

October 15, 2015

# eRA *Seminar Series*

## **The Notice of Award**

### Contents:

- AAN vs. NOA
- How to Locate
- Award Transaction Details vs. NOA Summary
- Field level definitions
- List of Values for each Term & Condition
- Key NOA Section for the PI

# The SeRA Issued AAN & NOA



## The AAN

**When:** Issued once the Institutional Official has accepted the sponsored award (new, amendment) in the SeRA system.

**Who:** Typically, the PI and a Department Administrator are notified. Central Offices are also copied (OSR Accounting, Accounts Receivable, Property Management)

**Why:** Informs parties that (a) the award has been accepted by Stanford, and (b) that the Account/PTA setup is now in progress.

**What:** Provides a snapshot of the financial and non-financial agreement terms

## The NOA

**When:** Issued once the Accounts for the award have been setup in Oracle , or when incremental funding or changes have been applied in Oracle

**Who:** Typically, the PI and a Department Administrator are notified. Central Offices are also copied (Institutional Official, Accounts Receivable, Property Management) .

**Why:** Informs parties that the Account/PTA setup is now complete and the notification includes the PTAs associated with this award.

**What:** Provides a snapshot of the financial and non-financial agreement terms and lists the PTAs associated with the award

**Please Note! The AAN/NOA does not replace reading the actual agreement to know all obligations under the award**

# The AAN Email Notification

To Address List: [rvyang@stanford.edu](mailto:rvyang@stanford.edu), [spormann@stanford.edu](mailto:spormann@stanford.edu)  
CC List: [RFCS-receivables@lists.stanford.edu](mailto:RFCS-receivables@lists.stanford.edu), [accountsetup@lists.stanford.edu](mailto:accountsetup@lists.stanford.edu), [pmocontractfile@stanford.edu](mailto:pmocontractfile@stanford.edu), [pparsi@stanford.edu](mailto:pparsi@stanford.edu)  
Stanford Contact: Pegah Parsi



STANFORD UNIVERSITY  
STANFORD, CA 94305

## Award Approval Notification

The following award has been accepted on behalf of Stanford University and the Account Setup is now in progress:

**Principal Investigator:** Alfred M. Spormann  
**Administering Dept:** Civil and Environmental Engineering  
**SPO#:** 110583  
**Sponsor:** Oregon State University  
**Title:** Collaborative Research: Dynamics of Reductive Dehalogenating Communities Associated with Dehalorespiration under Conditions of Competition for Hydrogen  
**Agreement Number:** S1593A-A  
**Amount Funded this Action:** \$162,844.00  
**Current Funding Period:** 10/01/2013 to 09/30/2015

1

Once the Account Setup is complete, you will receive your Notice of Award which will contain your Oracle PTA account number(s).

To preview the terms of this award, view the Award Approval Notification, copy and paste the following URL in your browser:

[https://sera.stanford.edu/apex/f?p=200:24:::NO::F200\\_TRANSACTION\\_ID,F200\\_AGREEMENT\\_ID,F200\\_SEGMENT\\_ID,F200\\_BUDGET\\_ID:589190,109230,79476,116061](https://sera.stanford.edu/apex/f?p=200:24:::NO::F200_TRANSACTION_ID,F200_AGREEMENT_ID,F200_SEGMENT_ID,F200_BUDGET_ID:589190,109230,79476,116061)

2

If you have any questions about this award, please contact Pegah Parsi at [pparsi@stanford.edu](mailto:pparsi@stanford.edu).

Please do not reply to this email. If you have any problem in accessing this AAN or using the SeRA system contact the SeRA Help Desk via this link:

<https://remedyweb.stanford.edu/helpsu/helpsu?pcat=SeRA>.

1

Click to view the Award Approval Notification in the SeRA system

Stanford Electronic Research Administration

Search: SPO # [ ] Advanced Search | My INFO [ ]

**Dashboard** | **SPO #110583 (Segment 1)**  
Alfred M. Spormann | Agreement Number: S1593A-A | Sponsor: Oregon State University  
Civil and Environmental Engineering | Agreement Type: Subcontract | Prime Sponsor: National Science Foundation

**Project** | View PDF

Agreement Summary | View Project Attachments

**ACTIONS**

Date: 06/26/2014  
Project Action: Award - Amendment | SPO No: SPO-110583  
Associated PDF# No: PRR40073

Principal Investigator: Spormann, Alfred M.  
Transaction ID: J0029190  
Administering Org: Civil and Environmental Engineering

Other Stanford Faculty: —

Title: Collaborative Research: Dynamics of Reductive Dehalogenating Communities Associated with Dehalorespiration under Conditions of Competition for Hydrogen

Sponsor: Oregon State University  
Prime Sponsor: National Science Foundation  
Sponsor Award No: S1593A-A-1  
Agreement Type: Subcontract, Organized Research (On Campus) | CFDA# 47.014

Current Funding Period: 10/01/2013 to 09/30/2015 | Project Period of Performance: 10/01/2013 to 09/30/2015  
Amount Funded this Action: \$162,844.00 | Additional Amount Anticipated by Sponsor: \$0.00  
Project Funding to Date: \$151,794.00 | Total Estimated Project Cost: \$161,794.00  
Indirect Cost Rate: 67%

2

Identifies the person in OSR, RMG or ICO who accepted the sponsored award. This is NOT the person who will be setting up the account in Oracle.

# The NOA Email Notification

STANFORD UNIVERSITY  
STANFORD, CA 94305

## Notice of Award

**Congratulations! A Notice of Award has been issued for the following::**

**Principal Investigator:** Nelson, William J  
**Administering Dept:** Biology Department  
**SPO#:** 8689  
**Sponsor:** National Institutes of Health  
**Prime Sponsor:**  
**Title:** Topogenesis of NA/K-ATPASE in Polarized MDCK Epithelial Cells  
**Agreement Number:** 5R01GM03552730  
**Amount Funded this Action:** \$645,089.00  
**Current Funding Period:** 04/01/2013 to 03/31/2015

1

PTA: 1018359-110-PAGAU  
PTA: 1018359-111-PAGAU  
PTA: 1018359-112-PAGAU  
PTA: 1018359-703-WAEAY

2

To view the Notice of Award, copy and paste the following URL in your browser:

[https://sera.stanford.edu/apex/f?p=200:24:::::F200\\_TRANSACTION\\_ID,F200\\_AGREEMENT\\_ID,F200\\_SEGMENT\\_ID,F200\\_BUDGET\\_ID:578519,31369,78016,112178](https://sera.stanford.edu/apex/f?p=200:24:::::F200_TRANSACTION_ID,F200_AGREEMENT_ID,F200_SEGMENT_ID,F200_BUDGET_ID:578519,31369,78016,112178)

If you have any questions about this award, please contact Loredo, Robert at [rloredo@stanford.edu](mailto:rloredo@stanford.edu).

Please do not reply to this email. If you have any problem in accessing this NOA or using the SeRA system contact the SeRA Help Desk via this link:

<https://remedyweb.stanford.edu/helpsu/helpsu?pcat=SeRA>.

1

Lists all of the PTA combinations set up for the sponsored award. Includes cost sharing awards.

2

Click to view the Notice of Award in the SeRA system

The screenshot displays the Stanford Electronic Research Administration (SeRA) interface. At the top, the header reads "Stanford Electronic Research Administration" with a search bar and "MCHKO Sign out" link. The main content area is titled "SPO #8689 (Segment 12)" and includes details for William J. Nelson, Biology Department, with Agreement Number: R01 GM035527 and Sponsor: National Institutes of Health. A red box highlights the "Notice of Award" link in the "ACTIONS" section. Below this, the award details are listed: Date: 06/02/2014, Project Action: Award - Non Competing Continuation/Progress Report, SPO No: 8689, Associated PDF No: FR569072. The Principal Investigator is Nelson, William J, Transaction ID: A18578519, and Administering Org: Biology Department. The title of the project is "Topogenesis of NA/K-ATPASE in Polarized MDCK Epithelial Cells". The sponsor is National Institutes of Health, and the agreement type is Grant, Organized Research (On Campus). The current funding period is 04/01/2013 to 03/31/2015, with an amount funded of \$645,089.00. The project funding to date is \$13,338,181.00, and the indirect cost rate is 5%. The project period of performance is 07/01/1990 to 03/31/2017, with an additional amount anticipated by the sponsor of \$1,232,947.00 and a total estimated project cost of \$14,796,228.00.

# View Latest AAN/NOA

**SPO #8689**  
 William J Nelson  
 Biology Department

Agreement Number: R01 GM035527  
 Agreement type: Grant

Sponsor: National Institutes of Health

Summary | Proposals | Awards | Other Transactions

**PROJECT SUMMARY**

Title: Topogenesis of NA/K-ATPASE in Polarized MDCK Epithelial Cells

Keyword: Topogenesis of Na+K+ ATPa

Start Date: 07/01/1990      Total Project Estimated Cost: \$14,786,228.00

Funded To Date: 03/31/2015      Total Project Funded To Date: \$13,338,181.00

[View Latest NOA](#)

Convenient click from the Project Summary page

# Finding Previously Issued NOAs

**AWARD TRANSACTION HISTORY**

Transaction Type	Started	Approved	Trans Id	Started	Completed	Trans Id	AAN/NOA	Assigned To
Award - Amendment	04/05/2012	04/06/2012	<a href="#">AW521791</a>		04/06/2012		<a href="#">View</a>	Loreda, Robert
Process Award - Amendment	09/14/2011	09/14/2011	<a href="#">AW510432</a>		09/14/2011		<a href="#">View</a>	Tecson, Mary Grace
Process Award - Amendment	03/18/2011	04/11/2011	<a href="#">AW500556</a>		04/11/2011		<a href="#">View</a>	Tecson, Mary Grace
Process Award - Amendment	04/26/2010	04/26/2010	<a href="#">224888</a>		04/26/2010			
Process Award - Amendment	03/30/2009	03/30/2009	<a href="#">208161</a>		03/30/2009			
Process Award - New	04/05/2008	04/07/2008	<a href="#">195849</a>		04/07/2008			

NOAs that were issued in SeRA can be accessed

NOAs issued prior to 2011 via the SPIDERS system are not available electronically

# Finding Previously Issued NOAs: Locate the Award Transaction

## If you know which Award Segment:

From the Project Summary → Select the Segment → Award Transaction History

**SPO #8689**  
 William J Nelson  
 Biology Department

Agreement Number: R01 GM035527  
 Agreement type: Grant

Sponsor: National Institutes of Health

Summary | Proposals | Awards | Other Transactions

### PROJECT SUMMARY

Title: Topogenesis of NA/K-ATPASE in Polarized MDCK Epithelial Cells  
 Keyword: Topogenesis of Na+K+ ATPa  
 Start Date: 07/01/1990  
 Funded To Date: 03/31/2015

Total Project Estimated Cost: \$14,786,228.00  
 Total Project Funded To Date: \$13,338,181.00

View Latest NOA

Previous 8 - 12

Award Segment (1)	Sequence Number	Segment Type	Start Date	End Date	Budget Amount	Funded To Date	Funding Status
S8	8	Supplement	09/01/2008	04/30/2010	\$ 131,735.00	\$ 131,735.00	Fully Funded
S9	9	Renew	07/11/2008	03/31/2010	\$ 60,205.00	\$ 60,205.00	Fully Funded
S10	10	Supplement	12/01/2008	03/31/2010	\$ 61,796.00	\$ 61,796.00	Fully Funded
S11	11	Supplement	04/01/2009	03/31/2013	\$ 855,611.00	\$ 639,611.00	Partially Funded
<b>Segment - 12</b>	12	Renew	04/01/2013	03/31/2018	\$ 2,535,480.00	\$ 1,303,433.00	Partially Funded



### AWARD SUMMARY Segment 12

Title: Topogenesis of NA/K-ATPASE in Polarized MDCK Epithelial Cells

Start Date: 04/01/2013  
 Funded To Date: 03/31/2015

Total Estimated Cost: \$2,535,480.00  
 Total Funded To Date: \$1,303,433.00

#### FUNDING HISTORY

Award Type	Funded To	Amount	Date Issued
Award - Non Competing Continuation/Progress Report	03/31/2015	\$ 645,089	06/02/2014
Award - New	03/31/2014	\$ 658,344	03/21/2013
		\$ 1,303,433	Total funded

#### AWARD TRANSACTION HISTORY

Transaction Type	Started	Approved	Trans Id	Started	Completed	Trans Id	AAN/NOA	Assigned To
Award - Non Competing Continuation/Progress Report	05/20/2014	06/02/2014	AW578519	06/02/2014	06/18/2014	PTA578507	View	
Award - New	03/21/2013	03/21/2013	AW544238		03/21/2013		View	Loredo, Robert

## Not sure which Award Segment?

From the Project Summary → Click the Awards Tab → Select Award Transaction View

**SPO #8689**  
 William J Nelson  
 Biology Department

Agreement Number: R01 GM035527  
 Agreement type: Grant

Sponsor: National Institutes of Health

Summary | Proposals | **Awards** | Other Transactions

### AWARDS

Award Transactions View

Title: Topogenesis of NA/K-ATPASE in Polarized MDCK Epithelial Cells  
 Start Date: 07/01/1990  
 Funded To Date: 03/31/2015

Total Project Estimated Cost: \$14,786,228.00  
 Total Project Funded To Date: \$13,338,181.00

1 - 63

Award Segment	Transaction Type	Transaction Started	Transaction Completed	Funding Amount	Transaction ID	Assigned To
12	Award - New	03/21/2013	03/21/2013	\$ 658,344.00	AW544238	Loredo, Robert
	Award - Non Competing Continuation/Progress Report	05/20/2014	06/02/2014	\$ 645,089.00	AW578519	Loredo, Robert



### AWARD SUMMARY Segment 12

Title: Topogenesis of NA/K-ATPASE in Polarized MDCK Epithelial Cells

Start Date: 04/01/2013  
 Funded To Date: 03/31/2015

Total Estimated Cost: \$2,535,480.00  
 Total Funded To Date: \$1,303,433.00

#### FUNDING HISTORY

Award Type	Funded To	Amount	Date Issued
Award - Non Competing Continuation/Progress Report	03/31/2015	\$ 645,089	06/02/2014
Award - New	03/31/2014	\$ 658,344	03/21/2013
		\$ 1,303,433	Total funded

#### AWARD TRANSACTION HISTORY

Transaction Type	Started	Approved	Trans Id	Started	Completed	Trans Id	AAN/NOA	Assigned To
Award - Non Competing Continuation/Progress Report	05/20/2014	06/02/2014	AW578519	06/02/2014	06/18/2014	PTA578507	View	
Award - New	03/21/2013	03/21/2013	AW544238		03/21/2013		View	Loredo, Robert

# Finding Previously Issued NOAs: Locate the Award Transaction

## OR.... Search the Project History

From the Project History → Award Transaction → View NOA

**Dashboard** | **Test Record**  
**SPO #111542**  
Amitabh Bihari | Agreement Number: Test123 | Sponsor: University of California, Berkeley  
Chemical Engineering | Agreement type: Grant

**Project** | Attachments | Personnel | **Project History** | Other Agreements

**Summary** | Proposals | Awards | Other Transactions

### PROJECT SUMMARY

[View Latest NOA](#)

**Title:** TEST ONLY TRANSACTION: PR546021: Release 5.2  
**Keyword:**  
**Start Date:** 04/20/2013 | **Total Project Estimated Cost:** \$161,710.00  
**Funded To Date:** 04/20/2014 | **Total Project Funded To Date:** \$161,710.00

### PROJECT HISTORY

Search  Display 50

Transaction Type	Transaction Started	Transaction Completed	Status	Transaction Identifier	Assignee Name
Account Setup - New	20-APR-13	-	OPEN	PTA546024	Clutter, Judith
Award - New	20-APR-13	20-APR-13	COMPLETED	AW546023	-
Proposal - New	20-APR-13	20-APR-13	COMPLETED	PR546021	-

1 - 3

**Dashboard** | **Test Record**  
**SPO #111542 (Segment 1)**  
Amitabh Bihari | Agreement Number: Test123 | Sponsor: University of California, Berkeley  
Chemical Engineering | Agreement type: Grant

**Project** | Segment Summary | Transaction Home | Budget Questions | Budget Detail | Funding Detail | Project Questions | Terms and Conditions | **View NOA** | View Project Attachments | Transaction Log

### TRANSACTION HOME

**Award - New** | Transaction ID: AW546023 | Assigned to: None | Status: Completed 20-Apr-2013

**Start Date:** 04/20/2013 | **Total Award Budget:** \$ 161,710  
**End Date:** 04/20/2017 | **Total Funded To Date:** \$ 161,710  
**Funded To:** 04/20/2014

	Period 1	Period 2	Period 3	Period 4	TOTAL
Start	04/20/2013	04/20/2014	04/20/2015	04/20/2016	04/20/2013
End	04/20/2014	04/20/2015	04/20/2016	04/20/2017	04/20/2017
IDC Rate	57%	57%	57%	57%	
Total direct	\$ 103,000	\$ 100,000	\$ 100,000	\$ 100,000	\$ 403,000

### AWARD TRANSACTION HISTORY

Transaction Type	Started	Approved	Trans Id	Started	Completed	Trans Id	AAN/NOA	Assigned To
Award - Non Competing Continuation/Progress Report	05/20/2014	06/02/2014	<a href="#">AW578519</a>	06/02/2014	06/16/2014	<a href="#">PTA579507</a>	<a href="#">View</a>	
Award - New	03/21/2013	03/21/2013	<a href="#">AW544238</a>		03/21/2013		<a href="#">View</a>	Loredo, Robert



View the **Award Transaction** level details as completed by the Institutional Official. Click through the sections in the left hand navigation to view

View the **AAN/NOA** snapshot of the financial and non-financial agreement terms

**SPO #8689 (Segment 12)**  
 William J Nelson  
 Biology Department

Agreement Number: R01 GM03527  
 Agreement type: Grant

Sponsor: National Institutes of Health

**TRANSACTION HOME Award - New**

Transaction ID: **AW544238**  
 Assigned to: **None**  
 Status: **Completed 21-Mar-2013**

Start Date: 04/01/2013      Total Award Budget: \$ 2,526,490  
 End Date: 03/31/2016      Total Funded To Date: \$ 658,344  
 Funded To: 03/31/2014

	Period 1	Period 2	Period 3	Period 4	Period 5	TOTAL
Start	04/01/2013	04/01/2014	04/01/2015	04/01/2016	04/01/2017	04/01/2013
End	03/31/2014	03/31/2015	03/31/2016	03/31/2017	03/31/2018	03/31/2016
DC Rate	57%	57%	57%	57%	57%	57%
Total direct	\$ 423,527	\$ 413,995	\$ 404,171	\$ 395,415	\$ 450,000	\$ 2,087,108
Animal care indirect	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total indirect	\$ 214,817	\$ 211,998	\$ 210,897	\$ 210,704	\$ 250,500	\$ 1,148,916
Total	\$ 638,344	\$ 625,993	\$ 615,068	\$ 606,119	\$ 700,500	\$ 3,236,024
Funds obligated to date	\$ 658,344	\$ 0	\$ 0	\$ 0	\$ 0	\$ 658,344
Status	Fully Funded	Anticipated	Anticipated	Anticipated	Proposed	

**Process Award**

Name: William J Nelson      Email: wjnelson@stanford.edu      Phone: (650) 723-3474

Principal Investigator: William J Nelson

Administering Organization: BIOLOGY DEPARTMENT (QAND)

Project Title: Topogenesis of NAK-ATPASE in Polarized MDCK Epithelial Cells

Sponsor: National Institutes of Health (NIH)

**Stanford University Notice of Award**

Date: 03/21/2013  
 Project Action: Award - New  
 SPO No: 8689  
 Associated PDRF No: PR525951

Principal Investigator: Nelson, William J  
 Transaction ID: AW544238  
 Administering Org: Biology Department

Other Stanford Faculty: —

Title: Topogenesis of NAK-ATPASE in Polarized MDCK Epithelial Cells  
 SPO No: 8689  
 Project Period of Performance: 07/01/1990 to 03/31/2017  
 Additional Amount Anticipated by Sponsor: \$1,877,136.00  
 Total Estimated Project Cost: \$14,786,228.00

Sponsor: National Institutes of Health  
 Prime Sponsor: —  
 Project Award No.: 2R01GM0352729  
 Agreement Type: Grant, Organized Research (On Campus)  
 CFDA#: 93.859

Current Funding Period: 04/01/2013 to 03/31/2014  
 Amount Funded this Action: \$658,344.00  
 Project Funding to Date: \$12,693,092.00  
 Indirect Cost Rate: 57%

**Remarks**  
 Please note that award amounts were reduced from what was proposed.

**Compliance**

Human Subjects: No    Animal Subjects: No    Human Stem Cells: No    Cancer Research: No

Protocol #:      Protocol #:      Protocol #:      Protocol #:      Infectious Biohazardous Agents: No  
 Approved:      Approved:      Approved:      Approved:      Radiological Hazards: Yes  
 Expires:      Expires:      Expires:      Expires:      International resources: No  
 Countries:      No      Enroll participants outside US: No

**Terms and Conditions**  
 This Notice of Award provides a summary of basic information. Please read the award document for complete requirements.

General: SNAP: Yes  
 Major Project: No  
 Cost Sharing: No

Requirements: Limitation of funds [52 232 22] No(493758)



# NOA Details

## Stanford University Notice of Award

**1** Date: 03/21/2013  
**2** Project Action: Award - New  
**3** SPO No: 8689  
**4** Associated PDRF No: PR525951  
**5** Principal Investigator: Nelson, William J  
**6** Transaction Id: AW544238  
**7** Administering Org: Biology Department  
**8** Other Stanford Faculty: ---  
**9** Title: Topogenesis of NA/K-ATPASE in Polarized MDCK Epithelial Cells  
**10** Sponsor: National Institutes of Health  
**11** Prime Sponsor: ---  
**12** Sponsor Award No.: 2R01GM03552729  
**13** Agreement Type: Grant, Organized Research (On Campus)  
**14** CFDA#: 93.859

Current Funding Period: 04/01/2013 to 03/31/2014  
Amount Funded this Action: \$658,344.00  
Project Funding to Date: \$12,693,092.00  
Indirect Cost Rate : 57%

Project Period of Performance: 07/01/1990 to 03/31/2017  
Additional Amount Anticipated by Sponsor: \$1,877,136.00  
Total Estimated Project Cost: \$14,786,228.00

- 
- 1** **Date:** The date the Institutional Official has approved the award transaction in the system
  - 2** **Project Action:** Award transaction type (new, amendment, supplement, non-competing continuation)
  - 3** **SPO No:** Unique Project identifier (1 to 5 digit SPO #s are converted legacy SPIDERS projects; 6 digit SPO #s are SeRA created projects)
  - 4** **Associated PDRF No:** PDRF ID for the proposal associated with this award
  - 5** **Principal Investigator:** PI-eligible project leader per Stanford policy (Research Policy Handbook 2.1)
  - 6** **Transaction ID:** SeRA transaction identifier tied to the specific Project Action
  - 7** **Administering Org:** Department, Center or Lab identified on the PDRF as being responsible for managing the project
  - 8** **Other Stanford Faculty:** Lists any other Stanford faculty devoting effort to the project
  - 9** **Title:** Project title
  - 10** **Sponsor:** Entity with whom Stanford has entered into an agreement to fund the sponsored project
  - 11** **Prime Sponsor:** Lists the prime sponsor if the Agreement Type is a Subcontract
  - 12** **Sponsor Award No:** Agreement number identified on the sponsored award document
  - 13** **Agreement Type:** Type of award document (grant, contract, subcontract, cooperative agreement, fellowship)
  - 14** **CFDA# :** Identifies the Federal assistance program (Catalog of Federal Domestic Assistance Number) under which the award was granted

# NOA Details

Stanford University  
**Notice of Award**

Date: 03/21/2013  
Project Action: Award - New

SPO No: 8689  
Associated PDRF No: PR525951

Principal Investigator: Nelson, William J  
Transaction Id: AW544238  
Administering Org: Biology Department

Other Stanford Faculty: ---

Title: Topogenesis of NA/K-ATPASE in Polarized MDCK Epithelial Cells

Sponsor: National Institutes of Health  
Prime Sponsor: ---  
Sponsor Award No.: 2R01GM03552729  
Agreement Type: Grant, Organized Research (On Campus)

CFDA#: 93.859

- 15** Current Funding Period: 04/01/2013 to 03/31/2014  
**17** Amount Funded this Action: \$658,344.00  
**19** Project Funding to Date: \$12,693,092.00  
**21** Indirect Cost Rate : 57%
- 16** Project Period of Performance: 07/01/1990 to 03/31/2017  
**18** Additional Amount Anticipated by Sponsor: \$1,877,136.00  
**20** Total Estimated Project Cost: \$14,786,228.00
- 

- 15** Current Funding Period: Start date of the funded Award Segment through the Funded To date (last date of eligible expenditures)  
**16** Project Period of Performance: Start of the earliest funded Award Segment through the last date of the period funded or anticipated  
**17** Amount Funded this Action: Amount funded by the award agreement being processed  
**18** Additional Amount Anticipated by Sponsor: Amount not yet funded by the sponsor but noted in the agreement as being anticipated  
**19** Project Funding to Date: Total amount funded under the SPO#  
**20** Total Estimated Project Cost: Sum of Project Funding to Date + Additional Amount Anticipated by Sponsor  
**21** Indirect Cost Rate: F&A rate(s) applied to the project

# NOA Details

## 22 Remarks

Please note that award amounts were reduced from what was proposed.

## 23 Compliance

Human Subjects: No Animal Subjects: No Human Stem Cells: No Cancer Research: No

Protocol #:	Protocol #:	Protocol #:	Protocol #:
Approved:	Approved:	Approved:	Approved:
Expires:	Expires:	Expires:	Expires:

Cancer Related Research:	No	Human Blood or Body Fluids:	No	Infectious Biohazardous Agents:	No
Recombinant DNA Molecules:	Yes	Radiological Hazards:	Yes		
International resources :	No	Enroll participants outside US:	No		
Countries :	N/A				

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**22** **Remarks:** Noteworthy comments for the PI and Department related to award terms added by the Institutional Official

**23** **Compliance:** Project-specific compliance identifiers

# NOA Details – Terms and Conditions

**24 Terms and Conditions**

**This Notice of Award provides a summary of basic information. Please read the award document for complete requirements.**

**25 General:** SNAP: Yes  
Major Project: No  
Cost Sharing: No

**26 Requirements:** Limitation of funds [52.232.22]: No(493758)

**24 Terms & Conditions:** This section provides a basic summary of award terms. Does NOT supersede actual sponsored award terms. Applicable selections are made by Institutional Officials based on the Terms of the Award

*Options available to Institutional Official during award processing. Applicable selections made by Institutional Officials based on Terms of the Award*

**25 General award attributes**

**General**

- Select all that apply:
- ARRA Award
  - DoD Cap applies
  - SNAP
  - Grant With Special Conditions

**26 General contractual requirements**

**Requirements**

- Limitation of funds [52.232.22]  Yes  No
- Limitation of cost [52.232.20]  Yes  No
- Small Business Subcontracting Plan Required  Yes  No

# NOA Details – Terms and Conditions cont.

- 27 **Budget Management & Invoicing Requirements:** Award is issued in foreign currency: No  
Payment Type : Cost Reimbursement  
Cost Reimbursement Invoicing: Letter of Credit or Electronic Fund Transfer  
Invoice Format: Letter of Credit or Electronic Fund Transfer  
Final Invoice Due Date: 90 days after project period end date  
Carry Forward to Next Budget Period: Allowed without prior approval  
Final Payment contingent upon submission of technical or final financial report? No  
Final Invoice Required?: No  
Pre-Award Spending: Allowed  
    90 Days prior to Segment Start Date  
Re-Budgeting Allowed: Requires prior sponsor approval  
Deposited in interest bearing acct: No  
Program Income: No

*Options available to Institutional Official during award processing. Applicable selections made by Institutional Officials based on Terms of the Award*

## 27 **Budget Management & Invoicing Requirements**

Award is issued in foreign currency?  Yes  No

**Payment Type** --Select--  
Cost Reimbursement  
Fixed Price/Fixed Unit Cost  
Both: Cost Reimbursements and Fixed Price / Fixed Unit Cost

**Invoice Format** --Select--  
Not Applicable  
Standard Invoice  
Special Requirements  
Letter of Credit or Electronic Fund Transfer

**Final invoice due date** --Select--  
30 days after project period end date  
45 days after project period end date  
60 days after project period end date  
90 days after project period end date  
Not Required  
Other

**Carry forward to next budget period** --Select--  
Not Allowed  
Allowed without prior approval  
Allowed only with prior approval

# NOA Details – Terms and Conditions cont.

- 27 **Budget Management & Invoicing Requirements:** Award is issued in foreign currency: No  
Payment Type : Cost Reimbursement  
Cost Reimbursement Invoicing: Letter of Credit or Electronic Fund Transfer  
Invoice Format: Letter of Credit or Electronic Fund Transfer  
Final Invoice Due Date: 90 days after project period end date  
Carry Forward to Next Budget Period: Allowed without prior approval  
Final Payment contingent upon submission of technical or final financial report? No  
Final Invoice Required?: No  
Pre-Award Spending: Allowed  
    90 Days prior to Segment Start Date  
Re-Budgeting Allowed: Requires prior sponsor approval  
Deposited in interest bearing acct: No  
Program Income: No

Options available to Institutional Official during award processing. Applicable selections made by Institutional Officials based on Terms of the Award

## 27 **Budget Management & Invoicing Requirements** *continued*

Final Payment contingent upon submission of technical or final financial report?  Yes  No

Is a Final Invoice required for each budget period, or do the terms have carry forward restrictions AND standard invoicing based on actual costs?  Yes  No

Pre-award spending allowed?   
--Select--  
Not Allowed  
Allowed  
Prior Sponsor Approval

Rebudgeting allowed?   
--Select--  
Not Allowed  
Allowed  
Requires prior sponsor approval

Program income?  Yes  No

# NOA Details – Terms and Conditions cont.

**28 Financial Reporting:** Interim Financial Reporting: No  
Frequency: Not Applicable  
Report Format: Not Applicable  
Final Report Due Date: 90 days after project period end date

*Options available to Institutional Official during award processing. Applicable selections made by Institutional Officials based on Terms of the Award*

**28** **Financial Reporting**

Interim report required?  Yes  No

Frequency   
 --Select Frequency--  
 --Select Frequency--  
 At project close/award end date  
 Not Applicable  
 Quarterly  
 Annually  
 Other

Reporting format   
 --Select Format--  
 --Select Format--  
 Not Applicable  
 Standard Report  
 Special Report Requirements

Final Report due date   
 --Select--  
 45 days after project period end date  
 60 days after project period end date  
 90 days after project period end date  
 End of Project Period  
 Not Required  
 Other

# NOA Details – Terms and Conditions cont.

## 29 Report Requirements: Technical Report:

Technical reports required.: Yes  
Interim: Due 45 days before period end date (Last day of every project year)

**Description**  
Final: Due 90 days after period end date (End of Project Period)  
**Description**

## Invention Report:

Invention report required.: Yes  
Due 90 days after period end date (End of Project Period)

**Description**

## Property Report:

Property Report Required: No

*Options available to Institutional Official during award processing. Applicable selections made by Institutional Officials based on Terms of the Award*

29

### Reports ⓘ

Reports Required?  Yes  No

Invention report required? --Select--

Name*	Days	Frequency	Custom Date	Description
<input type="checkbox"/> <div style="border: 1px solid black; padding: 2px;"><ul style="list-style-type: none"><li>Invention Report</li><li>Property Report</li><li>Interim Technical Reports</li><li>Final Technical Report</li></ul></div>	<input type="text"/>	<div style="border: 1px solid black; padding: 2px;"><ul style="list-style-type: none"><li>Last day of every month</li><li>Last day of every project quarter</li><li>Last day of every project half-year (semi-annually)</li><li>Last day of every project year</li><li>Other</li><li>Last day of every calendar year (Annually)</li><li>Last day of every calendar quarter</li><li>Last day of every calendar half-year (semi-annually)</li><li>End of Project Period</li></ul></div>	<input type="text"/>	<div style="border: 1px solid gray; height: 60px;"></div>



# NOA Details – Terms and Conditions cont.

**30 Deliverables:** Software deliverables required.: No  
Hardware deliverables required.: No  
Other deliverables required.: No

**31 Intellectual Property:** Ownership: Held by Stanford

**32 Sub Awards:** Sub-Awards Budgeted: Yes  
Sponsor Approval Required for All Subawards: Required for new Sub-Awards not included in proposal

*Options available to Institutional Official during award processing. Applicable selections made by Institutional Officials based on Terms of the Award*

**30 Deliverables**

- Software Deliverables
- Hardware Deliverables
- Other Deliverables

**31 Intellectual Property**

Rights in Stanford-Created IP

--Select--  
--Select--  
Held by Stanford  
Assigned to Sponsor  
Other (Explain)  
Determined by applicable law  
Jointly held with sponsor  
Held by Stanford (Royalty free exclusive)

**32 Sub-awards**

Sub-awards budgeted?  Yes  No

Sponsor Approval

--Select--  
--Select--  
Required for all Sub-Awards  
Required for new Sub-Awards not included in proposal  
Other

# NOA Details – Terms and Conditions cont.

**33 Property:** Equipment Budgeted: No  
Purchase Requires Approval: Yes  
Purchased Equipment Title Owner: Stanford University  
Sponsor-Provided Equipment: No  
Does FAR 52.245-1 Government Property apply: No

**34 Travel:** Domestic Travel: Allowed  
Foreign Travel: Prior Sponsor Approval Required  
Travel Comments:

*Options available to Institutional Official during award processing. Applicable selections made by Institutional Officials based on Terms of the Award*

**33** **Property**

Capital Equipment Budgeted?  Yes  No

Sponsor approval required for equipment purchase?  Yes  No

Who owns the title to project-funded equipment?  
--Select--  
--Select--  
Joint  
Stanford University  
Sponsor  
Mixed/Other

Sponsor-furnished equipment/property?  Yes  No

**34** **Travel**

Domestic Travel  
--Select--  
--Select--  
Not Allowed  
Allowed  
Prior Sponsor Approval Required

Foreign Travel  
--Select--  
--Select--  
Not Allowed  
Allowed  
Prior Sponsor Approval Required

# NOA Details

**35 Special Instructions for Accounting or A/R:** Special payment terms are shown in Art. III and Exhibit C. Invoice address for project coordinator is shown in Exhibit B (pg. 55). Invoice Form can be found at website shown in Exhibit D (pg. 58). For this sponsor, the project period technically ends on June 1, 2017, but all final reports and final invoice are due the same day. The award should be set up to end 90 days prior to this, which is why the end date is set at 3/31/2017, to allow for finalizing.

**36 Additional Terms:** Quality Assurance Project Plan is due by November 1, 2014, before any collection of data.

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## 37 APPROVER SECTION

**Approver:** Parsi, Pegah

**Department:** OSR Pre Award

**Date:** 19-SEP-14

## 38 The files attached while issuing AAN

[Agmnt-A00-Fx Wrf Luthy-115956.Pdf](#)

[Epa Prime Agreement-115956.Pdf](#)

## 39 Distribution List:

[RFCS-receivables@lists.stanford.edu](mailto:RFCS-receivables@lists.stanford.edu)

[accountsetup@lists.stanford.edu](mailto:accountsetup@lists.stanford.edu)

[pmocontractfile@stanford.edu](mailto:pmocontractfile@stanford.edu)

[pparsi@stanford.edu](mailto:pparsi@stanford.edu)

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**35** Special Instructions for Accounting or A/R: Details added by the Institutional Official for Account Setup or Billing purposes

**36** Additional Terms: Non-standard or special terms highlighted by the Institutional Official for the PI and Department

**37** Approver Section: Name and organization of the Institutional Official and the date award transaction was approved

**38** Files Attached While Issuing the AAN: Agreement and other attachments provided by the Institutional Official

**39** Distribution List: Individuals and distribution lists receiving a copy of the AAN/NOA

# Key NOA Sections for the Principal Investigator

**Stanford University  
Notice of Award**

Date: 03/21/2013  
Project Action: Award - New

SPO No: 8689  
Associated PDRF No: PR525951

Principal Investigator: Nelson, William J  
Transaction Id: AW544238  
Administering Org: Biology Department

Other Stanford Faculty: ---

Title: Topogenesis of NAK-ATPASE in Polarized MDCK Epithelial Cells

Sponsor: National Institutes of Health  
Prime Sponsor: ---  
Sponsor Award No.: 2R01GM03552729  
Agreement Type: Grant, Organized Research (On Campus)

CFDA#: 93.859

**Dates & Dollars** →

Current Funding Period: 04/01/2013 to 03/31/2014 Amount Funded this Action: \$658,344.00 Project Funding to Date: \$12,693,092.00	Project Period of Performance: 07/01/1990 to 03/31/2017 Additional Amount Anticipated by Sponsor: \$1,877,136.00 Total Estimated Project Cost: \$14,786,228.00
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Indirect Cost Rate : 57%

**Remarks** →

**Remarks**  
Please note that award amounts were reduced from what was proposed.

**Financial Reporting:** Interim Financial Reporting: Yes  
Frequency: Quarterly  
Report Format: Special Report Requirements  
Description 58  
Final Report Due Date: 90 days after project period end date

**Report Requirements:** Reports Required?: Yes

**Technical Report:**  
Technical reports required.: Yes  
Interim: Due on period end date (Last day of every project quarter)  
Description  
Final: Due 180 days after period end date (End of Project Period)  
Description

**Invention Report:**  
Invention report required.: Yes - Positive Disclosure Only  
Due 30 days after period end date (End of Project Period)  
Description

**Property Report:**  
Property Report Required: No

**Deliverables:** Software deliverables required.: No  
Hardware deliverables required.: No  
Other deliverables required.: No

**Intellectual Property:** Ownership: Held by Stanford

**Additional Terms** →

**Additional Terms:** Quality Assurance Project Plan is due by November 1, 2014, before any collection of data.