

# Gender-Responsive Climate Policy- Entry Points UNFCCC Negotiations Technical Guide APA 1-3, SBI 46, SBSTA 46

8-18 May 2017, Bonn, Germany

The forty-sixth sessions of the Subsidiary Body for Implementation (**SBI 46**) and Subsidiary Body for Scientific and Technological Advice (**SBSTA 46**) as well as the third part of the first session of the Ad Hoc Working Group on the Paris Agreement (**APA 1-3**) will take place from 8 to 18 May 2017, in Bonn, Germany.

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# **Executive Summary**

The UNFCCC negotiations in May in Bonn, Germany will continue work on preparation of rules, guidelines and modalities for the full implementation of the Paris Agreement, in parallel to continuing ongoing work under the Convention. The information compiled in this Guide comes from the annotated agendas of the concurrently run sessions outlined earlier. It is not meant to be a 101 Guide about the UNFCCC, but rather a tool to facilitate advocacy in the lead up to and on-site at the UNFCCC negotiations. The Guide is geared towards those who will working on the policy language across the negotiations, or those interested in assessing entry points for gender—and the events listed here are simply the mandated events taking place under the official negotiations. There are several other documents that, a) highlight gender-related events, b) outline the key demands of the Women and Gender Constituency and c) provide a deeper political analysis of the negotiations.

# How to Use this Information

The information has been separated into different bodies/ agenda items. Background on what will be discussed under a specific agenda item has been provided, alongside links to any relevant documents. Where submissions have already been provided to inform the discussions, a brief analysis of these submissions and their gender-related content has also been provided.

# **Key Links**

- Overview Schedule of May Intersessional
- UNFCCC Meeting Site
- Information Note: Organization of Work
- UNFCCC Negotiator App

### Lima Work Programme on Gender

In addition to entry points for ensuring gender-just climate policy across all areas of the negotiations, in May, Parties will hold an <u>in-session workshop</u> (May 10, 10.00-13.00 & May 11, 15.00-18.00; Room: Santiago de Chile) to support the development of a Gender Action Plan (GAP), which was mandated under the COP22 extension of the Lima Work Programme on Gender. A call for Submissions was circulated to Parties and Observers, to share their views on possible elements for the GAP, and from 27-28 March, an informal consultation was hosted by the Netherlands, Costa Rica and UN Women to gather initial ideas on the elements of the GAP. The outcomes of this consultation can be found <u>here</u>.

The Submissions (9 <u>party submissions</u>—including on behalf of groups such as, Least Developed Countries and the EU; and 11 <u>non-party submissions</u>) from both Parties and Observers, include a multitude of options for enhancing work on gender and elements to be included in the GAP, particularly around improved reporting mechanisms, enhancing coherence, and capacity building opportunities. You can <u>read the full submission of the Women and Gender Constituency here</u>.

There will be no formal negotiation session on the gender agenda item in Bonn. The expected outcomes will be a report from the 2-day workshop, which will inform negotiations at COP23 towards a decision on the Gender Action Plan. It is expected Parties may hold follow-up informal consultations on the GAP between Bonn on COP23.

The WGC has highlighted several key action areas that should be addressed via activities under the GAP:

- Greater coherence among the thematic areas, Boards and Bodies of the UNFCCC, including the technology and financial mechanisms, on sharing information, data, tools and activities on efforts to implement gender-responsive climate policy;
- Guidelines and capacity building at national level to ensure gender is integrated into the planning, development and monitoring of Nationally Determined Contributions and National Adaptation Plans, as well as coherence with the SDGs;
- Enhanced scientific research on gender and climate change, as well as sex disaggregated data and gender analysis collection;
- Progress on ensuring women's full and equal participation in all levels of decision-making, and reaching the goal of gender balance, through targeted resources and capacity building efforts;
- Collaborative efforts to ensure climate finance is 100% gender-responsive, and that it is reaching community groups and grassroots women leaders;
- Enhanced mechanisms to promote the full participation of indigenous women and grassroots communities.

# **Indigenous Peoples Platform**

The Conference of the Parties (COP) at its twenty-second session held consultations on this matter and requested the Chair of the SBSTA to initiate the process to develop the local communities and indigenous peoples platform, which will include convening an open multi-stakeholder dialogue on the operationalization of the platform in conjunction with SBSTA 46 and SBI 46 to be co-moderated by the Chair of the SBSTA and a representative of indigenous peoples organizations. COP 22 agreed that the consideration of this matter will be concluded at SBSTA 47 under a new agenda item.

Submissions were invited on the elements and function of the platform. There are 3 <u>party</u> <u>submissions</u> on this topic, and 20 <u>non-Party submissions</u>, many of which many specific reference to indigenous women and gender considerations.

The <u>Multi-stakeholder Dialogue on a Local Communities and Indigenous Peoples' Platform</u> will be held on **16 May 10.00-13.00 and 17 May 15.00-18.00.** 

# Ad-hoc Working Group on the Paris Agreement (APA 1-3)

#### People

- Co-Chairs: Ms. Sarah Baashan (non-Annex I Parties) and Ms. Jo Tyndall (Annex I Parties)
- Rapporteur, Ms. Anna Serzysko (Annex I Parties)

#### Key Reading

- Reflection Note on APA 1.2: <u>http://unfccc.int/resource/docs/2017/apa/eng/1infnot.pdf</u>
- Report of APA 1.2: <u>http://unfccc.int/resource/docs/2016/apa/eng/04.pdf</u>
- Provisional Agenda APA 1.3: <u>http://unfccc.int/resource/docs/2017/apa/eng/01.pdf</u>

**Background**: The Ad Hoc Working Group on the Paris Agreement (APA), at the end of APA 1.2, held in Marrakech, Morocco, from 7 to 14 November 2016, agreed to further suspend its first session. APA 1.3 will take place in conjunction with the forty-sixth sessions of the Subsidiary Body for Implementation (SBI) and the Subsidiary Body for Scientific and Technological Advice (SBSTA) in Bonn, Germany. It will be opened by the APA Co-Chairs, Ms. Sarah Baashan (non-Annex I Parties) and Ms. Jo Tyndall (Annex I Parties), on Monday, 8 May 2017.

#### Agenda items

The APA agreed in its first session to work in a single contact group on agenda items 3–8, and will continue to this mode of work:

- Item 3. Further guidance in relation to the mitigation section of decision 1/CP.21
- **Item 4.** Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement.
- **Item 5.** Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement.
- **Item 6.** Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement
- **Item 7.** Modalities and procedures for the effective operation of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement.
- Item 8. Further matters related to implementation of the Paris Agreement

# Agenda item 3: Further guidance in relation to the mitigation section of decision 1/CP.21

Important to follow if area of interest is mitigation.

**Background:** The APA invited Parties, observer organizations and interested United Nations agencies to submit their views on issues discussed under agenda item 3: Further guidance in relation to the mitigation section of decision 1/CP.21 on: (a) features of nationally determined contributions, as specified in paragraph 26; (b) information to facilitate clarity, transparency and understanding of nationally determined contributions, as specified in paragraph 28; and (c) accounting for Parties' nationally determined contributions, as specified in paragraph 31. At APA 1.3, Parties will continue consideration of this agenda item along with the views included in the submissions.

#### Activities

<u>Pre-sessional roundtable:</u> On 6 May, from 9.00 – 17.15, in Room Santiago de Chile, under the guidance of the Co-Chairs, the Secretariat will organize a roundtable on this agenda item. Participants must be registered for the SB session. See the draft agenda <u>here</u>.

#### Submissions

A compilation of all Party submissions can be found <u>here</u>. There were 16 Party submissions, one of which included a reference to gender.

 Republic of Rwanda on behalf of Central African Forestry Commission (COMIFAC)<sup>1</sup> submission called that further guidance "7. Integrate gender which is indispensable in the fight against climate change."

There was 1 non-Party submission from The Climate, Land, Rights Alliance (CLARA) made up of several organizations.

- CLARA <u>submission</u> states "Guidelines regarding the information to be provided by parties in their NDCs should include information about the steps that were taken to secure the participation of key groups and the constituencies (such as ministries, NGOs, indigenous peoples, local communities, women etc.) involved and consulted in the elaboration of the NDC." The submission also states "Any risks to the principles in the Paris Agreement, including the preamble, should be recognized, and countries must outline their planned response to ensure that these principles are protected and enhanced when implementing climate actions. These principles include such crucial aspects as human rights, the rights of indigenous peoples and local communities, food security, sustainable consumption and the protection of biodiversity and ecosystem integrity during implementation of NDCs."

# Agenda item 4: Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement.

Important to follow if area of interest is adaptation, NDCs.

**Background:** Article 7, paragraph 10, of the Paris Agreement states that each Party should, as appropriate, submit and update periodically an adaptation communication, which may include its priorities, implementation and support needs, plans and actions, without creating any additional burden for developing country Parties. Article 7, paragraph 11, of the Paris Agreement states that the adaptation communication shall be, as appropriate, submitted and updated periodically, as a component of or in conjunction with other communications or documents, including a national adaptation plan, a nationally determined contribution and/or a national communication. In May, Parties will consider this agenda item alongside submissions from Parties and host an in-session workshop.

#### Activities

**In-Session Workshop on Agenda Item 4**: This will be held on **6 May**, under the guidance of the Co-Chairs, the Secretariat will organize a roundtable on this agenda item. The agenda will be posted <u>here</u>, shortly.

#### Submissions

There were 12 Party submissions, one of which included a reference to gender.

- **Norway**, in describing the most important elements of adaptation communications, included "Crosscutting considerations - including information on how participation, **gender considerations**, **indigenous and traditional knowledge** are integrated into adaptation policies and actions."

<sup>&</sup>lt;sup>1</sup> The member states of COMIFAC: Republic of Burundi, Republic of Cameroon, Central African Republic, Republic of Congo, Republic of Gabon, Democratic Republic of Congo, Republic of Chad, Republic of Equatorial Guinea, Republic of Rwanda, and the Republic of Sao Tome and Principe.

There was 1 non-Party <u>submission</u> from The Southern Voices on Adaptation, which spoke of the importance of social safeguards and highlighting the needs of vulnerable groups, including **women**.

# Agenda item 5: Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement.

Important to follow if area of interest is MRV, NDCs.

**Background:** The COP requested the APA to develop recommendations for modalities, procedures and guidelines in accordance with Article 13, paragraph 13, of the Paris Agreement, and to define the year of their first and subsequent review and update, as appropriate, at regular intervals, for consideration at COP 24, with a view to the COP forwarding them to CMA 1 for consideration and adoption. The COP also requested the APA to report on the progress of work on these modalities, procedures and guidelines to future sessions of the COP, and that this work be concluded no later than 2018. In May, Parties will consider this agenda item alongside submissions from Parties and host an in-session workshop.

#### Activities

**In-Session Workshop on Agenda Item 5:** This will be held on **16-18 May**, under the guidance of the Co-Chairs, the Secretariat will organize a workshop on this agenda item. The agenda will be posted <u>here</u>, shortly.

#### Submissions

There were 20 Party submissions, none include a reference to gender but several call for addressing social consequences of actions.

- <u>South Africa</u>, stated "The guidelines for reporting transparency of adaptation should include: Assessment of risks and vulnerability to climate change: This part could provide updated information on key vulnerabilities or risks threatening economic, **social**, environmental and other development progress related to current and expected climate change impacts, including non-climate drivers of those risks."
- <u>Venezula on behalf of LMDC</u>, and <u>China</u> on NDCs implementation in the aspect of actions, stated "Developed country Parties shall also report information on the assessment of the economic and social consequences of their response measures on developing countries."
- <u>Algeria</u> stated that modalities, procedures and guidelines should consider "**social** and economic impacts of the response measures."

There was 1 non-Party <u>submission</u> from WEDO, which calls for coherence to the Lima Work Programme on Gender as well as other key WGC demands.

### Agenda item 6: Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement

Important to follow if area of interest is MRV, NDCs.

**Background:** Article 14 of the Paris Agreement requires the CMA to periodically take stock of the implementation of the Paris Agreement in order to assess the collective progress towards achieving its purpose and its long-term goals (the global stocktake), in a comprehensive and facilitative manner, considering mitigation, adaptation and the means of implementation and support, and in the light of equity and the best available science. The CMA is to undertake the first global stocktake in 2023 and every five years thereafter unless otherwise decided by the CMA. In May, Parties will consider this agenda item alongside submissions from Parties.

#### Submissions

The deadline for these submissions is 29 April.

# Agenda item 7: Modalities and procedures for the effective operation of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement.

Important to follow if area of interest is MRV, NDCs & compliance.

**Background:** Article 15, paragraph 1, of the Paris Agreement establishes a mechanism to facilitate implementation of and promote compliance with the provisions of the Paris Agreement. Paragraph 2 of the same Article provides that the mechanism will consist of a committee that, in accordance with paragraph 3 of the same Article, will operate under the modalities and procedures adopted at CMA 1.

#### Submissions

There are 15 Party submissions on compliance and zero non-Party submissions.

- LDCs, AOSIS, AILAC, Canada, call for the compliance committee to take into account the goal of gender balance.

### Agenda item 8: Further matters related to implementation of the Paris Agreement

Important to follow if area of interest is the Adaptation Fund.

**Background:** Work on this agenda item continued at APA 1.2. In addition, the APA initiated work on matters relating to the Adaptation Fund, as mandated by the COP, in response to the invitation by CMP 11. The COP invited Parties to submit views on the governance and institutional arrangements, safeguards and operating modalities for the Adaptation Fund to serve the Paris Agreement by 31 March 2017. In May, Parties will consider this agenda item alongside submissions from Parties.

#### Submissions

There are 6 Party submissions on the Adaptation Fund and zero non-Party submissions.

Japan highlighted the "(5) Need of Robust Safeguards Robust environment and social policy is crucial to ensure that the funds to address the adverse impacts of and risks posed by climate change projects and programs supported by the **funds do not result in unnecessary environmental and social harms**. Since the AF revised its policy in March 2016, some of the national and regional implementing entities may require support for building capacity to manage environmental and social risks. For more effective and efficient adaptation to apply and implement projects, sharing knowledge, and enhancing cooperating among funds are essential."

- Canada stated "In addition, efforts should be made to strengthen and uphold the Fund's Gender Policy and Action Plan, and establish a complaint / redress mechanism. And not unlike the case of other climate funds, efforts should be made to increase the representation of women on the Adaptation Fund Board." In addition, they highlighted as a key point, "We should learn from the experience of other climate change funds, particularly in regards to, for example, governance models, establishing robust social and environmental safeguards, and leveraging additional public and private resources."
- **Norway**, on safeguards stated, "The Fund has recently adopted **social and environmental standards** and a **gender policy**. The safeguard standards of the AF should be aligned with the standards of the GCF."

# Subsidiary Body for Scientific and Technological Advice (SBSTA 46)

#### People

- Chair of the SBSTA, Mr. Carlos Fuller (Belize)
- Vice-Chair of the SBSTA, Mr. Tibor Schaffhauser (Hungary) (New Vice-Chair to be Elected)
- Rapporteur, Mr. Aderito Manuel Fernandes Santana (Sao Tome and Principe)

#### Key Reading

• Provisional Agenda: <u>http://unfccc.int/resource/docs/2017/sbsta/eng/01.pdf</u>

# Agenda item 3: Nairobi Work Programme on impacts, vulnerability and adaptation to climate change

Important to follow if area of interest is health, adaptation, indigenous and traditional knowledge

#### People & Links

- Secretariat contact: Ms. Rojina Manandhar; <u>rmanandhar@unfccc.int</u>
- Co-Facilitators: Mr. Julio Cordano (Chile) and Ms. Beth Lavender (Canada)
- NWP website: <u>https://www3.unfccc.int/pls/apex/f?p=333:1:4025248825115629</u>
- How to Join as a Partner: <u>https://www3.unfccc.int/pls/apex/f?p=333:9:4025248825115629</u>:

**Background:** The SBSTA will be invited to consider documents prepared for the session, including relating to human health, human settlements, ecosystems and water resources, and will consider the progress made in implementing activities under the NWP. The SBSTA will also consider ways to improve the effectiveness of the Focal Point Forum (which was held during COP22).

#### Key Documents

- Synthesis paper on human health and adaptation: understanding climate impacts on health and opportunities for action (<u>FCCC/SBSTA/2017/2</u>);
- Synthesis report on adaptation planning, implementation and evaluation addressing ecosystems and areas such as water resources (<u>FCCC/SBSTA/2017/3</u>);
- Progress made in implementing activities under the Nairobi work programme on impacts, vulnerability and adaptation to climate change (<u>FCCC/SBSTA/2017/INF.1</u>);
- Summary report on initiatives in the area of human settlements and adaptation (FCCC/SBSTA/2017/INF.3).

**ACTION:** All of the above synthesis reports include information on gender, except for the summary on initiatives related to human settlements. **Review the above synthesis reports for analysis on gender.** 

# Agenda item 4: Development and transfer of technologies: technology framework under Article 10, paragraph 4, of the Paris Agreement

Important to follow if area of interest is technology transfer & development, energy access, etc.

#### People

- Secretariat contact: Ms. Ariesta Ningrum, aningrum@unfccc.int
- Co-Facilitators: Ms. Gabriela Fischerova (Slovakia) and Mr. Kishan Kumarsingh (Trinidad and Tobago)

**Background**: The SBSTA agreed that the technology framework should be short, concise, balanced and comprehensive and allow flexibility to respond to changes over time. The SBSTA noted that the technology framework can play a strategic role in improving the effectiveness and efficiency of the work of the Technology Mechanism by addressing the transformational changes envisioned in the Paris Agreement, consistent with its Article 10, paragraph 4, and the long-term vision for technology development and transfer, referred to in its Article 10, paragraph 1. The SBSTA also agreed on the initial key themes for the framework and invited Parties, observers and other stakeholders to submit, by 10 April 2017, their views on the principles and structure of the technology framework. In May, Parties will consider this agenda item alongside submissions from Parties.

#### Submissions

There are 4 Party submissions on this agenda item and zero non-Party submissions.

**Republic of Korea**, in suggested principles of the technology framework, stated "(i) Inclusiveness : Cooperative action on technology development and transfer needs to be made in an inclusive manner so that global norms such as sustainable development, **gender**, transformational changes, and global action are comprehensively considered."

ACTION: Ensure that gender-responsive implementation is included as a principle of the framework.

#### Agenda item 5: Issues related to Agriculture

Important to follow if area of interest is agriculture

#### People

- Secretariat contact: Mr. Wojtek Galinski, wgalinski@unfccc.int
- Co-Facilitators: Mr. Emmanuel Dlamini (Swaziland) and Mr. Heikki Granholm (Finland)

**Background**: The SBSTA will be invited to consider the information from in-session workshops. Reports of the in-session workshops found below. Gender is mainstreamed across both the reports.

• <u>Report by the secretariat</u>. Workshop on the identification of adaptation measures, taking into account the diversity of the agricultural systems, indigenous knowledge systems and the differences in scale as well as possible co-benefits and sharing experiences in research and

development and on-the-ground activities, including socioeconomic, environmental and **gender aspects**.

• **<u>Report by the secretariat</u>**. Workshop on the identification and assessment of agricultural practices and technologies to enhance productivity in a sustainable manner, food security and resilience, considering the differences in agroecological zones and farming systems, such as different grassland and cropland practices and systems.

# Agenda item 6: Matters relating to science and review (a) Research and systematic observation

Important to follow if area of interest is research and data collection.

#### People

- Secretariat contact: Mr. Florin Vladu, fvladu@unfccc.int
- Co-Facilitators: Ms. Ann Gordon (Belize) and Ms. Christiane Textor (Germany)

**Background:** The SBSTA will organize the ninth meeting of the research dialogue during the session33 with the participation of Parties and representatives of the Intergovernmental Panel on Climate Change (IPCC), the World Climate Research Programme, the World Meteorological Organization and relevant regional and international research programmes and organizations active in climate change research.

#### Links & Documents

Agenda of the Ninth Meeting of the Research Dialogue, 10 May, 15.00 – 18.00 Information Note by the SBSTA Chair on the Research Dialogue (18 April)

### Agenda item 7: Impact of the implementation of response measures (a) Improved forum and work programme;

Important to follow if area of interest is decent work and just transition. Joint SBSTA/SBI item.

#### People

- Secretariat contact: Ms. Kusum Lata, klata@unfccc.int
- Co-Facilitators: Chair of the SBSTA and the Chair of the SBI, Mr. Tomasz Chruszczow (Poland), assisted by Ms. Natalya Kushko (Ukraine) and Mr. Andrei Marcu (Panama)

**Background**: SBSTA 45 and SBI 45 agreed to advance the technical work on the impact of the implementation of response measures by constituting an ad hoc technical expert group to meet in session during SBSTA 46 and SBI 46, and that the ad hoc technical expert group should elaborate on the technical work on the areas of the work programme in the context of sustainable development. The ad hoc technical expert group will consider the two areas of the work programme: (1) economic diversification and transformation; and (2) just transition of the workforce and the creation of decent work and quality jobs, and will spend one day on each area.

#### Activities

**<u>Ad-hoc Technical Expert Group</u>**: The Group will meet from 9-10 May, 2017. Draft agenda can be found <u>here</u>. The List of Experts can be found <u>here</u>.

ACTION: Meet with member/members of the expert group prior to meeting.

# Agenda item 7: Impact of the implementation of response measures (b) Modalities, work programme and functions under the Paris Agreement of the forum on the impact of the implementation of response measures

Important to follow if area of interest is decent work and just transition. Joint SBSTA/SBI item.

#### People

- Secretariat contact: Ms. Kusum Lata, <u>klata@unfccc.int</u>
- Co-Facilitators: Chair of the SBSTA and the Chair of the SBI, Mr. Tomasz Chruszczow (Poland), assisted by Ms. Natalya Kushko (Ukraine) and Mr. Andrei Marcu (Panama)

**Background**: SBSTA 45 and SBI 45 requested the Chairs of the SBSTA and the SBI, with the support of the secretariat, to prepare by 31 March 2017 a reflections note on Parties' views expressed during the discussions at the sessions as well as those submitted on the portal relating to the modalities, work programme and functions under the Paris Agreement of the forum on the impact of the implementation of response measures referred to in paragraph 34 of decision 1/CP.21.

#### Links & Documents

- <u>Reflection Note on Parties Views</u> (does not include gender)
- Impact of the implementation of response measures

#### Agenda item 10: Matters relating to Article 6 of the Paris Agreement

Important to follow if area of interest is carbon offsets and market mechanisms. NOTE that Article 6 of the Paris agreement related to market/non-market mitigation efforts – not to be confused with Article 6 under the Convention which deals with information, education and public participation.

#### People

- Secretariat contact: Ms. Amy Steen, <u>asteen@unfccc.int</u>
- Co-Facilitators: Ms. Kelley Kizzier (EU) and Mr. Hugh Sealy (Maldives)

There are three sub-agenda items under Agenda item 10, submissions are summarized here:

- (a) Guidance on cooperative approaches referred to in Article 6, paragraph 2, of the Paris Agreement
- (b) Rules, modalities and procedures for the mechanism established by Article 6, paragraph 4, of the Paris Agreement
- (c) Work programme under the framework for non-market approaches referred to in Article 6, paragraph 8, of the Paris Agreement

#### Activities

The SBSTA invited Parties and observer organizations to submit their views on each of the three sub agenda items. There were 19 Party submissions for (a); 18 Party submissions for (b); and, 13 Party submissions for (c).

Ethiopia, on behalf of LDCs, when discussing the use of internationally transferred mitigation outcomes (ITMOs), stated as a critical element that they "Do Not Infringe Rights: To be consistent with various preamble paragraphs of the Paris Agreement, any trading in ITMO units shall ensure that such actions shall respect, promote and consider inter alia, obligations on human rights, the right to health, the rights of indigenous peoples, local communities, migrants, children, persons with disabilities and people in vulnerable situations and the right to development, as well as gender equality, empowerment of women and intergenerational equity. Furthermore the use of the IMTO system shall ensure the integrity of all ecosystems."

## Agenda item 11: Modalities for the accounting of financial resources provided and mobilized through public interventions in accordance with Article 9, paragraph 7, of the Paris Agreement.

Important to follow if area of interest is climate finance.

#### People

- Secretariat contact: Mr. Marenglen Gjonaj, mgjonaj@unfccc.int
- Co-Facilitators: Mr. Rafael Da Soler (Brazil) and Ms. Outi Honkatukia (Finland)

**Background**: At SBSTA 44 in May, Parties initiated its consideration of the development of modalities for the accounting of financial resources, aka what can count as climate finance and what can't, provided and mobilized through public interventions in accordance with Article 9, paragraph 7, of the Paris Agreement, as requested at COP 21.

*Activities:* The SBSTA will continue review under this agenda item.

### Subsidiary Body for Implementation (SBI 46)

#### People

- Chair of the SBI, Mr. Tomasz Chruszczow (Poland)
- Vice-Chair of the SBI, Mr. Zhihua Chen (China)
- Rapporteur, Mr. Sidat Yaffa (Gambia)

#### Key Reading

Provisional Agenda: <u>http://unfccc.int/resource/docs/2017/sbi/eng/01.pdf</u>

#### Agenda item 8: Matters relating to the least developed countries;

Important to follow if area of interest is adaptation, LDCs, etc.

#### People

• Secretariat contact: Mr. Motsomi Maletjane, <u>mmaletjane@unfccc.int</u>

**Background:** The 31st meeting of the Least Developed Countries Expert Group (LEG) was held in Bonn, Germany, from 7 to 10 March 2017. At the meeting, the LEG developed its two-year rolling work programme for 2017–2018. The meeting also included discussions with: the secretariat of the Green Climate Fund (GCF) on how best to support developing countries in accessing funding from the GCF for the process to formulate and implement national adaptation plans; the Global Environment Facility and its agencies on their continued provision of support for the implementation of the least developed countries work programme, which includes the preparation and implementation of national adaptation programmes of action; and other relevant organizations on collaboration in supporting the least developed countries. Gender is mainstreamed throughout the <u>report</u>.

#### Agenda item 9: National adaptation plans

Important to follow if area of interest is adaptation.

#### People

- Secretariat contact: Mr. Motsomi Maletjane, mmaletjane@unfccc.int
- Co-Facilitators: Mr. Honadia and Ms. Beth Lavender (Canada)

**Background**: COP 18 and 19 invited United Nations organizations, specialized agencies and other relevant organizations, as well as bilateral and multilateral agencies, to support the process to formulate and implement national adaptation plans (NAPs) in the least developed countries (LDC) Parties and in developing country Parties that are not LDCs and, where possible, to consider establishing or enhancing support programmes for the process to formulate and implement NAPs, which could facilitate financial and technical support to these LDC Parties and other developing country Parties, drawing upon the work of, and where appropriate in consultation with, the LEG, and to keep the SBI informed, through the secretariat, on how the Parties have responded to this invitation. The LEG has prepared an information document on progress in the process to formulate and implement NAPs. COP 21 mandated the LEG and the Adaptation Committee (AC) to undertake work on accessing funding from the Green Climate Fund for the process to formulate and implement NAPs; 44 this work is reflected in their reports.

**Activities:** At SBI46, Parties will review information and reports from the LEG and Adaptation Committee as well as the following Note by the Secretariat on <u>Progress in the process to formulate</u> <u>and implement national adaptation plans</u>. In addition, SBI has invited Parties and relevant organizations to submit to the secretariat, **by 4 October 2017**, information on their progress made towards the achievement of the objectives of the process to formulate and implement national adaptation plans, experiences, best practices, lessons learned, gaps and needs, and support provided and received in the process to formulate and implement national adaptation plans. Agenda item 10: Development and transfer of technologies: scope and modalities for the periodic assessment of the Technology Mechanism in relation to supporting the implementation of the Paris Agreement.

Important to follow if area of interest is technology transfer & development, energy access, etc.

#### People

- Secretariat contact: Ms. Ms. Ariesta Ningrum, aningrum@unfccc.int
- Co-Facilitators: Ms. Gabriela Fischerova (Slovakia) and Mr. Kishan Kumarsingh (Trinidad and Tobago)

**Background**: SBI 44 initiated the elaboration of the scope and modalities for the periodic assessment of the effectiveness and adequacy of the support provided to the Technology Mechanism in supporting the implementation of the Paris Agreement on matters relating to technology development and transfer. Parties and observer organizations were invited to submit their views on this matter. The secretariat will prepare a compilation and synthesis report of those views for consideration at SBI 46.

#### Activities

There were 8 Party submissions under this agenda item. None include references to gender. There were 3 non-Party submissions.

- <u>CAN</u>, stated, that the impact of the work of the technology mechanism should be measured by the combined results of its direct and indirect mitigation and adaptation efforts in developing countries, and should include attention to. "Support for indigenous knowledge and technologies;" "Gender considerations, and opportunities for women to be empowered agents of change;" "Effectiveness of protections for human rights and mechanisms for consultation with stakeholders;"
- **WEDO**'s submission focused on **gender-responsive periodic assessment criteria**.

# Agenda item 11: Matters relating to climate finance (a) Review of the functions of the Standing Committee on Finance

Important to follow if area of interest is finance.

#### People

• Secretariat contact: Ms. Liza Leclerc, <u>lleclerc@unfccc.int</u>

**Background**: COP 22 adopted the terms of reference for the review of the functions of the Standing Committee on Finance (SCF). Members of the SCF, Parties, the constituted bodies under the Convention and external stakeholders may submit their views on the review based on these terms of reference.

**Activities:** The SBI will be invited to initiate work on the review in accordance with the terms of reference and taking into account the submissions, with a view to completing its work on the review at SBI 47 and recommending a draft decision on the matter for consideration and adoption at COP 23. There were 8 Party submissions on this agenda item. None reference gender. There were zero non-Party submissions on this agenda.

# Agenda item 12: Matters relating to capacity-building.

#### People

- Secretariat contact: Ms. Liza Leclerc, <u>lleclerc@unfccc.int</u>
- Co-Facilitators: Mr. Crispin d'Auvergne (Saint Lucia) and Mr. Paul Watkinson (France)

There are two sub-agenda items under Agenda item 12:

- (a) Capacity-building under the Convention
- (b) Capacity-building under the Kyoto Protocol

**Background**: COP 21 established the Paris Committee on Capacity-building (PCCB), whose aim will be to address gaps and needs, both current and emerging, in implementing capacity-building in developing country Parties and further enhancing capacity-building efforts, including with regard to coherence and coordination in capacity building activities under the Convention.

#### Activities:

The first meeting of the PCCB will be convened by the SBI in conjunction with SBI 46, 11 - 13 May 2017. The first focus area for the PCCB will be capacity-building activities for the implementation of nationally determined contributions in the context of the Paris Agreement. The 6th meeting of the Durban Forum on capacity-building will be held during SBI 46, on 10 May. Parties submitted their views on potential topics related to the Kyoto Protocol for this meeting

#### Agenda item 15: Arrangements for intergovernmental meetings

Important to follow if area of interest is civil society participation.

#### People

- Secretariat contact: Ms. Megumi Endo, MEndo@unfccc.int
- Facilitator: Chair of the SBI, Mr. Tomasz Chruszczow (Poland)

**Background**: SBI 44 reaffirmed the value of contributions from observer organizations to deliberations on substantive issues and acknowledged the need to further enhance the effective engagement of observer organizations as the UNFCCC process moves forward into the implementation and operationalization of the Paris Agreement. In this context, SBI 46 will convene an in-session workshop on opportunities to further enhance the effective engagement of non-Party stakeholders with a view to strengthening the implementation of the provisions of decision 1/CP.21.

#### Activities:

<u>In-session workshop</u> on opportunities to further enhance the effective engagement of non-Party stakeholders with a view to strengthening the implementation of the provisions of decision 1/CP.2, will be held **9 May.** 

There were 4 Party submissions on this agenda. <u>Canada</u>'s submission had a specific focus on the effective engagement of indigenous peoples. There were 42 <u>non-Party submissions</u> on this agenda, including a joint submission of the Women and Gender Constituency which you can read <u>here</u>.

# Summary of Mandated Events

Date & Time	Description
8 May 15.00-19.00	Article 6 of the Paris Agreement Round-table Discussions amongst Parties
9 May 10.00-13.00 / 15.00-1900	
8 May 15.00-16.30	Technical expert meeting on mitigation
9 May 11.30-13.00 / 15.00-16.30	
10 May 11.30-13.00 / 15.00-16.30	
11 May 11.30-13.00 / 15.00-16.30	
12 May 15.00-16.30	
9 May 15.00-19.00	In-session workshop on opportunities to further enhance the effective engagement of
	non-Party stakeholders with a view to strengthening the implementation of the
	provisions of decision 1/CP.21.
9 May 10.00-13.00 / 15.00-18.00	Meeting of an Ad Hoc Technical Expert Group on Response Measures
10 May 10.00-13.00 / 15.00-18.00	
9 May 15.00-18.00	Meeting of an ad hoc technical expert group to consider the two areas of the work
10 May 15.00-18.00	programme on response measures
10 May 15.00-18.00	Ninth meeting of the Research Dialogue
10 May 15.00-18.00	The 6th meeting of the Durban Forum on capacity-building
10 May 10.00-13.00	In-session workshop to develop possible elements of a gender action plan
11 May 15.00-18.00	
11 May 15.00-18.00	Paris Committee on Capacity-building
13 May 15.00-18.00	
12 May 10.00-13.00 / 15.00-18.00	Special Event on Innovation and NDC Implementation
12 May 10.00-13.00	Multilateral Assessment under the International Assessment and Review process
13 May 10.00-13.00	
15 May 15.00-18.00	The 5th Dialogue on Action for Climate Empowerment
16 May 15.00-18.00	
15 May 15.00-18.00	Facilitative Sharing of views under the International Consultation and Analysis process
13 May 15.00-18.00	Fourth voluntary meeting on the coordination of support for the implementation of
	REDD-plus activities in developing countries
15 May 15.00-18.00	In-session workshop on long-term climate finance
16 May 10.00-13.00 / 15.00-18.00	Technical Expert Meeting on adaptation
17 May 10.00-13.00 / 15.00-18.00	
16 May 10.00-13.00	Multi-stakeholder Dialogue on a Local Communities and Indigenous Peoples'
17 May 15.00-18.00	<u>Platform</u>

Round-table discussion on the process to identify the information to be provided by Parties in accordance with Article 9, paragraph 5, of the Paris Agreement

# ANNEX 1. UPCOMING SUBMISSION DEADLINES

Date	Agenda	Description
20 September 2017	Nairobi Work Programme	information on topics such as good practices, lessons learned and available tools and methods, based on their recent work in the area of human settlements and adaptation, including on assessing sensitivity and vulnerability to climate change, integrating both short- and long-term climate considerations (including both extreme and slow onset events) into planning, the role of national governments in supporting adaptation at the local level and city-to-city partnerships on climate change, bearing in mind the unique challenges and scale differences in urban, rural and remote settlements, in particular in small island developing States and the least developed countries; cross-cutting issues and linkages to the process to formulate and implement national adaptation plans (NAPs) should also be considered;
20 September 2017	Nairobi Work Programme	information on lessons learned and good practices in relation to adaptation actions and plans that could enhance economic diversification and have mitigation co-benefits;
1 February 2018 (recommended to change to 4 October 2017)	National Adaptation Plans	information on their progress made towards the achievement of the objectives of the process to formulate and implement national adaptation plans, experiences, best practices, lessons learned, gaps and needs, and support provided and received in the process to formulate and implement national adaptation plans.