA AND THE NEWLY INDEPENDENT			PROGRAM SERVICES	FOREIGN TRAVEL: EXTER	323.
(4) SOUTH AMERICA			PROGRAM SERVICES	FOREIGN TRAVEL: EXTER	2,898.
(5) SOUTH ASIA			PROGRAM SERVICES	FOREIGN TRAVEL: EXTER	87,226.
(6) SUB-SAHARAN AFRICA			PROGRAM SERVICES	FOREIGN TRAVEL: EXTER	11,243.
(7) EAST ASIA AND THE PACIFIC			PROGRAM SERVICES	FOREIGN TRAVEL: LIBRA	324,692.
(8) EUROPE			PROGRAM SERVICES	FOREIGN TRAVEL: LIBRA	969,689.
(9) MIDDLE EAST AND NORTH AFRICA			PROGRAM SERVICES	FOREIGN TRAVEL: LIBRA	29,848.
(10) NORTH AMERICA			PROGRAM SERVICES	FOREIGN TRAVEL: LIBRA	160,561.
(11) RUSSIA AND THE NEWLY INDEPENDENT			PROGRAM SERVICES	FOREIGN TRAVEL: LIBRA	12,210.
(12) SOUTH AMERICA			PROGRAM SERVICES	FOREIGN TRAVEL: LIBRA	655.
(13) SOUTH ASIA			PROGRAM SERVICES	FOREIGN TRAVEL: LIBRA	1,217,407.
(14) CENTRAL AMERICA/CARIBBEAN		1.	PROGRAM SERVICES	FOREIGN TRAVEL: RESEA	4,412.
(15) EAST ASIA AND THE PACIFIC		11.	PROGRAM SERVICES	FOREIGN TRAVEL: RESEA	736,636.
(16) EUROPE		46.	PROGRAM SERVICES	FOREIGN TRAVEL: RESEA	2,554,338.
(17) MIDDLE EAST AND NORTH AFRICA		4.	PROGRAM SERVICES	FOREIGN TRAVEL: RESEA	188,009.
<b>3a Sub-total</b> . . . . .					
<b>b Total from continuation sheets to Part I</b> . . . . .					
<b>c Totals (add lines 3a and 3b)</b>					

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2013

**SCHEDULE F  
(Form 990)**

**Statement of Activities Outside the United States**

OMB No. 1545-0047

**2013**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
- ▶ Attach to Form 990. ▶ See separate instructions.
- ▶ Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY

Employer identification number  
94-1156365

**Part I General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .  **Yes**  **No**

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) NORTH AMERICA		24.	PROGRAM SERVICES	FOREIGN TRAVEL: RESEA	345,826.
(2) RUSSIA AND THE NEWLY INDEPENDENT			PROGRAM SERVICES	FOREIGN TRAVEL: RESEA	64,904.
(3) SOUTH AMERICA		3.	PROGRAM SERVICES	FOREIGN TRAVEL: RESEA	63,802.
(4) SOUTH ASIA		3.	PROGRAM SERVICES	FOREIGN TRAVEL: RESEA	127,739.
(5) SUB-SAHARAN AFRICA		1.	PROGRAM SERVICES	FOREIGN TRAVEL: RESEA	51,771.
(6) EAST ASIA AND THE PACIFIC			GRANTMAKING		258,066.
(7) EAST ASIA AND THE PACIFIC			GRANTMAKING		62,254.
(8) EUROPE			GRANTMAKING		138,258.
(9) EUROPE			GRANTMAKING		14,700.
(10) NORTH AMERICA			GRANTMAKING		7,200.
(11) SOUTH AMERICA			GRANTMAKING		6,600.
(12) SUB-SAHARAN AFRICA			GRANTMAKING		4,200.
(13) CENTRAL AMERICA/CARIBBEAN			GRANTMAKING		1,500.
(14) EAST ASIA AND THE PACIFIC			GRANTMAKING		39,750.
(15) EUROPE			GRANTMAKING		129,600.
(16) NORTH AMERICA			GRANTMAKING		2,969.
(17) RUSSIA AND THE NEWLY INDEPENDENT			GRANTMAKING		15,000.
<b>3a Sub-total</b> . . . . .					
<b>b Total from continuation sheets to Part I</b> . . . . .					
<b>c Totals (add lines 3a and 3b)</b>					

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2013

**SCHEDULE F  
(Form 990)**

**Statement of Activities Outside the United States**

OMB No. 1545-0047

**2013**

**Open to Public Inspection**

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
- ▶ Attach to Form 990. ▶ See separate instructions.
- ▶ Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Department of the Treasury  
Internal Revenue Service

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY

Employer identification number  
94-1156365

**Part I General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .  **Yes**  **No**

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) SOUTH AMERICA			GRANTMAKING		9,000.
(2) SOUTH ASIA			GRANTMAKING		28,470.
(3) SUB-SAHARAN AFRICA			GRANTMAKING		31,600.
(4) CENTRAL AMERICA/CARIBBEAN			GRANTMAKING		12,600.
(5) EAST ASIA AND THE PACIFIC			GRANTMAKING		28,100.
(6) EUROPE			GRANTMAKING		30,000.
(7) MIDDLE EAST AND NORTH AFRICA			GRANTMAKING		6,000.
(8) NORTH AMERICA			GRANTMAKING		5,750.
(9) SOUTH AMERICA			GRANTMAKING		31,950.
(10) SOUTH ASIA			GRANTMAKING		12,500.
(11) SUB-SAHARAN AFRICA			GRANTMAKING		81,313.
(12) EAST ASIA AND THE PACIFIC			GRANTMAKING		35,000.
(13) SOUTH ASIA			GRANTMAKING		17,500.
(14) SUB-SAHARAN AFRICA			GRANTMAKING		17,500.
(15) CENTRAL AMERICA/CARIBBEAN			GRANTMAKING		27,585.
(16) EAST ASIA AND THE PACIFIC			GRANTMAKING		17,900.
(17) CENTRAL AMERICA/CARIBBEAN			GRANTMAKING		16,622.
<b>3a Sub-total</b> . . . . .					
<b>b Total from continuation sheets to Part I</b> . . . . .					
<b>c Totals (add lines 3a and 3b)</b>					

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2013

**SCHEDULE F  
(Form 990)**

**Statement of Activities Outside the United States**

OMB No. 1545-0047

**2013**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
- ▶ Attach to Form 990. ▶ See separate instructions.
- ▶ Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY

Employer identification number  
94-1156365

**Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .  **Yes**  **No**

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) EAST ASIA AND THE PACIFIC			GRANTMAKING		268,477.
(2) EUROPE			GRANTMAKING		261,209.
(3) MIDDLE EAST AND NORTH AFRICA			GRANTMAKING		23,130.
(4) NORTH AMERICA			GRANTMAKING		81,176.
(5) RUSSIA AND THE NEWLY INDEPENDENT			GRANTMAKING		50,543.
(6) SOUTH AMERICA			GRANTMAKING		35,050.
(7) SOUTH ASIA			GRANTMAKING		65,804.
(8) SUB-SAHARAN AFRICA			GRANTMAKING		114,723.
(9) EAST ASIA AND THE PACIFIC			GRANTMAKING		1,556,100.
(10) EUROPE			GRANTMAKING		4,019,195.
(11) MIDDLE EAST AND NORTH AFRICA			GRANTMAKING		462,802.
(12) NORTH AMERICA			GRANTMAKING		1,392,805.
(13) SOUTH AMERICA			GRANTMAKING		24,903.
(14) SOUTH ASIA			GRANTMAKING		712,718.
(15) SUB-SAHARAN AFRICA			GRANTMAKING		355,332.
(16) CENTRAL AMERICA/CARIBBEAN			INVESTMENTS		8,995,017,433.
(17) EAST ASIA AND THE PACIFIC			INVESTMENTS		1,041,500,936.
<b>3a Sub-total</b> . . . . .					
<b>b Total from continuation sheets to Part I</b> . . . . .					
<b>c Totals (add lines 3a and 3b)</b>					

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2013

**SCHEDULE F  
(Form 990)**

**Statement of Activities Outside the United States**

OMB No. 1545-0047

**2013**

**Open to Public Inspection**

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
- ▶ Attach to Form 990. ▶ See separate instructions.
- ▶ Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Department of the Treasury  
Internal Revenue Service

Name of the organization **THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY**

Employer identification number  
**94-1156365**

**Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .  **Yes**  **No**

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
<b>(1)</b> EUROPE			INVESTMENTS		1,402,428,239.
<b>(2)</b> MIDDLE EAST AND NORTH AFRICA			INVESTMENTS		23,989,971.
<b>(3)</b> NORTH AMERICA			INVESTMENTS		385,949,896.
<b>(4)</b> RUSSIA/INDEPENDENT STATES			INVESTMENTS		40,473,126.
<b>(5)</b> SOUTH AMERICA			INVESTMENTS		72,859,567.
<b>(6)</b> SOUTH ASIA			INVESTMENTS		72,120,665.
<b>(7)</b> SUB-SAHARAN AFRICA			INVESTMENTS		283,885,353.
<b>(8)</b> EAST ASIA AND THE PACIFIC			FUNDRAISING		203,115.
<b>(9)</b> EUROPE		1.	FUNDRAISING		268,086.
<b>(10)</b> NORTH AMERICA			FUNDRAISING		40,310.
<b>(11)</b> RUSSIA AND THE NEWLY INDEPENDENT			FUNDRAISING		620.
<b>(12)</b> SOUTH AMERICA			FUNDRAISING		234.
<b>(13)</b> SOUTH ASIA			FUNDRAISING		8,917.
<b>(14)</b> CENTRAL AMERICA/CARIBBEAN			INVESTMENTS		3,376,197.
<b>(15)</b> NORTH AMERICA			INVESTMENTS		2,824,531.
<b>(16)</b> SUB-SAHARAN AFRICA			INVESTMENTS		1,353,546.
<b>(17)</b>					
<b>3a</b> Sub-total . . . . .					
<b>b</b> Total from continuation sheets to Part I . . . . .					
<b>c</b> Totals (add lines 3a and 3b)					

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2013

**Part II** Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			EAST ASIA/PACIFIC	RESEARCH SUB	737,883.	CHECK/WIRE			
(2)			EAST ASIA/PACIFIC	RESEARCH SUB	10,005.	CHECK/WIRE			
(3)			EAST ASIA/PACIFIC	RESEARCH SUB	7,190.	CHECK/WIRE			
(4)			EAST ASIA/PACIFIC	RESEARCH SUB	85,462.	CHECK/WIRE			
(5)			EAST ASIA/PACIFIC	RESEARCH SUB	102,000.	CHECK/WIRE			
(6)			EAST ASIA/PACIFIC	RESEARCH SUB	46,492.	CHECK/WIRE			
(7)			EAST ASIA/PACIFIC	RESEARCH SUB	68,497.	CHECK/WIRE			
(8)			EAST ASIA/PACIFIC	RESEARCH SUB	15,000.	CHECK/WIRE			
(9)			EAST ASIA/PACIFIC	RESEARCH SUB	347,532.	CHECK/WIRE			
(10)			EAST ASIA/PACIFIC	RESEARCH SUB	22,299.	CHECK/WIRE			
(11)			EAST ASIA/PACIFIC	RESEARCH SUB	113,740.	CHECK/WIRE			
(12)			EUROPE/ICELAND/GREENLAND	RESEARCH SUB	55,920.	CHECK/WIRE			
(13)			EUROPE/ICELAND/GREENLAND	RESEARCH SUB	308,815.	CHECK/WIRE			
(14)			EUROPE/ICELAND/GREENLAND	RESEARCH SUB	34,352.	CHECK/WIRE			
(15)			EUROPE/ICELAND/GREENLAND	RESEARCH SUB	23,152.	CHECK/WIRE			
(16)			EUROPE/ICELAND/GREENLAND	RESEARCH SUB	294,411.	CHECK/WIRE			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. . . . . ▶

3 Enter total number of other organizations or entities. . . . . ▶

**Part II** Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			EUROPE/ICELAND/GREENLAND	RESEARCH SUB	103,958.	CHECK/WIRE			
(2)			EUROPE/ICELAND/GREENLAND	RESEARCH SUB	292,477.	CHECK/WIRE			
(3)			EUROPE/ICELAND/GREENLAND	RESEARCH SUB	2,202,227.	CHECK/WIRE			
(4)			EUROPE/ICELAND/GREENLAND	RESEARCH SUB	45,468.	CHECK/WIRE			
(5)			EUROPE/ICELAND/GREENLAND	RESEARCH SUB	131,840.	CHECK/WIRE			
(6)			EUROPE/ICELAND/GREENLAND	RESEARCH SUB	43,156.	CHECK/WIRE			
(7)			EUROPE/ICELAND/GREENLAND	RESEARCH SUB	103,764.	CHECK/WIRE			
(8)			EUROPE/ICELAND/GREENLAND	RESEARCH SUB	230,902.	CHECK/WIRE			
(9)			EUROPE/ICELAND/GREENLAND	RESEARCH SUB	148,750.	CHECK/WIRE			
(10)			MIDDLE EAST/NORTH AFRICA	RESEARCH SUB	21,500.	CHECK/WIRE			
(11)			MIDDLE EAST/NORTH AFRICA	RESEARCH SUB	24,526.	CHECK/WIRE			
(12)			MIDDLE EAST/NORTH AFRICA	RESEARCH SUB	66,465.	CHECK/WIRE			
(13)			MIDDLE EAST/NORTH AFRICA	RESEARCH SUB	21,583.	CHECK/WIRE			
(14)			MIDDLE EAST/NORTH AFRICA	RESEARCH SUB	111,371.	CHECK/WIRE			
(15)			MIDDLE EAST/NORTH AFRICA	RESEARCH SUB	217,357.	CHECK/WIRE			
(16)			NORTH AMERICA	RESEARCH SUB	104,428.	CHECK/WIRE			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. . . . . ▶

3 Enter total number of other organizations or entities. . . . . ▶



**Part II** Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			NORTH AMERICA	RESEARCH SUB	7,765.	CHECK/WIRE			
(2)			NORTH AMERICA	RESEARCH SUB	276,304.	CHECK/WIRE			
(3)			NORTH AMERICA	RESEARCH SUB	188,260.	CHECK/WIRE			
(4)			NORTH AMERICA	RESEARCH SUB	127,001.	CHECK/WIRE			
(5)			NORTH AMERICA	RESEARCH SUB	254,319.	CHECK/WIRE			
(6)			NORTH AMERICA	RESEARCH SUB	207,732.	CHECK/WIRE			
(7)			NORTH AMERICA	RESEARCH SUB	226,995.	CHECK/WIRE			
(8)			SOUTH AMERICA	RESEARCH SUB	24,903.	CHECK/WIRE			
(9)			SOUTH ASIA	RESEARCH SUB	629,152.	CHECK/WIRE			
(10)			SOUTH ASIA	RESEARCH SUB	7,500.	CHECK/WIRE			
(11)			SOUTH ASIA	RESEARCH SUB	70,266.	CHECK/WIRE			
(12)			SOUTH ASIA	RESEARCH SUB	5,800.	CHECK/WIRE			
(13)			SUB-SAHARAN AFRICA	RESEARCH SUB	217,280.	CHECK/WIRE			
(14)			SUB-SAHARAN AFRICA	RESEARCH SUB	34,749.	CHECK/WIRE			
(15)			SUB-SAHARAN AFRICA	RESEARCH SUB	33,723.	CHECK/WIRE			
(16)			SUB-SAHARAN AFRICA	RESEARCH SUB	46,630.	CHECK/WIRE			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. . . . . ▶

3 Enter total number of other organizations or entities. . . . . ▶

**Part II** **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			SUB-SAHARAN AFRICA	RESEARCH SUB	22,950.	CHECK/WIRE			
(2)			SUB-SAHARAN AFRICA	RESEARCH GRA	29,597.	CHECK			
(3)									
(4)									
(5)									
(6)									
(7)									
(8)									
(9)									
(10)									
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. . . . . **36.**

3 Enter total number of other organizations or entities. . . . . **14.**

**Part III Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 16.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1) STIPEND	EUROPE/ICELAND/GREENLAND	79.	138,258.	CHECK/EFT			
(2) STUDENT FEE SCHOLARSHIP	EUROPE/ICELAND/GREENLAND	34.	14,700.	CHECK/EFT			
(3) STUDENT FEE SCHOLARSHIP	NORTH AMERICA	10.	7,200.	CHECK/EFT			
(4) STUDENT FEE SCHOLARSHIP	SOUTH AMERICA	17.	6,600.	CHECK/EFT			
(5) STUDENT FEE SCHOLARSHIP	SUB-SAHARAN AFRICA	9.	4,200.	CHECK/EFT			
(6) STUDENT AID	EAST ASIA/PACIFIC	27.	258,066.	EFT			
(7) FELLOWSHIP	EAST ASIA/PACIFIC	8.	62,254.	EFT			
(8) RESEARCH GRANTS - UNDERGRADUATE	CENT. AMERICA/CARIBBEAN	1.	1,500.	EFT			
(9) RESEARCH GRANTS - UNDERGRADUATE	EAST ASIA/PACIFIC	9.	39,750.	EFT			
(10) RESEARCH GRANTS - UNDERGRADUATE	EUROPE/ICELAND/GREENLAND	25.	129,600.	EFT			
(11) RESEARCH GRANTS - UNDERGRADUATE	NORTH AMERICA	2.	2,970.	EFT			
(12) RESEARCH GRANTS - UNDERGRADUATE	RUSSIA/NEWLY IND. STATES	4.	15,000.	EFT			
(13) RESEARCH GRANTS - UNDERGRADUATE	SOUTH AMERICA	6.	9,000.	EFT			
(14) RESEARCH GRANTS - UNDERGRADUATE	SOUTH ASIA	6.	28,470.	EFT			
(15) RESEARCH GRANTS - UNDERGRADUATE	SUB-SAHARAN AFRICA	8.	31,600.	EFT			
(16) SERVICE LEARNING FELLOWSHIPS	CENT. AMERICA/CARIBBEAN	2.	12,600.	EFT			
(17) SERVICE LEARNING FELLOWSHIPS	EAST ASIA/PACIFIC	4.	28,100.	EFT			
(18) SERVICE LEARNING FELLOWSHIPS	EUROPE/ICELAND/GREENLAND	5.	30,000.	EFT			

**Part III** Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1) SERVICE LEARNING FELLOWSHIPS	MIDDLE EAST/NORTH AFRICA	1.	6,000.	EFT			
(2) SERVICE LEARNING FELLOWSHIPS	NORTH AMERICA	1.	5,750.	EFT			
(3) SERVICE LEARNING FELLOWSHIPS	SOUTH AMERICA	5.	31,950.	EFT			
(4) SERVICE LEARNING FELLOWSHIPS	SOUTH ASIA	2.	12,500.	EFT			
(5) SERVICE LEARNING FELLOWSHIPS	SUB-SAHARAN AFRICA	13.	81,313.	EFT			
(6) INTERNATIONAL SERVICE FELLOWSHIP	EAST ASIA/PACIFIC	2.	35,000.	EFT			
(7) INTERNATIONAL SERVICE FELLOWSHIP	SOUTH ASIA	1.	17,500.	EFT			
(8) INTERNATIONAL SERVICE FELLOWSHIP	SUB-SAHARAN AFRICA	1.	17,500.	EFT			
(9) IMPACT ABROAD TRAVEL STIPEND	CENT. AMERICA/CARIBBEAN	13.	27,585.	EFT			
(10) IMPACT ABROAD TRAVEL STIPEND	EAST ASIA/PACIFIC	7.	17,900.	EFT			
(11) RESEARCH GRANTS/FELLOWSHIPS	CENT. AMERICA/CARIBBEAN	5.	16,622.	CHECK/EFT			
(12) RESEARCH GRANTS/FELLOWSHIPS	EAST ASIA/PACIFIC	82.	264,613.	CHECK/EFT			
(13) RESEARCH GRANTS/FELLOWSHIPS	EUROPE/ICELAND/GREENLAND	102.	260,209.	CHECK/EFT			
(14) RESEARCH GRANTS/FELLOWSHIPS	MIDDLE EAST/NORTH AFRICA	9.	23,130.	CHECK/EFT			
(15) RESEARCH GRANTS/FELLOWSHIPS	NORTH AMERICA	42.	81,176.	CHECK/EFT			
(16) RESEARCH GRANTS/FELLOWSHIPS	RUSSIA/NEWLY IND. STATES	24.	50,543.	CHECK/EFT			
(17) RESEARCH GRANTS/FELLOWSHIPS	SOUTH AMERICA	11.	30,050.	CHECK/EFT			
(18) RESEARCH GRANTS/FELLOWSHIPS	SOUTH ASIA	22.	65,804.	CHECK/EFT			

**Part III** **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 16.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1) RESEARCH GRANTS/FELLOWSHIPS	SUB-SAHARAN AFRICA	31.	85,126.	CHECK/EFT			
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

**Part IV Foreign Forms**

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* . . . . .  Yes  No
  
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)* . . . . .  Yes  No
  
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations. (see Instructions for Form 5471)* . . . . .  Yes  No
  
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* . . . . .  Yes  No
  
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect To Certain Foreign Partnerships. (see Instructions for Form 8865)* . . . . .  Yes  No
  
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713)* . . . . .  Yes  No

**Part V Supplemental Information**

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

PART I: GENERAL INFORMATION ON ACTIVITIES OUTSIDE OF THE US

PART I, LINE 2: DESCRIBE THE ORGANIZATION'S PROCEDURES FOR MONITORING THE USE OF GRANT FUNDS OUTSIDE OF THE UNITED STATES.

STANFORD UNIVERSITY IS NOT A "GRANTMAKER" PER SE IN THAT ITS FUNDAMENTAL MISSION, AND PURPOSE FOR TAX-EXEMPTION, RELATES TO EDUCATION, RESEARCH, AND PATIENT CARE ACTIVITIES. HOWEVER, IN THE COURSE OF THESE MISSION-BASED ACTIVITIES, THE UNIVERSITY DOES MAKE PAYMENTS THAT MEET THE CRITERIA FOR 'GRANTMAKING' AS DEFINED BY THE INSTRUCTIONS TO IRS 990 SCHEDULE F.

PROCEDURES FOR MONITORING USE OF GRANT FUNDS OUTSIDE OF THE U.S. ARE PRINCIPALLY GOVERNED BY THE PURPOSE FOR WHICH THE FUNDS WERE GRANTED:

FINANCIAL AID: SCHOLARSHIPS, STIPENDS, AND FELLOWSHIPS FOR MOST U.S. INSTITUTIONS OF HIGHER EDUCATION, PROVISION OF FINANCIAL AID TO STUDENTS IS AN IMPORTANT ACTIVITY ENABLING QUALIFIED STUDENTS TO OBTAIN A COLLEGE EDUCATION AT THE UNDERGRADUATE, GRADUATE, OR POSTDOCTORAL LEVEL. STANFORD IS COMMITTED TO A "NEED-BLIND" ADMISSION POLICY FOR U.S. CITIZENS AND PERMANENT RESIDENTS; IT ADMITS QUALIFIED STUDENTS WITHOUT REGARD TO THEIR ABILITY TO PAY, AND PROVIDES A COMPREHENSIVE FINANCIAL AID PROGRAM FOR ALL ADMITTED STUDENTS WHO HAVE UNIVERSITY-COMPUTED NEED, AND WHO MEET STATED FINANCIAL AID ELIGIBILITY REQUIREMENTS.

**Part V Supplemental Information**

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

FOR THE FISCAL YEAR, ENDED AUGUST 31, 2014, 82% OF UNDERGRADUATE AND 87% OF GRADUATE STUDENTS RECEIVED SOME FORM OF FINANCIAL AID, WITH A TOTAL OF \$384,688,817 OF FINANCIAL AID DISBURSED TO ALL STANFORD STUDENTS. APPROXIMATELY 65% OF THIS AID TOOK THE FORM OF TRANSFERS FROM INTERNAL UNIVERSITY FUNDS TO OFFSET TUITION AND OTHER UNIVERSITY CHARGES THAT WOULD OTHERWISE HAVE BEEN RECEIVED BY THE UNIVERSITY. THE BALANCE OF \$135,841,085 REPRESENTS DISBURSEMENTS, PRIMARILY TO GRADUATE STUDENTS, FOR STIPENDS, FELLOWSHIPS AND OTHER STUDENT AID, IN SUPPORT OF ACADEMIC EFFORT. FOR THE VAST MAJORITY OF ENROLLED STUDENTS, THESE AMOUNTS ARE PAID BY DIRECT DEPOSIT TO STUDENT BANK ACCOUNTS IN THE UNITED STATES. AT THE TIME OF CONSTRUCTIVE RECEIPT OF THESE FUNDS IN THE U.S., IT IS POSSIBLE THAT STUDENTS (PARTICULARLY DOCTORAL STUDENTS) MIGHT BE TRAVELING, OR "LIVING", OUTSIDE OF THE U.S. DURING THE COURSE OF THEIR ACADEMIC WORK, OR EVEN FOR PERSONAL PURPOSES. STANFORD REQUIRES THAT STUDENTS WHO ARE RECEIVING FINANCIAL AID MAINTAIN THEIR REGISTRATION STATUS, AND BE ENROLLED FOR ACADEMIC CREDIT IN UNIVERSITY-APPROVED PROGRAMS. THE UNIVERSITY DOES NOT BROADLY CONSIDER FINANCIAL AID DISBURSEMENTS IN THE UNITED STATES TO ENROLLED STUDENTS AS "GRANTS TO FOREIGN INDIVIDUALS" AND THEREFORE, DOES NOT TRACK PAYMENTS IN THAT MANNER. ACCORDINGLY, THOSE GRANTS PAID OUTSIDE OF THE UNITED STATES, OR GRANTS FOR USE OUTSIDE THE UNITED STATES FOR PROGRAMS WHICH HAVE A READILY IDENTIFIABLE FOREIGN COMPONENT THAT IS SEPARATELY TRACKED ARE REPORTED ON SCHEDULE F.



**Part V Supplemental Information**

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

THE FOLLOWING CATEGORIES OF AID ARE PAID DIRECTLY TO STUDENTS OR SCHOLARS OUTSIDE OF THE UNITED STATES, OR FOR INTENDED USE OUTSIDE THE U.S., AND ARE REPORTED ON SCHEDULE F, PART III.

1. RESEARCH GRANTS: THE UNIVERSITY FUNDS A SUMMER INDEPENDENT RESEARCH GRANT PROGRAM AVAILABLE ON A COMPETITIVE BASIS TO UNDERGRADUATES. AS WITH MOST FINANCIAL AID, THESE GRANTS ARE DISBURSED IN THE UNITED STATES TO ENROLLED STUDENTS. OPPORTUNITIES TO FORMALLY PRESENT RESULTING ACADEMIC RESEARCH FINDINGS ARE PROVIDED, WHICH CONTRIBUTES TO THE ASSURANCE THAT MONIES ARE USED FOR THE INTENDED PURPOSE. THOSE AWARDS WHERE THE INTENDED USE OF THE RESEARCH GRANT IS OUTSIDE OF THE U.S., ARE REPORTED IN PART III.

2. SERVICE LEARNING FELLOWSHIPS AND TRAVEL GRANTS: STANFORD'S HAAS CENTER FOR PUBLIC SERVICE MANAGES PROGRAMS TO ENGAGE STANFORD STUDENTS IN SERVICE LEARNING OPPORTUNITIES. THESE PLACEMENTS ARE CLOSELY MONITORED BY PROGRAM OFFICERS PRIOR TO AND AFTER THEY OCCUR, TO ASSURE MAXIMUM BENEFIT OF THE LEARNING EXPERIENCE. FELLOWSHIPS PLACEMENTS AND TRAVEL GRANTS OUTSIDE OF THE U.S. FOR WHICH STUDENTS RECEIVED SUPPORT ARE REPORTED IN PART III.

3. SCHOLARSHIPS: STANFORD MANAGES A CONSORTIUM LANGUAGE PROGRAM LOCATED IN THE EAST ASIA/PACIFIC REGION ON BEHALF OF SEVERAL U.S. UNIVERSITIES. SCHOLARSHIPS TO ATTEND THIS PROGRAM ARE AWARDED BY THE CONSORTIUM, AND

**Part V Supplemental Information**

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

ARE DISBURSED FROM STANFORD DIRECTLY TO THE IN-COUNTRY CONSORTIUM PROGRAM OFFICE. THESE AMOUNTS ARE REPORTED IN PART III.

4. OVERSEAS STUDIES PROGRAM-INTERNSHIP STIPENDS: AS PART OF ITS UNDERGRADUATE OVERSEAS STUDY PROGRAM, THE UNIVERSITY IS ABLE TO PLACE ELIGIBLE STANFORD STUDENTS IN LOCAL INTERNSHIPS FOR 3-6 MONTHS. STUDENTS ARE PAID A STIPEND TO COVER THEIR HOUSING, FOOD AND LIVING EXPENSES. UNIVERSITY PROGRAM MANAGERS IN THESE COUNTRIES WORK WITH RECIPIENT ORGANIZATIONS TO MONITOR STUDENT PERFORMANCE AND PROVIDE FEEDBACK. THESE AMOUNTS ARE REPORTED IN PART III AS GRANTS TO INDIVIDUALS, LIVING OUTSIDE OF THE U.S. THE IN-COUNTRY EXPENDITURES RELATING TO EACH OF THE OVERSEAS STUDIES CENTERS ARE REPORTED IN PART I, LINE 3 AS A PROGRAM ACTIVITY. A VERY SMALL PROPORTION OF THOSE EXPENSES ARE REPRESENTED BY CASH PAYMENTS TO STUDENTS FOR PER DIEM MEAL ALLOWANCES. THESE HAVE BEEN INCLUDED AS PROGRAM ACTIVITY EXPENSES, NOT AS GRANTS TO INDIVIDUALS.

RESEARCH FELLOWSHIPS: STUDENTS RECEIVE INTERNAL AND EXTERNAL FUNDS FOR INDEPENDENT RESEARCH. PROJECTS DESCRIBED AS SUCH IN THE FINANCIAL SYSTEM WITH FOREIGN ACTIVITY ARE REPORTED IN PART III.

SUB-AWARDS ARE PAYMENTS TO THIRD PARTY RECIPIENTS FOR PERFORMING A PORTION OF A STANFORD RESEARCH PROGRAM, MOST OFTEN A SPONSORED-RESEARCH PROJECT. MANY SUB-AWARDS ARE AGREEMENTS FOR CONTRACTUALLY DEFINED DELIVERABLES, USUALLY REQUIRING HIGHLY SPECIFIC EXPERTISE. AS SUCH THEY

**Part V Supplemental Information**

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

COULD BE DESCRIBED AS RESEARCH CONTRACTS. HOWEVER, CERTAIN SUB-AWARDS

MIGHT ALSO BE CATEGORIZED AS GRANTS, DEPENDING ON THE NATURE OF THE

ORIGINATING PRIME FUNDING SOURCE, SINCE THE TERMS AND CONDITIONS OF THE

ORIGINATING FUNDING SOURCE GENERALLY FLOW THROUGH TO THE SUB-AWARD.

ACCORDINGLY, GRANT-FUNDED SUB-AWARDS ARE REPORTED IN PART II.

CONTRACT-FUNDED SUB-AWARDS TO FOREIGN RECIPIENTS ARE REPORTED IN PART I,

LINE 3 AS RESEARCH PROGRAM ACTIVITIES.

THE PROCEDURES FOR MONITORING BOTH TYPES OF SUB-AWARDS ARE ESSENTIALLY

IDENTICAL. AN INITIAL RISK ASSESSMENT OF THE RECIPIENT IS CONDUCTED

PRIOR TO THE GRANTING OF THE SUB-AWARD. THIS, TOGETHER WITH AN

AUDIT/FINANCIALS REVIEW, PROVIDES INSIGHT ON WHETHER IT IS APPROPRIATE

AND REASONABLE TO ENTER INTO THE SUB-AWARD, OR WHETHER ADDITIONAL TERMS

MUST BE ADDED TO THE SUB-AWARD AGREEMENT FOR GREATER ASSURANCE OF ITS

SAFE-HANDLING. IN ACCORDANCE WITH U.S. OMB CIRCULAR A-133 REQUIREMENTS,

THE SUB-RECIPIENT IS REQUIRED TO ANNUALLY COMPLETE A QUESTIONNAIRE

DESIGNED TO ASSESS CERTAIN ASPECTS OF THE ENTITY'S INTERNAL CONTROLS AND

FINANCIAL STATUS. THIS INFORMATION IS COLLECTED AND REVIEWED BY SPONSORED

RESEARCH SPECIALISTS. INVOICES SUBMITTED BY THE SUB-RECIPIENT TO THE

UNIVERSITY ARE REVIEWED TO ENSURE THAT CHARGED EXPENSES MEET BOTH

INTERNAL ACCOUNTING POLICIES, AS WELL AS STANDARDS ESTABLISHED BY U.S.

OMB CIRCULAR A-21. AWARDS ARE MONITORED ON AN ONGOING BASIS BY MEETING,

REPORT OR COMMUNICATION, BY BOTH THE AWARD PRINCIPAL INVESTIGATOR AND THE

**Part V Supplemental Information**

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

UNIVERSITY'S SUB-RECIPIENT MONITORING OFFICER TO ENSURE THAT PROGRESS IS BEING MADE AS AGREED.

PART I, LINE 3: ENTER THE DETAILS FOR EACH TYPE OF ACTIVITY CONDUCTED AT ANY TIME DURING THE TAX YEAR IN EACH REGION. DESCRIBE THE METHOD USED TO ACCOUNT FOR EXPENDITURES ON PART I, COLUMN (F), LINE 3.

AS A LEADING RESEARCH INSTITUTION, STANFORD UNIVERSITY CONDUCTS ACTIVITIES ALL OVER THE WORLD IN PURSUIT OF ITS ACADEMIC MISSION OF EDUCATION, RESEARCH, AND PATIENT CARE. ITS STUDENT, FACULTY AND ALUMNI COMMUNITIES ARE SIMILARLY INTERNATIONAL IN CHARACTER, AND SEEK TO COLLABORATE IN AN INTERDEPENDENT WORLD TO UNDERSTAND AND SOLVE GLOBAL CHALLENGES.

IN ACCORDANCE WITH THE FORM 990 INSTRUCTIONS, THE UNIVERSITY HAS REPORTED ON SCHEDULE F EXPENDITURES RELATING TO FOREIGN ACTIVITIES WHICH ARE SEPARATELY TRACKED IN THE ACCOUNTING SYSTEM. ALL UNIVERSITY EXPENDITURES ARE TRACKED AND REPORTED IN THE ACCOUNTING SYSTEM; HOWEVER, THERE HAS PREVIOUSLY BEEN NO BUSINESS PURPOSE TO WARRANT THE CATEGORIZATION OF EXPENDITURES GEOGRAPHICALLY. SYSTEMS ARE CURRENTLY BEING UPGRADED TO FACILITATE CAPTURE OF RELATED GEOGRAPHIC INFORMATION.

GRANTMAKING:

GRANTS REPORTED ON SCHEDULE F ARE SEPARATELY TRACKED IN THE ACCOUNTING SYSTEM, CATEGORIZED FOR FINANCIAL STATEMENT PURPOSES BY ACTIVITY AND

**Part V Supplemental Information**

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

EXPENDITURE TYPE. IT IS CURRENTLY NOT POSSIBLE TO EXHAUSTIVELY REPORT ALL GRANTS WHERE THE INTENDED PURPOSE IS FOR USE OUTSIDE THE U.S. BECAUSE THE PURPOSE OF THE INDIVIDUAL AWARDS IS NOT TRACKED IN THE ACCOUNTING SYSTEM.

**PROGRAM SERVICES:**

PROGRAM SERVICES INCLUDE ACTIVITIES RELATING TO THE CORE INSTITUTIONAL MISSION OF EDUCATION, RESEARCH, AND PATIENT CARE. FOR FINANCIAL STATEMENT PURPOSES, EXPENDITURES ARE REPORTED IN ACCORDANCE WITH GENERALLY ACCEPTED ACCOUNTING PRINCIPLES. THE STATEMENT OF ACTIVITIES REPORTS BY COST OBJECT (SALARIES & BENEFITS, DEPRECIATION AND OTHER OPERATING EXPENSES), WITH ADDITIONAL DISCLOSURE AS TO FUNCTIONAL BASIS OF EXPENDITURES.

**STUDY ABROAD:**

INCLUDES EXPENSES DIRECTLY INCURRED IN REGIONS; AND, CONSISTENT WITH FINANCIAL STATEMENT TREATMENT, WHERE SALARIES ARE INCURRED, A COST ALLOCATION FOR FRINGE BENEFITS IS ALSO REPORTED.

**EDUCATION:**

STANFORD'S SCHOOLS AND DEPARTMENTS OFFER EDUCATION PROGRAMS, INCLUDING BUT NOT LIMITED TO EXECUTIVE AND PROFESSIONAL DEVELOPMENT PROGRAMS, TO FACILITATE LIFE-LONG LEARNING AND DISSEMINATION OF CURRENT RESEARCH FINDINGS.

**Part V Supplemental Information**

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

EDUCATION-TRAVEL/STUDY PROGRAM: INCLUDES EXPENSES DIRECTLY INCURRED IN REGIONS FOR ALUMNI ASSOCIATION-SPONSORED TRAVEL STUDY TRIPS AND GRADUATE SCHOOL OF BUSINESS GLOBAL STUDY TRIPS.

RESEARCH: INCLUDES DIRECT COSTS INCURRED FOR FACULTY RESEARCH PROJECTS IN A SPECIFIC REGION, INCLUDING PAYMENTS FOR PROFESSIONAL SERVICES ENGAGED TO FURTHER THE RESEARCH PURPOSE.

RESEARCH SUB-AWARDS: REPRESENT PAYMENT TO FOREIGN SUB-RECIPIENTS FOR CONTRACT-FUNDED SUB-AWARDS THAT RETAIN THEIR PRIME-SPONSOR TERMS AND CONDITIONS AS CONTRACTS.

INTERDISCIPLINARY CENTER: REPRESENT EXPENSES INCURRED FOR THE OPERATION, SUPPORT, MAINTENANCE, AND MANAGEMENT OF A FACILITY IN THE EAST ASIA/PACIFIC REGION TO HOUSE STANFORD FACULTY, STAFF AND STUDENTS ENGAGED IN ACADEMIC ACTIVITIES IN THAT REGION.

FOREIGN TRAVEL: IS CATEGORIZED SEPARATELY IN THE ACCOUNTING SYSTEM TO MEET COST PRINCIPLES OF OMB A21.

FUNDRAISING: INCLUDE THOSE EXPENSES DIRECTLY INCURRED IN THE REGION FOR FUNDRAISING ACTIVITIES.

INVESTMENTS: IN THE INTERESTS OF PRUDENT STEWARDSHIP OF ITS ENDOWMENT

**Part V Supplemental Information**

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

FUNDS, THE UNIVERSITY SEEKS TO MAINTAIN A DIVERSIFIED INVESTMENT PORTFOLIO OF ACTIVELY MANAGED FINANCIAL AND REAL ESTATE ASSETS LOCATED THROUGHOUT THE WORLD. DIVERSIFICATION AIMS TO ENSURE THAT THE ENDOWMENT GENERATES RETURNS THAT BOTH MEETS CURRENT OPERATING INCOME NEEDS AND PROVIDES FOR SUPPORT OF FUTURE STUDENTS AND PROGRAMS. THE PORTFOLIO IS CONSTRUCTED ON A FOUNDATION OF MODERN PORTFOLIO THEORY AND STRATEGIC ALLOCATION, SEEKING TO OPTIMIZE LONG-TERM RETURNS, CREATE CONSISTENT ANNUAL PAYOUTS TO THE UNIVERSITY'S OPERATING BUDGET AND PRESERVE PURCHASING POWER FOR FUTURE GENERATIONS OF STANFORD FACULTY AND STUDENTS. THE STANFORD MANAGEMENT COMPANY, A DIVISION OF THE UNIVERSITY, ACTIVELY MANAGES THE ENDOWMENT, ACCESSING MANY OF THE BEST THIRD-PARTY MANAGERS WORLDWIDE TO DEPLOY ITS CAPITAL. THE GEOGRAPHIC ALLOCATION OF THE UNIVERSITY'S INVESTMENTS, AS SHOWN IN SCHEDULE F, IS A RESULT OF THESE FACTORS.

THE UNIVERSITY REPORTS IN PART I ITS REGIONAL NET BOOK VALUE INVESTMENT AMOUNTS, CONSISTENT WITH THE IRS 2013 INSTRUCTIONS FOR SCHEDULE F. ALSO REPORTED IN PART I ARE THE FOREIGN TRAVEL EXPENSES DIRECTLY RELATED TO THE REQUISITE DUE DILIGENCE AND MANAGEMENT OF THE ENDOWMENT PORTFOLIO, AS WELL AS DIRECT COMPENSATION TO THIRD-PARTY MANAGERS.

DESCRIBE THE METHOD USED TO ACCOUNT FOR CASH GRANTS AND NON CASH ASSISTANCE ON PART II  
SUB AWARDS ARE SEPARATELY TRACKED IN THE ACCOUNTING SYSTEM WITH THE

**Part V Supplemental Information**

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

IDENTIFICATION OF THE FUNDING SOURCE OF THE SUB AWARD (I.E. GRANT VS. CONTRACT) SEPARATELY TRACKED IN THE UNIVERSITY'S SPONSORED RESEARCH SYSTEM.

INCLUDED IN PART II ARE DIRECT GRANTS AND OTHER ASSISTANCE TO ORGANIZATIONS OUTSIDE THE UNITED STATES. THE UNIVERSITY DOES NOT TRACK WHETHER GRANTS TO ORGANIZATIONS WITHIN THE UNITED STATES ARE MADE FOR THE PURPOSE OF PROVIDING GRANTS TO FOREIGN ORGANIZATIONS. SUB-AWARDS ARE SUBJECT TO THE MONITORING PROCEDURES DISCUSSED IN PART V WITH RESPECT TO PART I, LINE 2 REGARDLESS OF WHETHER THE RECIPIENT WILL FURTHER SUB-AWARD FUNDS IN ORDER TO ACCOMPLISH THE PURPOSES OF THE AWARD.

DESCRIBE THE METHOD USED TO ACCOUNT FOR CASH GRANTS AND NON CASH ASSISTANCE ON PART III

PAYMENTS TO INDIVIDUALS OUTSIDE OF THE UNITED STATES ARE TRACKED IN THE PAYABLES SUB-LEDGER OF THE ACCOUNTING SYSTEM. GRANT DISBURSEMENTS TO STUDENTS AND FACULTY ARE TRACKED AT A SUMMARY LEVEL IN THE ACCOUNTING SYSTEM. THE AWARDED OF INDIVIDUAL GRANT FUNDS IS A DECENTRALIZED ACADEMIC PROGRAM LEVEL RESPONSIBILITY. HOWEVER, THE GEOGRAPHIC LOCATION OF THE INTENDED USE OF THE FUNDS IS NOT CURRENTLY SYSTEMATICALLY TRACKED, AND THEREFORE CANNOT READILY BE IDENTIFIED OUTSIDE OF LARGE UNDERGRADUATE PROGRAMS WHICH MAKE SUCH AWARDS. CONSEQUENTLY, THE NUMBER OF RECIPIENTS REPORTED IN PART III REFLECT ACTUAL RECIPIENTS OF THOSE PROGRAMS, OR OTHER IDENTIFIED STUDENT RECIPIENTS.



**Supplemental Information Regarding Fundraising or Gaming Activities**

OMB No. 1545-0047

**SCHEDULE G  
(Form 990 or 990-EZ)**

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

**2013**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization **THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY**

Employer identification number  
**94-1156365**

**Part I**

**Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- a**  Mail solicitations
  - b**  Internet and email solicitations
  - c**  Phone solicitations
  - d**  In-person solicitations
  - e**  Solicitation of non-government grants
  - f**  Solicitation of government grants
  - g**  Special fundraising events
- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?  **Yes**  **No**
- b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
<b>1</b> RUFFALOCODY	STDNT PHONE APPEAL		X	1,206,885.	593,700.	613,185.
<b>2</b> THE STELTER COMPANY	BROCHURES		X		38,584.	
<b>3</b> MRS. JAYNE SHERRY MORDELL	EVENT MANAGEMENT		X	986,453.	35,750.	950,703.
<b>4</b> GRIZZARD	SOLICITATN& DEVELOPMENT		X	37,400.	27,872.	9,528.
<b>5</b> JOSH BIRKHOLZ	PROGRAM & TRAINING		X		47,930.	
<b>6</b> TANGIBLE STRATEGIES	MAIL CAMPAIGN		X	300,000.	20,000.	280,000.
<b>7</b>						
<b>8</b>						
<b>9</b>						
<b>10</b>						
<b>Total</b> .....				2,530,738.	763,836.	1,853,416.

- 3** List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

**Part II Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		UNDER ONE LUNCH (event type)	RODIN MOONLIGH (event type)	8. (total number)	(add col. (a) through col. (c))
Revenue	<b>1</b> Gross receipts . . . . .	999,853.	161,065.	241,141.	1,402,059.
	<b>2</b> Less: Contributions . . . . .	962,358.	147,044.	154,935.	1,264,337.
	<b>3</b> Gross income (line 1 minus line 2) . . . . .	37,495.	14,021.	86,206.	137,722.
Direct Expenses	<b>4</b> Cash prizes . . . . .				
	<b>5</b> Noncash prizes . . . . .				
	<b>6</b> Rent/facility costs . . . . .	1,635.		7,108.	8,743.
	<b>7</b> Food and beverages . . . . .	49,597.	50,786.		100,383.
	<b>8</b> Entertainment . . . . .				
	<b>9</b> Other direct expenses . . . . .	117,535.	177,998.	223,980.	519,513.
	<b>10</b> Direct expense summary. Add lines 4 through 9 in column (d) . . . . .				628,639.
	<b>11</b> Net income summary. Subtract line 10 from line 3, column (d) . . . . .				-490,917.

**Part III Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	<b>1</b> Gross revenue . . . . .				
Direct Expenses	<b>2</b> Cash prizes . . . . .				
	<b>3</b> Noncash prizes . . . . .				
	<b>4</b> Rent/facility costs . . . . .				
	<b>5</b> Other direct expenses . . . . .				
	<b>6</b> Volunteer labor . . . . .	<input type="checkbox"/> Yes _____% <input type="checkbox"/> No	<input type="checkbox"/> Yes _____% <input type="checkbox"/> No	<input type="checkbox"/> Yes _____% <input type="checkbox"/> No	
	<b>7</b> Direct expense summary. Add lines 2 through 5 in column (d) . . . . .				
	<b>8</b> Net gaming income summary. Subtract line 7 from line 1, column (d) . . . . .				

**9** Enter the state(s) in which the organization operates gaming activities: \_\_\_\_\_  
**a** Is the organization licensed to operate gaming activities in each of these states?  Yes  No  
**b** If "No," explain: \_\_\_\_\_

**10 a** Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?  Yes  No  
**b** If "Yes," explain: \_\_\_\_\_

- 11 Does the organization operate gaming activities with nonmembers?  Yes  No
- 12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?  Yes  No
- 13 Indicate the percentage of gaming activity operated in:
 

a The organization's facility	<b>13a</b>	%
b An outside facility	<b>13b</b>	%
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

- 15 a Does the organization have a contract with a third party from whom the organization receives gaming revenue?  Yes  No
- b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_.
- c If "Yes," enter name and address of the third party:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

16 Gaming manager information:

Name ▶ \_\_\_\_\_

Gaming manager compensation ▶ \$ \_\_\_\_\_

Description of services provided ▶ \_\_\_\_\_

- Director/officer
- Employee
- Independent contractor

17 Mandatory distributions:

- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?  Yes  No
- b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

**Part IV Supplemental Information.** Provide the explanation required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

SCHEDULE G, PART I

LINE 2B, GRIZZARD: IN ADDITION TO FEES REPORTED IN COLUMN (V), THE CONSULTANT WAS REIMBURSED \$49,533 FOR PRINTING SERVICES AS PER TERMS OF THE CONTRACT.

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2013**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD  
JUNIOR UNIVERSITY

Employer identification number  
94-1156365

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Governments and Organizations in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) THE AMERICAN ACADEMY OF ORTHOPAEDIC SURGEON 9400 WEST HIGGINS ROAD ROSEMONT, IL 60018	36-2110592	501(C)(3)	5,500.				GENERAL SUPPORT
(2) SOUTHERN POVERTY LAW CENTER 400 WASHINGTON AVE. MONTGOMERY, AL 36104	63-0598743	501(C)(3)	6,000.				GENERAL SUPPORT
(3) AMERICAN RED CROSS P. O. BOX 4002018 DES MOINES, IA 50340	53-0196605	501(C)(3)	7,000.				GENERAL SUPPORT
(4) YOSEMITE CONSERVANCY 101 MONTGOMERY ST. SF, CA 94104	94-3058041	501(C)(3)	8,500.				GENERAL SUPPORT
(5) CAL POLY ENGINEERING C/O CA POLYTECHNIC ST UNIV	20-4927897	501(C)(3)	10,000.				SUPPORT EDUCATION
(6) DENISON UNIVERSITY PO BOX 716 GRANVILLE, OH 43023-0716	31-4379459	501(C)(3)	10,000.				SCHOLARSHIP
(7) JOHNS HOPKINS CHILDREN'S CENTER 750 E. PRATT BALTIMORE, MD 21202	52-0595110	501(C)(3)	10,000.				GENERAL SUPPORT
(8) UNHCR-THE UN REFUGEE AGENCY P. O. BOX 97114 WASHINGTON, DC 20077	52-1662800	501(C)(3)	10,000.				GENERAL SUPPORT
(9) AMERICAN UNITED FOR SEPARATION OF CHURCH&ST 1301 K STREET NW WASHINGTON, DC 20005	53-0184647	501(C)(3)	10,000.				GENERAL SUPPORT
(10) CHABAD AT STANFORD 1289 COLLEGE AVENUE PALO ALTO, CA 94306	73-1655874	501(C)(3)	10,000.				RELIGIOUS
(11) HEAD ROYCE SCHOOL 4315 LINCOLN AVE. OAKLAND, CA 94602	94-1518656	501(C)(3)	10,000.				SUPPORT EDUCATION
(12) SECOND HARVEST FOOD BANK 4001 NORTH 1ST STREET SAN JOSE, CA 95134	94-2614101	501(C)(3)	10,000.				GENERAL SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2013)

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2013**

**Open to Public  
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Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

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Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD  
JUNIOR UNIVERSITY

Employer identification number  
94-1156365

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Governments and Organizations in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) 1990 INSTITUTE P. O. BOX 383 SAN FRANCISCO, CA 94104-0383	94-3112912	501(C)(3)	10,000.				SUPPORT EDUCATION
(2) COMMUNITY LEGAL SERVICES OF EAST PALO ALTO 1861 BAY ROAD EAST PALO ALTO, CA 94303	22-3866910	501(C)(3)	10,000.				GENERAL SUPPORT
(3) THE NATIONAL ACADEMY OF ENGINEERING 500 FIFTH STREET WASHINGTON, DC 20001	23-7284092	501(C)(3)	20,000.				SUPPORT EDUCATION
(4) YMCA SILICON VALLEY 80 SARATOGA AVE. SANTA CLARA, CA 95051	94-1156318	501(C)(3)	20,000.				GENERAL SUPPORT
(5) THE KEYS SCHOOL 2890 MIDDLEFIELD RD PALO ALTO, CA 94306	94-2240127	501(C)(3)	20,000.				GENERAL SUPPORT
(6) SANTA BARBARA CENTER FOR THE PEFORMING ARTS 1330 STATE STREET SANTA BARBARA, CA 93101	95-3847102	501(C)(3)	20,000.				GENERAL SUPPORT
(7) ALAMEDA SCHOOL 2732 N E FREMONT ST. PORTLAND, OR 97212	93-6000830	501(C)(3)	21,363.				GENERAL SUPPORT
(8) CORNELL UNIVERSITY 130 E. SENECA ST. ITHACA, NY 14850	15-0532082	501(C)(3)	25,000.				SUPPORT EDUCATION
(9) LUMMI ISLAND HERITAGE TRUST P.O. BOX 158 LUMMI ISLAND, WA 98262	91-1785342	501(C)(3)	25,000.				GENERAL SUPPORT
(10) OAKLAND CHILDREN'S FAIRYLAND 699 BELLEVUE AVENUE OAKLAND, CA 94610	94-3209054	501(C)(3)	25,000.				GENERAL SUPPORT
(11) MOSAIC PROJECT 580 GRAND AVENUE OAKLAND, CA 94610-3567	94-3367263	501(C)(3)	25,000.				GENERAL SUPPORT
(12) UNION COLLEGE 807 UNION STREET SCHENECTADY, NY 12308	14-1338580	501(C)(3)	30,000.				SUPPORT PROGRAM

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶ -----
- 3 Enter total number of other organizations listed in the line 1 table ▶ -----

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2013)

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

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Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD  
JUNIOR UNIVERSITY

Employer identification number  
94-1156365

**Part I General Information on Grants and Assistance**

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Governments and Organizations in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) GRINNELL COLLEGE 733 BROAD ST GRINNELL, IA 50112-2227	42-0680387	501(C)(3)	62,500.				GENERAL SUPPORT
(2) TAOS SPORTS ASSOCIATES INC. P. O. BOX 3011 TAOS, NM 87571	85-0241076	501(C)(3)	80,000.				PROGRAM DEVELOPMENT
(3) FIELD INSTITUTE OF TAOS P. O. BOX 486 ARROYO SECO, NM 87514	85-0442587	501(C)(3)	85,000.				PROGRAM DEVELOPMENT
(4) SILICON VALLEY COMMUNITY FOUNDATION 2440W EL CAMINO MOUNTAIN VIEW, CA 94040	20-5205488	501(C)(3)	100,000.				GENERAL SUPPORT
(5) UC SANTA BARBARA-INSTITUTE FOR ENERGY EFFIC UC FOUNDATION SANTA BARBARA, CA 93106-1130	23-7314834	501(C)(3)	100,000.				GENERAL SUPPORT
(6) PHILANTHROPIC VENTURES FOUNDATION 1222 PRESER PARKWAY OAKLAND, CA 94612-1201	94-3136771	501(C)(3)	157,000.				FELLOWSHIPS
(7) INTERNATIONAL RESCUE COMMITTEE 122 EAST 42ND ST. NEW YORK, NY 10168	13-5660870	501(C)(3)	400,000.				GENERAL SUPPORT
(8) TIPPING POINT COMMUNITY 220 MONTGOMERY ST. SAN FRANCISCO, CA 94104	20-2121739	501(C)(3)	925,000.				OPERATION SUPPORT
(9) STANFORD SCHOOLS CORPORATION 475 POPE ST MENLO PARK, CA 94025	20-2699147	501(C)(3)	1,303,207.				GENERAL SUPPORT
(10) SAN FRANCISCO MUSEUM OF MODERN ART 151 THIRD STREET SAN FRANCISCO, CA 94103	94-1156300	501(C)(3)	1,000,000.				CAPITAL CAMPAIGN
(11) SCHWAB CHARITABLE FUND 211 MAIN STREET SAN FRANCISCO, CA 94105	31-1640316	501(C)(3)	1,260,000.				GENERAL SUPPORT
(12) STANFORD HEALTH CARE 300 PASTEUR DRIVE STANFORD, CA 94305	94-6174066	501(C)(3)	1,305,000.				GENERAL SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

3 Enter total number of other organizations listed in the line 1 table

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2013)

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

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**Open to Public  
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Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD  
JUNIOR UNIVERSITY

Employer identification number  
94-1156365

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Governments and Organizations in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) THE SEQUOIA HOSPITAL FOUNDATION 170 ALMDA DELASPULGAS	94-2909990	501(C)(3)	1,875,000.				GENERAL SUPPORT
(2) LUCILE PACKARD CHILDREN'S HOSPITAL 725 WELCH ROAD PALO ALTO, CA 94304	77-0003859	501(C)(3)	11,000,000.				HOSPITAL EXPANSION
(3) STANFORD HABITAT CONSERVATION BOARD 3145 PORTER DRIVE PALO ALTO, CA 94304	46-1882243	501(C)(3)	15,000.				SUPPORT
(4) -----							
(5) -----							
(6) -----							
(7) -----							
(8) -----							
(9) -----							
(10) -----							
(11) -----							
(12) -----							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ..... 39.

3 Enter total number of other organizations listed in the line 1 table .....

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2013)

**Part III Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1 FINANCIAL AID TO STUDENTS	13,526.	382,738,678.			
2					
3					
4					
5					
6					
7					

**Part IV Supplemental Information.** Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

PART I, LINE 2

IN PART AS A RESULT OF ENDOWMENT SUPPORT OF STUDENT AID, STANFORD IS ABLE TO WAIVE THE TOTAL COST OF TUITION FOR MANY OF ITS DESERVING STUDENTS. STANFORD RECENTLY EXPANDED FINANCIAL AID BY INCREASING THE INCOME THRESHOLDS AT WHICH PARENTS ARE NOT EXPECTED TO CONTRIBUTE TOWARD EDUCATIONAL COSTS. STANFORD WILL EXPECT NO PARENTAL CONTRIBUTION TOWARD TUITION FROM PARENTS WITH ANNUAL INCOMES BELOW \$125,000 - PREVIOUSLY \$100,000 - AND TYPICAL ASSETS. AND THERE WILL BE ZERO PARENTAL CONTRIBUTION TOWARD TUITION, ROOM OR BOARD FOR PARENTS WITH ANNUAL INCOMES BELOW \$65,000 - PREVIOUSLY \$60,000 - AND TYPICAL ASSETS. THIS



**Part III Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1					
2					
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**Part IV Supplemental Information.** Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

EXPANSION OF THE FINANCIAL AID PROGRAM IS A DEMONSTRATION OF STANFORD'S COMMITMENT TO ACCESS FOR OUTSTANDING STUDENTS FROM ALL BACKGROUNDS - INCLUDING NOT ONLY THOSE FROM THE LOWEST SOCIOECONOMIC STATUS, BUT ALSO MIDDLE- AND UPPER-MIDDLE-CLASS FAMILIES WHO NEED OUR ASSISTANCE AS WELL.

GRANTS AND ALLOCATIONS REFLECT NON-COMPENSATORY SUPPORT PROVIDED TO STUDENTS IN VARIOUS FORMS. STANFORD IS COMMITTED TO A NEED-BLIND ADMISSIONS POLICY, ADMITTING QUALIFIED UNDERGRADUATES WITHOUT REGARD TO THEIR ABILITY TO PAY AND PROVIDING A COMPREHENSIVE FINANCIAL AID PROGRAM FOR ALL ADMITTED UNDERGRADUATES WHO HAVE NEED AS DETERMINED BY THE

**Part III Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1					
2					
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**Part IV Supplemental Information.** Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

UNIVERSITY AND WHO MEET OTHER REQUISITE CONDITIONS FOR FINANCIAL AID.

MORE THAN 82% OF UNDERGRADUATES RECEIVED SOME FORM OF FINANCIAL ASSISTANCE DURING THE TAX YEAR AS WELL AS 87% OF ALL GRADUATE STUDENTS FROM STANFORD AND OTHER SOURCES. THE TOTAL FINANCIAL AID INCLUDES \$248,847,732 IN MERIT AND NEED-BASED SCHOLARSHIPS AND FELLOWSHIPS. AN ADDITIONAL \$135,841,085 REFLECTS AMOUNTS PAID FOR ADDITIONAL FINANCIAL SUPPORT TO STUDENTS, INCLUDING PAYMENTS FOR HEALTH INSURANCE, LIVING EXPENSES, AND SUPPORT OF POSTDOCTORAL FELLOWS. THESE AMOUNTS INCLUDE \$1,950,139 IN GRANTS TO INDIVIDUALS OUTSIDE THE UNITED STATES.

**Part III Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1					
2					
3					
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7					

**Part IV Supplemental Information.** Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

GRANT RECOMMENDATIONS FROM DONOR ADVISED FUNDS, AS LISTED IN PART II, ARE REVIEWED AND APPROVED BY SENIOR OFFICIALS IN THE OFFICE OF DEVELOPMENT.

SUB-GRANTS

STANFORD OCCASIONALLY RECEIVES GRANT SUPPORT THAT IS DISBURSED TO OTHER INDIVIDUALS OR ENTITIES PER THE TERMS OF THE AWARD. IN MOST CASES, PRIOR TO THE GRANT TO STANFORD, THE UNIVERSITY WILL PROVIDE THE GRANTING ENTITY WITH A LIST OF SUCH CONTEMPLATED RECIPIENTS. GENERALLY, AS WORK ON THE GRANT PROGRESSES, CHANGES TO THESE RECIPIENTS MUST BE APPROVED BY THE GRANTING AGENCY. DUE TO THESE REVIEW REQUIREMENTS OF THE GRANTING AGENCY,

**Part III Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1					
2					
3					
4					
5					
6					
7					

**Part IV Supplemental Information.** Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

STANFORD DOES NOT REPORT SUCH PAYMENTS ON FORM 990, SCHEDULE I.

SCHEDULE J (Form 990)

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

Complete if the organization answered "Yes" to Form 990, Part IV, line 23.

Attach to Form 990. See separate instructions.

Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2013

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY

Employer identification number 94-1156365

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- First-class or charter travel, Travel for companions, Tax indemnification and gross-up payments, Discretionary spending account, Housing allowance or residence for personal use, Payments for business use of personal residence, Health or social club dues or initiation fees, Personal services (e.g., maid, chauffeur, chef)

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- Compensation committee, Independent compensation consultant, Form 990 of other organizations, Written employment contract, Compensation survey or study, Approval by the board or compensation committee

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a Receive a severance payment or change-of-control payment?
b Participate in, or receive payment from, a supplemental nonqualified retirement plan?
c Participate in, or receive payment from, an equity-based compensation arrangement?
If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a The organization?
b Any related organization?
If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a The organization?
b Any related organization?
If "Yes" to line 6a or 6b, describe in Part III.

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

Table with 3 columns: Question ID, Yes, No. Rows 1b through 9.

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 JOHN HENNESSY PRESIDENT/TRUSTEE	(i)	805,963.	0	0	173,928.	157,285.	1,137,176.	0
	(ii)	0	0	0	0	0	0	0
2 JOHN ETCHEMENDY PROVOST	(i)	610,124.	0	480.	281,515.	77,612.	969,731.	0
	(ii)	0	0	0	0	0	0	0
3 RANDALL LIVINGSTON VP BUS AFFAIRS/CFO	(i)	552,759.	0	752.	105,500.	91,500.	750,511.	0
	(ii)	0	0	0	0	0	0	0
4 MARTIN SHELL VP DEVELOPMENT	(i)	559,703.	49,000.	52,712.	96,500.	54,142.	812,057.	0
	(ii)	0	0	0	0	0	0	0
5 DEBRA ZUMWALT VP GENERAL COUNSEL	(i)	575,158.	0	0	168,000.	17,240.	760,398.	0
	(ii)	0	0	0	0	0	0	0
6 ROBERT C. REIDY VP LAND, BUILDINGS & REAL EST	(i)	392,394.	270,000.	704,438.	1,425,500.	58,478.	2,850,810.	600,000.
	(ii)	0	0	0	0	0	0	0
7 DAVID DEMAREST VP PUBLIC AFFAIRS	(i)	335,157.	5,500.	5,253.	84,050.	21,918.	451,878.	0
	(ii)	0	0	0	0	0	0	0
8 HOWARD WOLF PRES. OF STANFORD ALUM. ASSOC.	(i)	329,999.	51,000.	494.	167,800.	31,317.	580,610.	0
	(ii)	0	0	0	0	0	0	0
9 WILLIAM MADIA VP SLAC NAL	(i)	360,546.	72,400.	36,199.	25,048.	3,664.	497,857.	0
	(ii)	0	0	0	0	0	0	0
10 DAVID JONES VP HUMAN RESOURCES	(i)	312,892.	27,000.	0	25,500.	22,977.	388,369.	0
	(ii)	0	0	0	0	0	0	0
11 JOHN POWERS PRESIDENT, STANFORD MGNT CO.	(i)	828,704.	752,632.	710,774.	765,381.	24,970.	3,082,461.	625,460.
	(ii)	0	0	0	0	0	0	0
12 LLOYD B. MINOR DEAN, SCHOOL OF MEDICINE	(i)	1,300,912.	250,000.	176,725.	302,550.	73,057.	2,103,244.	0
	(ii)	0	0	0	0	0	0	0
13 RICHARD SALLER DEAN, SCHOOL OF HUM & SCIENCES	(i)	504,717.	0	40,723.	25,500.	10,913.	581,853.	0
	(ii)	0	0	0	0	0	0	0
14 GARY STEINBERG CHAIR, NEUROSURGERY	(i)	621,877.	667,119.	500.	25,159.	21,756.	1,336,411.	0
	(ii)	0	0	0	0	0	0	0
15 THOMAS KRUMMEL CHAIR, SURGERY	(i)	551,504.	479,721.	500.	25,500.	29,429.	1,086,654.	0
	(ii)	0	0	0	0	0	0	0
16 DAVID SHAW COACH	(i)	1,561,185.	100,000.	59,034.	475,500.	28,716.	2,224,435.	0
	(ii)	0	0	0	0	0	0	0

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 FRANK HANLEY CHIEF, PED CARDIOTHORACIC	(i)	566,602.	1,325,000.	0	25,500.	21,432.	1,938,534.	0
	(ii)	0	0	0	0	0	0	0
2 JOHNNY DAWKINS COACH	(i)	869,746.	55,000.	353,698.	472,950.	40,549.	1,791,943.	0
	(ii)	0	0	0	0	0	0	0
3 PHILIP A. PIZZO PROF & FORMER DEAN, SCH OF MED	(i)	619,321.	0	76,032.	174,250.	6,485.	876,088.	0
	(ii)	0	0	0	0	0	0	0
4	(i)							
	(ii)							
5	(i)							
	(ii)							
6	(i)							
	(ii)							
7	(i)							
	(ii)							
8	(i)							
	(ii)							
9	(i)							
	(ii)							
10	(i)							
	(ii)							
11	(i)							
	(ii)							
12	(i)							
	(ii)							
13	(i)							
	(ii)							
14	(i)							
	(ii)							
15	(i)							
	(ii)							
16	(i)							
	(ii)							

**Part III Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 1A

FIRST-CLASS OR CHARTER TRAVEL

STANFORD UNIVERSITY TRAVEL POLICY GENERALLY REQUIRES ALL EMPLOYEES, INCLUDING PERSONS LISTED ON FORM 990, PART VII, LINE 1A, TO USE THE MOST ECONOMICAL MODE OF TRANSPORTATION AVAILABLE CONSISTENT WITH THE AUTHORIZED BUSINESS PURPOSE OF THE TRAVEL. FIRST CLASS TRAVEL IS AUTHORIZED IN SOME INSTANCES, SUCH AS ON INTERNATIONAL FLIGHTS OVER A CERTAIN LENGTH, IF NO BUSINESS CLASS SERVICE IS AVAILABLE ON THE FLIGHT. FIRST CLASS TRAVEL IS ALSO AUTHORIZED IN CASE OF A MEDICAL NEED OR OTHER EXIGENCY APPROVED BY THE PROVOST. IN ADDITION, THE PRESIDENT HAS PERMISSION TO FLY FIRST CLASS ON CERTAIN DOMESTIC FLIGHTS DEPENDING ON LENGTH.

OCCASIONALLY, THE UNIVERSITY MAY PURCHASE CHARTERED AIRCRAFT SERVICES WHEN DEEMED APPROPRIATE FOR THE CONDUCT OF UNIVERSITY BUSINESS AND PURSUANT TO UNIVERSITY POLICY RELATED TO CHARTER TRAVEL.

IN COMPLIANCE WITH THE UNIVERSITY'S TRAVEL POLICY DESCRIBED ABOVE, SIX



**Part III Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PERSONS LISTED ON PART VII USED FIRST CLASS TRAVEL SERVICES AND SIX PERSONS USED CHARTER TRAVEL DURING CALENDAR YEAR 2013. SUCH TRAVEL WAS FOR BUSINESS PURPOSES AND NOT INCLUDED IN TAXABLE INCOME TO THE INDIVIDUALS.

PRESIDENT AND PROVOST HOUSING AS A CONDITION OF HIS EMPLOYMENT AS PRESIDENT OF THE UNIVERSITY, PRESIDENT HENNESSY, IS REQUIRED TO LIVE IN THE PRESIDENT'S HOME ON THE CAMPUS OF THE UNIVERSITY. THE RESIDENCE IS USED FREQUENTLY FOR UNIVERSITY BUSINESS INCLUDING OFFICIAL GATHERINGS OF FACULTY, STAFF, STUDENTS, ALUMNI, DONORS AND THEIR FAMILIES. AS PART OF A PROGRAM OF APPROPRIATE AND REGULAR MAINTENANCE OF THIS HOUSE, THE UNIVERSITY PROVIDED CLEANING SERVICE FOR THE PUBLIC AND PRIVATE AREAS. THE UNIVERSITY HAS INCLUDED AS NONTAXABLE COMPENSATION IN COLUMN D THE ESTIMATED RENTAL VALUE OF THE AREAS OF THIS RESIDENCE RESERVED FOR THE PERSONAL USE OF THE EMPLOYEE, BASED UPON THE PREVAILING RENTAL RATES IN PALO ALTO AND ACTUAL COSTS OF RELATED SERVICES, INCLUDING MAINTENANCE, CLEANING AND UTILITIES.

**Part III Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

AS A CONDITION OF HIS EMPLOYMENT AS PROVOST OF THE UNIVERSITY, PROVOST  
ETCHEMENDY IS REQUIRED TO LIVE ON THE CAMPUS OF THE UNIVERSITY. THE  
RESIDENCE IS USED FREQUENTLY FOR UNIVERSITY BUSINESS INCLUDING OFFICIAL  
GATHERINGS OF FACULTY, STAFF, STUDENTS, ALUMNI, DONORS AND THEIR  
FAMILIES. AS PART OF A PROGRAM OF APPROPRIATE AND REGULAR MAINTENANCE OF  
THIS HOUSE, THE UNIVERSITY PROVIDED CLEANING SERVICE FOR THE PUBLIC AND  
PRIVATE AREAS. THE UNIVERSITY HAS INCLUDED AS NONTAXABLE COMPENSATION IN  
COLUMN D THE ESTIMATED RENTAL VALUE OF THE AREAS OF THIS RESIDENCE  
RESERVED FOR THE PERSONAL USE OF THE EMPLOYEE, BASED UPON THE PREVAILING  
RENTAL RATES IN PALO ALTO AND ACTUAL COSTS OF RELATED SERVICES, INCLUDING  
MAINTENANCE, CLEANING AND UTILITIES.

THE FOLLOWING INDIVIDUALS RECEIVED TAXABLE HOUSING BENEFITS: LLOYD B.  
MINOR, WILLIAM MADIA, MARTIN SHELL, RICHARD SALLER, DAVID SHAW, AND  
JOHNNY DAWKINS. THESE AMOUNTS ARE INCLUDED IN SCHEDULE J, PART II, COLUMN  
(B)(III).

**Part III Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

## PART I, LINE 4A SEVERANCE PLAN

CERTAIN LISTED PERSONS PARTICIPATE IN A SEVERANCE PLAN THAT PROVIDES FOR PAYMENT OF SALARY BASED ON THE INDIVIDUAL'S YEARS OF SERVICE, FOR A PERIOD RANGING FROM OF 3 TO 12 MONTHS. SEVERANCE IS PAID FOR POSITION ELIMINATION OR OTHER INVOLUNTARY TERMINATION, BASED ON PLAN GUIDELINES EXCEPT WHEN THE PRESIDENT OR THE VICE PRESIDENT OF UNIVERSITY HUMAN RESOURCES OR HIS/HER DESIGNEE DETERMINES THE TERMINATION IS FOR MISCONDUCT. INDIVIDUALS WHO VOLUNTARILY RESIGN ARE NOT ENTITLED TO SEVERANCE PAY. NO SEVERANCE PAYMENTS TO LISTED PERSONS WERE MADE DURING CALENDAR YEAR 2013.

## PART I, LINE 4B DEFERRED COMPENSATION PLAN PROVISIONS

CERTAIN OFFICERS AND OTHERS LISTED IN SCHEDULE J, PART II PARTICIPATE IN A DEFERRED COMPENSATION PLAN. ANNUALLY, AMOUNTS ARE CREDITED TO THE PLAN BASED ON PERFORMANCE AND CERTAIN OTHER FACTORS. THESE AMOUNTS APPEAR IN SCHEDULE J, PART II, COLUMN (C). PLAN BALANCES ARE SUBJECT TO FORFEITURE AND/OR PAYMENT ONLY IF CERTAIN CONDITIONS ARE MET. CERTAIN BONUS AMOUNTS MAY BE DEFERRED AND PAID IN A LATER YEAR SUBJECT TO IRC SECTION 457(F).

**Part III Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

SUCH AMOUNTS ARE REPORTED IN SCHEDULE J, PART II, COLUMN (C) IN THE YEAR OF DEFERRAL AND REPORTED IN SCHEDULE J, PART II, COLUMN (B)(III) AND COLUMN (F) IN THE YEAR OF PAYMENT, IF APPLICABLE. THE FOLLOWING INDIVIDUALS RECEIVED PAYMENT FROM THEIR DEFERRED COMPENSATION ACCOUNT DURING CALENDAR YEAR 2013: JOHN POWERS - \$708,172; ROBERT C. REIDY - \$704,438.

SUPPLEMENTAL EXECUTIVE RETIREMENT PLAN (SERP)

THE UNIVERSITY HAS PROVIDED THE PRESIDENT WITH TWO SUPPLEMENTAL EXECUTIVE RETIREMENT PLANS (SERPS) DURING HIS TERM OF SERVICE AS AN OFFICER TO SUPPLEMENT HIS SOCIAL SECURITY AND 403(B) RETIREMENT BENEFITS. ONE SERP WAS EARNED GRADUALLY (PRIOR SERP) AND ANOTHER SERP IS TO BE EARNED GRADUALLY (CURRENT SERP) OVER SPECIFIED PERIODS DURING HIS TERM OF SERVICE AS AN OFFICER.

UNDER THE CURRENT SERP, IF THE PRESIDENT REMAINS IN HIS POSITION THROUGH AUGUST 31, 2018, HE IS ELIGIBLE TO RECEIVE A BENEFIT APPROXIMATELY EQUAL TO AN ANNUAL PAYMENT FOR LIFE OF 12% OF HIS HIGHEST THREE YEAR AVERAGE

**Part III Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

BASE PAY (2.0 PERCENTAGE POINTS OF WHICH WAS EARNED IN FY 2014), OFFSET BY THE ANNUITY EQUIVALENT OF HIS ANTICIPATED SOCIAL SECURITY BENEFIT AND AMOUNTS CONTRIBUTED BY THE UNIVERSITY DIRECTLY TO HIS 403(B) DEFINED CONTRIBUTION RETIREMENT PLAN WHILE HE IS AN OFFICER. THE SERP BENEFIT IS SUBJECT TO FORFEITURE IF CERTAIN CONDITIONS ARE NOT MET.

FOR THE YEAR ENDED AUGUST 31, 2014, THE VALUE OF THE ANNUAL ACCRUAL OF THIS BENEFIT WAS \$148,428 AND IS INCLUDED ON SCHEDULE J COLUMN (C) AS DEFERRED COMPENSATION.

THE PRIOR SERP WAS EARNED OVER THE 12 YEARS ENDING AUGUST 31, 2012. HAVING SERVED IN HIS POSITION THROUGH AUGUST 31, 2012, THE PRESIDENT IS ELIGIBLE TO RECEIVE A BENEFIT APPROXIMATELY EQUAL TO AN ANNUAL PAYMENT FOR LIFE OF 35.5% OF HIS HIGHEST THREE YEAR AVERAGE BASE PAY DURING THE 12 YEAR PERIOD, OFFSET BY THE ANNUITY EQUIVALENT OF HIS ANTICIPATED SOCIAL SECURITY BENEFIT AND AMOUNTS CONTRIBUTED BY THE UNIVERSITY DIRECTLY TO HIS 403(B) DEFINED CONTRIBUTION RETIREMENT PLAN WHILE HE IS AN OFFICER. THERE WERE NO ACCRUALS TO THIS SERP AFTER AUGUST 31, 2012.

**Part III Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

THIS SERP BENEFIT REMAINS SUBJECT TO FORFEITURE IF CERTAIN CONDITIONS ARE NOT MET.

THE UNIVERSITY ALSO PROVIDES THE PROVOST WITH A SUPPLEMENTAL EXECUTIVE RETIREMENT PLAN (SERP) TO SUPPLEMENT HIS SOCIAL SECURITY AND 403(B) RETIREMENT BENEFITS. THE SERP IS EARNED GRADUALLY THROUGH HIS TERM OF SERVICE AS PROVOST. IF THE PROVOST REMAINS IN HIS POSITION THROUGH AUGUST 31, 2015, HE WILL BE ELIGIBLE TO RECEIVE A BENEFIT APPROXIMATELY EQUAL TO AN ANNUAL PAYMENT FOR LIFE OF 42% OF HIS HIGHEST THREE YEAR AVERAGE BASE PAY (3.0 PERCENTAGE POINTS OF WHICH WAS EARNED IN FY 2014), OFFSET BY THE ANNUITY EQUIVALENT OF HIS ANTICIPATED SOCIAL SECURITY BENEFIT AND AMOUNTS CONTRIBUTED BY THE UNIVERSITY DIRECTLY TO HIS 403(B) DEFINED CONTRIBUTION RETIREMENT PLAN WHILE HE IS AN OFFICER. THE SERP BENEFIT IS SUBJECT TO FORFEITURE IF CERTAIN CONDITIONS ARE NOT MET.

FOR THE YEAR ENDED AUGUST 31, 2014, THE VALUE OF THE ANNUAL ACCRUAL OF THIS BENEFIT WAS \$256,015 AND IS INCLUDED ON SCHEDULE J COLUMN (C) AS DEFERRED COMPENSATION.

**Part III Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

VARIABLE COMPENSATION OF SCHOOL OF MEDICINE FACULTY

TOTAL COMPENSATION FOR FACULTY IN THE SCHOOL OF MEDICINE IS MADE UP OF THE FOLLOWING INTEGRAL COMPONENTS: SALARY AND BENEFITS, ADMINISTRATIVE SUPPLEMENTS, AND BONUSES AWARDED UNDER DEPARTMENTAL PLANS. BASE SALARY IS BASED ON ACADEMIC RANK (E.G., PROFESSOR, ASSISTANT PROFESSOR). A VARIABLE COMPONENT OF SALARY IS DETERMINED BY THE CONTRIBUTION OF THE INDIVIDUAL TO THE DEPARTMENT/DIVISION AND THE CLINICAL SPECIALTY OF THE FACULTY MEMBER. OTHER SALARY SUPPLEMENTS ARE OCCASIONALLY USED TO PROVIDE FOR COMPENSATION GIVEN UP BY A FACULTY MEMBER WHEN ASSUMING A POSITION AT STANFORD. ADMINISTRATIVE SUPPLEMENTS ARE PAID TO FACULTY WHO TAKE ON DUTIES WHICH ARE OUTSIDE THEIR USUAL FACULTY RESPONSIBILITIES AND OUTSIDE NORMAL COMMITTEE WORK. INCENTIVE BONUSES ARE CONSIDERED A FUNDAMENTAL COMPONENT OF TOTAL COMPENSATION. THEY ARE PAID OUT BY THE DEPARTMENTS BASED ON THE DEPARTMENTS' INCENTIVE BONUS PLAN MEASURING THE FACULTY'S EFFORTS IN ADMINISTRATIVE LEADERSHIP, CLINICAL, RESEARCH, AND/OR TEACHING AREAS AS DEFINED BY THE PLAN. IN ADDITION, THE DEAN HAS A BONUS PLAN FOR CLINICAL CHAIRS BASED ON ACHIEVEMENT OF LEADERSHIP GOALS. SOME DEPARTMENTS HAVE "ON-CALL" AND "COVERAGE" BONUSES TO PROVIDE COMPENSATION FOR A FACULTY MEMBER'S PROVIDING OFF-HOURS COVERAGE.

**Part III Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

## VARIABLE COMPENSATION OF INVESTMENT PROFESSIONALS

A PORTION OF THE COMPENSATION PAID TO EACH LISTED INVESTMENT PROFESSIONAL IS BASED ON A COMBINATION OF THREE FACTORS: THREE YEAR ANNUALIZED PERFORMANCE VERSUS THE RELEVANT POLICY PORTFOLIO BENCHMARK RETURN FOR THAT PERIOD, THREE YEAR MERGED POOL ANNUALIZED PERFORMANCE VERSUS THE PERFORMANCE OF THE NINETEEN LARGEST COLLEGE AND UNIVERSITY ENDOWMENT INVESTMENT POOLS IN THE UNITED STATES, AND INDIVIDUAL PERFORMANCE.

## VARIABLE COMPENSATION OF ATHLETICS PROFESSIONALS

LISTED ATHLETICS PROFESSIONALS ARE ELIGIBLE TO RECEIVE BONUSES AND INCENTIVE COMPENSATION BASED ON THE ACADEMIC PERFORMANCE OF THE STUDENT-ATHLETES, ATHLETIC PERFORMANCE, ATTENDANCE AT ATHLETIC EVENTS, AND/OR LEADERSHIP, AS PROVIDED IN THE PROFESSIONALS' COMPENSATION AGREEMENTS.

## VARIABLE COMPENSATION OF OFFICERS AND OTHERS

OFFICERS AND OTHERS ARE ELIGIBLE TO RECEIVE BONUSES AND INCENTIVE



**Part III Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

COMPENSATION BASED ON PERFORMANCE. CURRENT YEAR BONUS AMOUNTS ARE REPORTED IN SCHEDULE J, PART II, COLUMN B(II). CERTAIN BONUS AMOUNTS MAY BE DEFERRED AND PAID IN A LATER YEAR SUBJECT TO IRC SECTION 457(F). SUCH AMOUNTS ARE REPORTED IN SCHEDULE J, PART II, COLUMN (C) IN THE YEAR OF DEFERRAL AND REPORTED IN SCHEDULE J, PART II, COLUMN (B)(III) AND COLUMN (F) IN THE YEAR OF PAYMENT, IF APPLICABLE.

PART II, COLUMN (B) (III)

OTHER REPORTABLE COMPENSATION IN PART II, COLUMN (B)(III) INCLUDES HOUSING ASSISTANCE AND OTHER MISCELLANEOUS COMPENSATION. THIS DOES NOT INCLUDE VARIOUS ITEMS OF "LISTED PROPERTY" (E.G., COMPUTERS AND PERIPHERALS) THAT STANFORD HAS PROVIDED TO THE ABOVE LISTED EMPLOYEES PRINCIPALLY FOR THEIR BUSINESS USE AND NOT AS COMPENSATION.

PART II, COLUMNS (C) AND (D)

LISTED PERSONS ACCRUE FUTURE BENEFITS THAT ARE AVAILABLE TO ALL STANFORD EMPLOYEES, SUCH AS POST-RETIREMENT MEDICAL INSURANCE AND TUITION BENEFITS, AND LISTED PERSONS WHO ARE ALSO FACULTY ACCRUE FUTURE FACULTY BENEFITS SUCH AS SABBATICAL AND TENURE BUYOUT PROVISIONS. THESE BENEFITS

**Part III Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

ARE SUBJECT TO CERTAIN ELIGIBILITY REQUIREMENTS SUCH AS AGE, YEARS OF SERVICE, AND EMPLOYEE CLASSIFICATION. FOR SOME BENEFIT PLANS, THE UNIVERSITY RESERVES THE RIGHT TO CHANGE ELIGIBILITY REQUIREMENTS AND/OR THE NATURE AND EXTENT OF THE BENEFIT BEING PROMISED. THE VALUE OF THESE BENEFITS IS REPORTED FOR EACH LISTED PERSON IN THE YEAR, IF ANY, SUCH BENEFITS ARE RECEIVED.

PART II, COLUMN (F)

AMOUNTS APPEARING IN COLUMN (F) ARE DEFERRED COMPENSATION PAYMENTS REPORTED IN COLUMN (C) IN PRIOR YEARS AND THAT ARE REPORTED (A SECOND TIME) IN COLUMN (B)(III) IN THE CURRENT YEAR.

**SCHEDULE K  
(Form 990)**

**Supplemental Information on Tax-Exempt Bonds**

OMB No. 1545-0047

**2013**

**Open to Public  
Inspection**

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.**

▶ **Attach to Form 990.** ▶ **See separate instructions.**

▶ **Information about Schedule K (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

Department of the Treasury  
Internal Revenue Service

Name of the organization **THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY** Employer identification number **94-1156365**

**Part I Bond Issues**

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pooled financing	
						Yes	No	Yes	No	Yes	No
<b>A</b> CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY CP	52-1705592	13018AAA8	06/27/2013	300,000,000.	SEE SCHEDULE O		X		X		X
<b>B</b> CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY S	52-1705592	130175P89	06/24/2004	181,196,530.	SEE SCHEDULE O		X		X		X
<b>C</b> CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY T-1&3	52-1705592	130178JD9	09/06/2007	153,277,097.	SEE SCHEDULE O		X		X		X
<b>D</b> CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY T-5	52-1705592	130178TQ9	08/04/2009	59,147,724.	SEE SCHEDULE O		X		X		X

**Part II Proceeds**

	A		B		C		D	
<b>1</b> Amount of bonds retired			9,790,000.					
<b>2</b> Amount of bonds legally defeased								
<b>3</b> Total proceeds of issue	300,218,010.		182,429,497.		156,107,342.		59,148,032.	
<b>4</b> Gross proceeds in reserve funds								
<b>5</b> Capitalized interest from proceeds	1,851,659.		1,198,734.		595,440.			
<b>6</b> Proceeds in refunding escrows								
<b>7</b> Issuance costs from proceeds			992,205.		661,216.		1,644.	
<b>8</b> Credit enhancement from proceeds								
<b>9</b> Working capital expenditures from proceeds								
<b>10</b> Capital expenditures from proceeds	49,142,752.		147,790,592.		64,355,560.			
<b>11</b> Other spent proceeds	218,010.		32,447,966.		90,495,126.		59,146,388.	
<b>12</b> Other unspent proceeds								
<b>13</b> Year of substantial completion	2010		2006		2009		2003	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>14</b> Were the bonds issued as part of a current refunding issue?	X		X		X			X
<b>15</b> Were the bonds issued as part of an advance refunding issue?		X		X		X	X	
<b>16</b> Has the final allocation of proceeds been made?		X	X		X		X	
<b>17</b> Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

**Part III Private Business Use**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>1</b> Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		
<b>2</b> Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X			

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

**SCHEDULE K  
(Form 990)**

**Supplemental Information on Tax-Exempt Bonds**

OMB No. 1545-0047

**2013**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.**

▶ **Attach to Form 990.** ▶ **See separate instructions.**

▶ **Information about Schedule K (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

Name of the organization **THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY** Employer identification number **94-1156365**

**Part I Bond Issues**

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pooled financing	
						Yes	No	Yes	No	Yes	No
<b>A</b> CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY U-1	52-1705592	130178VU7	05/06/2010	251,631,228.	SEE SCHEDULE O		X		X		X
<b>B</b> CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY U-2	52-1705592	130178M86	04/17/2012	99,193,766.	SEE SCHEDULE O		X		X		X
<b>C</b> CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY U-3	52-1705592	130178X76	05/15/2013	351,795,122.	SEE SCHEDULE O		X		X		X
<b>D</b> CALIFORNIA EDUCATIONAL FACILITIES SERIES U-4	52-1705592	130178X84	05/15/2013	52,773,978.	SEE SCHEDULE O		X		X		X

**Part II Proceeds**

	A		B		C		D	
<b>1</b> Amount of bonds retired								
<b>2</b> Amount of bonds legally defeased								
<b>3</b> Total proceeds of issue	251,878,327.		99,194,474.		351,884,550.		52,773,988.	
<b>4</b> Gross proceeds in reserve funds								
<b>5</b> Capitalized interest from proceeds	1,309,386.				5,327,382.			
<b>6</b> Proceeds in refunding escrows								
<b>7</b> Issuance costs from proceeds	1,631,228.		572,646.		1,249,348.		191,291.	
<b>8</b> Credit enhancement from proceeds								
<b>9</b> Working capital expenditures from proceeds								
<b>10</b> Capital expenditures from proceeds	112,490,614.				339,484,863.			
<b>11</b> Other spent proceeds	136,447,099.		98,621,828.		5,825,277.		52,582,697.	
<b>12</b> Other unspent proceeds								
<b>13</b> Year of substantial completion	2012		2003		2013		2003	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>14</b> Were the bonds issued as part of a current refunding issue?	X		X		X			X
<b>15</b> Were the bonds issued as part of an advance refunding issue?		X		X		X	X	
<b>16</b> Has the final allocation of proceeds been made?	X		X		X		X	
<b>17</b> Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

**Part III Private Business Use**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>1</b> Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X				X		
<b>2</b> Are there any lease arrangements that may result in private business use of bond-financed property?	X				X			

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

**SCHEDULE K  
(Form 990)**

**Supplemental Information on Tax-Exempt Bonds**

OMB No. 1545-0047

**2013**

**Open to Public  
Inspection**

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.**

▶ **Attach to Form 990.** ▶ **See separate instructions.**

▶ **Information about Schedule K (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

Department of the Treasury  
Internal Revenue Service

Name of the organization **THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY**

Employer identification number  
**94-1156365**

**Part I Bond Issues**

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pooled financing	
						Yes	No	Yes	No	Yes	No
<b>A</b> CALIFORNIA EDUCATIONAL FACILITIES SERIES U-5	52-1705592	1301783X2	05/14/2014	150,450,962.	SEE SCHEDULE O		X		X		X
<b>B</b> CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY U-6	52-1705592	1301783W4	05/14/2014	350,002,728.	SEE SCHEDULE O		X		X		X
<b>C</b>											
<b>D</b>											

**Part II Proceeds**

	A		B		C		D	
<b>1</b> Amount of bonds retired								
<b>2</b> Amount of bonds legally defeased								
<b>3</b> Total proceeds of issue	150,451,763.		350,065,369.					
<b>4</b> Gross proceeds in reserve funds								
<b>5</b> Capitalized interest from proceeds			87,808.					
<b>6</b> Proceeds in refunding escrows								
<b>7</b> Issuance costs from proceeds	2,211.							
<b>8</b> Credit enhancement from proceeds								
<b>9</b> Working capital expenditures from proceeds								
<b>10</b> Capital expenditures from proceeds			46,384,554.					
<b>11</b> Other spent proceeds	150,449,552.		3,071,287.					
<b>12</b> Other unspent proceeds			300,521,720.					
<b>13</b> Year of substantial completion	2014		2014					
	<b>Yes</b>	<b>No</b>	<b>Yes</b>	<b>No</b>	<b>Yes</b>	<b>No</b>	<b>Yes</b>	<b>No</b>
<b>14</b> Were the bonds issued as part of a current refunding issue?	X		X					
<b>15</b> Were the bonds issued as part of an advance refunding issue?		X		X				
<b>16</b> Has the final allocation of proceeds been made?	X			X				
<b>17</b> Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X					

**Part III Private Business Use**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>1</b> Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?				X				
<b>2</b> Are there any lease arrangements that may result in private business use of bond-financed property?			X					

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Part III Private Business Use (Continued)

TAX EXEMPT BONDS

Table with 9 rows and 8 columns (A, B, C, D). Rows include questions about management contracts, research agreements, and remedial actions. Includes 'X' marks and percentages.

Part IV Arbitrage

Table with 10 rows and 8 columns (A, B, C, D). Rows include questions about Form 8038-T, rebates, and hedges. Includes 'X' marks.

Part III Private Business Use (Continued)	TAX EXEMPT BONDS 2							
	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
3a Are there any management or service contracts that may result in private business use of bond-financed property? . . . . .		X				X		
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property? . . . . .								
c Are there any research agreements that may result in private business use of bond-financed property? . . . . .	X				X			
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property? . . . . .	X				X			
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government . . . . . ▶								
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government . . . . . ▶								
6 Total of lines 4 and 5 . . . . .								
7 Does the bond issue meet the private security or payment test? . . . . .		X				X		
8a Has there been a sale or disposition of any of the bond-financed property to a non-governmental person other than a 501(c)(3) organization since the bonds were issued? . . . . .		X				X		
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of . . . . .								
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2? . . . . .								
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2? . . . . .	X				X			

Part IV Arbitrage								
	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate? . . . . .		X		X		X		X
2 If "No" to line 1, did the following apply? . . . . .								
a Rebate not due yet? . . . . .	X		X		X		X	
b Exception to rebate? . . . . .	X		X			X	X	
c No rebate due? . . . . .		X		X		X		X
If you checked "No rebate due" in line 2c, provide in Part VI the date the rebate computation was performed . . . . .								
3 Is the bond issue a variable rate issue? . . . . .		X		X		X		X
4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? . . . . .		X		X		X		X
b Name of provider . . . . .								
c Term of hedge . . . . .								
d Was the hedge superintegrated? . . . . .								
e Was the hedge terminated? . . . . .								

Part III Private Business Use (Continued)	TAX EXEMPT BONDS 3							
	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
3a Are there any management or service contracts that may result in private business use of bond-financed property? . . . . .				X				
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property? . . . . .								
c Are there any research agreements that may result in private business use of bond-financed property? . . . . .			X					
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property? . . . . .			X					
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government . . . . . ▶		%		%		%		%
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government . . . . . ▶		%		%		%		%
6 Total of lines 4 and 5 . . . . .		%		%		%		%
7 Does the bond issue meet the private security or payment test? . . . . .				X				
8a Has there been a sale or disposition of any of the bond-financed property to a non-governmental person other than a 501(c)(3) organization since the bonds were issued? . . . . .				X				
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of . . . . .		%		%		%		%
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2? . . . . .								
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2? . . . . .				X				

Part IV Arbitrage								
	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate? . . . . .		X		X				
2 If "No" to line 1, did the following apply? . . . . .								
a Rebate not due yet? . . . . .	X		X					
b Exception to rebate? . . . . .	X			X				
c No rebate due? . . . . .		X		X				
If you checked "No rebate due" in line 2c, provide in Part VI the date the rebate computation was performed . . . . .								
3 Is the bond issue a variable rate issue? . . . . .		X		X				
4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? . . . . .		X		X				
b Name of provider . . . . .								
c Term of hedge . . . . .								
d Was the hedge superintegrated? . . . . .								
e Was the hedge terminated? . . . . .								



**Part IV Arbitrage** (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>5a</b> Were gross proceeds invested in a guaranteed investment contract (GIC)? . . . . .		X		X		X		X
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of GIC . . . . .								
<b>d</b> Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? . . . . .								
<b>6</b> Were any gross proceeds invested beyond an available temporary period? . . . . .		X		X		X		X
<b>7</b> Has the organization established written procedures to monitor the requirements of section 148? . . . . .	X		X		X		X	

**Part V Procedures To Undertake Corrective Action**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

**Part VI Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions).

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**Part IV Arbitrage (Continued)**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>5a</b> Were gross proceeds invested in a guaranteed investment contract (GIC)? . . . . .		X		X		X		X
<b>b</b> Name of provider . . . . .								
<b>c</b> Term of GIC . . . . .								
<b>d</b> Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? . . . . .								
<b>6</b> Were any gross proceeds invested beyond an available temporary period? . . . . .		X		X		X		X
<b>7</b> Has the organization established written procedures to monitor the requirements of section 148? . . . . .	X		X		X		X	

**Part V Procedures To Undertake Corrective Action**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

**Part VI Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions).

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**Part IV Arbitrage (Continued)**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X				
b Name of provider								
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X				
7 Has the organization established written procedures to monitor the requirements of section 148?	X		X					

**Part V Procedures To Undertake Corrective Action**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X					

**Part VI Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions).

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**Part VI** Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) (Continued)

## SCHEDULE K

## TAX-EXEMPT BONDS

FOR MORE THAN 30 YEARS, STANFORD AND THE MANY COMMUNITIES AND CONSTITUENCIES IT SERVES HAVE BENEFITED FROM TAX-EXEMPT BORROWING THROUGH THE CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY. THE UNIVERSITY USES GENEROUS DONOR GIFTS, UNIVERSITY RESERVES AND TAXABLE DEBT, IN ADDITION TO PROCEEDS FROM TAX EXEMPT BORROWING, TO FUND THE CONSTRUCTION OF ACADEMIC BUILDINGS, RESEARCH SUPPORT FACILITIES, RESIDENCES AND OTHER CAMPUS HOUSING, ROADS AND INFRASTRUCTURE.

THESE FACILITIES HAVE, IN TURN, ENABLED THE UNIVERSITY TO ATTRACT OUTSTANDING FACULTY AND STUDENTS AND TO MORE EFFECTIVELY PERFORM INTERDISCIPLINARY RESEARCH DESIGNED TO ADDRESS FUNDAMENTAL WORLD PROBLEMS. THE NEW FACILITIES PROVIDE THE STANFORD COMMUNITY WITH THE MOST ADVANCED TECHNOLOGY AND ENVIRONMENT FOR TEACHING, LEARNING AND RESEARCH. THE FUNDING ALSO HAS PLAYED A KEY ROLE IN ENABLING STANFORD TO MEET SEISMIC AND OTHER FEDERAL AND STATE BUILDING REQUIREMENTS. THE FOLLOWING ARE EXAMPLES OF BUILDINGS FUNDED IN PART THROUGH TAX-EXEMPT DEBT:

I. THE YANG YAMAZAKI ENVIRONMENTAL AND ENERGY BUILDING IS THE HUB OF STANFORD'S ENVIRONMENTAL SUSTAINABILITY TEACHING AND RESEARCH. IT IS A

**Part VI Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions) *(Continued)*

MODEL FOR SUSTAINABILITY BUILDING STANDARDS, USING 56 PERCENT LESS ENERGY

AND 90 PERCENT LESS POTABLE WATER THAN A TRADITIONALLY CONSTRUCTED  
BUILDING OF ITS SIZE. IT HAS BEEN RECOGNIZED WITH LEED PLATINUM  
CERTIFICATION.

II. THE LI KA SHING CENTER FOR LEARNING AND KNOWLEDGE BRINGS TOGETHER  
CUTTING-EDGE MEDICINE, MODERN EDUCATION AND ADVANCED TECHNOLOGY TO TRAIN  
THE PHYSICIANS OF TOMORROW. MEDICAL STUDENTS PRACTICE LIFE-SAVING SKILLS  
IN REALISTIC SIMULATIONS AND LEARN TO APPLY RESEARCH TO BEDSIDE  
TREATMENTS. THE CENTER IS A GATHERING PLACE FOR MEDICAL EXPERTS TO SHARE  
RESEARCH AND HEALTH CARE INSIGHTS AND TO BRING THEIR COMBINED EXPERTISE  
TO BEAR ON THE WORLD'S GREATEST HEALTH CHALLENGES.

III. THE JAMES AND ANNA MARIE SPILKER ENGINEERING AND APPLIED SCIENCES  
BUILDING FOSTERS COLLABORATIVE DEVELOPMENT IN THE BURGEONING FIELD OF  
NANOTECHNOLOGY. SCIENTISTS AT THE CENTER ARE STUDYING HOW TO CREATE  
MATERIALS AND DEVICES NO BIGGER THAN ONE-BILLIONTH OF A METER, WITH  
DIRECT AND ECONOMICALLY VIABLE APPLICATIONS TO MEDICINE, ENERGY AND  
COMMUNICATIONS.

IV. THE HUANG ENGINEERING CENTER HOUSES THE SCHOOL OF ENGINEERING, WHOSE  
TIES TO THE HIGH-TECHNOLOGY INDUSTRY CONTINUE TO FUEL THE GROWTH AND

**Part VI Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions) *(Continued)*

ECONOMY OF SILICON VALLEY AND NORTHERN CALIFORNIA. THE SCHOOL OF ENGINEERING IS WORLD RENOWNED FOR PUSHING THE FRONTIERS OF MODERN SCIENCE AND ENGINEERING, ESPECIALLY IN THE FIELDS OF COMPUTER SCIENCE, BIOENGINEERING AND ENVIRONMENTAL SUSTAINABILITY.

V. THE LORRY I. LOKEY STEM CELL RESEARCH BUILDING OPENED IN 2010 AND IS THE LARGEST DEDICATED STEM CELL RESEARCH BUILDING IN THE COUNTRY. THE BUILDING HOUSES THE STANFORD STEM CELL BIOLOGY AND REGENERATIVE MEDICINE INSTITUTE, WHICH INTEGRATES RESEARCHERS FROM CANCER, NEUROSCIENCE, CARDIOVASCULAR MEDICINE, TRANSPLANTATION, IMMUNOLOGY, BIOENGINEERING AND DEVELOPMENTAL BIOLOGY. ALL ARE FOCUSED ON MAKING DISCOVERIES IN STEM CELL RESEARCH AND QUICKLY TRANSLATING THEM INTO PRECLINICAL APPLICATIONS, INNOVATIVE THERAPIES AND TREATMENTS.

VI. THE MUNGER GRADUATE RESIDENCE IS A FIVE-BUILDING HOUSING COMPLEX FOR 600 STANFORD LAW AND OTHER GRADUATE STUDENTS. IT IS A SIGNIFICANT INVESTMENT BY STANFORD IN MITIGATING TRAFFIC AND OTHER ENVIRONMENTAL CONCERNS AS IT GREATLY REDUCES THE NUMBER OF PEOPLE DRIVING TO CAMPUS DAILY.

VII. THE WILLIAM H. NEUKOM BUILDING, WHICH HOUSES THE CLINICS OF THE STANFORD LAW SCHOOL, OPENED IN 2011 AND WAS BUILT TO SATISFY THE

**Part VI Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions) *(Continued)*

EQUIVALENT OF A LEED GOLD CERTIFICATION FOR SUSTAINABILITY. THE BUILDING USES 30 PERCENT LESS ENERGY THAN REQUIRED BY CODE. THE BUILDING HOUSES THE MILLS LEGAL CLINIC, WHICH INCLUDES 12 CLINICS THAT TEACH THROUGH EXPERIENTIAL EDUCATION. LAW STUDENTS LEARN THE PRACTICE OF LAW BY REPRESENTING, FOR INSTANCE, LOW-INCOME OR INDIGENT PEOPLE IN CIVIL AND CRIMINAL LITIGATIONS, AND BY PROVIDING LEGAL COUNSEL ON ENVIRONMENTAL ISSUES, HUMAN RIGHTS, NONPROFIT CORPORATE GOVERNANCE, EDUCATION, AND RELIGIOUS FREEDOM.

FORM 990, SCHEDULE K, PART I, COLUMN C

CUSIP NUMBER

CEFA TAX EXEMPT COMMERCIAL PAPER ("TECP") DATED 5/22/08 WAS ASSIGNED A UNIQUE BLOCK OF 900 CUSIP NUMBERS UPON ISSUANCE. A NEW CUSIP NUMBER IS ASSIGNED TO EACH TRANCHE OF TECP THAT IS ISSUED. THE CUSIP NUMBER LISTED IS THE FIRST CUSIP NUMBER IN THE SERIES AND WAS ASSIGNED AT THE ORIGINAL ISSUANCE DATE, 5/22/08.

FORM 990, SCHEDULE K, PART I, COLUMN F

DESCRIPTION OF PURPOSE FOR TAX-EXEMPT BONDS

A. CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY CP - CAPITAL EXPENDITURES FOR EDUCATIONAL FACILITIES. REFUND PRIOR COMMERCIAL PAPER NOTES ISSUED

**Part VI Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions) *(Continued)*

5/2/2008 AND 3/24/2008 THAT WERE PART OF THE SAME PROGRAM. COSTS OF

ISSUANCE.

B. CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY S - CAPITAL EXPENDITURES

FOR EDUCATIONAL FACILITIES. REFUND CEFA SERIES L-8 ISSUED 10/30/2000,

REFUND CEFA SERIES L-9 ISSUED 10/31/2001, REFUND CEFA TAX EXEMPT

COMMERCIAL PAPER REVENUE NOTES ISSUED 3/18/03. CONVERSION OF SERIES S

BONDS ON MAY 15, 2013 FROM VARIABLE RATE TO FIXED RATE AND CANCELLATION

OF \$9,790,000 AGGREGATE PRINCIPAL AMOUNT OF THE BONDS. COSTS OF

ISSUANCE.

C. CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY T-1 AND T-3 - CAPITAL

EXPENDITURES FOR EDUCATIONAL FACILITIES, CAPITAL EQUIPMENT, LAND

IMPROVEMENTS, UTILITIES AND SYSTEMS.

REFUND CEFA TAX EXEMPT COMMERCIAL PAPER NOTES ISSUED ON VARIOUS DATES.

COSTS OF ISSUANCE.

D. CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY T-5 - REFUND \$59,180,000

OF CEFA SERIES P REVENUE BONDS - ISSUED MARCH 30, 1999.

E. CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY U-1 - CAPITAL EXPENDITURES

FOR EDUCATIONAL FACILITIES, CAPITAL EQUIPMENT, LAND IMPROVEMENTS,



**Part VI Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions) *(Continued)*

UTILITIES, AND SYSTEMS. REFUND CEFA TAX EXEMPT COMMERCIAL PAPER NOTES

ISSUED ON VARIOUS DATES. COSTS OF ISSUANCE.

F. CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY U-2 - REFUND TAXABLE

COMMERCIAL PAPER NOTES ISSUED ON VARIOUS DATES THAT WERE USED TO REFUND

CEFA SERIES Q ISSUED ON 5/3/2001. COSTS OF ISSUANCE.

G. CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY U-3 - CAPITAL EXPENDITURES

FOR EDUCATIONAL FACILITIES, CAPITAL EQUIPMENT, LAND IMPROVEMENTS,

UTILITIES AND SYSTEMS. REFUND CEFA TAX EXEMPT COMMERCIAL PAPER NOTES

ISSUED ON VARIOUS DATES. COSTS OF ISSUANCE.

H. CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY U-4 - ADVANCE REFUND CEFA

SERIES P BONDS ISSUED ON 3/30/1999. COSTS OF ISSUANCE.

I. CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY U-5 - REFUND TAXABLE

COMMERCIAL PAPER NOTES ISSUED ON VARIOUS DATES THAT WERE USED TO REFUND

CEFA SERIES T-4 ISSUED ON MAY 15, 2008.

J. CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY U-6 - CAPITAL EXPENDITURES

FOR EDUCATIONAL FACILITIES, CAPITAL EQUIPMENT, LAND IMPROVEMENTS,

UTILITIES AND SYSTEMS. REFUND TAX EXEMPT COMMERCIAL PAPER NOTES.

**Part VI** Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) (Continued)

FORM 990, SCHEDULE K, PART II

COMMERCIAL PAPER DEBT

FOR CEFA CP, PART II, LINE 3 IS THE MAXIMUM AMOUNT OF COMMERCIAL PAPER THAT IS AUTHORIZED UNDER THAT ISSUE. AT AUGUST 31, 2014, COMMERCIAL PAPER DEBT OUTSTANDING WAS \$49,142,752. ADDITIONAL AMOUNTS SPENT REPRESENT INVESTMENT EARNINGS.

FORM 990, SCHEDULE K, PART II, LINE 3

TOTAL PROCEEDS

THE DIFFERENCE BETWEEN LINE 3 AND SCHEDULE K, PART I, COLUMN (E) AMOUNTS REPRESENTS INVESTMENT EARNINGS.

FORM 990, SCHEDULE K, PART III

REFINANCING

CEFA SERIES T-5, U-2, U-4 & U-5 PROCEEDS WERE USED TO REFINANCE DEBT ISSUED PRIOR TO JANUARY 1, 2003. ACCORDINGLY, PART III IS NOT COMPLETED FOR THESE ISSUES.

FORM 990, SCHEDULE K, PART III, LINES 4-5

PRIVATE BUSINESS USE

STANFORD UNIVERSITY FINANCES ITS FACILITIES WITH A COMBINATION OF

**Part VI Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions) *(Continued)*

TAX-EXEMPT DEBT, TAXABLE DEBT, GIFTS AND OTHER UNIVERSITY FUNDS.

OCCASIONALLY, SOME OF THESE FACILITIES WILL HOUSE ACTIVITIES THAT MAY CONSTITUTE "PRIVATE BUSINESS USE", AS DEFINED IN IRC SECTION 141. FOR INSTANCE, STANFORD MAY RENT A SMALL PORTION OF A FACILITY TO A FOR-PROFIT OPERATOR OF CAFETERIAS (PRIMARYLY FOR THE CONVENIENCE OF FACULTY, STAFF, STUDENTS AND THEIR GUESTS). IN OTHER SITUATIONS, STANFORD MAY RECEIVE A GENEROUS CORPORATE GIFT TO FUND A PORTION OF A BUILDING AND MAY CHOOSE TO ACKNOWLEDGE SUCH GENEROSITY BY NAMING A CLASSROOM, LIBRARY OR AUDITORIUM IN HONOR OF THE CORPORATE DONOR. IN SUCH CASES, STANFORD ELECTS THE "UNDIVIDED PORTION ALLOCATION METHOD" DESCRIBED IN PROPOSED TREASURY REGULATION SECTION 1.141-D(6), AND THUS ALLOCATES SUCH "PRIVATE BUSINESS USE" FIRST TO THAT PORTION OF THE FACILITY FUNDED BY TAXABLE DEBT, GIFTS OR OTHER UNIVERSITY FUNDS. ACCORDINGLY, STANFORD REPORTS 0% AS THE PERCENTAGE OF FINANCED PROPERTY USED IN PRIVATE BUSINESS USE (PART III, QUESTION 4).

IN ADDITION TO THE CONSTRUCTION COST OF BUILDINGS, TAX-EXEMPT DEBT ALSO FUNDED A SIGNIFICANT PORTION OF THE UNIVERSITY'S INFRASTRUCTURE, INCLUDING ROADS AND UNDERGROUND UTILITIES. THE PORTION OF THE FUNDING OF THESE IMPROVEMENTS BY SOURCES OTHER THAN TAX-EXEMPT DEBT AS A PERCENTAGE OF TOTAL FUNDING WAS WELL IN EXCESS OF THE MEASURED AMOUNT OF PRIVATE BUSINESS USE TO TOTAL USE.

**Part VI Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions) *(Continued)*

ACCORDINGLY, THE AMOUNTS REPORTED ON PART III, LINES 4 AND 5, FOR THE PERCENTAGE OF FINANCED PROPERTY USED IN PRIVATE BUSINESS USE BY OTHER ENTITIES AND AS A RESULT OF AN UNRELATED TRADE OR BUSINESS ACTIVITY, RESPECTIVELY, ARE ZERO.

BOND ISSUANCE COSTS (BIC) AS A PERCENTAGE OF THE TOTAL PROCEEDS BY BOND ISSUE ARE:

ISSUE:	BIC%
CEFA CP	0.0000%
CEFA SERIES S	0.5476%
CEFA SERIES T-1	0.4314%
CEFA SERIES T-5	0.0028%
CEFA SERIES U-1	0.6483%
CEFA SERIES U-2	0.5773%
CEFA SERIES U-3	0.3551%
CEFA SERIES U-4	0.3625%
CEFA SERIES U-5	0.0015%
CEFA SERIES U-6	0.0000%

FORM 990, SCHEDULE K, PART IV

FORM 8038-T:

**Part VI Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions) *(Continued)*

DURING THE PERIODS SINCE THESE BONDS WERE ISSUED, THE INTEREST COST ON

THE BONDS HAS EXCEEDED THE INVESTMENT RETURN ON ANY UNSPENT PROCEEDS.

ACCORDINGLY, NO FORM 8038-T HAS BEEN ISSUED.

FORM 990, SCHEDULE K, PART IV, LINE 2(C)

REBATE CALCULATIONS

B. CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY S HAD A REBATE CALCULATION

PERFORMED ON DECEMBER 3, 2007.

C. CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY T-1 AND T-3 HAD A REBATE

CALCULATION PERFORMED ON JULY 21, 2010.

**SCHEDULE L**  
**(Form 990 or 990-EZ)**

**Transactions With Interested Persons**

OMB No. 1545-0047

**2013**

**Open To Public Inspection**

Department of the Treasury  
Internal Revenue Service

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.**  
▶ **Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**  
▶ **Information about Schedule L (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

Name of the organization **THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY**

Employer identification number  
**94-1156365**

**Part I Excess Benefit Transactions** (section 501(c)(3) and section 501(c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958 . . . . . ▶ \$ \_\_\_\_\_

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . . ▶ \$ \_\_\_\_\_

**Part II Loans to and/or From Interested Persons.**

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22.

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
			ATTACHMENT 1									
(1)												
(2)												
(3)												
(4)												
(5)												
(6)												
(7)												
(8)												
(9)												
(10)												
<b>Total</b> . . . . .						▶ \$ 10,146,687.						

**Part III Grants or Assistance Benefiting Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				
(7)				
(8)				
(9)				
(10)				

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule L (Form 990 or 990-EZ) 2013

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) IBM CORPORATION	SEE PART V	804,081.	PURCHASES OF GOODS & SERVICES		X
(2) GOOGLE	SEE PART V	1,627,785.	PURCHASES OF GOODS & SERVICES		X
(3) CISCO SYSTEMS, INC.	SEE PART V	6,732,110.	PURCHASES OF GOODS & SERVICES		X
(4) PACIFIC BIOSCIENCES, INC.	SEE PART V	395,897.	PURCHASES OF GOODS & SERVICES		X
(5) COSTCO WHOLESALE, INC.	SEE PART V	382,278.	PURCHASES OF GOODS & SERVICES		X
(6) THOMSON REUTERS CORPORATION	SEE PART V	403,178.	PURCHASES OF GOODS & SERVICES		X
(7) ALTAMONT CAPITAL PARTNERS	SEE PART V	55,907,993.	INVESTMENTS		X
(8) MORGAN STANLEY	SEE PART V	227,671.	BROKERAGE SERVICES		X
(9) OAK HILL CAPITAL PARTNERS	SEE PART V	73,373,352.	INVESTMENTS		X
(10) KLEINER PERKINS CAUFIELD AND BYERS	SEE PART V	83,509,768.	INVESTMENTS		X

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions).

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) GENERAL ATLANTIC PARTNERS	SEE PART V	136,100,065.	INVESTMENTS		X
(2) PACIFIC INVESTMENT MANAGEMENT CO.	SEE PART V	40,410,981.	INVESTMENTS, INVEST MANAGEMENT		X
(3) JEANNE MARIE DAVILA	SEE PART V	181,546.	COMPENSATION		X
(4) THOMAS BYERS	SEE PART V	393,275.	COMPENSATION		X
(5) BETH MCLELLAN	SEE PART V	83,923.	COMPENSATION		X
(6)					
(7)					
(8)					
(9)					
(10)					

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions).

PART II - LOANS TO AND/OR FROM INTERESTED PERSONS

AS LISTED ON ATTACHMENT I, EACH LOAN TO AN INTERESTED PERSON IS MADE FROM STANFORD TO THE INDIVIDUAL (COLUMN D). NONE OF THE LOANS IS IN DEFAULT (COLUMN G). ALL LOANS HAVE BEEN APPROVED BY THE BOARD OF TRUSTEES (COLUMN H) AND FOR EACH LOAN ISSUED, THERE EXISTS A WRITTEN AGREEMENT BETWEEN STANFORD AND THE BORROWER (COLUMN I). MORTGAGE TERMS GOVERN THE CALCULATION AND PAYMENT OF INTEREST WHICH APPROXIMATE MARKET RATES. PURSUANT TO PROGRAMS INTENDED TO FACILITATE HOUSING FOR EMPLOYEES, THE UNIVERSITY HOLDS MORTGAGES AND LEASEHOLDS ON CERTAIN HOUSES ON OR NEAR CAMPUS OWNED AND OCCUPIED BY EMPLOYEES AND THEIR FAMILIES, WHICH, FROM TIME TO TIME, COULD INCLUDE OFFICERS AND KEY EMPLOYEES OF THE UNIVERSITY.

PART III - GRANTS OR ASSISTANCE BENEFITING INTERESTED PERSONS

THESE DISCLOSURES DO NOT INCLUDE TRANSACTIONS IN WHICH A TRUSTEE, OFFICER, OR FAMILY MEMBER THEREOF PAYS TUITION, ROOM AND/OR BOARD COSTS OR RECEIVES FINANCIAL AID, WORK/STUDY ASSISTANCE, AND/OR RESEARCH GRANTS FOR A STUDENT AT THE UNIVERSITY, AS SUCH AMOUNTS WOULD BE IN ACCORDANCE WITH FINANCIAL AID OR GRANT PRACTICES AND ARE PROTECTED UNDER FERPA.



**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions).

PART IV - BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS

AS DESCRIBED IN FURTHER DETAIL ON FORM 990, SCHEDULE O, THE UNIVERSITY HAS A WRITTEN CONFLICT OF INTEREST POLICY THAT REQUIRES, AMONG OTHER THINGS, THAT NO MEMBER OF THE BOARD CAN PARTICIPATE IN ANY DECISION IN WHICH HE OR SHE (OR AN IMMEDIATE FAMILY MEMBER) HAS A MATERIAL FINANCIAL INTEREST. EACH TRUSTEE IS REQUIRED TO CERTIFY COMPLIANCE WITH THE CONFLICT OF INTEREST POLICY ON AN ANNUAL BASIS AND INDICATE WHETHER THE UNIVERSITY DOES BUSINESS WITH AN ENTITY IN WHICH THE TRUSTEE HAS A MATERIAL FINANCIAL INTEREST. SIMILARLY, FOR SENIOR MANAGEMENT, THE UNIVERSITY REQUIRES ANNUAL DISCLOSURE OF SIGNIFICANT FINANCIAL INTERESTS IN, OR EMPLOYMENT OR CONSULTING RELATIONSHIPS WITH, ENTITIES DOING BUSINESS WITH THE UNIVERSITY. THESE ANNUAL DISCLOSURES COVER BOTH SENIOR MANAGEMENT AND THEIR IMMEDIATE FAMILY MEMBERS. WHEN SUCH RELATIONSHIP EXISTS, MEASURES ARE TAKEN TO MITIGATE ANY ACTUAL OR PERCEIVED CONFLICT, INCLUDING REQUIRING THAT SUCH TRANSACTIONS BE CONDUCTED AT ARM'S LENGTH, FOR GOOD AND SUFFICIENT CONSIDERATION, BASED ON TERMS THAT ARE FAIR AND REASONABLE TO AND FOR THE BENEFIT OF THE UNIVERSITY.

MEMBERS OF THE BOARD OF DIRECTORS OF STANFORD MANAGEMENT COMPANY ("SMC")

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions).

ARE ALSO COVERED UNDER THE SMC BOARD'S CONFLICT OF INTEREST POLICY, WHICH GENERALLY PROHIBITS SMC FROM ENTERING INTO AN INVESTMENT TRANSACTION IN WHICH A DIRECTOR OR A MEMBER OF HIS OR HER IMMEDIATE FAMILY HAS A MATERIAL DIRECT OR INDIRECT FINANCIAL INTEREST. SMC WAS ESTABLISHED BY THE UNIVERSITY'S BOARD OF TRUSTEES AS AN UNINCORPORATED DIVISION OF THE UNIVERSITY. SMC IS GOVERNED BY A "BOARD OF DIRECTORS" THAT IS DELEGATED AUTHORITY BY, AND IS SUBJECT TO THE DIRECTION AND CONTROL OF, THE UNIVERSITY'S BOARD OF TRUSTEES. THE PRIMARY PURPOSE OF SMC IS TO PROVIDE INVESTMENT MANAGEMENT SERVICES FOR THE UNIVERSITY'S ENDOWMENT AND CERTAIN OTHER UNIVERSITY INVESTMENT ASSETS. AS OF AUGUST 31, 2014 THERE WERE 11 MEMBERS OF SMC'S BOARD OF DIRECTORS, OF WHICH 4 WERE TRUSTEES OF THE UNIVERSITY. MEMBERS OF THE BOARD OF DIRECTORS OF SMC ARE SUBJECT TO SMC'S WRITTEN CONFLICT OF INTEREST POLICY AND MUST CERTIFY COMPLIANCE WITH THE POLICY ON AN ANNUAL BASIS.

SUBJECT TO THE POLICIES AND PROVISIONS OUTLINED ABOVE, AND WITHIN A WELL-DIVERSIFIED PORTFOLIO OF OVER 600 INDIVIDUAL ALTERNATIVE INVESTMENTS THAT SPAN MOST REGIONS OF THE WORLD AND MOST OF THE APPROPRIATE ASSET

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions).

CLASSES FOR INVESTMENTS OF THIS NATURE, THE UNIVERSITY MAY FROM TIME TO TIME INVEST ASSETS IN CERTAIN PARTNERSHIPS IN WHICH A TRUSTEE HAS A DIRECT OR INDIRECT PARTNERSHIP INTEREST. SUCH INVESTMENTS ARE MADE BASED ON COMPELLING HISTORICAL PERFORMANCE AND TRACK RECORDS OF THE MANAGERS.

IN THE YEAR ENDED AUGUST 31, 2014, TRANSACTIONS WITH THE FOLLOWING INTERESTED PERSONS ROSE TO THE LEVEL OF DISCLOSURE REQUIRED BY THE IRS:

- (A) NAME OF THE INTERESTED PERSON: IBM CORPORATION
- (B) RELATIONSHIP BETWEEN THE INTERESTED PERSON AND THE ORGANIZATION: WILLIAM BRODY, TRUSTEE, IS A DIRECTOR OF IBM CORPORATION
- (C) AMOUNT OF TRANSACTION: \$804,081
- (D) DESCRIPTION OF TRANSACTION: PURCHASES OF GOODS & SERVICES
- (E) SHARING OF ORGANIZATION'S REVENUES? NO
  
- (A) NAME OF THE INTERESTED PERSON: GOOGLE
- (B) RELATIONSHIP BETWEEN THE INTERESTED PERSON AND THE ORGANIZATION: JOHN HENNESSY, PRESIDENT AND TRUSTEE AND KAVITARK RAM SHRIRAM, TRUSTEE ARE

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions).

DIRECTORS OF GOOGLE

(C) AMOUNT OF TRANSACTION: \$1,627,785

(D) DESCRIPTION OF TRANSACTION: PURCHASES OF GOODS & SERVICES

(E) SHARING OF ORGANIZATION'S REVENUES? NO

IN ADDITION TO PURCHASES OF GOODS AND SERVICES DESCRIBED ABOVE, AND CONSISTENT WITH THE UNIVERSITY MISSION OF SHARING AND DISSEMINATING KNOWLEDGE, STANFORD PROVIDED BOOKS TO THE GOOGLE BOOK SEARCH PROJECT, WHICH IS CURRENTLY THE SUBJECT OF LITIGATION. TO DATE, GOOGLE HAS SCANNED APPROXIMATELY 3 MILLION BOOKS OWNED BY STANFORD AT NO COST TO STANFORD. PRESIDENT HENNESSY AND TRUSTEE SHRIRAM HAVE NOT BEEN INVOLVED IN ANY OF THE NEGOTIATIONS OR DECISIONS INVOLVING THE GOOGLE BOOK SEARCH PROJECT.

(A) NAME OF THE INTERESTED PERSON: CISCO SYSTEMS, INC.

(B) RELATIONSHIP BETWEEN THE INTERESTED PERSON AND THE ORGANIZATION: JOHN HENNESSY, PRESIDENT AND TRUSTEE IS A DIRECTOR OF CISCO SYSTEMS, INC.

(C) AMOUNT OF TRANSACTION: \$6,732,110

(D) DESCRIPTION OF TRANSACTION: PURCHASES OF GOODS & SERVICES

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions).

(E) SHARING OF ORGANIZATION'S REVENUES? NO

THE UNIVERSITY PURCHASES GOODS AND SERVICES FROM CISCO AND GOOGLE IN THE NORMAL COURSE OF BUSINESS AND ALL PURCHASES ARE MADE, AT ARM'S LENGTH, IN ACCORDANCE WITH STANFORD PROCUREMENT POLICIES AND PROCEDURES. PRESIDENT HENNESSY DOES NOT PARTICIPATE IN ANY DECISIONS WITH RESPECT TO THESE COMPANIES.

(A) NAME OF THE INTERESTED PERSON: PACIFIC BIOSCIENCES, INC.

(B) RELATIONSHIP BETWEEN THE INTERESTED PERSON AND THE ORGANIZATION: BROOK BYERS, TRUSTEE AND RANDALL LIVINGSTON, OFFICER ARE DIRECTORS OF PACIFIC BIOSCIENCES, INC.

(C) AMOUNT OF TRANSACTION: \$395,897

(D) DESCRIPTION OF TRANSACTION: PURCHASES OF GOODS & SERVICES

(E) SHARING OF ORGANIZATION'S REVENUES? NO

(A) NAME OF THE INTERESTED PERSON: COSTCO WHOLESALE, INC.

(B) RELATIONSHIP BETWEEN THE INTERESTED PERSON AND THE ORGANIZATION:

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions).

JEFFREY RAIKES, TRUSTEE IS A DIRECTOR OF COSTCO WHOLESALE CORPORATION

(C) AMOUNT OF TRANSACTION: \$382,278

(D) DESCRIPTION OF TRANSACTION: PURCHASES OF GOODS & SERVICES

(E) SHARING OF ORGANIZATION'S REVENUES? NO

(A) NAME OF THE INTERESTED PERSON: THOMSON REUTERS CORPORATION

(B) RELATIONSHIP BETWEEN THE INTERESTED PERSON AND THE ORGANIZATION:

STEVEN DENNING, TRUSTEE, IS A DIRECTOR OF THOMSON REUTERS CORPORATION

(C) AMOUNT OF TRANSACTION: \$403,178

(D) DESCRIPTION OF TRANSACTION: PURCHASES OF GOODS & SERVICES

(E) SHARING OF ORGANIZATION'S REVENUES? NO

(A) NAME OF THE INTERESTED PERSON: ALTAMONT CAPITAL PARTNERS

(B) RELATIONSHIP BETWEEN THE INTERESTED PERSON AND THE ORGANIZATION:

MINDY ROGERS, TRUSTEE, IS A FAMILY MEMBER OF THE MANAGING DIRECTOR OF ALTAMONT CAPITAL PARTNERS

(C) AMOUNT OF TRANSACTION: \$55,907,993

THE ABOVE AMOUNT IS THE TOTAL AMOUNT INVESTED BY STANFORD IN ALTAMONT

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions).

CAPITAL PARTNERS FUNDS AS OF THE END OF THE TAX YEAR AND REPRESENTS 0.20% OF STANFORD'S TOTAL INVESTMENTS AS OF THE END OF THE TAX YEAR.

(D) DESCRIPTION OF TRANSACTION: INVESTMENTS

(E) SHARING OF ORGANIZATION'S REVENUES? NO

(A) NAME OF THE INTERESTED PERSON: MORGAN STANLEY

(B) RELATIONSHIP BETWEEN THE INTERESTED PERSON AND THE ORGANIZATION: RUTH PORAT, TRUSTEE, WAS THE CFO OF MORGAN STANLEY.

(C) AMOUNT OF TRANSACTION: \$227,671

(D) DESCRIPTION OF TRANSACTION: BROKERAGE SERVICES

(E) SHARING OF ORGANIZATION'S REVENUES? NO

(A) NAME OF THE INTERESTED PERSON: OAK HILL CAPITAL PARTNERS

(B) RELATIONSHIP BETWEEN THE INTERESTED PERSON AND THE ORGANIZATION: ROBERT BASS, TRUSTEE IS THE FOUNDER AND A LEAD INVESTOR IN OAK HILL CAPITAL PARTNERS

(C) AMOUNT OF TRANSACTION: \$73,373,352

THE ABOVE AMOUNT IS THE TOTAL AMOUNT INVESTED BY STANFORD IN OAK HILL

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions).

CAPITAL PARTNERS FUNDS AS OF THE END OF THE TAX YEAR AND REPRESENTS 0.26% OF STANFORD'S TOTAL INVESTMENTS AS OF THE END OF THE TAX YEAR.

(D) DESCRIPTION OF TRANSACTION: INVESTMENTS

(E) SHARING OF ORGANIZATION'S REVENUES? NO

(A) NAME OF THE INTERESTED PERSON: KLEINER PERKINS CAUFIELD AND BYERS

(B) RELATIONSHIP BETWEEN THE INTERESTED PERSON AND THE ORGANIZATION:  
 BROOK BYERS, TRUSTEE, IS THE CO-FOUNDER OF AND PARTNER IN KLEINER PERKINS CAUFIELD AND BYERS.

(C) AMOUNT OF TRANSACTION: \$83,509,768

THE ABOVE AMOUNT IS THE TOTAL AMOUNT INVESTED BY STANFORD IN KLEINER PERKINS CAUFIELD AND BYERS FUNDS AS OF THE END OF THE TAX YEAR AND REPRESENTS 0.30% OF STANFORD'S TOTAL INVESTMENTS AS OF THE END OF THE TAX YEAR.

(D) DESCRIPTION OF TRANSACTION: INVESTMENTS

(E) SHARING OF ORGANIZATION'S REVENUES? NO

(A) NAME OF THE INTERESTED PERSON: GENERAL ATLANTIC PARTNERS



**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions).

(B) RELATIONSHIP BETWEEN THE INTERESTED PERSON AND THE ORGANIZATION:

STEVEN DENNING, TRUSTEE, IS THE CHAIRMAN OF GENERAL ATLANTIC

(C) AMOUNT OF TRANSACTION: \$136,100,065

THE ABOVE AMOUNT IS THE TOTAL AMOUNT INVESTED BY STANFORD IN GENERAL ATLANTIC PARTNERS FUNDS AS OF THE END OF THE TAX YEAR AND REPRESENTS 0.48% OF STANFORD'S TOTAL INVESTMENTS AS OF THE END OF THE TAX YEAR.

(D) DESCRIPTION OF TRANSACTION: INVESTMENTS

(E) SHARING OF ORGANIZATION'S REVENUES? NO

(A) NAME OF THE INTERESTED PERSON: PACIFIC INVESTMENT MANAGEMENT COMPANY

(B) RELATIONSHIP BETWEEN THE INTERESTED PERSON AND THE ORGANIZATION:

DEBORAH DECOTIS, TRUSTEE IS A DIRECTOR OF PIMCO

(C) AMOUNT OF TRANSACTION: \$40,410,981

THE ABOVE AMOUNT IS THE SUM OF THE TOTAL AMOUNT INVESTED BY STANFORD IN PIMCO FUNDS AS OF THE END OF THE TAX YEAR AND INVESTMENT MANAGEMENT FEES PAID BY STANFORD TO PIMCO DURING THE TAX YEAR.

(D) DESCRIPTION OF TRANSACTION: INVESTMENTS, INVESTMENT MANAGEMENT

(E) SHARING OF ORGANIZATION'S REVENUES? NO

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions).

CERTAIN STANFORD EMPLOYEES HAVE A FAMILY RELATIONSHIP WITH A LISTED PERSON. IN THE CASES REPORTED BELOW, SUCH EMPLOYEES HAD BEEN EMPLOYED BY STANFORD PRIOR TO APPOINTMENT OF THE LISTED PERSON TO THE POSITION OF OFFICER OR TRUSTEE AND, IN NO CASE, WAS THE LISTED PERSON RESPONSIBLE FOR THE COMPENSATION, DIRECTION, EVALUATION OR CONTINUED EMPLOYMENT OF THE RELATED EMPLOYEE.

(A) NAME OF THE INTERESTED PERSON: JEANNE MARIE DAVILA

(B) RELATIONSHIP BETWEEN THE INTERESTED PERSON AND THE ORGANIZATION:  
 SISTER OF PROVOST JOHN ETCHEMENDY

(C) AMOUNT OF TRANSACTION: \$147,900 IN CASH COMPENSATION AND \$33,646 IN BENEFITS DURING CALENDAR YEAR 2013 EARNED IN HER FULL TIME STAFF POSITION AT STANFORD.

(D) DESCRIPTION OF TRANSACTION: COMPENSATION

(E) SHARING OF ORGANIZATION'S REVENUES? NO

(A) NAME OF THE INTERESTED PERSON: THOMAS BYERS

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions).

(B) RELATIONSHIP BETWEEN THE INTERESTED PERSON AND THE ORGANIZATION:

BROTHER OF TRUSTEE, BROOK BYERS

(C) AMOUNT OF TRANSACTION: \$355,447 IN CASH COMPENSATION AND \$37,828 IN

BENEFITS DURING CALENDAR YEAR 2013 EARNED IN HIS FULL TIME FACULTY

POSITION AT STANFORD.

(D) DESCRIPTION OF TRANSACTION: COMPENSATION

(E) SHARING OF ORGANIZATION'S REVENUES? NO

(A) NAME OF THE INTERESTED PERSON: BETH MCLELLAN

(B) RELATIONSHIP BETWEEN THE INTERESTED PERSON AND THE ORGANIZATION:

SPOUSE OF TRUSTEE, FRED ALVAREZ

(C) AMOUNT OF TRANSACTION: \$69,828 IN CASH COMPENSATION AND \$14,095 IN

BENEFITS DURING CALENDAR YEAR 2013 EARNED IN HER FULL TIME STAFF POSITION

AT STANFORD.

(D) DESCRIPTION OF TRANSACTION: COMPENSATION

(E) SHARING OF ORGANIZATION'S REVENUES? NO

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions).

ATTACHMENT 1

SCHEDULE L, PART II

NAME	RELATIONSHIP	PURPOSE	TO	FROM	ORIGINAL	BALANCE DUE	Y	N	Y	N	Y	N
PHILIP PIZZO	FORMER KEY EMPLOYEE	HOUSING		X	1,211,800.	1,146,955.	X	X	X			
PHILIP PIZZO	FORMER KEY EMPLOYEE	HOUSING		X	1,500,000.	1,500,000.	X	X	X			
RICHARD SALLER	KEY EMPLOYEE	HOUSING		X	25,000.	25,000.	X	X	X			
RICHARD SALLER	KEY EMPLOYEE	HOUSING		X	300,000.	90,000.	X	X	X			
RICHARD SALLER	KEY EMPLOYEE	HOUSING		X	300,000.	250,000.	X	X	X			
MARTIN SHELL	OFFICER	HOUSING		X	1,000,000.	900,000.	X	X	X			
LLOYD MINOR	KEY EMPLOYEE	HOUSING		X	719,466.	719,466.	X	X	X			
LLOYD MINOR	KEY EMPLOYEE	HOUSING		X	780,534.	780,534.	X	X	X			
LLOYD MINOR	KEY EMPLOYEE	HOUSING		X	1,150,000.	1,150,000.	X	X	X			
LLOYD MINOR	KEY EMPLOYEE	HOUSING		X	700,000.	700,000.	X	X	X			
LLOYD MINOR	KEY EMPLOYEE	HOUSING		X	1,695,000.	1,684,732.	X	X	X			
DAVID SHAW	HIGHEST COMPENSATED	HOUSING		X	700,000.	700,000.	X	X	X			
THOMAS KRUMMEL	HIGHEST COMPENSATED	HOUSING		X	500,000.	500,000.	X	X	X			

**SCHEDULE M  
(Form 990)**

**Noncash Contributions**

OMB No. 1545-0047

**2013**

**Open To Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

- ▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
- ▶ **Attach to Form 990.**
- ▶ **Information about Schedule M (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

Name of the organization **THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY**

Employer identification number  
**94-1156365**

**Part I Types of Property**

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art . . . . .	X	96 .	0	N/A
2 Art - Historical treasures . . . . .				
3 Art - Fractional interests . . . . .				
4 Books and publications . . . . .				
5 Clothing and household goods . . . . .				
6 Cars and other vehicles . . . . .	X	4 .	58,130 .	RESALE VALUE
7 Boats and planes . . . . .				
8 Intellectual property . . . . .				
9 Securities - Publicly traded . . . . .	X	2,251 .	234,862,030 .	FAIR MARKET VALUE
10 Securities - Closely held stock . . . . .	X	5 .	3,019,661 .	FAIR VALUE
11 Securities - Partnership, LLC, or trust interests . . . . .	X	33 .	5,234,813 .	FAIR VALUE
12 Securities - Miscellaneous . . . . .				
13 Qualified conservation contribution - Historic structures . . . . .				
14 Qualified conservation contribution - Other . . . . .				
15 Real estate - Residential . . . . .	X	3 .	198,332 .	APPRAISAL
16 Real estate - Commercial . . . . .	X	2 .	576,870 .	APPRAISAL
17 Real estate - Other . . . . .				
18 Collectibles . . . . .	X	229 .	0	N/A
19 Food inventory . . . . .				
20 Drugs and medical supplies . . . . .				
21 Taxidermy . . . . .				
22 Historical artifacts . . . . .				
23 Scientific specimens . . . . .				
24 Archeological artifacts . . . . .				
25 Other ▶ ( <u>ATCH 1</u> ) . . . . .		23 .	1,068,546 .	
26 Other ▶ ( _____ ) . . . . .				
27 Other ▶ ( _____ ) . . . . .				
28 Other ▶ ( _____ ) . . . . .				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . . . **29** 53 .

	Yes	No
30 a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? . . . . .		X
b If "Yes," describe the arrangement in Part II.		
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions? . . . . .	X	
32 a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? . . . . .	X	
b If "Yes," describe in Part II.		
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.		

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) (2013)

**Part II** **Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

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SCHEDULE M, PART I

IN COLUMN B, STANFORD IS REPORTING THE NUMBER OF ITEMS CONTRIBUTED. IN THE CASE OF SECURITIES, STANFORD IS REPORTING THE NUMBER OF CONTRIBUTIONS.

LINE 32A, USE OF THIRD-PARTY - STANFORD MAY, FROM TIME TO TIME, ENGAGE THIRD PARTIES (E.G., REAL ESTATE BROKERS) TO SELL CERTAIN NON-CASH CONTRIBUTIONS.

LINE 33, NON-CASH CONTRIBUTIONS - WORKS OF ART, HISTORICAL TREASURES, LITERARY WORKS, ARTIFACTS, AND THE LIKE, WHICH ARE PRESERVED AND PROTECTED FOR EDUCATIONAL, RESEARCH AND PUBLIC EXHIBITION PURPOSES, ARE NOT CAPITALIZED. DONATIONS OF SUCH COLLECTIONS ARE NOT RECORDED FOR FINANCIAL STATEMENT PURPOSES.

**Part II** **Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

ATTACHMENT 1

SCHEDULE M, PART I - OTHER NONCASH CONTRIBUTIONS

<u>DESCRIPTION</u>	<u>(A) CHECK</u>	<u>(B) NUMBER OF CONTRIBUTIONS</u>	<u>(C) REVENUES REPORTED</u>	<u>(D) METHOD OF DETERMINING</u>
HORSES	X	6.	0	N/A
MUSICAL INSTRUMENTS	X	5.	200,253.	FAIR MARKET VALUE
COMPUTERS	X	2.	134,100.	FAIR MARKET VALUE
OTHER EQUIPMENT	X	10.	734,193.	FAIR MARKET VALUE
TOTALS		<u>23.</u>	<u>1,068,546.</u>	

**SCHEDULE O**  
(Form 990 or 990-EZ)Department of the Treasury  
Internal Revenue Service**Supplemental Information to Form 990 or 990-EZ**Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.  
▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

**2013****Open to Public  
Inspection**Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD  
JUNIOR UNIVERSITYEmployer identification number  
94-1156365

FORM 990, PAGE 1, LINE K

## FORM OF ORGANIZATION

THE LELAND STANFORD JUNIOR UNIVERSITY WAS FOUNDED BY SENATOR AND MRS. LELAND STANFORD ON NOVEMBER 11, 1885, IN MEMORY OF THEIR ONLY CHILD, LELAND, JR. THE FOUNDING WAS ACCOMPLISHED BY A GRANT OF ENDOWMENT, KNOWN AS THE FOUNDING GRANT, WHICH CONVEYED IN TRUST TO A BOARD OF TRUSTEES CERTAIN PROPERTIES, DIRECTED THAT A UNIVERSITY BE ESTABLISHED AND OUTLINED THE OBJECTIVES AND GOVERNMENT OF THE UNIVERSITY. SUBSEQUENT LEGISLATION IN THE STATE OF CALIFORNIA GRANTED THE UNIVERSITY CORPORATE POWERS EFFECTIVE 1901. ACCORDINGLY, STANFORD HAS ELECTED TO BE TREATED AS A CORPORATION FOR THE PURPOSES OF THE ADMINISTRATION OF FEDERAL AND STATE INCOME TAX LAW.

FORM 990, PART I, LINE 6

## VOLUNTEERS

THERE ARE THOUSANDS OF ALUMNI AND FRIENDS OF STANFORD UNIVERSITY WHO VOLUNTEERED THEIR SERVICES TO STANFORD OVER THE COURSE OF THE YEAR. EXAMPLES OF THE WIDE SPECTRUM OF VOLUNTEER SERVICES INCLUDE SERVING AS A MEMBER OF THE BOARD OF TRUSTEES OF THE UNIVERSITY, SERVING ON A FUNDRAISING COMMITTEE, AND SERVING ON AN ADVISORY BOARD OF AN INSTITUTE. WHILE STANFORD DOES NOT FORMALLY TRACK THE TOTAL NUMBER OF VOLUNTEERS, IT ESTIMATES THAT APPROXIMATELY 11,000 ALUMNI VOLUNTEERED DURING THE TAX YEAR.



Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY	Employer identification number 94-1156365
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FORM 990, PARTS I AND III, LINE 1

ORGANIZATION'S MISSION - CONTINUED FROM PART I, LINE 1 & PART III, LINE 1  
STANFORD IS INTERNATIONALLY RECOGNIZED FOR THE QUALITY OF ITS TEACHING  
AND RESEARCH, ITS DISTINGUISHED FACULTY, AND ITS OUTSTANDING STUDENT  
BODY. IN THE YEARS SINCE ITS FOUNDING IN 1885, STANFORD HAS GROWN TO OVER  
2,100 FACULTY MEMBERS AND AN ENROLLMENT OF APPROXIMATELY 7,000  
UNDERGRADUATE AND 9,100 GRADUATE STUDENTS.

THE OBJECTIVE AND PURPOSES OF STANFORD UNIVERSITY, JANE AND LELAND  
STANFORD WROTE IN THEIR FOUNDING GRANT IN 1885, ARE "TO QUALIFY ITS  
STUDENTS FOR PERSONAL SUCCESS, AND DIRECT USEFULNESS IN LIFE; ... TO  
PROMOTE THE PUBLIC WELFARE BY EXERCISING AN INFLUENCE IN BEHALF OF  
HUMANITY AND CIVILIZATION, TEACHING THE BLESSINGS OF LIBERTY REGULATED BY  
LAW, AND INCULCATING LOVE AND REVERENCE FOR THE GREAT PRINCIPLES OF  
GOVERNMENT AS DERIVED FROM THE INALIENABLE RIGHTS OF MAN TO LIFE,  
LIBERTY, AND THE PURSUIT OF HAPPINESS."

FORM 990, PART III, LINE 4A

STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS, CONTINUED FROM PAGE 3  
(EXPENSES \$1,492,359,889 INCLUDING GRANTS OF \$34,980,642, REVENUE  
\$719,761,507)

INSTRUCTION AND DEPARTMENTAL RESEARCH: INCLUDES THE SALARIES, FRINGE  
BENEFITS AND SUPPLIES NECESSARY TO TEACH 16,136 STUDENTS, INCLUDING 7,018  
UNDERGRADUATE AND 9,118 GRADUATE AND PROFESSIONAL SCHOOL STUDENTS.

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY	Employer identification number 94-1156365
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STANFORD'S 2,118-PERSON FACULTY INCLUDES 21 NOBEL PRIZE WINNERS AND FIVE PULITZER PRIZE WINNERS. STANFORD HAS SEVEN SCHOOLS: BUSINESS, EARTH, ENERGY & ENVIRONMENTAL SCIENCES (F/K/A EARTH SCIENCES), EDUCATION, ENGINEERING, HUMANITIES AND SCIENCES, LAW, AND MEDICINE. UNDERGRADUATES CHOOSE AMONG 60 MAJORS AND ARE ENCOURAGED TO LEARN CRITICAL THINKING SKILLS THROUGH RESEARCH. STANFORD STRIVES FOR CLOSE INTERACTION WITH FACULTY TO PREPARE STUDENTS TO BE TOMORROW'S LEADERS.

FORM 990, PART III, LINE 4B

(EXPENSES \$1,075,576,597 INCLUDING GRANTS OF \$21,843,993, REVENUE "NON PUBLIC" ONLY \$230,055,514; AMOUNT EXCLUDES FEDERAL RESEARCH SUPPORT)

ORGANIZED RESEARCH: RESEARCH IS INTEGRAL TO THE EDUCATIONAL MISSION OF STANFORD AND INVOLVES FACULTY, GRADUATE STUDENTS, AND UNDERGRADUATES WHO SEEK NEW KNOWLEDGE IN SERVICE TO HUMANITY. STANFORD FACULTY MEMBERS HAVE CONTRIBUTED TO ADVANCEMENTS IN HIGH TECHNOLOGY, INCLUDING THE CREATION OF DIGITAL SUBSCRIBER LINES, IDENTITY-BASED ENCRYPTION, GLOBAL POSITIONING SYSTEMS AND THE REDUCED INSTRUCTION SET COMPUTER, AMONG OTHER DISCOVERIES; DISEASE IDENTIFICATION AND MANAGEMENT, INCLUDING PROGRAMS USED BY MORE THAN 500 ORGANIZATIONS WORLDWIDE CONCERNED WITH CHRONIC HEALTH PROBLEMS SUCH AS ARTHRITIS AND HIV/AIDS; AND GENOME SEQUENCING, INCLUDING DISCOVERIES THAT CREATED THE FIELD OF GENETIC ENGINEERING. TODAY'S STANFORD FACULTY MEMBERS ARE LEADERS IN NEUROSCIENCE, ENERGY, STEM CELL RESEARCH, NANOTECHNOLOGY, BIOENGINEERING, COMPUTING TECHNOLOGY, REFORM OF OUR NATION'S SCHOOLS AND ENVIRONMENTAL SUSTAINABILITY. THROUGH BOTH BASIC AND APPLIED RESEARCH, STANFORD IS COMMITTED TO PROVIDING NEW

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY	Employer identification number 94-1156365
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KNOWLEDGE THAT FUELS OUR NATIONAL ECONOMY AND TO TRAINING GRADUATE STUDENTS WHO WILL BECOME TOMORROW'S TEACHERS AND RESEARCHERS.

ENTREPRENEURIAL STANFORD FACULTY MEMBERS ARE KNOWN FOR THEIR ABILITY TO CROSS INTERDISCIPLINARY BOUNDARIES TO CREATE TEAMS OF RESEARCHERS ABLE TO PROVIDE NEW INSIGHTS TO COMPLEX, WORLDWIDE PROBLEMS.

FORM 990, PART III, LINE 4C

(EXPENSES \$896,358,713 INCLUDING GRANTS OF \$1,254,656, REVENUE \$848,817,699)

UNIVERSITY AUXILIARY ACTIVITIES: INCLUDES AMONG OTHERS PATIENT CARE, RESIDENTIAL & DINING ENTERPRISES (R&DE), AND INTER-COLLEGIATE ATHLETIC PROGRAMS.

STANFORD SCHOOL OF MEDICINE FACULTY MEMBERS ARE HEALTH-CARE PRACTITIONERS AS WELL AS TEACHERS, COMBINING EXPERTISE HONED BY RESEARCH WITH THE MOST ADVANCED TECHNOLOGY TO TREAT PATIENTS AT STANFORD HEALTH CARE AND THE LUCILE PACKARD CHILDREN'S HOSPITAL. STANFORD FACULTY PROVIDE APPROXIMATELY 84 PERCENT OF THE PATIENT CARE AT STANFORD HEALTH CARE AND 96 PERCENT OF THE PEDIATRIC CARE AT LUCILE PACKARD CHILDREN'S HOSPITAL.

RESIDENTIAL & DINING ENTERPRISES (R&DE) IS THE STEWARD FOR A \$1.7 BILLION ASSET PORTFOLIO COMPRISING ONE-THIRD OF STANFORD'S PHYSICAL PLANT (OVER 1/3 OF CAMPUS) AND GENERATES REVENUES PRIMARILY THROUGH STUDENT ROOM AND BOARD, CONFERENCE FEES, AND OTHER ENTERPRISES. R&DE HOUSES 6,503

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY	Employer identification number 94-1156365
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UNDERGRADUATE STUDENTS (96% OF TOTAL UNDERGRADUATE STUDENTS ELIGIBLE FOR HOUSING, EXCLUDING OVERSEAS) AND 5,477 GRADUATE STUDENTS (62% OF TOTAL GRADUATE STUDENTS ELIGIBLE FOR HOUSING) AND SERVES OVER 6.5M MEALS ANNUALLY TO STUDENTS (4,053 UNDERGRADUATE MEAL PLANS AND 4,250 GRADUATE STUDENTS PURCHASING VOLUNTARY MEALS). R&DE COMPLEMENTS STANFORD'S PREMIER ACADEMIC PROGRAMS WITH A ROBUST RESIDENTIAL LIVING AND LEARNING ENVIRONMENT. THE UNIVERSITY AND R&DE HAVE BEEN INVESTING IN THE RESIDENTIAL EDUCATION PROGRAM TO FOSTER AN ENVIRONMENT OF INTELLECTUAL, EDUCATIONAL, AND COMMUNITY-BUILDING ACTIVITIES IN STUDENT RESIDENCES.

FORM 990, PART III, LINE 4D

OTHER PROGRAM SERVICES

(EXPENSES \$644,217,432 INCLUDING GRANTS OF \$355,258,811, REVENUE \$402,714,638)

ACADEMIC SUPPORT AND PUBLIC SERVICE	\$352,633,506
STUDENT FINANCIAL AID	\$248,847,732
SLAC CONSTRUCTION AND OTHER	\$42,736,194
<hr/>	
TOTAL OTHER PROGRAM SERVICE EXPENSES	\$644,217,432

ACADEMIC SUPPORT AND PUBLIC SERVICE: STANFORD SUPPORTS 20 LIBRARIES. THE COLLECTIONS OF BOOKS, JOURNALS, SCORES AND PRINTED REFERENCE WORKS COMPRISE MORE THAN 9.3 MILLION PHYSICAL VOLUMES, 1.5 MILLION E-BOOKS, 2.5 MILLION AUDIOVISUAL MATERIALS, MORE THAN 77,000 SERIALS, THOUSANDS OF OTHER DIGITAL RESOURCES AND NEARLY 6 MILLION MICROFORM HOLDINGS. SPECIAL

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY	Employer identification number 94-1156365
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COLLECTIONS INCLUDE ABOUT 300,000 RARE BOOKS AND MORE THAN 59 MILLION PAGES OF MATERIALS.

THE STANFORD UNIVERSITY INFORMATION TECHNOLOGY NETWORK AND INFRASTRUCTURE INCLUDES MORE THAN 250,000 ACTIVE DEVICES WITH ASSIGNED INTERNET PROTOCOL ADDRESSES. STANFORD UNIVERSITY NETWORK TRANSPORTS 38 TERABYTES OF INCOMING DATA AND 26 TERABYTES OF DATA OUTGOING BETWEEN STANFORD AND THE INTERNET EACH DAY. STUDENT SERVICES INCLUDE, AMONG OTHERS, THE DEAN OF STUDENT LIFE OFFICE, THE REGISTRAR, THE ADMISSIONS OFFICE, THE FINANCIAL AID OFFICE, AND THE OFFICE FOR RELIGIOUS LIFE.

STUDENT FINANCIAL AID: INCLUDES MERIT AND NEED-BASED SCHOLARSHIPS AND FELLOWSHIPS.

SLAC CONSTRUCTION AND OTHER: THE UNIVERSITY MANAGES AND OPERATES THE SLAC NATIONAL ACCELERATOR LABORATORY FOR THE U.S. DEPARTMENT OF ENERGY ("DOE") UNDER A MANAGEMENT AND OPERATING CONTRACT. REVENUES AND EXPENDITURES ARE INCLUDED IN STANFORD'S FINANCIAL STATEMENTS; ASSETS AND LIABILITIES ARE OWNED BY DOE AND THEREFORE CARRIED ON DOE'S BOOKS. ACCORDINGLY, CONSTRUCTION EXPENDITURES ARE RECORDED AS EXPENDITURES HEREIN.

FORM 990 PART IV, LINES 12A AND 12B

HOW THE FINANCIAL STATEMENTS WERE AUDITED

STANFORD'S CONSOLIDATED FINANCIAL STATEMENTS AS OF AND FOR THE YEARS ENDED AUGUST 31, 2014 AND AUGUST 31, 2013 WERE AUDITED BY THE ACCOUNTING FIRM OF PRICEWATERHOUSECOOPERS ("PWC"). AS REQUIRED BY GENERALLY ACCEPTED

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY	Employer identification number 94-1156365
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ACCOUNTING PRINCIPLES, THE UNIVERSITY'S FINANCIAL STATEMENTS ARE PREPARED ON A CONSOLIDATED BASIS AND INCLUDE THE UNIVERSITY ACCOUNTS AND THOSE OF STANFORD HEALTH CARE AND THE LUCILE SALTER PACKARD CHILDREN'S HOSPITAL AT STANFORD ("THE HOSPITALS"). THE FINANCIAL STATEMENTS DISCLOSE SEPARATELY THE ACCOUNTS OF THE UNIVERSITY FROM THOSE OF THE HOSPITALS. UNDER SEPARATE COVER, THE HOSPITALS PROVIDE AUDITED FINANCIAL STATEMENTS OF THEIR OWN ACCOUNTS. PWC UTILIZES SEPARATE AUDIT TEAMS TO CONDUCT THE AUDIT ENGAGEMENTS OF STANFORD AND THE HOSPITALS. EACH AUDIT IS CONDUCTED BY QUALIFIED PROFESSIONAL ACCOUNTANTS WITH AUDIT PLANS DESIGNED FROM THE SEPARATE ACCOUNTS FOR THE RESPECTIVE ENTITIES, IN ACCORDANCE WITH GENERALLY ACCEPTED AUDITING STANDARDS.

FORM 990, PART V, LINE 4B

STANFORD HAS AN INTEREST IN OR SIGNATURE AUTHORITY OVER BANK OR INVESTMENT ACCOUNTS IN THE FOLLOWING COUNTRIES: AUSTRALIA; AUSTRIA; BELGIUM; BERMUDA; BRAZIL; BRITISH VIRGIN ISLANDS; CANADA; CAYMAN ISLANDS; CHANNEL ISLANDS; CHILE; CHINA; CZECH REPUBLIC; DENMARK; FINLAND; FRANCE; GERMANY; GHANA; GIBRALTAR; GREECE; HONG KONG; HUNGARY; INDIA; INDONESIA; IRELAND; ISLE OF MAN; ISRAEL; ITALY; JAPAN; LIECHTENSTEIN; LUXEMBOURG; MALAYSIA; MARSHALL ISLANDS; MAURITIUS; MEXICO; NETHERLANDS; NEW ZEALAND; NORWAY; PHILIPPINES; PORTUGAL; SINGAPORE; SOUTH AFRICA; SOUTH KOREA; SPAIN; SWEDEN; SWITZERLAND; TAIWAN; THAILAND; TURKEY; UNITED KINGDOM.

FORM 990, PART VI, SECTION A, LINE 2

BUSINESS RELATIONSHIPS

THE FOLLOWING INDIVIDUALS LISTED ON PART VII HAVE A BUSINESS RELATIONSHIP

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD  
JUNIOR UNIVERSITY

Employer identification number  
94-1156365

WITH EACH OTHER:

- JOHN HENNESSY AND KAVITARK RAM SHRIRAM ARE BOTH DIRECTORS OF GOOGLE.
- BROOK H. BYERS AND RANDALL LIVINGSTON ARE BOTH DIRECTORS OF PACIFIC BIOSCIENCES, INC.
- BROOK H. BYERS IS A DIRECTOR OF ENJOY, WHERE RONALD B. JOHNSON SERVES AS CHIEF EXECUTIVE OFFICER.
- ISAAC STEIN IS A DIRECTOR OF IRVINE FOUNDATION, WHERE JAMES E. CANALES SERVED AS CHIEF EXECUTIVE OFFICER IN THE TAX YEAR.

FORM 990, PART VI, SECTION A, LINE 4

CHANGES IN THE BYLAWS

THE UNIVERSITY'S BYLAWS WERE AMENDED IN FY 13 TO INCREASE THE MAXIMUM NUMBER OF TRUSTEES FROM 35 TO 38 AND TO INCREASE THE MAXIMUM NUMBER OF TRUSTEES ELECTED PURSUANT TO THE BOARD'S GENERAL ELECTION PROCEDURE FROM 26 TO 29.

FORM 990, PART VI, SECTION B, LINES 11A & B

REVIEW OF THE FORM 990

THE FORM 990 IS PREPARED BY THE UNIVERSITY'S TAX DEPARTMENT IN CONSULTATION WITH THE OFFICE OF GENERAL COUNSEL, PAYROLL AND OTHER UNIVERSITY DEPARTMENTS. THE RETURN IS REVIEWED BY EXTERNAL ACCOUNTANTS, OUTSIDE COUNSEL, AND SENIOR MANAGEMENT. THE RETURN IS THEN DISTRIBUTED TO THE AUDIT AND COMPLIANCE COMMITTEE OF THE BOARD FOR THEIR REVIEW IN ADVANCE OF THE COMMITTEE MEETING. THE COMMITTEE IS OFFERED AN OPPORTUNITY TO ASK QUESTIONS OF THE TAX DIRECTOR AND THE CONTROLLER BOTH AT THE MEETING AND ANYTIME THEREAFTER. SUBSEQUENT TO THE MEETING, A COMPLETE

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD  
JUNIOR UNIVERSITY

Employer identification number  
94-1156365

COPY OF THE FORM 990 IS DISTRIBUTED TO THE FULL BOARD OF TRUSTEES PRIOR  
TO FILING WITH THE IRS.

FORM 990, PART VI, SECTION B, LINE 12C

CONFLICT OF INTEREST

MEMBERS OF THE UNIVERSITY'S BOARD OF TRUSTEES ("TRUSTEES"), OFFICERS AND  
FACULTY MAY, FROM TIME TO TIME, BE ASSOCIATED, EITHER DIRECTLY OR  
INDIRECTLY, WITH ENTITIES DOING BUSINESS WITH THE UNIVERSITY. THE  
UNIVERSITY HAS CONFLICT OF INTEREST POLICIES THAT ARE STRICTLY ENFORCED  
TO ENSURE THAT ALL TRANSACTIONS WITH THESE PARTIES ARE ARM'S LENGTH, AND  
THERE IS NO FAVORABLE TREATMENT AS A RESULT OF THESE RELATIONSHIPS.  
CONFLICTS OF INTEREST ARE REGULARLY MONITORED, AND APPLICABLE POLICY IS  
CONSISTENTLY ENFORCED. UNIVERSITY-WIDE COMPLIANCE INITIATIVES INCLUDE  
TRAINING AND DOCUMENTATION, REQUIRED ANNUAL DISCLOSURE AND FOLLOW-UP;  
MANAGEMENT CONTROLS; ENTERPRISE RISK MANAGEMENT; REGULAR INTERNAL AND  
EXTERNAL AUDITS; WHISTLE-BLOWER PROVISIONS; SUPERVISION; AND REVIEW.  
PERCEIVED VIOLATIONS WOULD BE INVESTIGATED AND ADDRESSED AS APPROPRIATE  
THROUGH VARIOUS SANCTIONS, INCLUDING FINANCIAL PENALTIES AND TERMINATION,  
DEPENDING UPON THE NATURE AND DEGREE OF THE CONFLICT.

FOR OFFICERS, THE UNIVERSITY REQUIRES ANNUAL DISCLOSURE OF SIGNIFICANT  
FINANCIAL INTERESTS IN EMPLOYMENT OR CONSULTING RELATIONSHIPS WITH  
ENTITIES DOING BUSINESS WITH THE UNIVERSITY. THESE ANNUAL DISCLOSURES  
COVER BOTH OFFICERS AND THEIR IMMEDIATE FAMILY. WHEN SUCH ASSOCIATIONS  
EXIST, MEASURES ARE TAKEN TO APPROPRIATELY MANAGE, IN THE BEST INTERESTS



Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY	Employer identification number 94-1156365
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OF THE UNIVERSITY, ANY ACTUAL OR PERCEIVED CONFLICT.

FACULTY MUST COMPLY WITH THE FACULTY CONFLICT OF INTEREST POLICY, WHICH REQUIRES ANNUAL CERTIFICATION OF COMPLIANCE WITH THE POLICY AND DISCLOSURE OF INTERESTS IN OUTSIDE ENTITIES THAT ARE SPONSORS OF THEIR TEACHING OR RESEARCH OR OTHER TRANSACTIONS WITH THE UNIVERSITY IN WHICH THEY ARE INVOLVED. ALL PERSONAL FINANCIAL INTERESTS RELATED TO STANFORD ACTIVITIES MUST BE REPORTED, REGARDLESS OF DOLLAR AMOUNT.

FOR TRUSTEES, THE UNIVERSITY HAS A WRITTEN CONFLICT OF INTEREST POLICY THAT REQUIRES, AMONG OTHER THINGS, THAT NO MEMBER OF THE BOARD OF TRUSTEES IS PERMITTED TO PARTICIPATE IN ANY DECISION RELATING TO AN ENTITY IN WHICH HE OR SHE (OR AN IMMEDIATE FAMILY MEMBER) HAS A MATERIAL FINANCIAL INTEREST. THE CONFLICT OF INTEREST POLICY ALSO PRECLUDES THE UNIVERSITY FROM ENTERING INTO CERTAIN TRANSACTIONS WITH AN ENTITY IN WHICH A TRUSTEE HAS A MATERIAL FINANCIAL INTEREST UNLESS MEASURES ARE TAKEN TO MITIGATE ANY ACTUAL OR PERCEIVED CONFLICT. NAMELY, THE POLICY REQUIRES THAT SUCH TRANSACTIONS ARE CONDUCTED AT ARM'S LENGTH, FOR GOOD AND SUFFICIENT CONSIDERATION, BASED ON TERMS THAT ARE FAIR AND REASONABLE TO AND FOR THE BENEFIT OF THE UNIVERSITY, AND OTHERWISE IN ACCORDANCE WITH SOUND CONFLICT MANAGEMENT PRACTICES. THE CONFLICT OF INTEREST POLICY REQUIRES EACH TRUSTEE TO CERTIFY COMPLIANCE WITH THE CONFLICT OF INTEREST POLICY ON AN ANNUAL BASIS.

FORM 990, PART VI, SECTION B, LINE 15

COMPENSATION DETERMINATION

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY	Employer identification number 94-1156365
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THE ANNUAL PROCESS FOR DETERMINING COMPENSATION OF BOTH THE TOP MANAGEMENT OFFICIAL (PRESIDENT OF THE UNIVERSITY) AND OF OTHER OFFICERS/KEY EMPLOYEES INCLUDES REVIEW AND APPROVAL BY INDEPENDENT PERSONS, USE OF COMPARABILITY DATA AND CONTEMPORANEOUS DOCUMENTATION OF THE DELIBERATION AND DECISION.

IN THE CASE OF THE UNIVERSITY'S PRESIDENT, THE VICE PRESIDENT OF HUMAN RESOURCES, AT A PRELIMINARY MEETING WITH A SUBCOMMITTEE OF THE COMMITTEE ON COMPENSATION, PRESENTS AN ANALYSIS OF CURRENT RELEVANT MARKET DATA OBTAINED FROM AN INDEPENDENT HUMAN RESOURCE CONSULTING FIRM. THE SAME MATERIALS ARE SENT TO THE FULL COMMITTEE. AT THE MEETING OF THE FULL COMMITTEE AND IN THE ABSENCE OF THE PRESIDENT, DISCUSSION OCCURS PRIOR TO A VOTE. MINUTES OF BOTH MEETINGS ARE ON FILE IN THE OFFICE OF THE VICE PRESIDENT OF HUMAN RESOURCES.

IN THE CASE OF OTHER OFFICERS/KEY EMPLOYEES, PER GUIDELINES IN THE COMMITTEE'S EXECUTIVE COMPENSATION POLICY AND PROCEDURES DOCUMENT, EACH YEAR THE VICE PRESIDENT OF HUMAN RESOURCES PROVIDES CURRENT RELEVANT MARKET DATA AND THE HISTORICAL PAY INFORMATION RELATING TO THESE PERSONS TO THE PRESIDENT OR TO THE PROVOST BASED ON THE REPORTING STRUCTURE. THE PRESIDENT AND PROVOST PROPOSE CHANGES IN COMPENSATION BASED ON EACH INDIVIDUAL'S PERFORMANCE AND ON THE MARKET DATA. THE COMMITTEE ON COMPENSATION REVIEWS THE RECOMMENDATIONS AND, FOLLOWING DISCUSSION, APPROVES THE RECOMMENDATIONS AS SUBMITTED OR WITH MODIFICATIONS. MINUTES OF THE MEETING ARE ON FILE IN THE OFFICE OF THE VICE PRESIDENT OF HUMAN

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY	Employer identification number 94-1156365
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RESOURCES.

FORM 990, PART VI, SECTION C, LINE 19

STANFORD UNIVERSITY MAKES ITS FOUNDING GRANT, FACULTY AND STAFF CONFLICT OF INTEREST POLICIES, AND FINANCIAL STATEMENTS AVAILABLE TO THE GENERAL PUBLIC ON STANFORD'S WEBSITE, AND UPON REQUEST TO THE OFFICE OF UNIVERSITY COMMUNICATIONS.

FORM 990, PART VII, SECTION A, COLUMN B

HOURS FOR RELATED ORGANIZATIONS

IN CONNECTION WITH THEIR POSITIONS AT STANFORD, CERTAIN LISTED INDIVIDUALS MAY, FROM TIME TO TIME, PARTICIPATE IN ACTIVITIES OF A RELATED ORGANIZATION. WHERE THE LISTED INDIVIDUALS ARE TRUSTEES, DIRECTORS, OFFICERS, OR EMPLOYEES OF THE RELATED ORGANIZATION, THE TIME DEVOTED TO THE RELATED ORGANIZATION BY SUCH INDIVIDUALS IS GENERALLY REPORTED AS RELATED ORGANIZATION HOURS IN PART VII, SECTION A, LINE 1A, COLUMN (B), BELOW THE DOTTED LINE. IN ALL OTHER CASES, THE HOURS IN CONNECTION WITH SUCH PARTICIPATION ARE INCLUDED IN THE HOURS REPORTED FOR THE INDIVIDUALS' POSITIONS AT STANFORD UNIVERSITY IN PART VII, SECTION A, LINE 1A, COLUMN (B), ABOVE THE DOTTED LINE.

FORM 990, PART VII, SECTION B

INDEPENDENT CONTRACTORS

IN THE PRESENTATION OF THE DATA APPEARING IN FORM 990, PART VII, SECTION B, STANFORD REPORTS DIRECT CASH COMPENSATION PAID TO PROFESSIONAL

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY	Employer identification number 94-1156365
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INDEPENDENT CONTRACTORS. COMMISSIONS, DISCOUNTS, AND MANAGEMENT FEES  
EMBEDDED IN AND/OR DEDUCTED FROM INVESTMENT RETURNS AND AMOUNTS PROVIDED  
TO VENTURE CAPITAL, PRIVATE EQUITY, AND HEDGE FUND GENERAL PARTNERS DUE  
TO THEIR "CARRIED INTEREST" IN PARTNERSHIPS IN WHICH STANFORD  
PARTICIPATES ARE NOT CONSIDERED IN THIS REPORTING.

FORM 990, PART XI, LINE 9

OTHER CHANGES IN NET ASSETS OR FUND BALANCES

CHANGE IN POST RETIREMENT BENEFIT OBLIGATION	\$ 11,952,000
PAYMENTS TO LIVING TRUST BENEFICIARIES	(39,182,494)
NET HOSPITAL TRANSFERS	69,017,915
CHANGE IN VALUE OF SWAP AGREEMENTS	(8,616,644)
	-----
	\$ 33,170,777

ATTACHMENT 1

990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

<u>NAME AND ADDRESS</u>	<u>DESCRIPTION OF SERVICES</u>	<u>COMPENSATION</u>
THE WHITING-TURNER CONTRACTING CO PO BOX 17596 BALTIMORE, MD 21297-1596	CONSTRUCTION	253,778,029.
DEVCON CONSTRUCTION INC 690 GIBRALTAR DR MILPITAS, CA 95035	CONSTRUCTION	87,913,728.
VANCE BROWN INC 3197 PARK BOULEVARD PALO ALTO, CA 94306	CONSTRUCTION	75,006,070.
LEVEL 10 CONSTRUCTION LP 1050 ENTERPRISE WAY #250 SUNNYVALE, CA 94089	CONSTRUCTION	22,529,416.

Name of the organization THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY	Employer identification number 94-1156365
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ATTACHMENT 1 (CONT'D)

990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

<u>NAME AND ADDRESS</u>	<u>DESCRIPTION OF SERVICES</u>	<u>COMPENSATION</u>
HATHAWAY DINWIDDIE CONSTRUCTION CO 565 LAURELWOOD RD SANTA CLARA, CA 95054-2419	CONSTRUCTION	22,276,387.

ATTACHMENT 2

FORM 990, PART VIII - GROSS SALES AND COST OF GOODS SOLD

GROSS SALES LESS RETURNS AND ALLOWANCES .....	4,903,924.
INVENTORY AT BEGINNING OF YEAR .....	1,216,784.
PURCHASES .....	1,696,672.
SALARIES AND WAGES .....	
OTHER COSTS .....	
SUBTOTAL .....	<u>2,913,456.</u>
MINUS ENDING INVENTORY .....	1,067,259.
COST OF GOODS SOLD .....	<u><u>1,846,197.</u></u>

**SCHEDULE R  
(Form 990)**

**Related Organizations and Unrelated Partnerships**

OMB No. 1545-0047

**2013**

**Open to Public  
Inspection**

- ▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.**
- ▶ **Attach to Form 990.**      ▶ **See separate instructions.**
- ▶ **Information about Schedule R (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

Department of the Treasury  
Internal Revenue Service

Name of the organization **THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY**      Employer identification number **94-1156365**

**Part I Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
<b>(1)</b> STANFORD UNIVERSITY OTL, LLC 94-1156365 3145 PORTER DRIVE PALO ALTO, CA 94304	TECHNOLOGY	CA	3,858.	62,283.	STANFORD
<b>(2)</b> SU ACQUISITION, LLC 94-1156365 3145 PORTER DRIVE PALO ALTO, CA 94304	REAL ESTATE	DE	402,948.	2,000,000.	STANFORD
<b>(3)</b> SAA SIERRA PROGRAMS, LLC 94-1156365 3145 PORTER DRIVE PALO ALTO, CA 94304	ALUM RELATION	CA	6,093,562.	9,877,799.	STANFORD
<b>(4)</b> ANTS AT WORK, LLC 94-1156365 3145 PORTER DRIVE PALO ALTO, CA 94304	RESEARCH	NM	0	55,000.	STANFORD
<b>(5)</b> SHR HOTEL, LLC 41-2277925 3145 PORTER DRIVE PALO ALTO, CA 94304	REAL ESTATE	CA	48,448,834.	131613971.	STANFORD
<b>(6)</b> SPECIALTY EVENTS LLC 27-3665473 3145 PORTER DRIVE PALO ALTO, CA 94304	GEN. BUS. OPS	CA	0	0	STANFORD

**Part II Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
<b>(1)</b> STANFORD HEALTH CARE 94-6174066 300 PASTEUR DRIVE MC 5555 STANFORD, CA 94305	HEALTHCARE	CA	501(C)(3)	3	STANFORD	X	
<b>(2)</b> LUCILE SALTER PACKARD CHILDRENS HOSPITAL 77-0003859 725 WELCH ROAD MC 5553 PALO ALTO, CA 94304	HEALTHCARE	CA	501(C)(3)	3	STANFORD	X	
<b>(3)</b> THE FREIDENRICH SUPPORT FOUNDATION 30-0519583 3145 PORTER DRIVE PALO ALTO, CA 94304	SUPPORT	CA	501(C)(3)	11, TYPE I	STANFORD	X	
<b>(4)</b> SHR HOLDINGS, INC. 94-3187167 3145 PORTER DRIVE PALO ALTO, CA 94304	REAL ESTATE	CA	501(C)(25)	N/A	STANFORD	X	
<b>(5)</b> SU EMP. BEN TRUST POST RET/EMPYNT BEN 94-3246199 3145 PORTER DRIVE PALO ALTO, CA 94304	BENEFITS	CA	501(C)(9)	N/A	STANFORD	X	
<b>(6)</b> UNIVERSITY HEALTHCARE ALLIANCE 94-3192446 855 OAK GROVE AVE., SUITE 100 MENLO PARK, CA 94205	HEALTHCARE	CA	501(C)(3)	3	SHC	X	
<b>(7)</b> THE DUDLEY E CHAMBERS FOUNDATION 38-6841793 JP MORGAN CHASE, PO BOX 3038 MILWAUKEE, WI 53201	SUPPORT	NY	501(C)(3)	11, TYPE III	STANFORD	X	

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2013

**SCHEDULE R  
(Form 990)**

**Related Organizations and Unrelated Partnerships**

OMB No. 1545-0047

**2013**

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Department of the Treasury  
Internal Revenue Service

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- ▶ **Attach to Form 990.**      ▶ **See separate instructions.**
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Name of the organization **THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY**      Employer identification number **94-1156365**

**Part I Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
<b>(1)</b> ROCKY HILL PROPERTY LLC 45-4672921 3145 PORTER DRIVE PALO ALTO, CA 94304	REAL ESTATE	CA	51,826.	6,172,307.	STANFORD
<b>(2)</b> STANFORD UNIVERSITY GLOBAL LLC 94-1156365 3145 PORTER DRIVE PALO ALTO, CA 94304	EDUCATION	CA	2,629,259.	1,256,856.	STANFORD
<b>(3)</b> CARDINAL NEWBURY GP, LLC 94-1156365 C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENT	DE	7,841.	-68,301.	STANFORD
<b>(4)</b> CARDINAL NEWBURY LP, LLC 94-1156365 C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENT	DE	737,061.	-6,419,388.	STANFORD
<b>(5)</b> FAIRFIELD NORTH SEA ENERGY LLC 94-1156365 C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENT	DE	0	29,084,940.	STANFORD
<b>(6)</b> SAND HILL INVESTMENTS GP LLC 41-2262027 C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENT	DE	0	0	STANFORD

**Part II Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
<b>(1)</b> STANFORD UNIVERSITY BOOK STORE 94-0894150 BLDG 60, MAIN QUAD, NO. 105 STANFORD, CA 94305	SUPPORT	CA	501(C)(3)	11, TYPE I	STANFORD	X	
<b>(2)</b> PACKARD CHILDREN'S HEALTH ALLIANCE 32-0359189 725 WELCH ROAD, MC5551 PALO ALTO, CA 94304	HEALTHCARE	CA	501(C)(3)	3	LPCH	X	
<b>(3)</b> STANFORD HABITAT CONSERVATION BOARD 46-1882243 3160 PORTER DR, STE 200 PALO ALTO, CA 94304	CONSERVATION	CA	501(C)(3)	7	STANFORD	X	
<b>(4)</b> STANFORD FACULTY CLUB 94-1187089 PO BOX 7229 STANFORD, CA 94309	FAC INTERACT.	CA	501(C)(7)	N/A	STANFORD	X	
<b>(5)</b> THE HONG KONG/SU CHARITABLE TRUST 98-6078093 1401 CAROLINE CENTER 28 PING ROAD, CAUSEWAY HK	SUPPORT	HK	501(C)(3)		STANFORD	X	
<b>(6)</b> THE STANFORD TRUST 65 HIGH STREET OXFORD, OX1 46L UK	SUPPORT	UK	501(C)(3)		STANFORD	X	
<b>(7)</b> STANFORD PROGRAMME (CAPE TOWN) NPC WAVERLY BUSINESS PARK, BUILDIN CAPE TOWN, SF	EDUCATION	SF	501(C)(3)		STANFORD	X	

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Schedule R (Form 990) 2013

**SCHEDULE R  
(Form 990)**

**Related Organizations and Unrelated Partnerships**

OMB No. 1545-0047

**2013**

**Open to Public  
Inspection**

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▶ **Attach to Form 990.**

▶ **See separate instructions.**

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Department of the Treasury  
Internal Revenue Service

Name of the organization **THE BOARD OF TRUSTEES OF THE LELAND STANFORD  
JUNIOR UNIVERSITY**

Employer identification number  
**94-1156365**

**Part I Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
<b>(1)</b> SMC DE, LLC 94-1156365 C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENT	DE	319,252.	2,836,448.	STANFORD
<b>(2)</b> ALTIRA TECHNOLOGY FUND IV DIRECT INVEST 94-1156365 C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENT	DE	-3,548.	2,756,904.	STANFORD
<b>(3)</b> SNOWCREEK VII, LLC 95-4890334 C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	REAL ESTATE	CA	3,239,729.	6,207,154.	STANFORD
<b>(4)</b> GRE PROPERTIES, LLC 94-1156365 3145 PORTER DRIVE PALO ALTO, CA 94304	REAL ESTATE	DE	0	25,736.	STANFORD
<b>(5)</b> GRE PROPERTIES II, LLC 94-1156365 3160 PORTER DRIVE PALO ALTO, CA 94304	REAL ESTATE	DE	0	28,736.	STANFORD
<b>(6)</b> JPS NO. 1, L.L.C. 94-1156365 3160 PORTER DRIVE PALO ALTO, CA 94304	REAL ESTATE	DE	0	3,860,123.	STANFORD

**Part II Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
<b>(1)</b> STANFORD FEDERAL CREDIT UNION 94-1492212 1860 EMBARCADERO RD. PALO ALTO, CA 94303	CREDIT UNION	CA	501(C)(1)	N/A	STANFORD	X	
<b>(2)</b> UNIVERSITY HEALTHCARE ADVANTAGE 46-4071746 3220 BLUME DR STE 260 RICHMOND, CA 94806-5741	HEALTHCARE	CA	501(C)(3)	11, TYPE 1	SHC	X	
<b>(3)</b> STANFORD SCHOOLS CORPORATION 20-2699147 475 POPE STREET MENLO PARK, CA 94025	EDUCATIONAL	CA	501(C)(3)	2	STANFORD	X	
<b>(4)</b> PACIFIC 12 CONFERENCE 94-1459048 1350 TREAT BOULEVARD WALNUT CREEK, CA 94597	EDUCATIONAL	CA	501(C)(3)	11, TYPE 1	N/A		X
<b>(5)</b> -----							
<b>(6)</b> -----							
<b>(7)</b> -----							

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2013



**SCHEDULE R  
(Form 990)**

**Related Organizations and Unrelated Partnerships**

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**2013**

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Department of the Treasury  
Internal Revenue Service

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Name of the organization **THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY**      Employer identification number **94-1156365**

**Part I Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
<b>(1)</b> JPS NO. 2, L.L.C. 94-1156365 3160 PORTER DRIVE PALO ALTO, CA 94304	REAL ESTATE	DE	0	1.	STANFORD
<b>(2)</b> GREGORY STREET ACQUISITION, LLC 94-1156365 3160 PORTER DRIVE PALO ALTO, CA 94304	REAL ESTATE	CO	0	4,914.	STANFORD
<b>(3)</b> HP OUTLAWS, L.L.C. 94-1156365 3160 PORTER DRIVE, SUITE 200 PALO ALTO, CA 94304	REAL ESTATE	DE	0	0	STANFORD
<b>(4)</b> 200 GREGORY STREET, L.L.C. 94-1156365 3160 PORTER DRIVE, SUITE 200 PALO ALTO, CA 94304	REAL ESTATE	CO	0	0	STANFORD
<b>(5)</b> CYPRESS MARINA HEIGHTS LLC 95-4887979 C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENTS	CA	1,300.	36,849,906.	CYPRESS MARI
<b>(6)</b> CYPRESS MARINA PARTNERS LLC C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENTS	CA	1,300.	41,023,190.	STANFORD

**Part II Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
<b>(1)</b> -----							
<b>(2)</b> -----							
<b>(3)</b> -----							
<b>(4)</b> -----							
<b>(5)</b> -----							
<b>(6)</b> -----							
<b>(7)</b> -----							

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2013

**SCHEDULE R  
(Form 990)**

**Related Organizations and Unrelated Partnerships**

OMB No. 1545-0047

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- ▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.**
- ▶ **Attach to Form 990.**      ▶ **See separate instructions.**
- ▶ **Information about Schedule R (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

Name of the organization **THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY**      Employer identification number **94-1156365**

**Part I Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) RED ALPINE LLC C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENTS	DE	0	0	STANFORD
(2) RED ALVARADO LLC C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENTS	DE	124,113.	10,769,474.	STANFORD
(3) RED ARBORETUM LLC C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENTS	DE	0	11,416,935.	STANFORD
(4) RED ARDENWOOD LLC C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENTS	DE	0	21,236,152.	STANFORD
(5) RED BART LLC C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENTS	DE	0	16,408,800.	STANFORD
(6) RED BROADWAY LLC C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENTS	DE	404.	2,318,792.	STANFORD

**Part II Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) -----							
(2) -----							
(3) -----							
(4) -----							
(5) -----							
(6) -----							
(7) -----							

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2013

**SCHEDULE R  
(Form 990)**

**Related Organizations and Unrelated Partnerships**

OMB No. 1545-0047

**2013**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

- ▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.**
- ▶ **Attach to Form 990.**      ▶ **See separate instructions.**
- ▶ **Information about Schedule R (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

Name of the organization **THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY**      Employer identification number **94-1156365**

**Part I Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
<b>(1)</b> RED CAMINO LLC C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENTS	DE	-115,586.	7,504,860.	STANFORD
<b>(2)</b> RED DECOTO LLC C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENTS	DE	32,796.	2,522,669.	STANFORD
<b>(3)</b> RED MARINA LLC C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENTS	DE	15,557.	5,896,274.	STANFORD
<b>(4)</b> RED MARINER LLC C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENTS	DE	0	31,991,000.	STANFORD
<b>(5)</b> RED POPLAR LLC C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENTS	DE	0	45,477,596.	STANFORD
<b>(6)</b> RED QUARRY LLC C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENTS	DE	0	3,157,747.	STANFORD

**Part II Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
<b>(1)</b> -----							
<b>(2)</b> -----							
<b>(3)</b> -----							
<b>(4)</b> -----							
<b>(5)</b> -----							
<b>(6)</b> -----							
<b>(7)</b> -----							

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2013

**SCHEDULE R  
(Form 990)**

**Related Organizations and Unrelated Partnerships**

OMB No. 1545-0047

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Department of the Treasury  
Internal Revenue Service

- ▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.**
- ▶ **Attach to Form 990.**      ▶ **See separate instructions.**
- ▶ **Information about Schedule R (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

Name of the organization **THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY**      Employer identification number **94-1156365**

**Part I Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) RED RECIPE LLC C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENTS	DE	0	17,224,960.	STANFORD
(2) RED SANDHILL LLC C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENTS	DE	0	8,692,855.	STANFORD
(3) RED SKYLINE LLC C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENTS	DE	0	19,650,224.	STANFORD
(4) RED VELD LLC C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENTS	DE	0	12,833,334.	STANFORD
(5) SAND HILL INVESTMENTS LP 37-1557441 C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305	INVESTMENTS	DE	15,157,755.	134690843.	SAND HILL GP
(6) -----					

**Part II Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) -----							
(2) -----							
(3) -----							
(4) -----							
(5) -----							
(6) -----							
(7) -----							

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2013

**Part III Identification of Related Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) ATWATER 12, LP 75-2944481 2100 ROSS AVE., STE 1600	INVESTMENTS	DE	STANFORD	EXCLUDED	633,625.	21,313,726.		X	0		X	70.0000
(2) AVENUE ASIA CAPITAL PARTNERS, 399 PARK AVE, 6TH FL	FOREIGN INV.	DE	STANFORD	EXCLUDED	-3,570,904.	171,285.		X	0		X	79.2700
(3) JER R. E. QUALIFIED PARTNERS E 7950 JONES BRANCH DR., STE 220	INVESTMENTS	DE	STANFORD	UNRELATED	106,160.	125,559.		X	106,301.		X	99.0000
(4) LINCOLN COMMERCE PARK II, LTD P.O. BOX 1920 DALLAS, TX 75221	RE DEVELOPMEN	DE	STANFORD	EXCLUDED	1,766.	0		X	0		X	
(5) PALO ALTO, LP OGIER HOUSE, THE ESPLANADE XC	FOREIGN INV.	JE	STANFORD	EXCLUDED	644,414.	77,700,596.		X	0		X	99.7900
(6) PARMENTER REALTY FUND II, LP 2 1111 BRICKELL AVE, STE 2910	INVESTMENTS	DE	STANFORD	EXCLUDED	281,033.	-21,752,430.		X			X	75.4100
(7) SANDPIPER FUND, LP 26-0341626 2000 MCKINNEY AVE, STE 2125	INVESTMENTS	TX	STANFORD	EXCLUDED	-10,880,852.	42,093,070.		X	0		X	97.4200

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
(1) MARINER VOYAGER INTERNATIONAL, LTD C/O STUARTS CORP SVCS, PO BOX 2510 GEORGE TOWN, CJ	INVESTMENTS	CJ	STANFORD	C CORP	0	8,545,532.	67.2700	X	
(2) STANFORD SG/GS EUROPE, INC 13-1684331 UGLAND HOUSE, S CHURCH ST PO BOX 309GT, GEORGE TOWN, CJ	INVESTMENTS	CJ	STANFORD	C CORP	17,991,247.	33,774,748.	100.0000	X	
(3) BLACK RIVER EMEA INVESTORS FUND LTD 98-0428006 UGLAND HOUSE, S CHURCH ST GEORGE TOWN, CJ	INVESTMENTS	CJ	STANFORD	C CORP	26,106,917.	141,544,645.	94.5800	X	
(4) EAST SAIL C/O INT'L FS, INC., IFS COURT TWENTYEIGHT, CYBERCITY, EBE	INVESTMENTS	MP	STANFORD	C CORP	34,301,060.	76,727,361.	100.0000	X	
(5) GAVEA INVESTMENT FUND II-C LP 98-0537952 PO BOX 896GT, HARBOUR CENTRE GEORGE TOWN, CAYMAN ISLANDS	INVESTMENTS	CJ	STANFORD	C CORP	-5,436,018.	24,021,668.	53.1900	X	
(6) JER ALBERTA, LP 98-0423557 C/O JE ROBERT COS., 1650 TYSON BLVD MCLEAN, VA 22102	INVESTMENTS	CA	STANFORD	C CORP	-333,408.	0	100.0000	X	
(7) LS ALBERTA III, LP 98-0493425 C/O JE ROBERT COS., 1650 TYSON BLVD MCLEAN, VA 22102	INVESTMENTS	CA	STANFORD	C CORP	683,870.	9,177,836.	100.0000	X	

**Part III Identification of Related Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) SAROFIM MULTIFAMILY PARTNERS, 8115 PRESTON RD STE 400	RE DEVELOPMEN	DE	STANFORD	UNRELATED	11,846,213.	67,146,659.		X	-494,879.		X	63.7500
(2) SKY HARBOR ASSOCIATES, LP 20-5 PO BOX 1920 DALLAS, TX 75221	INVESTMENTS	DE	STANFORD	EXCLUDED	-566,902.	25,353.		X	0		X	100.0000
(3) STERLING STAMOS REAL ASSETS FU 450 PARK AVE., 23RD FL	INVESTMENTS	DE	STANFORD	UNRELATED	1,153,704.	22,996,564.		X	-141,731.		X	62.6900
(4) DEK PORTFOLIO, LLC 04-3446765 C/O SPAULDING & SLYE INV., 1 P	INVESTMENTS	DE	STANFORD	EXCLUDED	-332,120.	4,233,495.		X	0		X	97.3100
(5) BROWN BARK I, LP 72-1604614 PO BOX 1068	INVESTMENTS	DE	STANFORD	EXCLUDED	-706,497.	922,549.		X	0		X	99.0000
(6) BROWN BARK II, LP 20-8727391 P.O. BOX 1068	INVESTMENTS	DE	STANFORD	EXCLUDED	893,731.	1,528,018.		X	0		X	99.0000
(7) BROWN BARK III, LP 26-1780743 P.O. BOX 1068	INVESTMENTS	DE	STANFORD	EXCLUDED	1,030,913.	479,391.		X	0		X	66.0000

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
(1) MARBLETON PROPERTY FUND (ALBERTA) LP 98-0531893 C/O J.E. ROBERT COMPANIES, 1650 TYSON BL MCLEAN, VA 22102	INVESTMENTS	CA	STANFORD	C CORP	-3,520.	0	100.0000	X	
(2) CLAT (16)	CHARITABLE TR	CA	STANFORD	TRUST					
(3) CRT (501)	CHARITABLE TR	CA	STANFORD	TRUST					
(4) OTHER (7)	CHARITABLE TR	CA	STANFORD	TRUST					
(5) PIF (79)	CHARITABLE TR	CA	STANFORD	TRUST					
(6) ALPINE CHALET, INC. 94-1556099 P.O. BOX 9988 SOUTH LAKE TAHOE, CA 96158	SKI LODGE	CA	SAA SUERRA PROG	C CORP	281,237.	468,998.	100.0000	X	
(7) STANFORD (BEIJING) CNSLTNG CO LTD (WFOE) #527,5TH FL,BLDG C,ACADEMY SOUTH RD H Aidan DISTRICT, BEIJ	EDUCATION	CH	SU GLOBAL LLC	C CORP	562,750.	180,920.	100.0000	X	

**Part III Identification of Related Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) FORTRESS IW COINVESTMENT (FUND) 1345 AVE OF THE AMERICAS, 23RD	INVESTMENTS	CJ	STANFORD	EXCLUDED	-7,403,735.	1,011,550.		X	0		X	71.6400
(2) JER REAL ESTATE PARTNERS EUROP CLARGES HOUSE, 6-12 CLARGES ST	INVESTMENTS	UK	JER ALBERTA, LP	EXCLUDED	-333,408.	0		X	0		X	
(3) STANFORD PET-CT, LLC 61-142341 300 PASTEUR DRIVE, M/C 5555	MED. DIAGNOST	CA	SHC	RELATED	5,308,374.	9,724,890.			0			50.0000
(4) CEE EQUITY HOLDINGS LP ELIZABETH HOUSE, 9 CASTLE ST.	INVESTMENTS	JE	STANFORD	EXCLUDED	178.	25,598,088.		X	0		X	100.0000
(5) LSF V DHB HOLDINGS LP 27-28586 2711 N HASKELL AVE, STE 1700	INVESTMENTS	DE	STANFORD	EXCLUDED	2,614,811.	5,641,684.		X	0		X	61.8800
(6) SIC SNOWCREEK VIII LLC 27-5431 635 KNIGHT WAY	RE DEVELOPMEN	CA	STANFORD	UNRELATED	-723,514.	16,122,056.		X	-723,514.		X	99.8700
(7) SUMIT HOLDING INTERNATIONAL, L 1400 PAGE MILL ROAD MC5713	HOLDING COMPA	DE	SHC		0	0		X	0		X	

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percen- tage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
(1) STANFORD UNIV MED NETWORK RISK AUTHORITY 46-1132002 1400 PAGE MILL RD MSC 5713 PALO ALTO, CA 94304	RISK MGMT CON	CA	SUMIT HLDG INT.	C CORP	0	0			X
(2) PROFESSIONAL EXCHANGE ASSURANCE COMPANY 90-0897686 201 MERCHANT STREET, SUITE 2400 HONOLULU, HI 96813	INSURANCE	HI	UHA	C CORP	0	0			X
(3) ARCOLA RES. DEVELOPMENT CORP. 80-0804754 C/O SMC, 635 KNIGHT WAY STANFORD, CA 94305-7297	INVESTMENT	DE	STANFORD	C CORP	167,911.	31,419,967.	100.0000		X
(4) BREP VII ALBERTA FEEDER(OFFSHORE)TE7LP 98-1066351 C/O THE BLACKSTONE GROUP, 345 PARK AVE NEW YORK, NY 10154	INVESTMENTS	CA	STANFORD	C CORP	614,647.	4,389,348.	51.7200		X
(5) BREP VII ALBERTA FEEDER(OFFSHORE)TE7NQLP 98-1066355 C/O THE BLACKSTONE GROUP, 345 PARK AVE NEW YORK, NY 10154	INVESTMENTS	CA	STANFORD	C CORP	925,901.	6,539,005.	51.7200		X
(6) MBS AGENCY OFFSHORE FUND LTD C/O WALKER SPV LTD, 87 MARY ST KY1-9002 GEORGE TOWN, GRAN	INVESTMENTS	CJ	STANFORD	C CORP	0	0			X
(7) WOODBOURNE CANADA PARTNERS II -CAYMAN LP 98-0705321 C/O INTRTRST CORP SERV,190 ELGIN AV KY1- GRAND CAYMAN, CA	INVESTMENTS	CJ	STANFORD	C CORP	3,496,871.	40,249,089.	60.0000		X

**Part III Identification of Related Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) ARCOLA VENTURE LLC 37-1689632 C/O STANFORD MGMT CO., 635 KNI	RE DEVELOPMEN	DE	STANFORD	EXCLUDED	91.	20,991,603.		X	0		X	91.4300
(2) BLACKSTONE REP VII TE 7-NQ LP C/O THE BLACKSTONE GROUP, 345	INVESTMENTS	DE	STANFORD	UNRELATED	241,084.	52,051,069.		X	554,139.		X	51.2100
(3) OUTLAWS CASINO LTD. 84-1457498 3160 PORTER DR	HOLDING COMPA	CO	HP OUTLAWS, LLC		0	0			0	X		80.0000
(4) STANFORD EMANUEL RAD ONCOLOGY 825 DELBON AVE	RADIOLOGY	CA	SHC		0	0			0			
(5) ARCOLA RESIDENTIAL VENTURE LLC 635 KNIGHT WAY	REAL ESTATE	CA	ARCOLA RESIDENT		167,911.	31,419,967.		X	0		X	91.9500
(6) RESERVOIR RESOURCE PARTNERS TE 650 MADISON AVE., 26TH FLOOR	INVESTMENTS	NY	STANFORD	EXCLUDED	0	2,136,399.		X	0		X	99.9800
(7) STANFORD-STARTX FUND, LLC 46-4 3145 PORTER DRIVE	INVESTMENTS	DE	STANFORD	EXCLUDED	108,565.	14,166,561.		X	0	X		66.6700

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
(1) WEST FACE ALTERNATIVE CREDIT CAYMAN LP 98-1140761 P.O. BOX 10008, WILLOW HOUSE, KY1-1001 GRAND CAYMAN, CAYM	INVESTMENT	CJ	STANFORD	C CORP	-188,318.	3,910,953.	99.8800	X	
(2) MIDPOINT TECHNOLOGY PARK OWNERS ASSOC 94-3287254 3145 PORTER DRIVE PALO ALTO, CA 94304	REAL ESTATE	CA	STANFORD	C CORP	0	0		X	
(3) -----									
(4) -----									
(5) -----									
(6) -----									
(7) -----									



**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
<b>1</b> During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
<b>a</b> Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity	X	
<b>b</b> Gift, grant, or capital contribution to related organization(s)	X	
<b>c</b> Gift, grant, or capital contribution from related organization(s)	X	
<b>d</b> Loans or loan guarantees to or for related organization(s)		X
<b>e</b> Loans or loan guarantees by related organization(s)		X
<b>f</b> Dividends from related organization(s)		X
<b>g</b> Sale of assets to related organization(s)		X
<b>h</b> Purchase of assets from related organization(s)		X
<b>i</b> Exchange of assets with related organization(s)		X
<b>j</b> Lease of facilities, equipment, or other assets to related organization(s)	X	
<b>k</b> Lease of facilities, equipment, or other assets from related organization(s)	X	
<b>l</b> Performance of services or membership or fundraising solicitations for related organization(s)	X	
<b>m</b> Performance of services or membership or fundraising solicitations by related organization(s)	X	
<b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	X	
<b>o</b> Sharing of paid employees with related organization(s)		X
<b>p</b> Reimbursement paid to related organization(s) for expenses		X
<b>q</b> Reimbursement paid by related organization(s) for expenses	X	
<b>r</b> Other transfer of cash or property to related organization(s)	X	
<b>s</b> Other transfer of cash or property from related organization(s)	X	

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) ATWATER 12 LP	B	51,450.	BANK RECORDS
(2) ATWATER 12 LP	S	686,000.	BANK RECORDS
(3) BLACKSTONE REAL ESTATE PARTNERS VII TE 7 - NQ	B	13,102,034.	BANK RECORDS
(4) BLACKSTONE REAL ESTATE PARTNERS VII TE 7 - NQ	S	1,355,958.	BANK RECORDS
(5) BREP VII ALBERTA FEEDER (OFFSHORE) TE 7 LP	B	3,653,657.	BANK RECORDS
(6) BREP VII ALBERTA FEEDER (OFFSHORE) TE 7 LP	S	132,897.	BANK RECORDS

**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
<b>1</b> During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
<b>a</b> Receipt of <b>(i)</b> interest <b>(ii)</b> annuities <b>(iii)</b> royalties or <b>(iv)</b> rent from a controlled entity	<b>1a</b>	
<b>b</b> Gift, grant, or capital contribution to related organization(s)	<b>1b</b>	
<b>c</b> Gift, grant, or capital contribution from related organization(s)	<b>1c</b>	
<b>d</b> Loans or loan guarantees to or for related organization(s)	<b>1d</b>	
<b>e</b> Loans or loan guarantees by related organization(s)	<b>1e</b>	
<b>f</b> Dividends from related organization(s)	<b>1f</b>	
<b>g</b> Sale of assets to related organization(s)	<b>1g</b>	
<b>h</b> Purchase of assets from related organization(s)	<b>1h</b>	
<b>i</b> Exchange of assets with related organization(s)	<b>1i</b>	
<b>j</b> Lease of facilities, equipment, or other assets to related organization(s)	<b>1j</b>	
<b>k</b> Lease of facilities, equipment, or other assets from related organization(s)	<b>1k</b>	
<b>l</b> Performance of services or membership or fundraising solicitations for related organization(s)	<b>1l</b>	
<b>m</b> Performance of services or membership or fundraising solicitations by related organization(s)	<b>1m</b>	
<b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	<b>1n</b>	
<b>o</b> Sharing of paid employees with related organization(s)	<b>1o</b>	
<b>p</b> Reimbursement paid to related organization(s) for expenses	<b>1p</b>	
<b>q</b> Reimbursement paid by related organization(s) for expenses	<b>1q</b>	
<b>r</b> Other transfer of cash or property to related organization(s)	<b>1r</b>	
<b>s</b> Other transfer of cash or property from related organization(s)	<b>1s</b>	

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) BREP VII ALBERTA FEEDER (OFFSHORE) TE 7-NQ LP	B	3,809,675.	BANK RECORDS
(2) BREP VII ALBERTA FEEDER (OFFSHORE) TE 7-NQ LP	S	417,916.	BANK RECORDS
(3) BROWN BARK I, LP	S	1,289,361.	BANK RECORDS
(4) BROWN BARK II, LP	S	1,310,070.	BANK RECORDS
(5) BROWN BARK III, LP	S	983,888.	BANK RECORDS
(6) CEE EQUITY HOLDINGS LP	S	9,565,562.	BANK RECORDS

**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
<b>1</b> During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
<b>a</b> Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity	<b>1a</b>	
<b>b</b> Gift, grant, or capital contribution to related organization(s)	<b>1b</b>	
<b>c</b> Gift, grant, or capital contribution from related organization(s)	<b>1c</b>	
<b>d</b> Loans or loan guarantees to or for related organization(s)	<b>1d</b>	
<b>e</b> Loans or loan guarantees by related organization(s)	<b>1e</b>	
<b>f</b> Dividends from related organization(s)	<b>1f</b>	
<b>g</b> Sale of assets to related organization(s)	<b>1g</b>	
<b>h</b> Purchase of assets from related organization(s)	<b>1h</b>	
<b>i</b> Exchange of assets with related organization(s)	<b>1i</b>	
<b>j</b> Lease of facilities, equipment, or other assets to related organization(s)	<b>1j</b>	
<b>k</b> Lease of facilities, equipment, or other assets from related organization(s)	<b>1k</b>	
<b>l</b> Performance of services or membership or fundraising solicitations for related organization(s)	<b>1l</b>	
<b>m</b> Performance of services or membership or fundraising solicitations by related organization(s)	<b>1m</b>	
<b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	<b>1n</b>	
<b>o</b> Sharing of paid employees with related organization(s)	<b>1o</b>	
<b>p</b> Reimbursement paid to related organization(s) for expenses	<b>1p</b>	
<b>q</b> Reimbursement paid by related organization(s) for expenses	<b>1q</b>	
<b>r</b> Other transfer of cash or property to related organization(s)	<b>1r</b>	
<b>s</b> Other transfer of cash or property from related organization(s)	<b>1s</b>	

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) DEK PORTFOLIO LLC	S	2,007,016.	BANK RECORDS
(2) EAST SAIL	B	369,153.	BANK RECORDS
(3) GAVEA INVESTMENT FUND II-C LP	S	1,596,407.	BANK RECORDS
(4) JER ALBERTA LP	S	450,367.	BANK RECORDS
(5) LSF V DHB HOLDINGS LP	S	94,173,681.	BANK RECORDS
(6) MARINER VOYAGER INTERNATIONAL LTD	S	2,769,148.	BANK RECORDS

**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
<b>1</b> During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
<b>a</b> Receipt of <b>(i)</b> interest <b>(ii)</b> annuities <b>(iii)</b> royalties or <b>(iv)</b> rent from a controlled entity	<b>1a</b>	
<b>b</b> Gift, grant, or capital contribution to related organization(s)	<b>1b</b>	
<b>c</b> Gift, grant, or capital contribution from related organization(s)	<b>1c</b>	
<b>d</b> Loans or loan guarantees to or for related organization(s)	<b>1d</b>	
<b>e</b> Loans or loan guarantees by related organization(s)	<b>1e</b>	
<b>f</b> Dividends from related organization(s)	<b>1f</b>	
<b>g</b> Sale of assets to related organization(s)	<b>1g</b>	
<b>h</b> Purchase of assets from related organization(s)	<b>1h</b>	
<b>i</b> Exchange of assets with related organization(s)	<b>1i</b>	
<b>j</b> Lease of facilities, equipment, or other assets to related organization(s)	<b>1j</b>	
<b>k</b> Lease of facilities, equipment, or other assets from related organization(s)	<b>1k</b>	
<b>l</b> Performance of services or membership or fundraising solicitations for related organization(s)	<b>1l</b>	
<b>m</b> Performance of services or membership or fundraising solicitations by related organization(s)	<b>1m</b>	
<b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	<b>1n</b>	
<b>o</b> Sharing of paid employees with related organization(s)	<b>1o</b>	
<b>p</b> Reimbursement paid to related organization(s) for expenses	<b>1p</b>	
<b>q</b> Reimbursement paid by related organization(s) for expenses	<b>1q</b>	
<b>r</b> Other transfer of cash or property to related organization(s)	<b>1r</b>	
<b>s</b> Other transfer of cash or property from related organization(s)	<b>1s</b>	

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) MBS AGENCY OFFSHORE FUND LTD	S	2,425,213.	BANK RECORDS
(2) RESERVOIR RESOURCE PARTNERS TE LP	B	3,692,774.	BANK RECORDS
(3) RESERVOIR RESOURCE PARTNERS TE LP	S	89,498.	BANK RECORDS
(4) SAROFIM MULTIFAMILY PARTNERS LP	S	40,231,735.	BANK RECORDS
(5) SIC SNOWCREEK VIII LLC	B	1,056,000.	BANK RECORDS
(6) SKY HARBOR ASSOCIATES LP	S	4,044,961.	BANK RECORDS

**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
<b>1</b> During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
<b>a</b> Receipt of <b>(i)</b> interest <b>(ii)</b> annuities <b>(iii)</b> royalties or <b>(iv)</b> rent from a controlled entity . . . . .	<b>1a</b>	
<b>b</b> Gift, grant, or capital contribution to related organization(s) . . . . .	<b>1b</b>	
<b>c</b> Gift, grant, or capital contribution from related organization(s) . . . . .	<b>1c</b>	
<b>d</b> Loans or loan guarantees to or for related organization(s) . . . . .	<b>1d</b>	
<b>e</b> Loans or loan guarantees by related organization(s) . . . . .	<b>1e</b>	
<b>f</b> Dividends from related organization(s) . . . . .	<b>1f</b>	
<b>g</b> Sale of assets to related organization(s) . . . . .	<b>1g</b>	
<b>h</b> Purchase of assets from related organization(s) . . . . .	<b>1h</b>	
<b>i</b> Exchange of assets with related organization(s) . . . . .	<b>1i</b>	
<b>j</b> Lease of facilities, equipment, or other assets to related organization(s) . . . . .	<b>1j</b>	
<b>k</b> Lease of facilities, equipment, or other assets from related organization(s) . . . . .	<b>1k</b>	
<b>l</b> Performance of services or membership or fundraising solicitations for related organization(s) . . . . .	<b>1l</b>	
<b>m</b> Performance of services or membership or fundraising solicitations by related organization(s) . . . . .	<b>1m</b>	
<b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) . . . . .	<b>1n</b>	
<b>o</b> Sharing of paid employees with related organization(s) . . . . .	<b>1o</b>	
<b>p</b> Reimbursement paid to related organization(s) for expenses . . . . .	<b>1p</b>	
<b>q</b> Reimbursement paid by related organization(s) for expenses . . . . .	<b>1q</b>	
<b>r</b> Other transfer of cash or property to related organization(s) . . . . .	<b>1r</b>	
<b>s</b> Other transfer of cash or property from related organization(s) . . . . .	<b>1s</b>	

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) STANFORD SG/GS EUROPE INC.	S	8,986,220.	BANK RECORDS
(2) STANFORD-STARTX FUND LLC	B	14,053,065.	BANK RECORDS
(3) STERLING STAMOS REAL ASSETS FUND (A) LP	B	261,922.	BANK RECORDS
(4) STERLING STAMOS REAL ASSETS FUND (A) LP	S	4,153,317.	BANK RECORDS
(5) WEST FACE ALTERNATIVE CREDIT CAYMAN LP	B	14,004,566.	BANK RECORDS
(6) WEST FACE ALTERNATIVE CREDIT CAYMAN LP	S	10,878,233.	BANK RECORDS

**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
<b>1</b> During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
<b>a</b> Receipt of <b>(i)</b> interest <b>(ii)</b> annuities <b>(iii)</b> royalties or <b>(iv)</b> rent from a controlled entity	<b>1a</b>	
<b>b</b> Gift, grant, or capital contribution to related organization(s)	<b>1b</b>	
<b>c</b> Gift, grant, or capital contribution from related organization(s)	<b>1c</b>	
<b>d</b> Loans or loan guarantees to or for related organization(s)	<b>1d</b>	
<b>e</b> Loans or loan guarantees by related organization(s)	<b>1e</b>	
<b>f</b> Dividends from related organization(s)	<b>1f</b>	
<b>g</b> Sale of assets to related organization(s)	<b>1g</b>	
<b>h</b> Purchase of assets from related organization(s)	<b>1h</b>	
<b>i</b> Exchange of assets with related organization(s)	<b>1i</b>	
<b>j</b> Lease of facilities, equipment, or other assets to related organization(s)	<b>1j</b>	
<b>k</b> Lease of facilities, equipment, or other assets from related organization(s)	<b>1k</b>	
<b>l</b> Performance of services or membership or fundraising solicitations for related organization(s)	<b>1l</b>	
<b>m</b> Performance of services or membership or fundraising solicitations by related organization(s)	<b>1m</b>	
<b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	<b>1n</b>	
<b>o</b> Sharing of paid employees with related organization(s)	<b>1o</b>	
<b>p</b> Reimbursement paid to related organization(s) for expenses	<b>1p</b>	
<b>q</b> Reimbursement paid by related organization(s) for expenses	<b>1q</b>	
<b>r</b> Other transfer of cash or property to related organization(s)	<b>1r</b>	
<b>s</b> Other transfer of cash or property from related organization(s)	<b>1s</b>	

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) WOODBOURNE CANADA PARTNERS II - CAYMAN LP	B	17,565,598.	BANK RECORDS
(2) LUCILE SALTER PACKARD CHILDRENS HOSPITAL	J	1,387,842.	BOOK
(3) LUCILE SALTER PACKARD CHILDRENS HOSPITAL	K	997,235.	BOOK
(4) LUCILE SALTER PACKARD CHILDRENS HOSPITAL	L	187,170,467.	BOOK
(5) LUCILE SALTER PACKARD CHILDRENS HOSPITAL	M	1,077,609.	BOOK
(6) LUCILE SALTER PACKARD CHILDRENS HOSPITAL	N	1,065,252.	BOOK

**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
<b>1</b> During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
<b>a</b> Receipt of <b>(i)</b> interest <b>(ii)</b> annuities <b>(iii)</b> royalties or <b>(iv)</b> rent from a controlled entity	<b>1a</b>	
<b>b</b> Gift, grant, or capital contribution to related organization(s)	<b>1b</b>	
<b>c</b> Gift, grant, or capital contribution from related organization(s)	<b>1c</b>	
<b>d</b> Loans or loan guarantees to or for related organization(s)	<b>1d</b>	
<b>e</b> Loans or loan guarantees by related organization(s)	<b>1e</b>	
<b>f</b> Dividends from related organization(s)	<b>1f</b>	
<b>g</b> Sale of assets to related organization(s)	<b>1g</b>	
<b>h</b> Purchase of assets from related organization(s)	<b>1h</b>	
<b>i</b> Exchange of assets with related organization(s)	<b>1i</b>	
<b>j</b> Lease of facilities, equipment, or other assets to related organization(s)	<b>1j</b>	
<b>k</b> Lease of facilities, equipment, or other assets from related organization(s)	<b>1k</b>	
<b>l</b> Performance of services or membership or fundraising solicitations for related organization(s)	<b>1l</b>	
<b>m</b> Performance of services or membership or fundraising solicitations by related organization(s)	<b>1m</b>	
<b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	<b>1n</b>	
<b>o</b> Sharing of paid employees with related organization(s)	<b>1o</b>	
<b>p</b> Reimbursement paid to related organization(s) for expenses	<b>1p</b>	
<b>q</b> Reimbursement paid by related organization(s) for expenses	<b>1q</b>	
<b>r</b> Other transfer of cash or property to related organization(s)	<b>1r</b>	
<b>s</b> Other transfer of cash or property from related organization(s)	<b>1s</b>	

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) LUCILE SALTER PACKARD CHILDRENS HOSPITAL	R	3,994,356.	BOOK
(2) LUCILE SALTER PACKARD CHILDRENS HOSPITAL	S	18,665,073.	BOOK
(3) STANFORD HEALTH CARE	J	4,070,762.	BOOK
(4) STANFORD HEALTH CARE	K	6,093,421.	BOOK
(5) STANFORD HEALTH CARE	L	503,297,540.	BOOK
(6) STANFORD HEALTH CARE	M	30,352,948.	BOOK

**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
<b>1</b> During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
<b>a</b> Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity	<b>1a</b>	
<b>b</b> Gift, grant, or capital contribution to related organization(s)	<b>1b</b>	
<b>c</b> Gift, grant, or capital contribution from related organization(s)	<b>1c</b>	
<b>d</b> Loans or loan guarantees to or for related organization(s)	<b>1d</b>	
<b>e</b> Loans or loan guarantees by related organization(s)	<b>1e</b>	
<b>f</b> Dividends from related organization(s)	<b>1f</b>	
<b>g</b> Sale of assets to related organization(s)	<b>1g</b>	
<b>h</b> Purchase of assets from related organization(s)	<b>1h</b>	
<b>i</b> Exchange of assets with related organization(s)	<b>1i</b>	
<b>j</b> Lease of facilities, equipment, or other assets to related organization(s)	<b>1j</b>	
<b>k</b> Lease of facilities, equipment, or other assets from related organization(s)	<b>1k</b>	
<b>l</b> Performance of services or membership or fundraising solicitations for related organization(s)	<b>1l</b>	
<b>m</b> Performance of services or membership or fundraising solicitations by related organization(s)	<b>1m</b>	
<b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	<b>1n</b>	
<b>o</b> Sharing of paid employees with related organization(s)	<b>1o</b>	
<b>p</b> Reimbursement paid to related organization(s) for expenses	<b>1p</b>	
<b>q</b> Reimbursement paid by related organization(s) for expenses	<b>1q</b>	
<b>r</b> Other transfer of cash or property to related organization(s)	<b>1r</b>	
<b>s</b> Other transfer of cash or property from related organization(s)	<b>1s</b>	

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) STANFORD HEALTH CARE	N	11,177,994.	BOOK
(2) STANFORD HEALTH CARE	R	6,953,265.	BOOK
(3) STANFORD HEALTH CARE	S	58,725,399.	BOOK
(4) ALPINE CHALET, INC.	A	5,585.	BOOK
(5) PACKARD CHILDREN'S HEALTH ALLIANCE	Q	374,586.	BOOK
(6) STANFORD FACULTY CLUB	A	204,181.	BOOK



**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
<b>1</b> During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
<b>a</b> Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity	<b>1a</b>	
<b>b</b> Gift, grant, or capital contribution to related organization(s)	<b>1b</b>	
<b>c</b> Gift, grant, or capital contribution from related organization(s)	<b>1c</b>	
<b>d</b> Loans or loan guarantees to or for related organization(s)	<b>1d</b>	
<b>e</b> Loans or loan guarantees by related organization(s)	<b>1e</b>	
<b>f</b> Dividends from related organization(s)	<b>1f</b>	
<b>g</b> Sale of assets to related organization(s)	<b>1g</b>	
<b>h</b> Purchase of assets from related organization(s)	<b>1h</b>	
<b>i</b> Exchange of assets with related organization(s)	<b>1i</b>	
<b>j</b> Lease of facilities, equipment, or other assets to related organization(s)	<b>1j</b>	
<b>k</b> Lease of facilities, equipment, or other assets from related organization(s)	<b>1k</b>	
<b>l</b> Performance of services or membership or fundraising solicitations for related organization(s)	<b>1l</b>	
<b>m</b> Performance of services or membership or fundraising solicitations by related organization(s)	<b>1m</b>	
<b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	<b>1n</b>	
<b>o</b> Sharing of paid employees with related organization(s)	<b>1o</b>	
<b>p</b> Reimbursement paid to related organization(s) for expenses	<b>1p</b>	
<b>q</b> Reimbursement paid by related organization(s) for expenses	<b>1q</b>	
<b>r</b> Other transfer of cash or property to related organization(s)	<b>1r</b>	
<b>s</b> Other transfer of cash or property from related organization(s)	<b>1s</b>	

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) STANFORD FACULTY CLUB	Q	368,642.	BOOK
(2) STANFORD PET-CT LLC	L	1,971,208.	BOOK
(3) STANFORD PET-CT LLC	S	4,262,500.	BOOK
(4) STANFORD SCHOOLS CORPORATION	B	1,303,207.	BOOK
(5) STANFORD UNIVERSITY BOOK STORE	A	118,301.	BOOK
(6) THE DUDLEY E CHAMBERS FOUNDATION	C	4,290,286.	BOOK

**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
<b>1</b> During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
<b>a</b> Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity	<b>1a</b>	
<b>b</b> Gift, grant, or capital contribution to related organization(s)	<b>1b</b>	
<b>c</b> Gift, grant, or capital contribution from related organization(s)	<b>1c</b>	
<b>d</b> Loans or loan guarantees to or for related organization(s)	<b>1d</b>	
<b>e</b> Loans or loan guarantees by related organization(s)	<b>1e</b>	
<b>f</b> Dividends from related organization(s)	<b>1f</b>	
<b>g</b> Sale of assets to related organization(s)	<b>1g</b>	
<b>h</b> Purchase of assets from related organization(s)	<b>1h</b>	
<b>i</b> Exchange of assets with related organization(s)	<b>1i</b>	
<b>j</b> Lease of facilities, equipment, or other assets to related organization(s)	<b>1j</b>	
<b>k</b> Lease of facilities, equipment, or other assets from related organization(s)	<b>1k</b>	
<b>l</b> Performance of services or membership or fundraising solicitations for related organization(s)	<b>1l</b>	
<b>m</b> Performance of services or membership or fundraising solicitations by related organization(s)	<b>1m</b>	
<b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	<b>1n</b>	
<b>o</b> Sharing of paid employees with related organization(s)	<b>1o</b>	
<b>p</b> Reimbursement paid to related organization(s) for expenses	<b>1p</b>	
<b>q</b> Reimbursement paid by related organization(s) for expenses	<b>1q</b>	
<b>r</b> Other transfer of cash or property to related organization(s)	<b>1r</b>	
<b>s</b> Other transfer of cash or property from related organization(s)	<b>1s</b>	

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) THE FREIDENRICH SUPPORT FOUNDATION	C	5,590,244.	BOOK
(2) THE HONG KONG/STANFORD UNIVERSITY CHARITABLE	C	1,153,538.	BANK RECORDS
(3) THE STANFORD TRUST	C	1,410,639.	BANK RECORDS
(4) UNIVERSITY HEALTHCARE ALLIANCE	Q	274,987.	BOOK
(5) STANFORD (BEIJING) CONSULTING CO. LTD (WFOE)	M	808,102.	BOOK
(6) SHR HOLDINGS, INC.	C	9,751,588.	BOOK

**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
<b>1</b> During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
<b>a</b> Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity	<b>1a</b>	
<b>b</b> Gift, grant, or capital contribution to related organization(s)	<b>1b</b>	
<b>c</b> Gift, grant, or capital contribution from related organization(s)	<b>1c</b>	
<b>d</b> Loans or loan guarantees to or for related organization(s)	<b>1d</b>	
<b>e</b> Loans or loan guarantees by related organization(s)	<b>1e</b>	
<b>f</b> Dividends from related organization(s)	<b>1f</b>	
<b>g</b> Sale of assets to related organization(s)	<b>1g</b>	
<b>h</b> Purchase of assets from related organization(s)	<b>1h</b>	
<b>i</b> Exchange of assets with related organization(s)	<b>1i</b>	
<b>j</b> Lease of facilities, equipment, or other assets to related organization(s)	<b>1j</b>	
<b>k</b> Lease of facilities, equipment, or other assets from related organization(s)	<b>1k</b>	
<b>l</b> Performance of services or membership or fundraising solicitations for related organization(s)	<b>1l</b>	
<b>m</b> Performance of services or membership or fundraising solicitations by related organization(s)	<b>1m</b>	
<b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	<b>1n</b>	
<b>o</b> Sharing of paid employees with related organization(s)	<b>1o</b>	
<b>p</b> Reimbursement paid to related organization(s) for expenses	<b>1p</b>	
<b>q</b> Reimbursement paid by related organization(s) for expenses	<b>1q</b>	
<b>r</b> Other transfer of cash or property to related organization(s)	<b>1r</b>	
<b>s</b> Other transfer of cash or property from related organization(s)	<b>1s</b>	

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) SHR HOLDINGS, INC.	Q	1,286,268.	BOOK
(2) SHR HOLDINGS, INC.	R	39,829.	BOOK
(3) STANFORD FEDERAL CREDIT UNION	A	194,758.	BOOK
(4) MIDPOINT TECHNOLOGY PARK OWNERS ASSOCIATION	M	441,271.	BOOK
(5) MIDPOINT TECHNOLOGY PARK OWNERS ASSOCIATION	P	732,881.	BOOK
(6) STANFORD EMANUEL RADIATION ONCOLOGY CTR LLC	L	476,722.	BOOK

**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
<b>1</b> During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
<b>a</b> Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity	<b>1a</b>	
<b>b</b> Gift, grant, or capital contribution to related organization(s)	<b>1b</b>	
<b>c</b> Gift, grant, or capital contribution from related organization(s)	<b>1c</b>	
<b>d</b> Loans or loan guarantees to or for related organization(s)	<b>1d</b>	
<b>e</b> Loans or loan guarantees by related organization(s)	<b>1e</b>	
<b>f</b> Dividends from related organization(s)	<b>1f</b>	
<b>g</b> Sale of assets to related organization(s)	<b>1g</b>	
<b>h</b> Purchase of assets from related organization(s)	<b>1h</b>	
<b>i</b> Exchange of assets with related organization(s)	<b>1i</b>	
<b>j</b> Lease of facilities, equipment, or other assets to related organization(s)	<b>1j</b>	
<b>k</b> Lease of facilities, equipment, or other assets from related organization(s)	<b>1k</b>	
<b>l</b> Performance of services or membership or fundraising solicitations for related organization(s)	<b>1l</b>	
<b>m</b> Performance of services or membership or fundraising solicitations by related organization(s)	<b>1m</b>	
<b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	<b>1n</b>	
<b>o</b> Sharing of paid employees with related organization(s)	<b>1o</b>	
<b>p</b> Reimbursement paid to related organization(s) for expenses	<b>1p</b>	
<b>q</b> Reimbursement paid by related organization(s) for expenses	<b>1q</b>	
<b>r</b> Other transfer of cash or property to related organization(s)	<b>1r</b>	
<b>s</b> Other transfer of cash or property from related organization(s)	<b>1s</b>	

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) STANFORD UNIVERSITY EMPLOYEE BENEFITS TRUST	R	18,530,514.	BOOK
(2) STANFORD FEDERAL CREDIT UNION	L	180,400.	BOOK
(3) STANFORD PROGRAMME (CAPE TOWN) NPC	R	564,625.	BOOK
(4)			
(5)			
(6)			

**Part VI** Unrelated Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under section 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	
(1) -----													
(2) -----													
(3) -----													
(4) -----													
(5) -----													
(6) -----													
(7) -----													
(8) -----													
(9) -----													
(10) -----													
(11) -----													
(12) -----													
(13) -----													
(14) -----													
(15) -----													
(16) -----													

**Part VII** Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions).

SCHEDULE R, PART IV, LINE (3)

CHARITABLE LEAD ANNUITY TRUSTS ARE PRINCIPALLY DOMICILED IN CALIFORNIA.

SCHEDULE R, PART IV, LINE (4)

CHARITABLE REMAINDER TRUSTS ARE PRINCIPALLY DOMICILED IN CALIFORNIA.

SCHEDULE R, PART IV, LINE (5)

OTHER TRUSTS ARE PRINCIPALLY DOMICILED IN CALIFORNIA.

SCHEDULE R, PART IV, LINE (6)

POOLED INVESTMENT FUNDS ARE PRINCIPALLY DOMICILED IN CALIFORNIA.

SCHEDULE R, PART V, LINE 2

AMOUNTS REPORTED FOR STANFORD UNIVERSITY BOOK STORE WERE DETERMINED USING  
STANFORD UNIVERSITY BOOK STORE'S BOOKS, WHICH WERE PREPARED ON A FISCAL  
YEAR ENDING JUNE 2014.