

Overview of Black Carbon



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Global Partnership Initiative, U.S. Department of State
Office of Air Quality Planning & Standards, U.S. EPA

Region 9 Black Carbon Symposium
November 14, 2012

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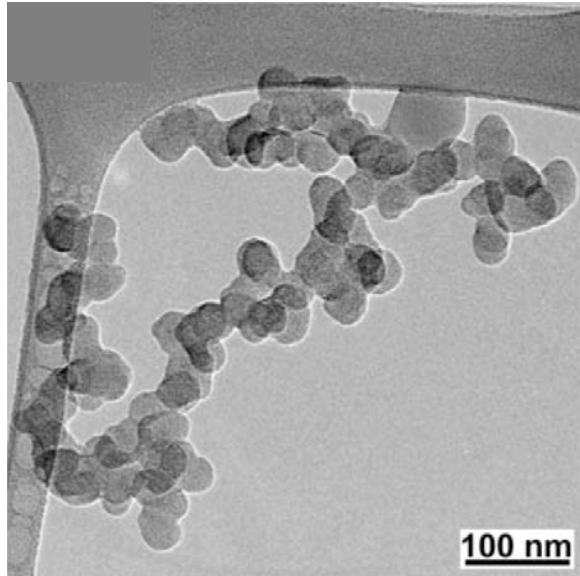


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What is Black Carbon?

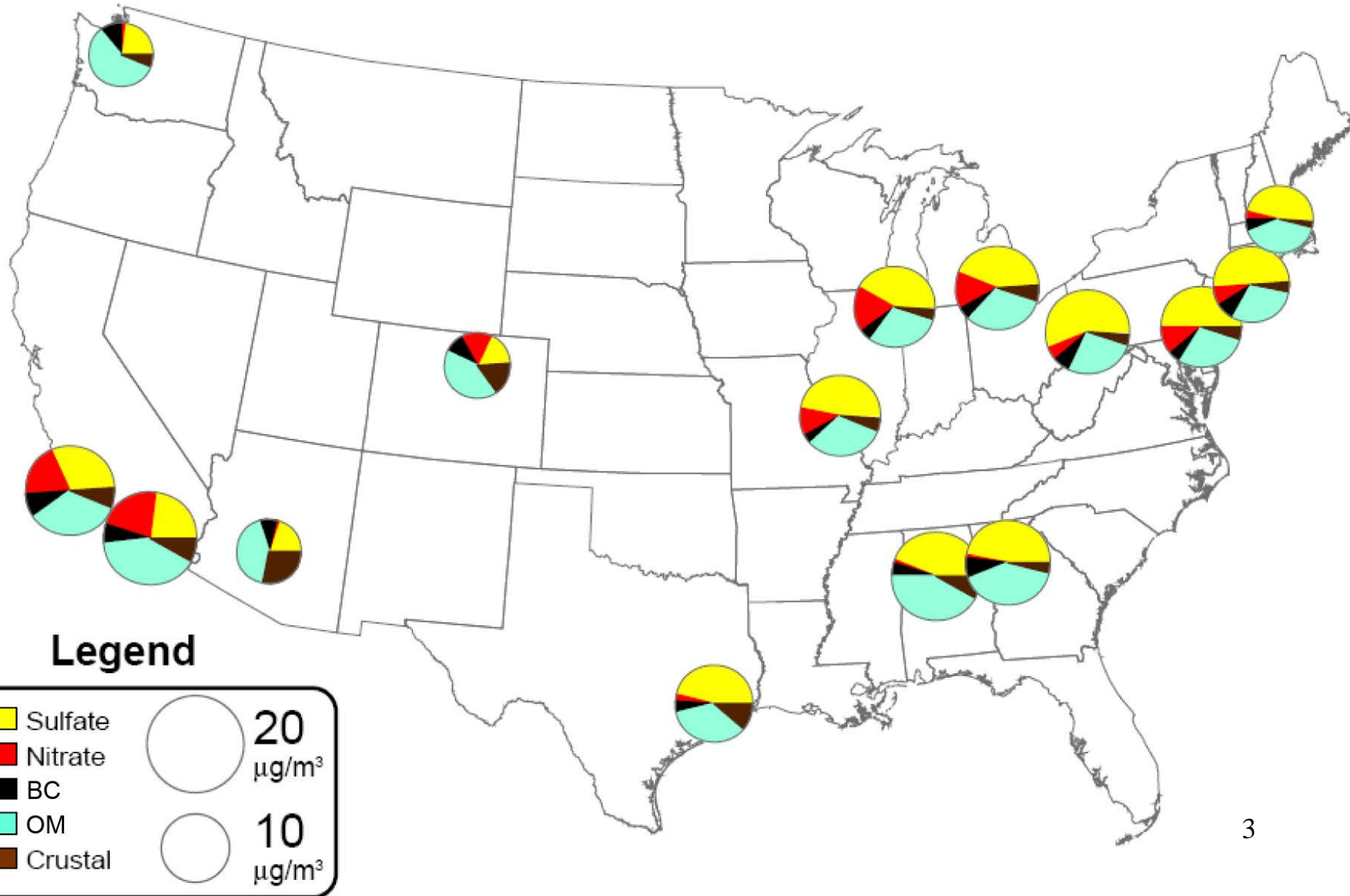


- Black carbon is the most strongly light-absorbing component of particulate matter (PM).
 - BC is a solid form of mostly pure carbon that absorbs solar radiation (light) at all wavelengths.
- Other types of particles, including sulfates, nitrates and organic carbon (OC), generally reflect light.

- BC is formed by incomplete combustion of fossil fuels, biofuels, and biomass.
- BC is emitted directly into the atmosphere in the form of fine particles (i.e., “direct $PM_{2.5}$ ”).
- BC is a major component of “soot”, a complex light-absorbing mixture that also contains organic carbon.

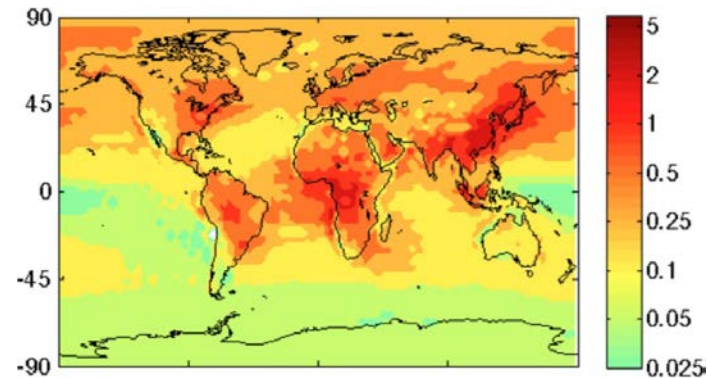


Composition of PM_{2.5} for 15 Selected Urban Areas in the United States



Climate Effects of Black Carbon

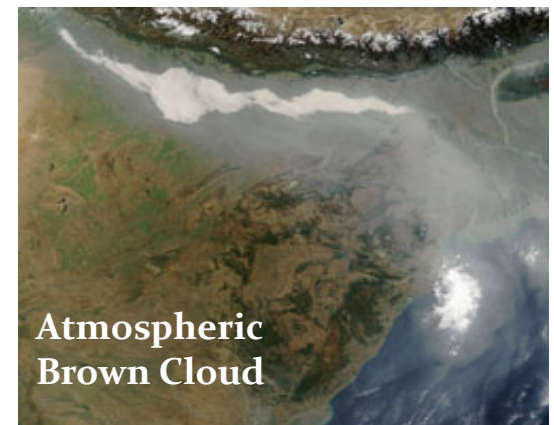
- BC influences climate by:
 - directly absorbing light (\Rightarrow **warming**)
 - reducing the reflectivity (“albedo”) of snow and ice through deposition (\Rightarrow **warming**)
 - interacting with clouds (\Rightarrow **cooling** and/or **warming**)
- BC’s climate impacts likely include increased global average temperatures and accelerated ice/snow melt.
- Sensitive regions such as the Arctic and the Himalayas are particularly vulnerable to warming/melting effects of BC.
- BC also contributes to surface dimming, the formation of ABCs, and changes in the pattern and intensity of precipitation.



Global Direct Forcing due to Black Carbon
(Watts per square meter, from Bond. et al., 2007)

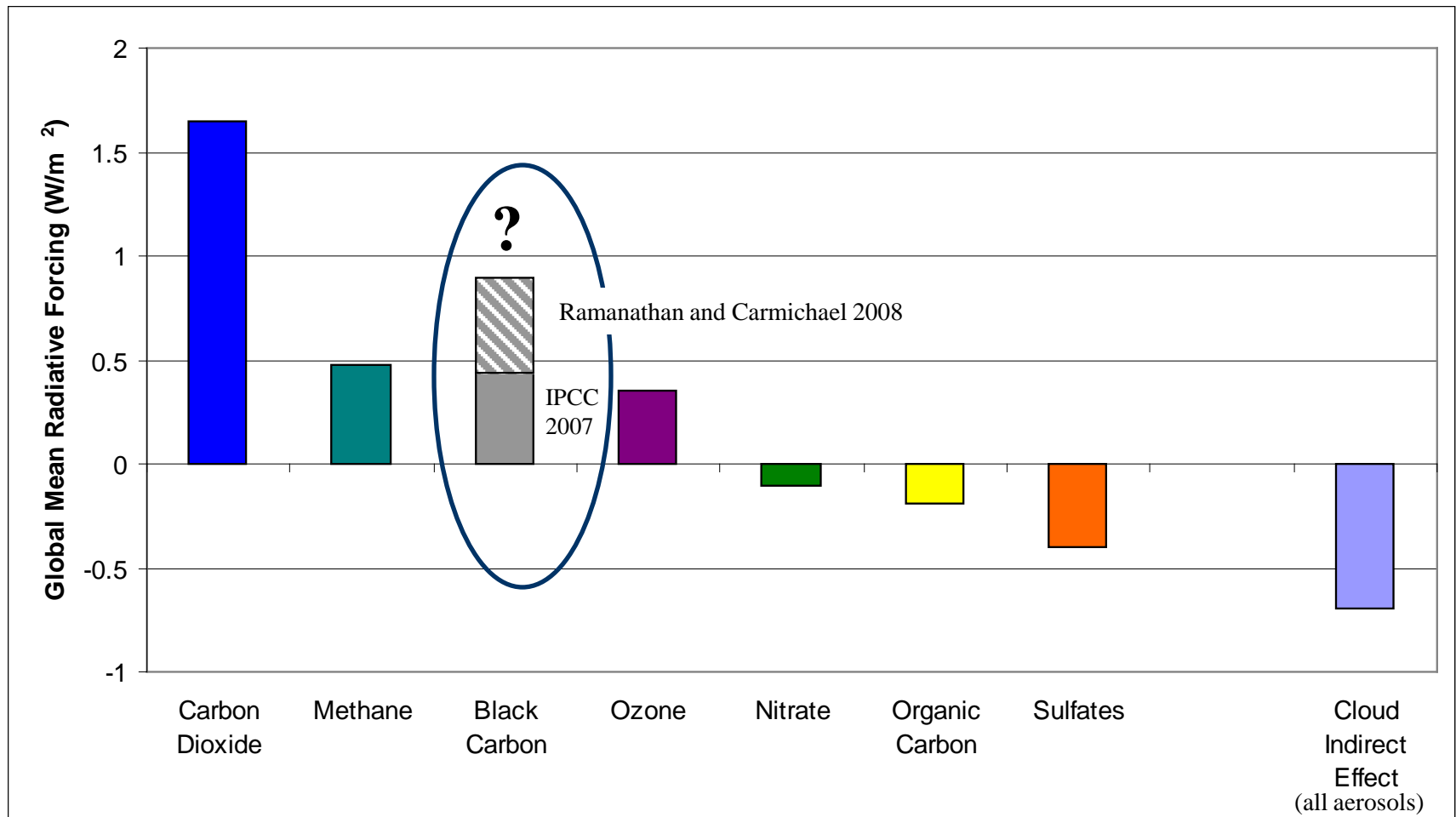


Deposition on Snow/Ice



**Atmospheric
Brown Cloud**

Comparison of Global Average Radiative Forcing of Key Short-Lived Forcers vs. CO₂



(Adapted from IPCC Synthesis Report, 2007; as well as Ramanathan and Carmichael, 2008)

BC Impacts: Arctic

- Arctic temperatures increasing faster than global average (IPCC, 2007)
- BC may be significant contributor to Arctic warming and ice melt

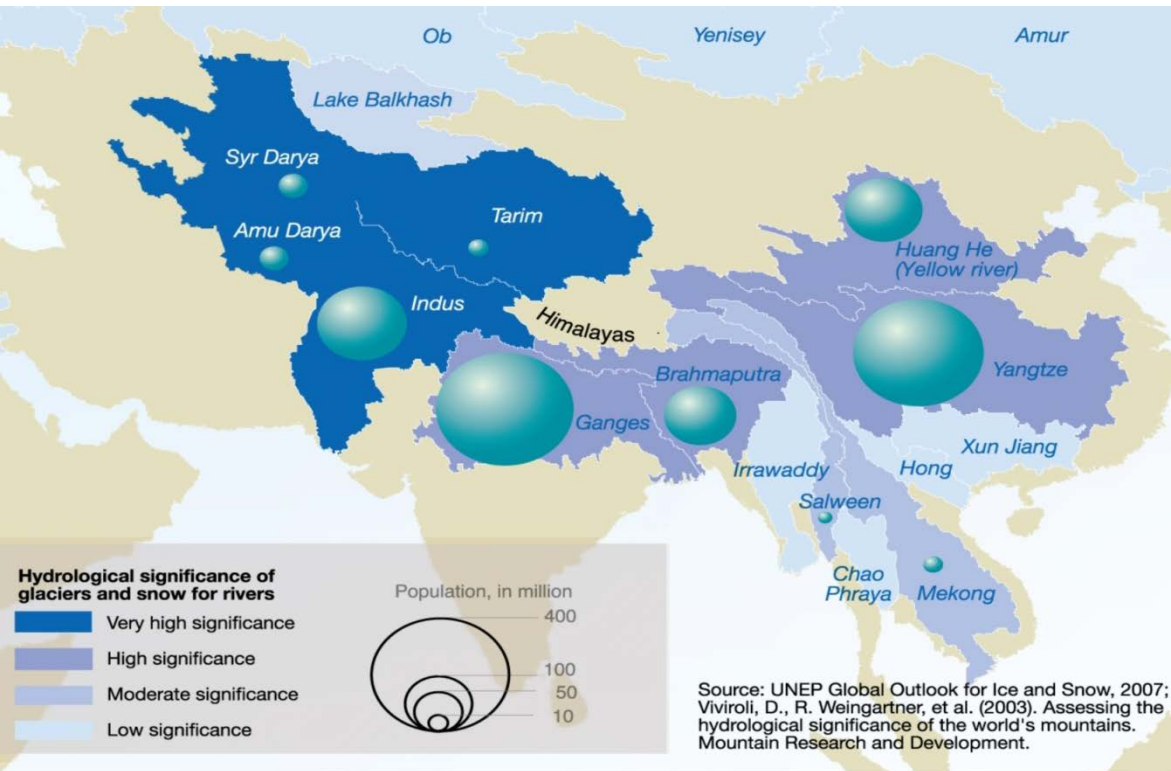


Source: Reuters

BC Impacts: Glaciers

In the Himalayan region, solar heating from **BLACK CARBON** at high elevations may be just as important as carbon dioxide in the melting of snowpacks and glaciers (Ramanathan & Carmichael, 2008)

Himalayas: Source of 14 Major Rivers in Asia Under Threat



Western U.S.

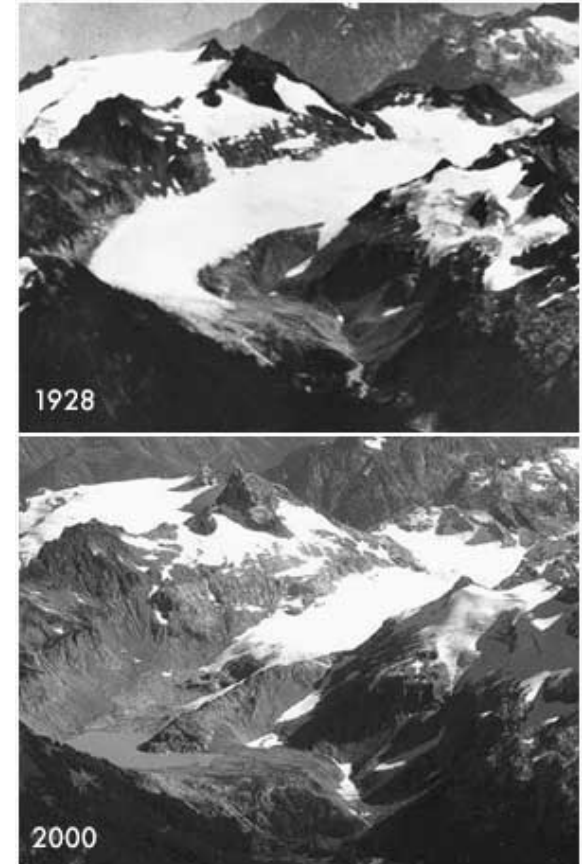
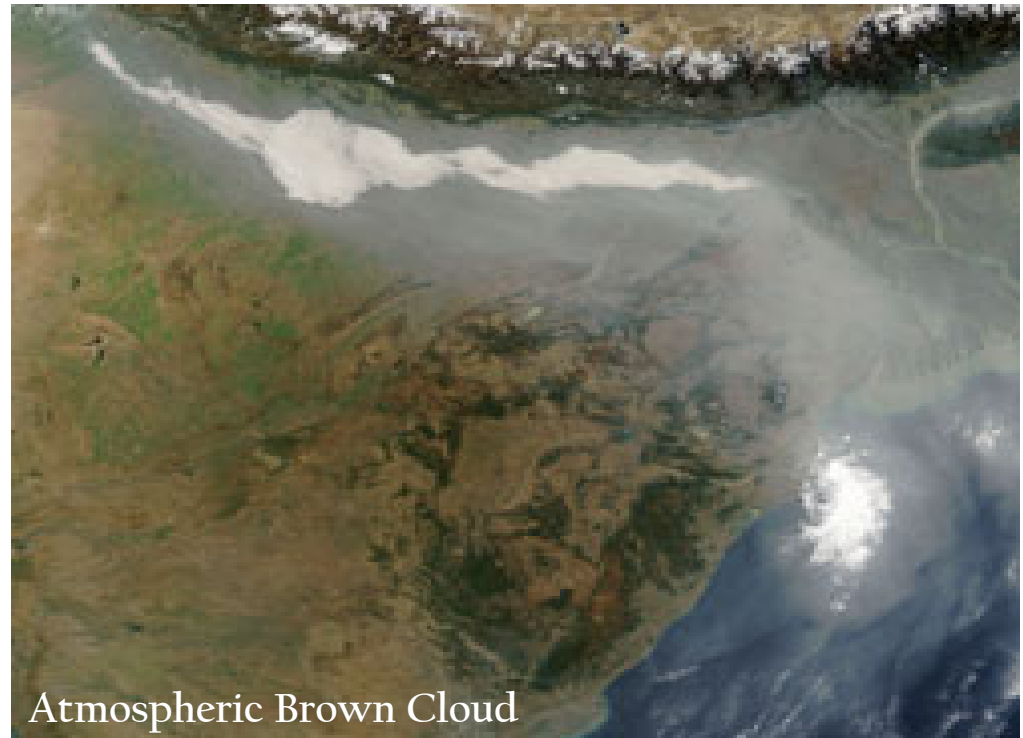


Photo: [USGS](https://www.usgs.gov/)

BC Impacts: Precipitation

- Pollution plumes known as Atmospheric Brown Clouds (ABCs) may also affect rainfall patterns
 - ABCs contain significant amounts of BC, as well as organic carbon, sulfates, nitrates, and dust
- Can persist up to 7 months per year



Atmospheric Brown Cloud

NASA Goddard Space Flight Center/Jeff Schmaltz

Health Effects of Black Carbon



Brick Kiln in Kathmandu

- BC contributes to the adverse impacts on human health, ecosystems, and visibility associated with $PM_{2.5}$.
- Short-term and long-term exposures to $PM_{2.5}$ are associated with a broad range of human health impacts, including respiratory and cardiovascular effects and premature death.

- The World Health Organization (WHO) estimates that indoor smoke from solid fuels is among the top 10 major mortality risk factors globally, contributing to approximately 2 million deaths each year (mainly among women and children).
- Emissions and ambient concentrations of directly emitted $PM_{2.5}$ are often highest in urban areas, where large numbers of people live.



Traditional Cookstove in India 9

Black carbon (BC)

- As a part of PM_{2.5}, BC is associated with premature mortality
- BC is a climate warmer - absorbs sunlight in atmosphere and deposits on snow and ice, reducing reflected sunlight
- >90% of global anthropogenic BC emissions from three sectors

Industrial:

Brick kilns
Coke ovens



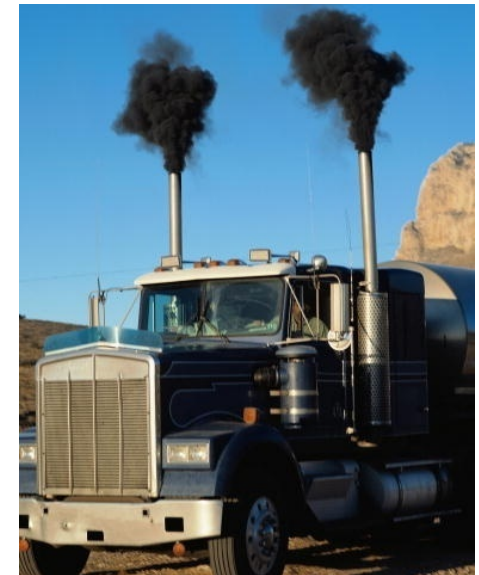
Residential:

Solid fuel burning
cookstoves



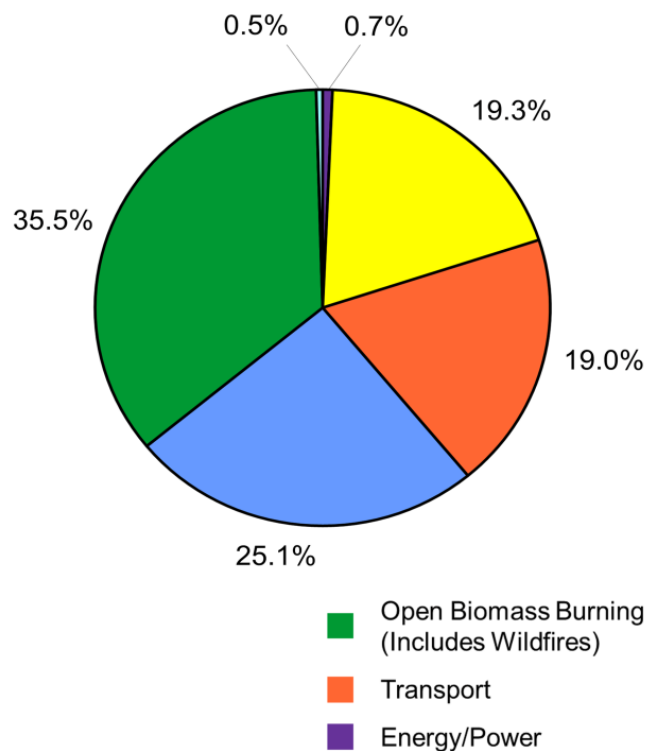
Transportation:

On-road diesels
Off-road diesels

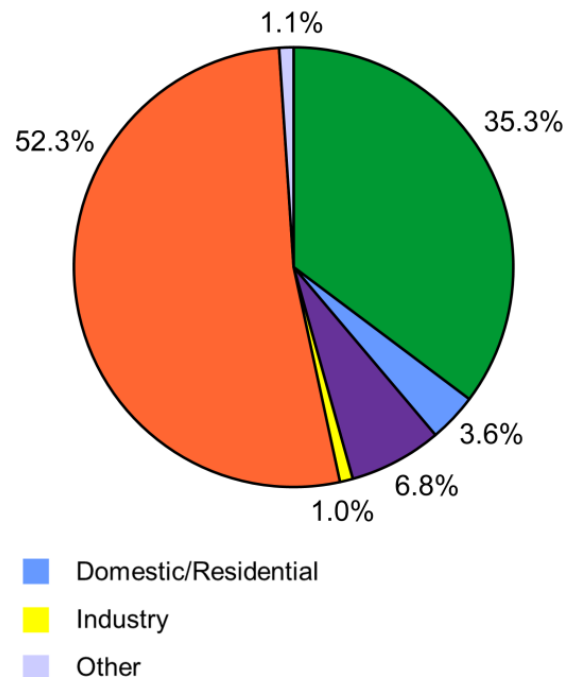


Black Carbon Emissions

Global BC Emissions, 2000 (7,600 Gg)



U.S. BC Emissions in 2005 (0.64 Million Tons)
(580 Gg)



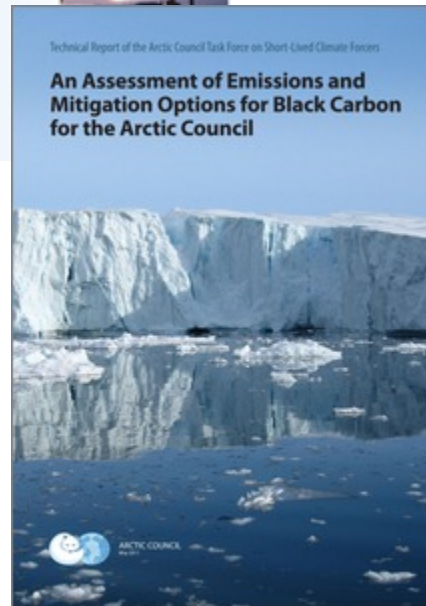
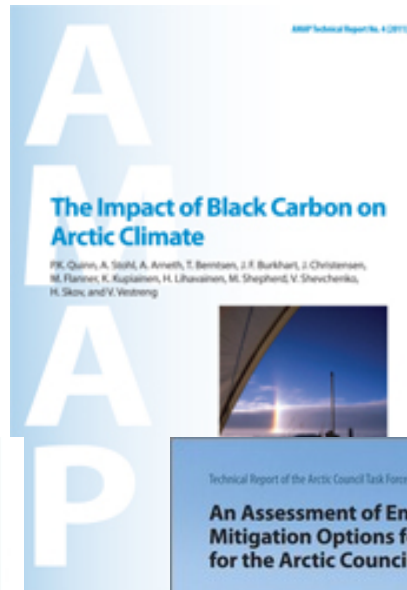
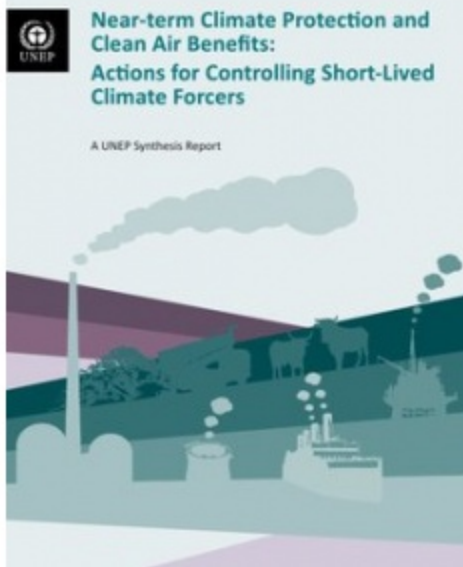
- 75% of global BC emissions come from Asia, Africa and Latin America.
- U.S. currently accounts for approximately 8% of the global total, and this fraction is declining.
- Emissions patterns and trends across regions, countries and sources vary significantly.
- In the U.S., BC emissions ~12% of all direct PM_{2.5} emissions nationwide.
- Mobile sources are the largest U.S. BC emissions category (with 93% of mobile source BC coming from diesels).

Potential Benefits of BC Mitigation

- Targeted strategies to reduce BC emissions can be expected to provide climate benefits within the next several decades, and may be particularly important for sensitive regions such as the Arctic.
- Reductions in BC and GHGs are complementary strategies for mitigating climate change.
- The health and environmental benefits of BC reductions are also substantial.
 - Average public health benefits of reducing directly emitted $PM_{2.5}$ in the U.S. are estimated to range from \$290,000 to \$1.2 million per ton $PM_{2.5}$ in 2030.
 - Globally, $PM_{2.5}$ reductions due to BC mitigation measures could potentially lead to hundreds of thousands of avoided premature deaths each year.

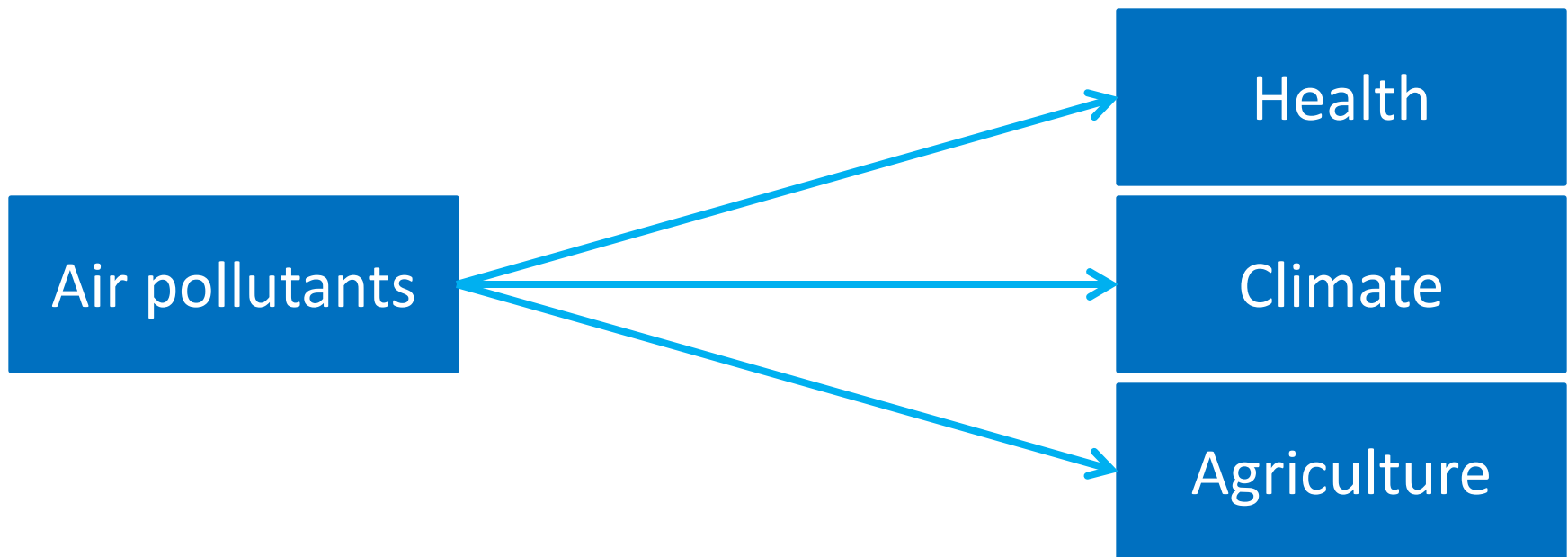


Science-policy reports on short-lived climate forcers



Simultaneously mitigating air pollution and near-term climate change

- Mitigation measures targeting PM_{2.5} and ozone are employed around the world
- Those that target black carbon (BC) and methane may have climate co-benefits

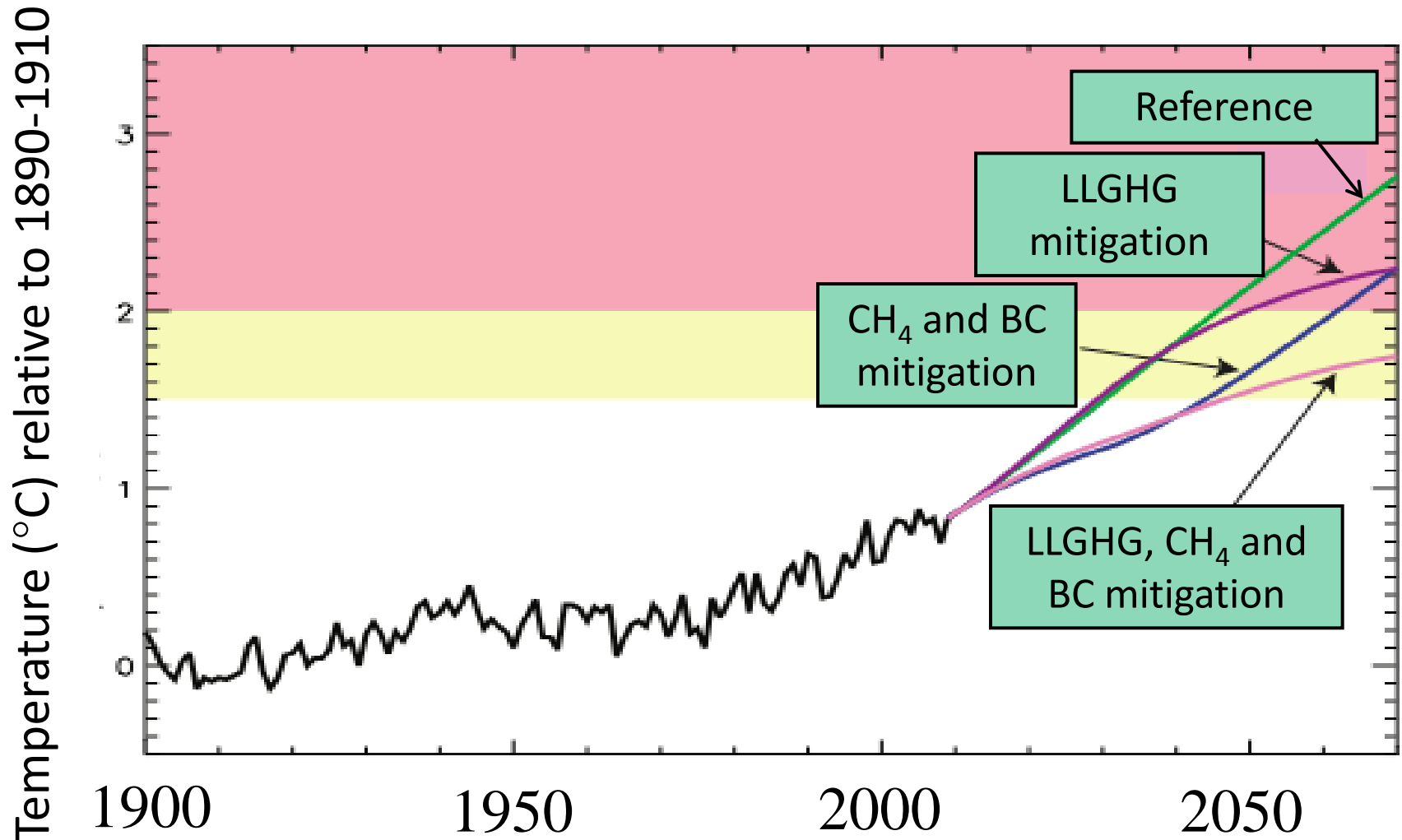


What are the climate, health, and agricultural benefits of further implementing climate-friendly air pollution mitigation measures that have already been employed around the world?

UNEP/WMO Integrated Assessment of Black Carbon and Tropospheric Ozone

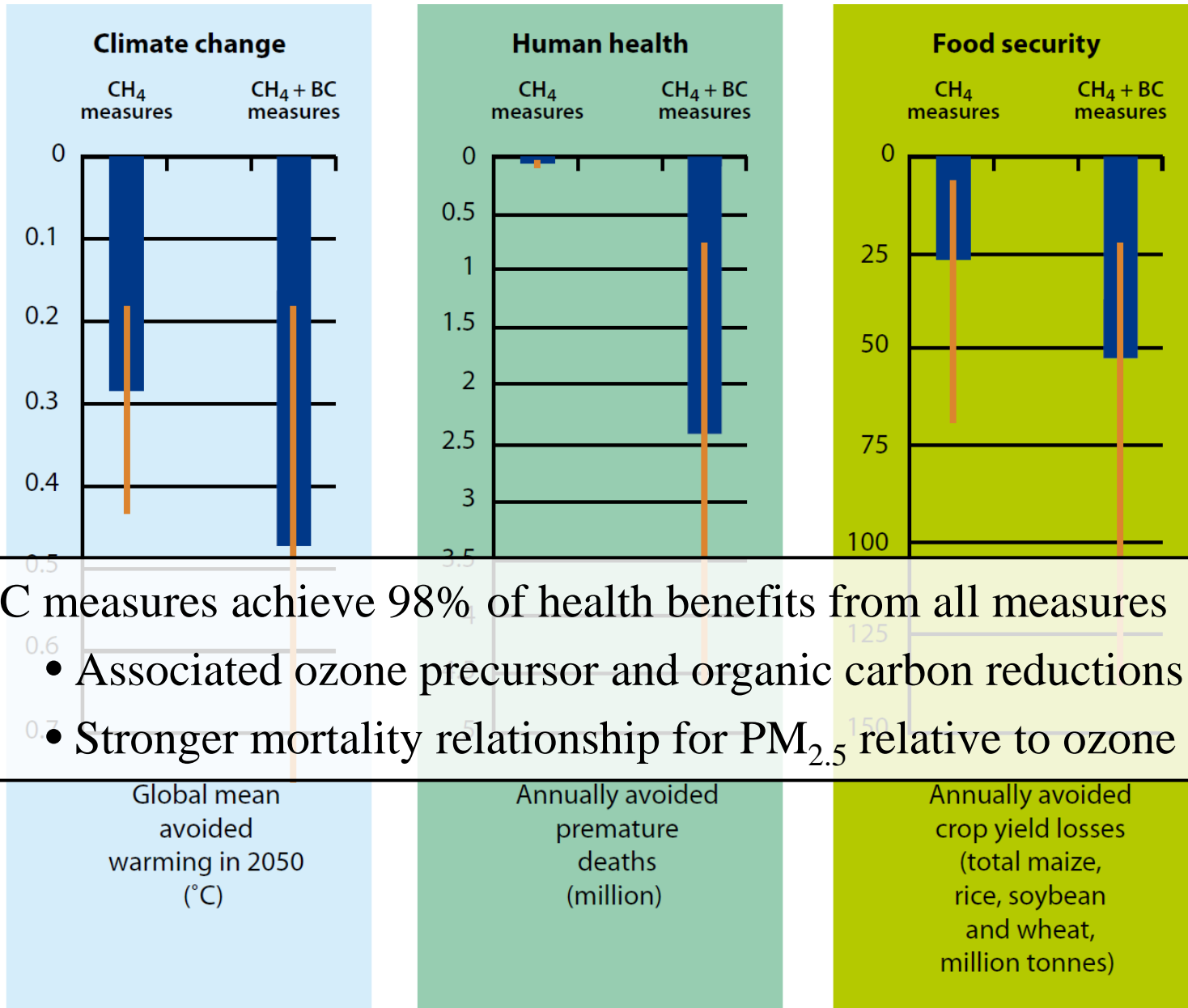
- Screened ~2000 emission control measures in GAINS database
- Identified 14 specific BC and methane emission control measures based on potential benefits for near-term climate
- Examined 5 emission scenarios:
 - Present-day (2005)
 - 2030 reference (World Energy Outlook, IEA 2009)
 - **Methane** measures
 - Methane + **BC Group 1** measures (technological – i.e. diesel particulate filters, improving biomass cook stoves)
 - Methane + BC Group 1 + **BC Group 2** measures (policy – i.e. elimination of high-emitting vehicles and biomass cook stoves)
- Calculated climate, health, agricultural, and economic benefits of the 3 groups of measures

Near-term climate benefits



UNEP/WMO Integrated Assessment of BC and Ozone, 2011; Shindell et al. *Science*, 2012

Benefits of mitigating BC and methane



BC measures achieve 98% of health benefits from all measures

- Associated ozone precursor and organic carbon reductions
- Stronger mortality relationship for PM_{2.5} relative to ozone

Mitigating BC: Key Considerations

- For both climate and health, it is important to consider the location and timing of emissions and to account for co-emissions.
- Available control technologies can reduce BC, generally by improving combustion and/or controlling direct $PM_{2.5}$ emissions from sources.
- Some state and local areas in the U.S. have already identified control measures aimed at direct $PM_{2.5}$ as particularly effective strategies for meeting air quality goals.
- Though the costs vary, many reductions can be achieved at reasonable costs. Controls applied to reduce BC will help reduce total $PM_{2.5}$ and other co-pollutants.



POTENTIAL BENEFITS = MITIGATION POTENTIAL +/- CONSTRAINING FACTORS



Goals

Climate

Radiative Forcing
Temperature
Ice/Snow Melt
Precipitation

Health

Ambient Exposures
Indoor Exposures

Environment

Surface Dimming
Visibility

Emissions sources

Stationary Sources

Brick Kilns
Coke Ovens
Diesel Generators
Utilities
Flaring

Open Biomass Burning

Agricultural Burning
Prescribed Burning
Wildfire

Mobile Sources

On-Road Diesel
On-Road Gasoline
Construction Equip.
Agricultural Equip.
Locomotives
Marine

Residential Cooking and Heating

Cookstoves
Woodstoves
Hydronic Heaters

Mitigation options

Available Control Technologies

e.g. Diesel
Particulate Filters

Alternative Strategies to Reduce Emissions

e.g. Efficiency
Improvements, Substitution

Timing

Location

Atmospheric
Transport

Co-Emitted
Pollutants

Cost

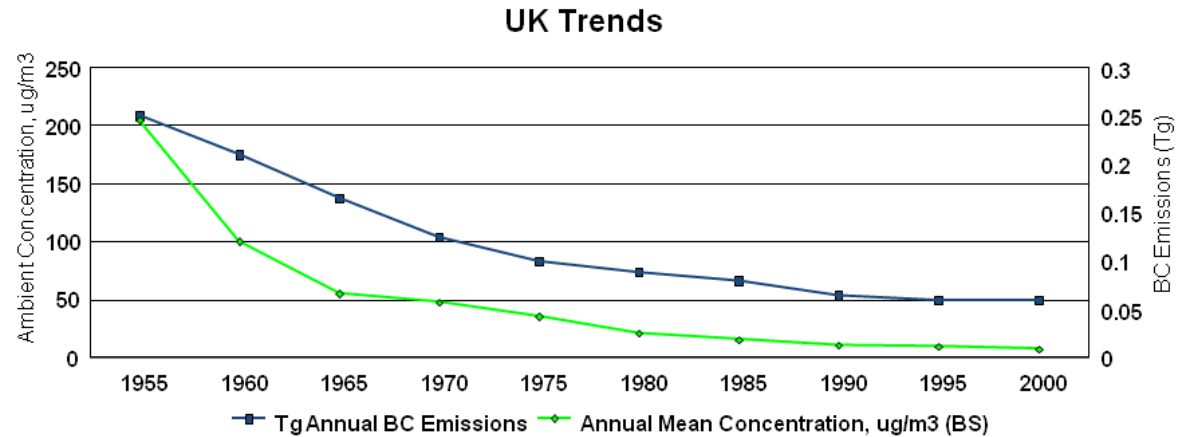
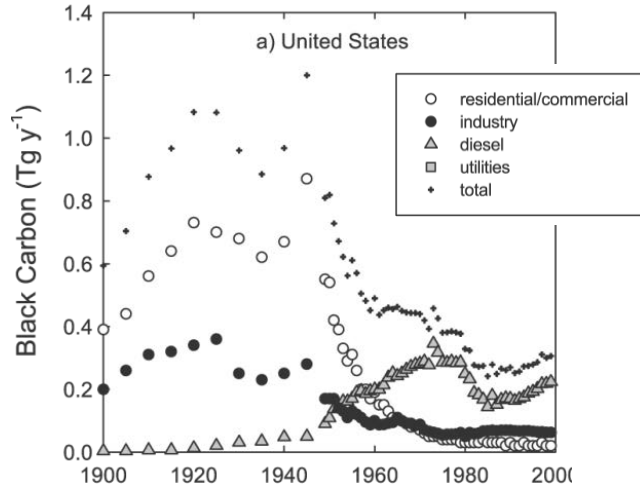
Existing Regulatory
Programs

Implementation
Barriers

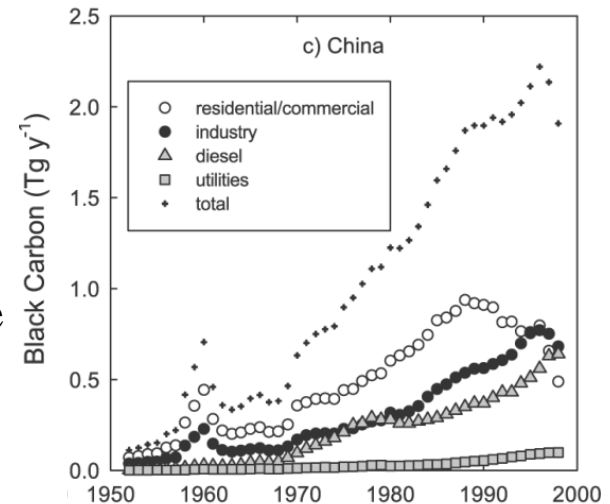
Uncertainty¹⁹

Black Carbon Emissions: Trends

- Long-term historic trends of BC emissions in the United States and other developed countries reveal a steep decline in emissions over the last several decades.
- Ambient BC concentrations have declined as emissions have been reduced.



- Developing countries (e.g., China and India) have shown a very sharp rise in BC emissions over the past 50 years.
- Total global BC emissions are likely to decrease in the future, but developing countries may experience emissions growth in key sectors (transportation, residential).



BC Mitigation Opportunities

United States

- The U.S. will achieve substantial BC emissions reductions by 2030, largely due to controls on new mobile diesel engines.
 - Diesel retrofit programs for in-use mobile sources are a valuable complement to new engine standards for reducing emissions.
- Other U.S. source categories have more limited mitigation potential due to smaller remaining emissions in these categories, or limits on the availability of effective BC control strategies:
 - Stationary sources
 - Residential wood combustion
 - Open biomass burning



Global

- The most important BC emissions reduction opportunities globally include:
 - residential cookstoves in all regions
 - brick kilns and coke ovens in Asia
 - mobile diesels in all regions
- A variety of other opportunities may exist in individual countries or regions.

Sensitive Regions

- Arctic: transportation sector (land-based diesel engines and Arctic shipping); residential heating (wood); and biomass burning.
- Himalayas: residential cooking; industrial sources (especially coal-fired brick kilns); and transportation (diesel engines).

Appendix

Technical measures for methane emissions

- Extended pre-mine degasification and recovery and oxidation of methane from ventilation air from coal mines
- Extended recovery and utilization, rather than venting, of associated gas and improved control of unintended fugitive emissions from the production of oil and natural gas
- Reduced gas leakage from long-distance transmission pipelines
- Separation and treatment of biodegradable municipal waste through recycling, composting and anaerobic digestion as well as landfill gas collection with combustion/utilization
- Upgrading primary wastewater treatment to secondary/tertiary treatment with gas recovery and overflow control
- Control of methane emissions from livestock, mainly through farm-scale anaerobic digestion of manure from cattle and pigs
- Intermittent aeration of continuously flooded rice paddies

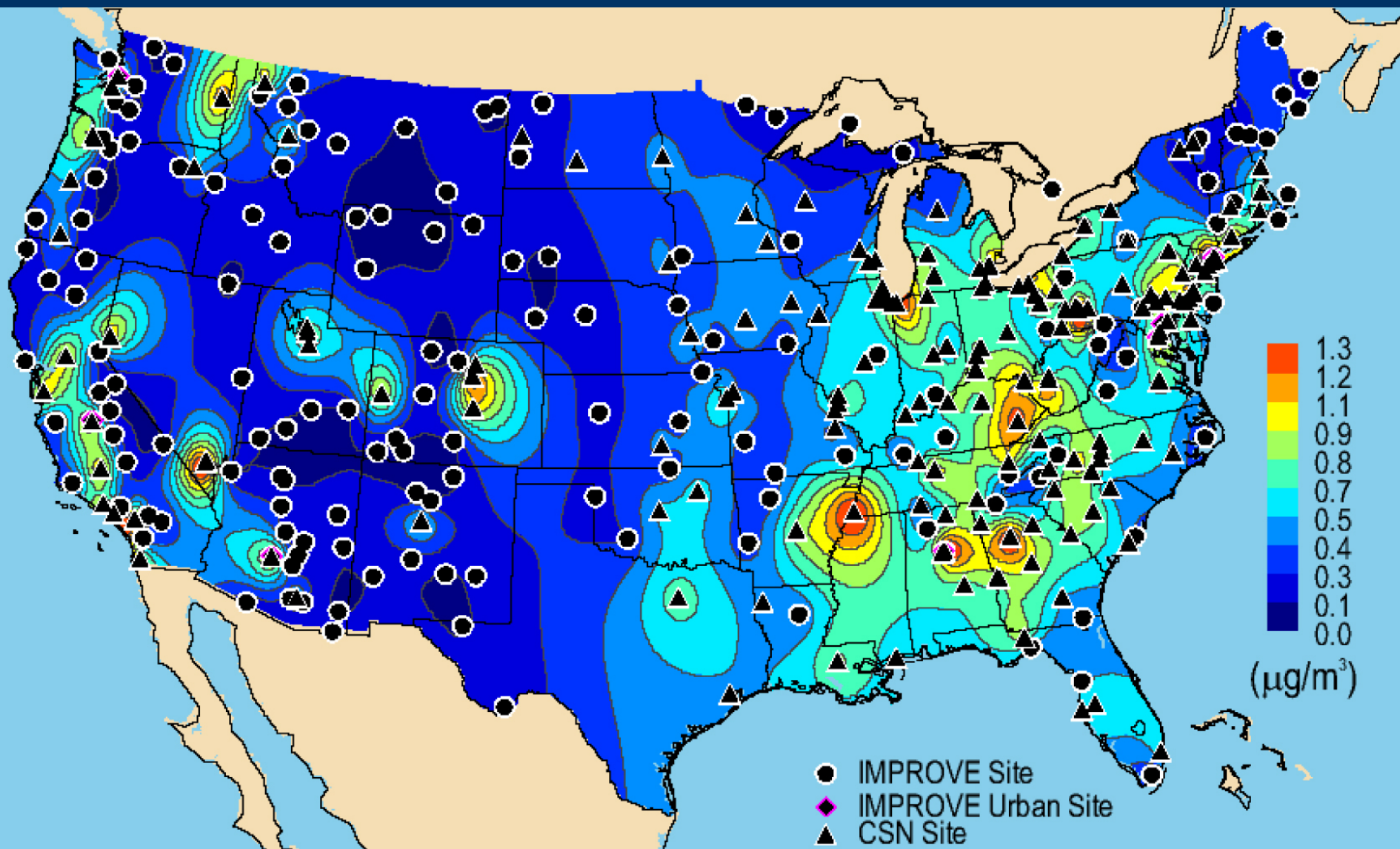
BC Group 1: Technical measures for reducing emissions of incomplete combustion

- Diesel particle filters as part of a Euro VI package for road and off-road diesel vehicles
- Introduction of clean-burning cook stoves for cooking and heating in developing countries
- Replacing traditional brick kilns with vertical shaft kilns and Hoffman kilns
- Replacing traditional coke ovens with modern recovery ovens, including the improvement of end-of-pipe abatement measures in developing countries

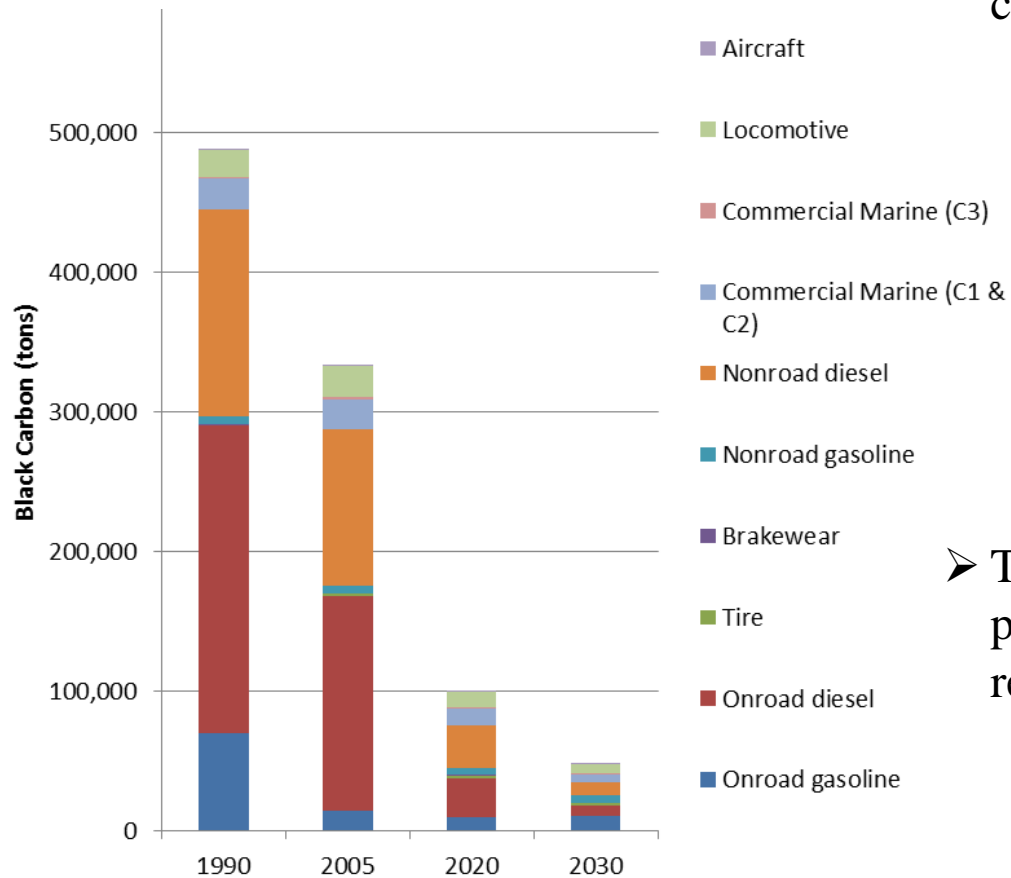
BC Group 2: Non-technical measures to eliminate the most polluting activities

- Elimination of high-emitting vehicles in road and off-road transport (excluding shipping)
- Ban of open field burning of agricultural waste
- Substitution of clean-burning cook stoves using modern fuels for traditional biomass cook stoves in developing countries

Annual Mean BC Concentrations ($\mu\text{g}/\text{m}^3$) for 2005-2008



U.S. Mobile Sources



Emissions from U.S. Mobile Sources

➤ BC emissions from U.S. mobile diesel engines controlled via:

- Emissions standards for new engines, including requirements resulting in use of diesel particulate filters (DPFs) in conjunction with ultra low sulfur diesel fuel.
- Retrofit programs for in-use mobile diesel engines, such as EPA's National Clean Diesel Campaign and the SmartWay Transport Partnership Program.

➤ Total U.S. mobile source BC emissions are projected to decline by 86% by 2030 due to regulations already promulgated.

- EPA has estimated the cost of controlling $PM_{2.5}$ from new diesel engines at ~ \$14,000/ton (2010\$).

U.S. Stationary Sources

- Controls on industrial sources, combined with improvements in technology and broader deployment of cleaner fuels such as natural gas, have helped reduce U.S. BC emissions more than 70% since the early 1900s.
- Regulations limiting direct PM emissions (including BC) affect more than 40 categories of industrial sources, including coke ovens, cement plants, industrial boilers, and stationary diesel engines.
- Available control technologies and strategies include:
 - Use of cleaner fuels.
 - Direct PM_{2.5} reduction technologies (e.g. fabric filters (baghouses), electrostatic precipitators (ESPs), and diesel particulate filters (DPFs)).
 - The control technologies range in cost-effectiveness from \$48/ton PM_{2.5} to \$685/ton PM_{2.5} (2010\$) or more, depending on the source category. However, they also may involve tens of millions in initial capital costs.

U.S. Residential Heating and Cooking

- Emissions from residential wood combustion are currently being evaluated as part of EPA's ongoing review of emissions standards for residential wood heaters, including hydronic heaters, woodstoves, and furnaces.
- Mitigation options include replacing or retrofitting existing units, or switching to alternative fuels such as natural gas.
 - New EPA-certified wood stoves have a cost-effectiveness of about \$3,600/ton $PM_{2.5}$ reduced, while gas fireplace inserts average \$1,800/ton $PM_{2.5}$ reduced (2010\$).

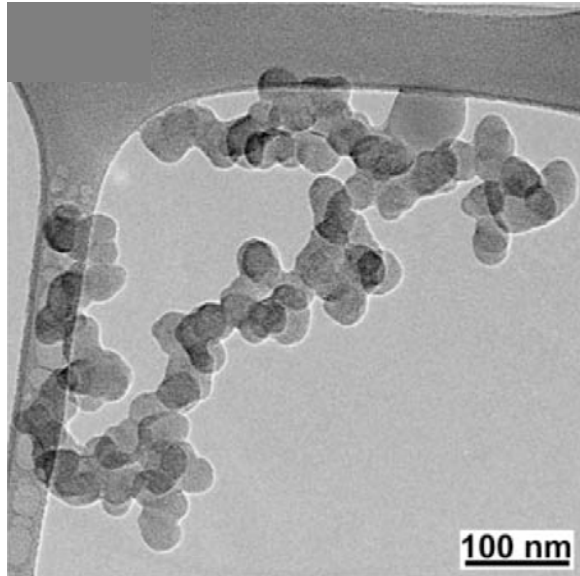


Open Biomass Burning

- Open biomass burning is the largest source of BC emissions globally, and these emissions have been tied to reduced snow and ice albedo in the Arctic.
 - A large percentage of these emissions are due to wildfire (e.g., U.S. Alaskan fires).
 - Total organic carbon (OC) emissions (which may be cooling) are seven times higher than total BC emissions from this sector.
- PM_{2.5} emissions reductions techniques (e.g., smoke management programs) may help reduce BC emissions.
- Appropriate mitigation measures depend on the timing and location of burning, resource management objectives, vegetation type, and available resources.
- Expanded wildfire prevention efforts may help to reduce BC emissions worldwide.



What is Black Carbon?

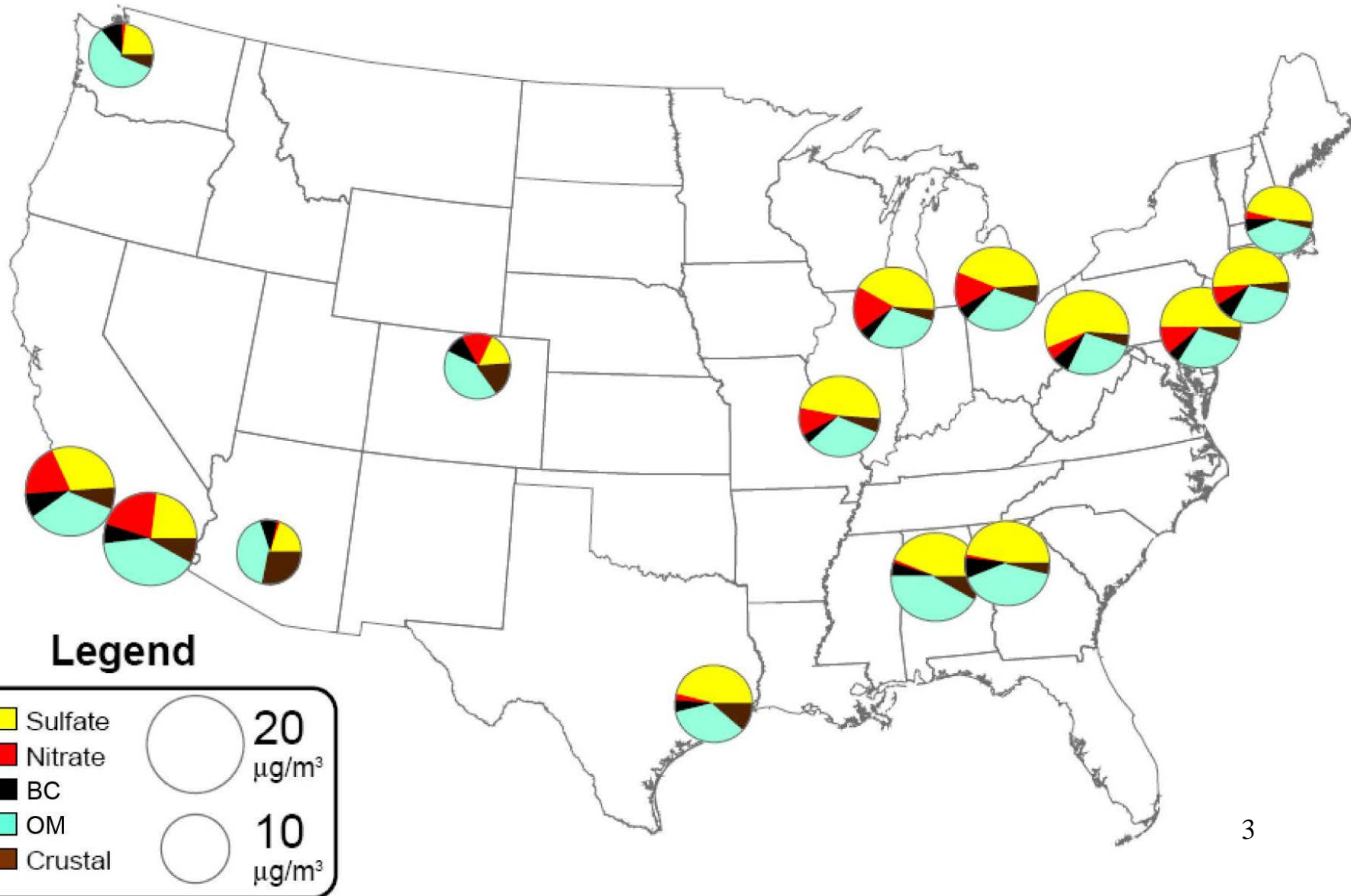


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Composition of PM_{2.5} for 15 Selected Urban Areas in the United States



BC Impacts: Arctic

- Arctic temperatures increasing faster than global average (IPCC, 2007)
- BC may be significant contributor to Arctic warming and ice melt

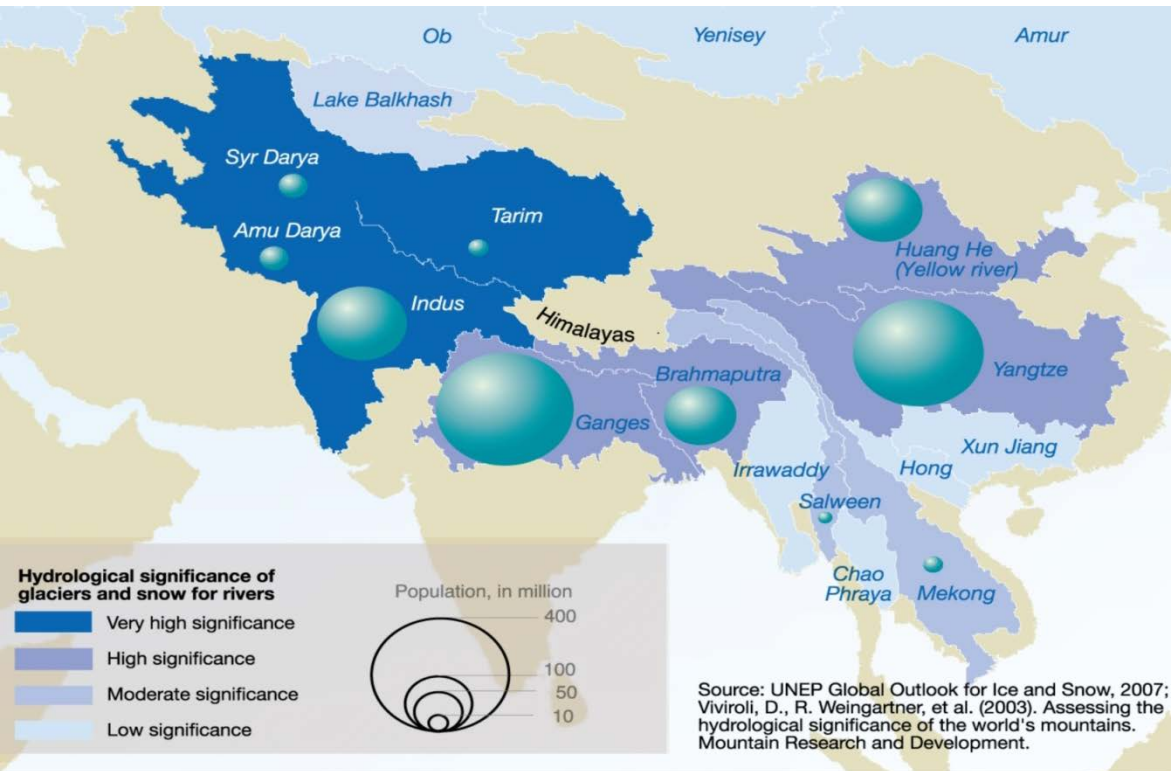


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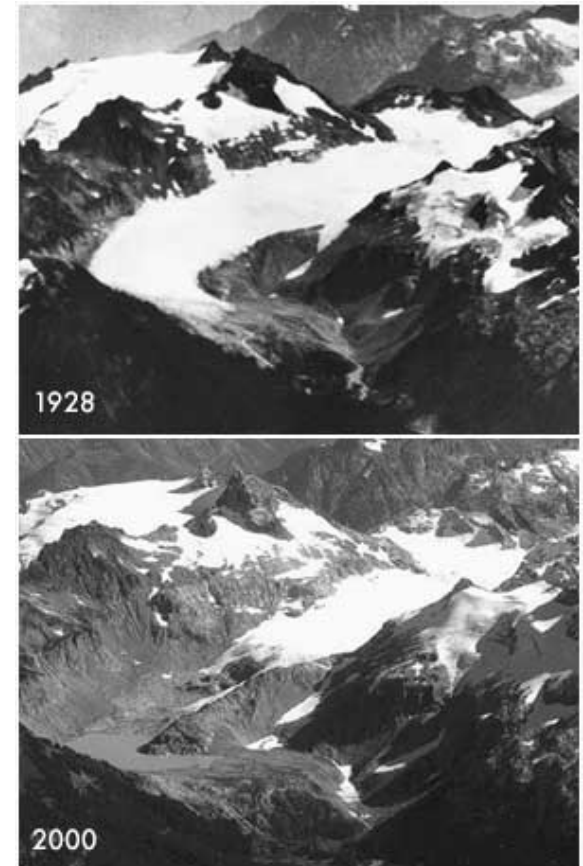
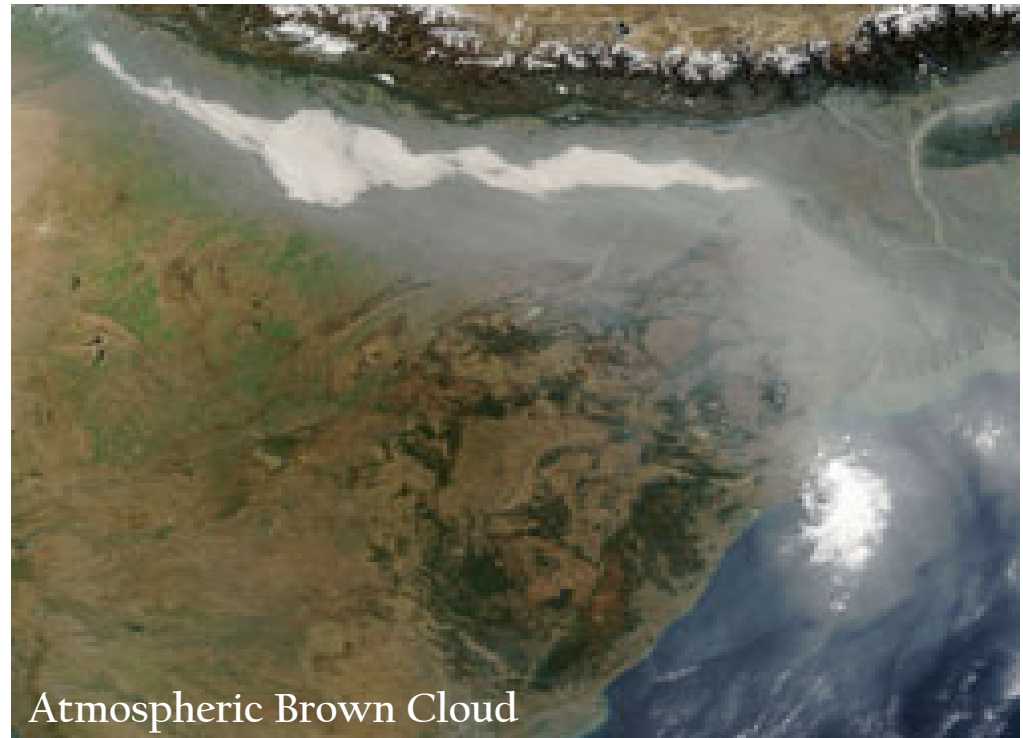


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Atmospheric Brown Cloud

NASA Goddard Space Flight Center/Jeff Schmaltz

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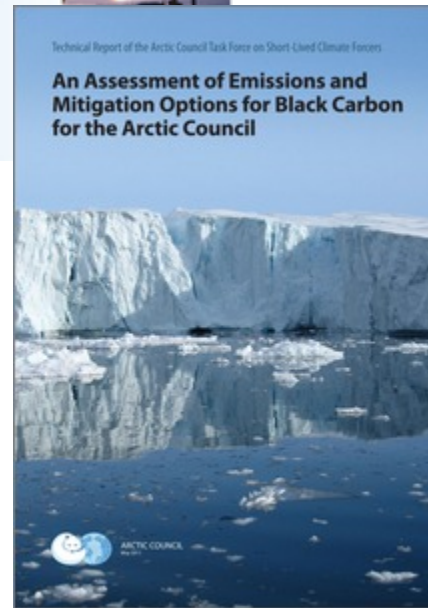
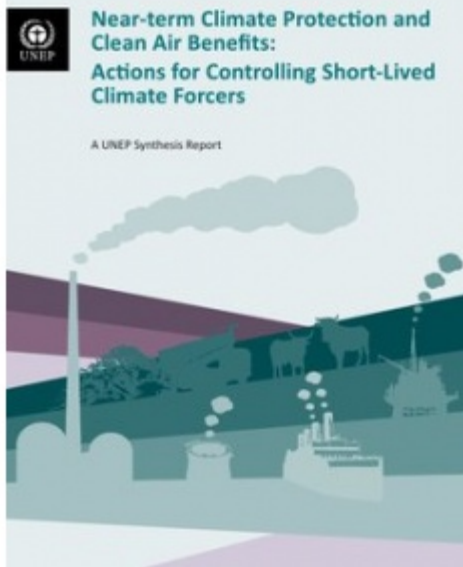
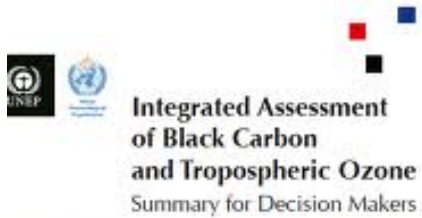
Traditional Cookstove in India 9

Potential Benefits of BC Mitigation

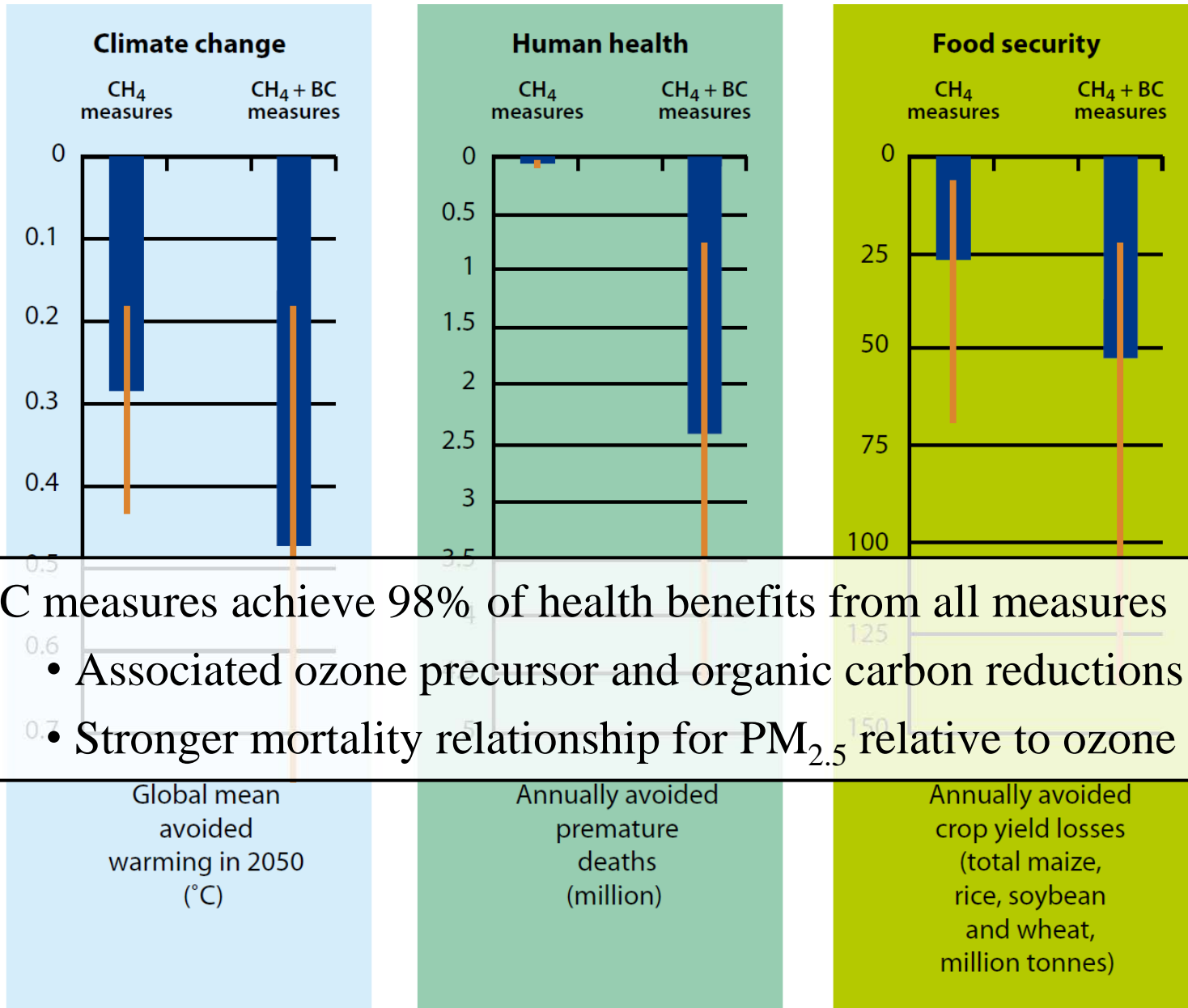
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Diesel Generators
Utilities
Flaring

Open Biomass Burning

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Prescribed Burning
Wildfire

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Locomotives
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Residential Cooking and Heating

Cookstoves
Woodstoves
Hydronic Heaters

Mitigation options

Available Control Technologies

e.g. Diesel
Particulate Filters

Alternative Strategies to Reduce Emissions

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Timing

Location

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Transport

Co-Emitted
Pollutants

Cost

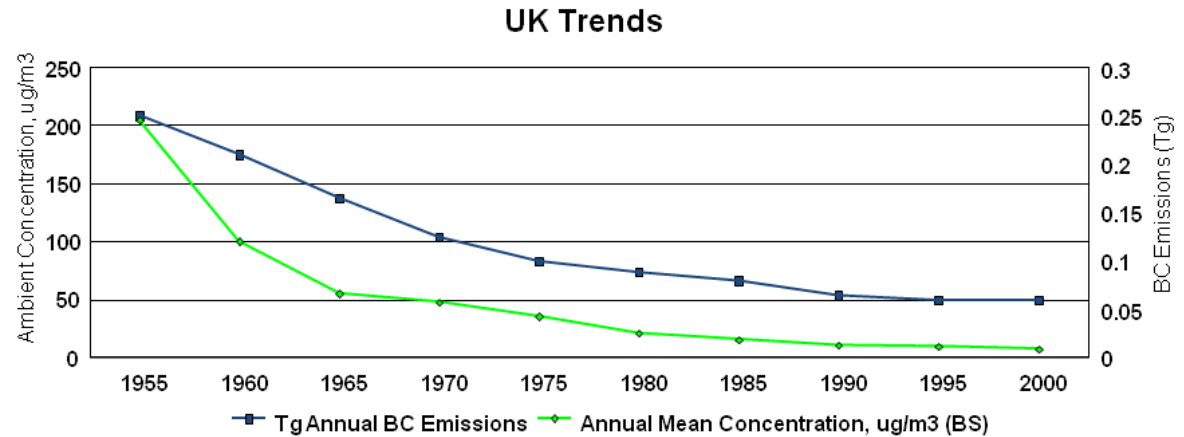
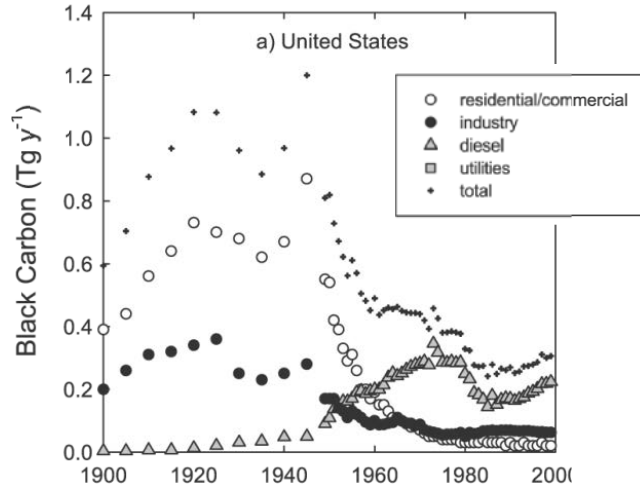
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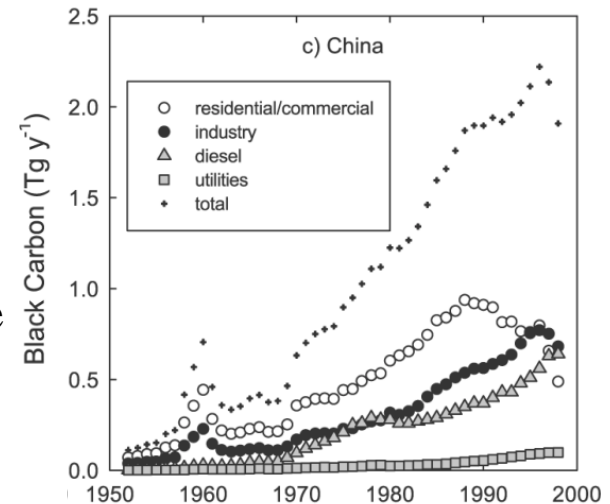
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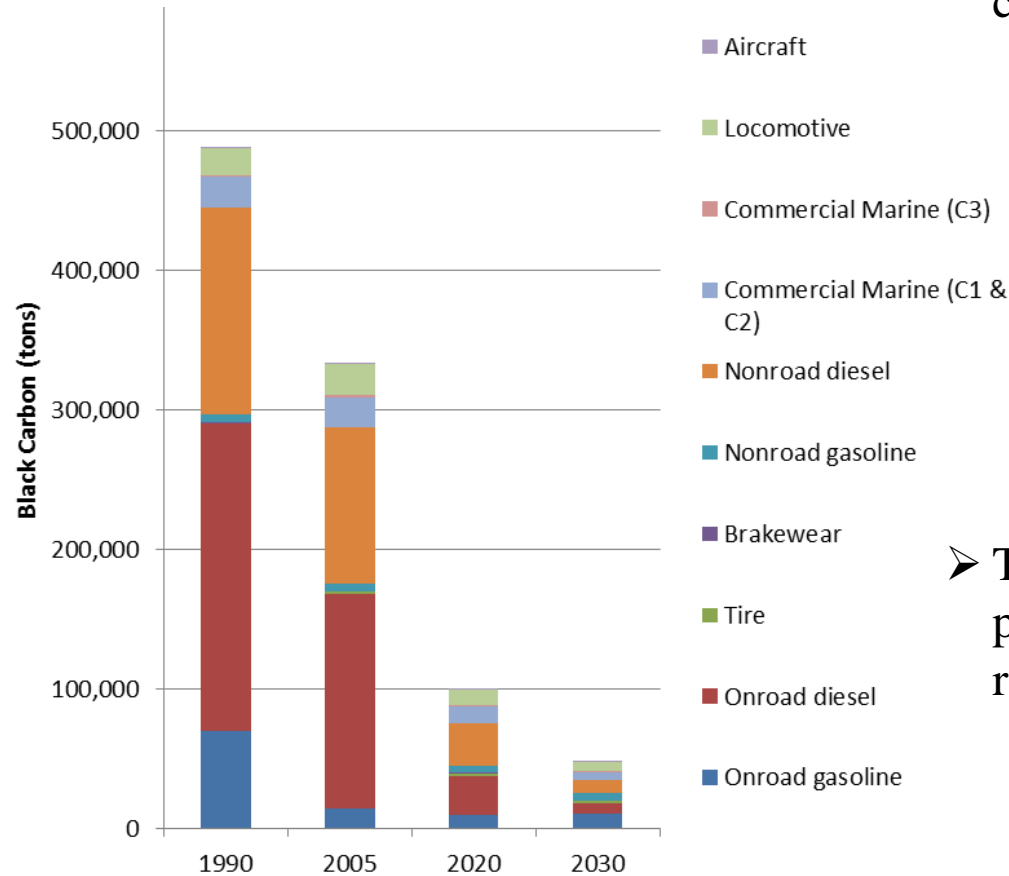


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Appendix

U.S. Mobile Sources



Emissions from U.S. Mobile Sources

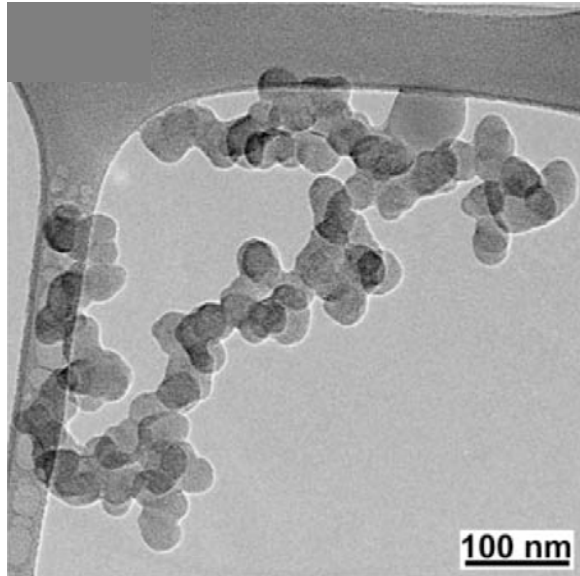
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What is Black Carbon?

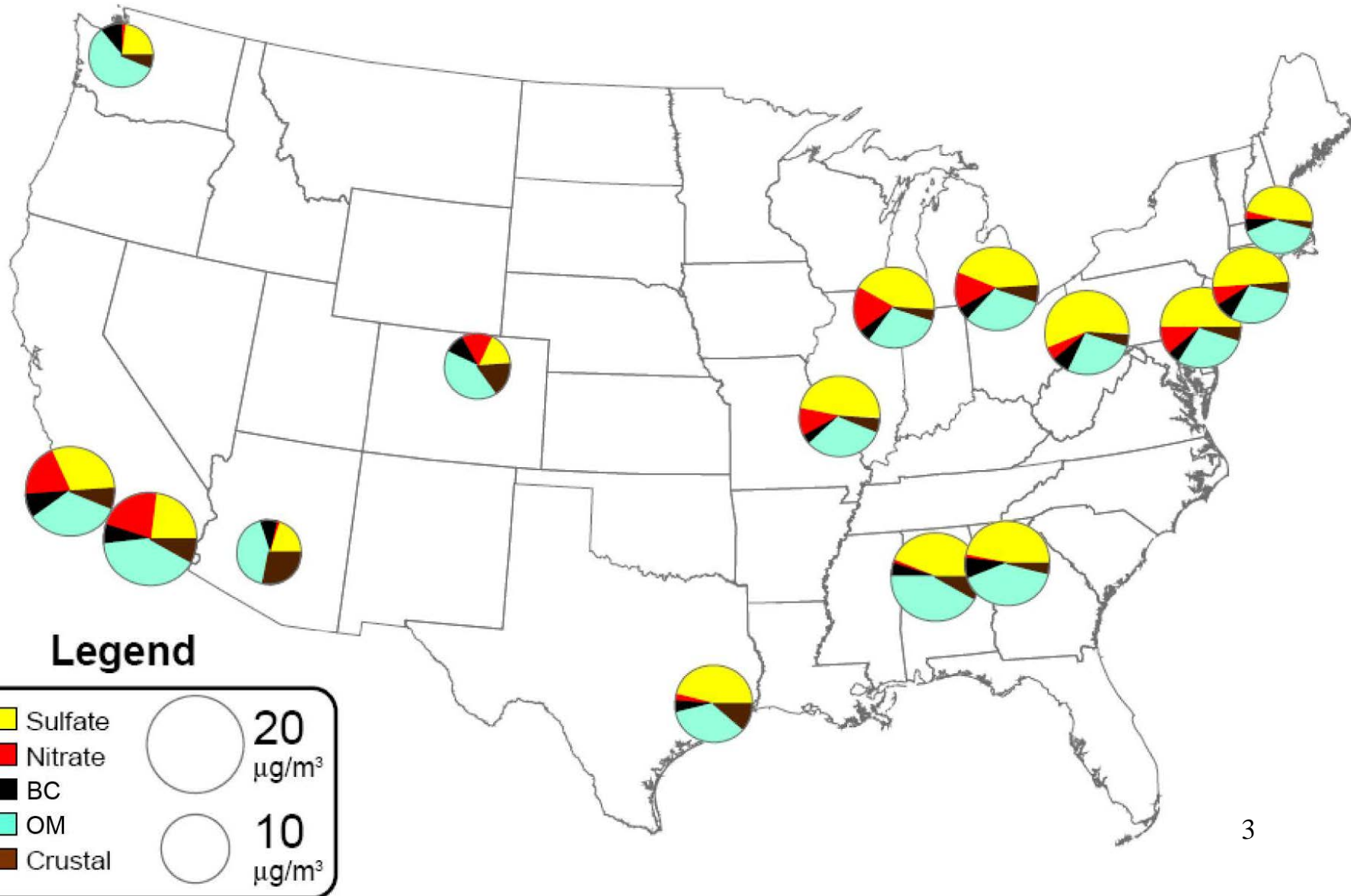


- Black carbon is the most strongly light-absorbing component of particulate matter (PM).
 - BC is a solid form of mostly pure carbon that absorbs solar radiation (light) at all wavelengths.
- Other types of particles, including sulfates, nitrates and organic carbon (OC), generally reflect light.

- BC is formed by incomplete combustion of fossil fuels, biofuels, and biomass.
- BC is emitted directly into the atmosphere in the form of fine particles (i.e., “direct $PM_{2.5}$ ”).
- BC is a major component of “soot”, a complex light-absorbing mixture that also contains organic carbon.



Composition of PM_{2.5} for 15 Selected Urban Areas in the United States



BC Impacts: Arctic

- Arctic temperatures increasing faster than global average (IPCC, 2007)
- BC may be significant contributor to Arctic warming and ice melt

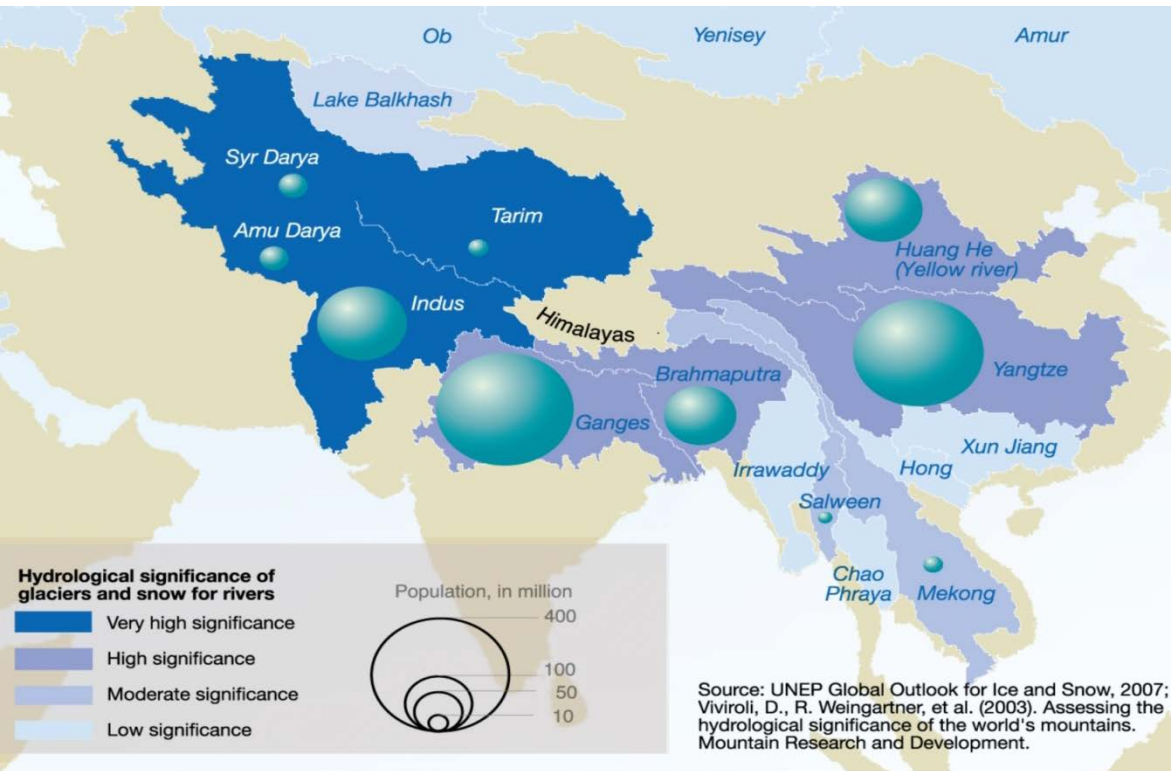


Source: Reuters

BC Impacts: Glaciers

In the Himalayan region, solar heating from **BLACK CARBON** at high elevations may be just as important as carbon dioxide in the melting of snowpacks and glaciers (Ramanathan & Carmichael, 2008)

Himalayas: Source of 14 Major Rivers in Asia Under Threat



Western U.S.

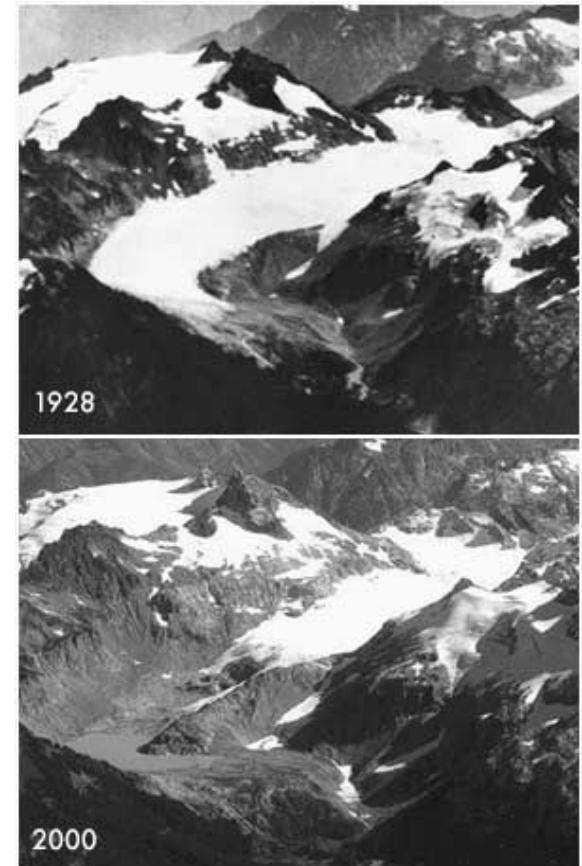
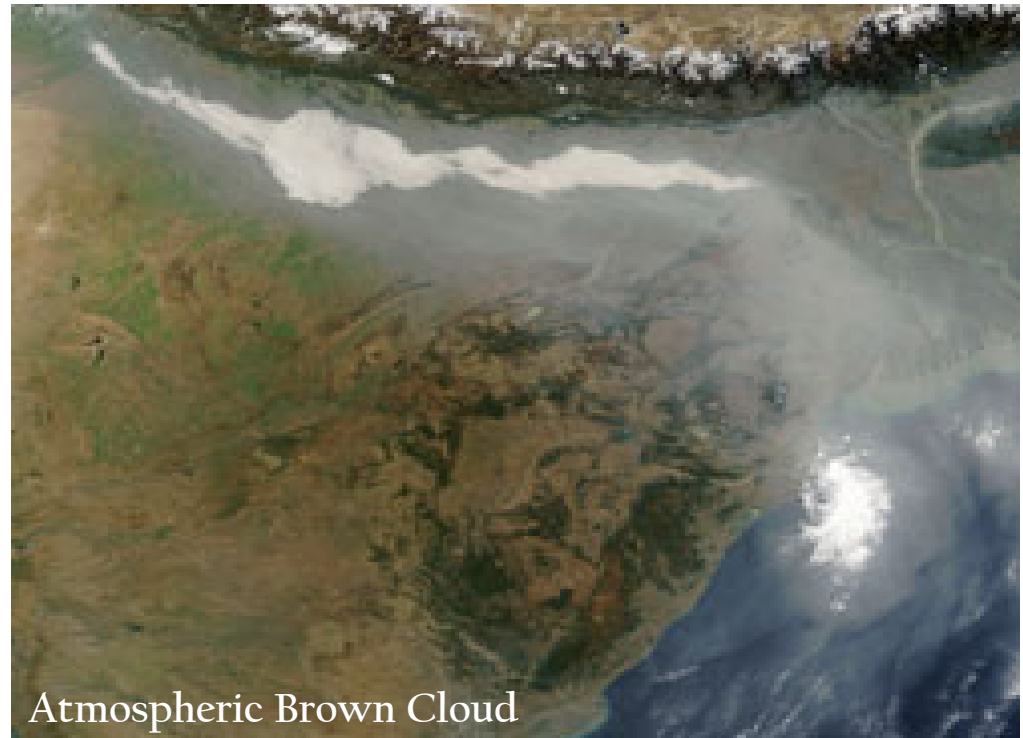


Photo: [USGS](https://www.usgs.gov/)

BC Impacts: Precipitation

- Pollution plumes known as Atmospheric Brown Clouds (ABCs) may also affect rainfall patterns
 - ABCs contain significant amounts of BC, as well as organic carbon, sulfates, nitrates, and dust
- Can persist up to 7 months per year



Atmospheric Brown Cloud

NASA Goddard Space Flight Center/Jeff Schmaltz

Health Effects of Black Carbon



Brick Kiln in Kathmandu

- BC contributes to the adverse impacts on human health, ecosystems, and visibility associated with $PM_{2.5}$.
- Short-term and long-term exposures to $PM_{2.5}$ are associated with a broad range of human health impacts, including respiratory and cardiovascular effects and premature death.

- The World Health Organization (WHO) estimates that indoor smoke from solid fuels is among the top 10 major mortality risk factors globally, contributing to approximately 2 million deaths each year (mainly among women and children).
- Emissions and ambient concentrations of directly emitted $PM_{2.5}$ are often highest in urban areas, where large numbers of people live.



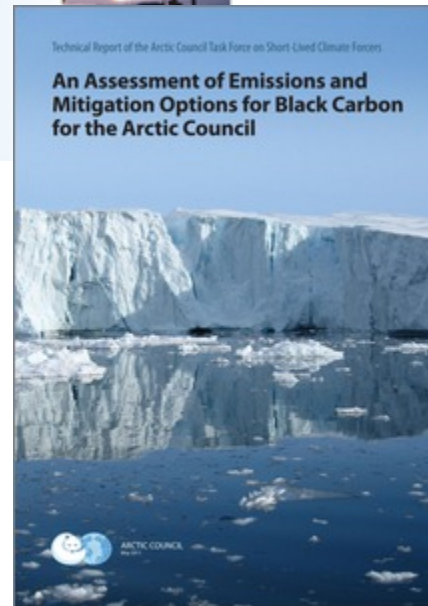
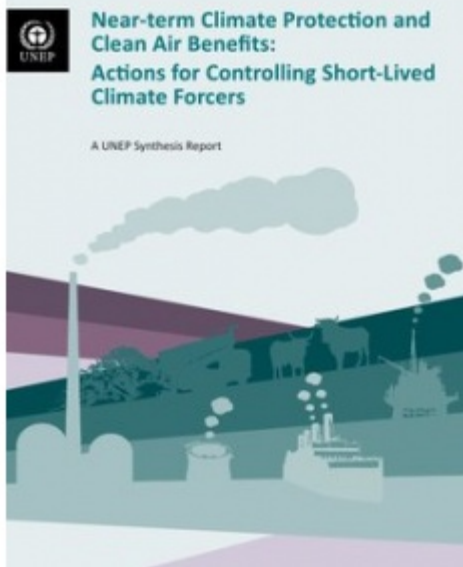
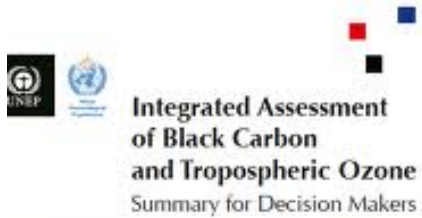
Traditional Cookstove in India 9

Potential Benefits of BC Mitigation

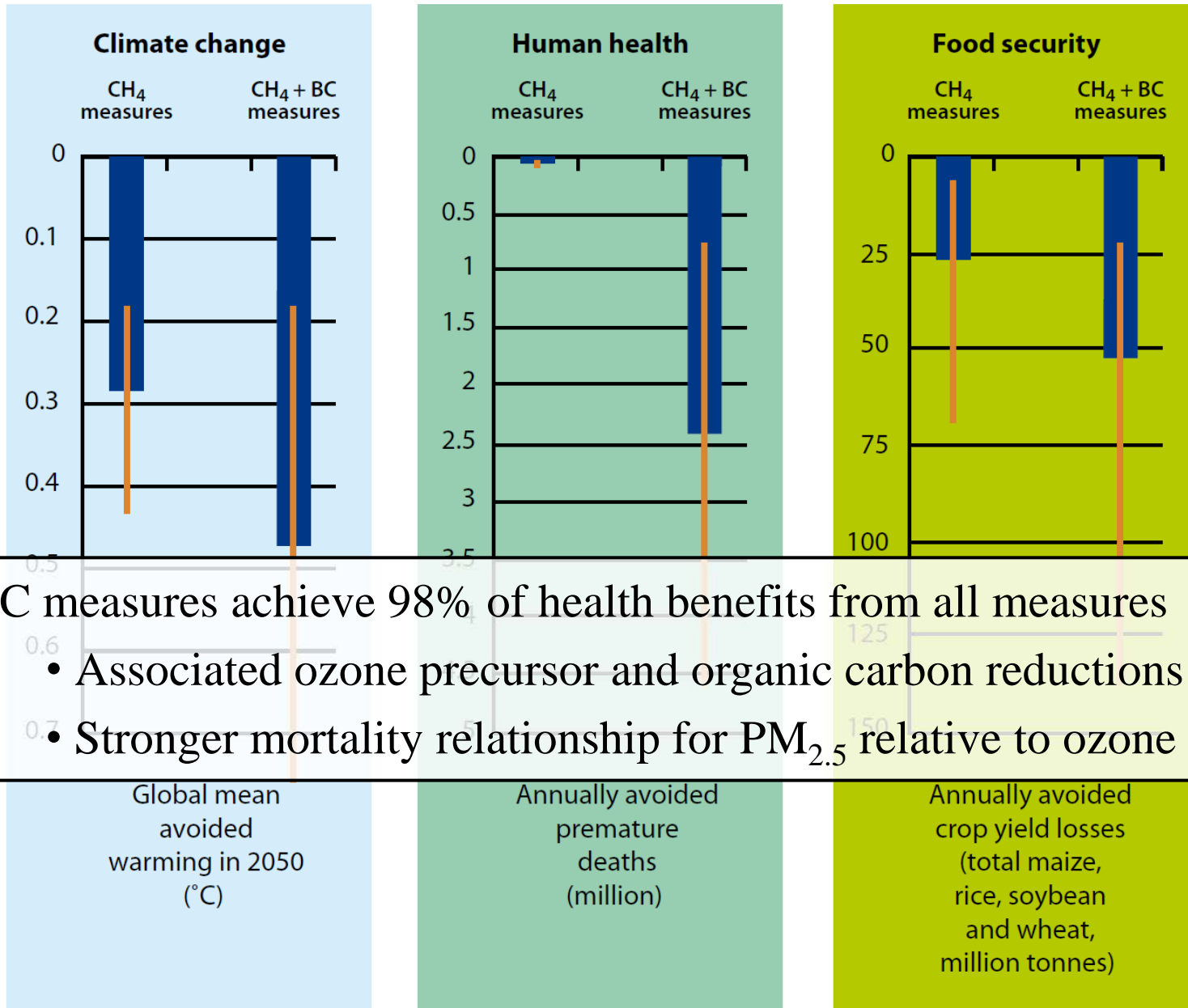
- Targeted strategies to reduce BC emissions can be expected to provide climate benefits within the next several decades, and may be particularly important for sensitive regions such as the Arctic.
- Reductions in BC and GHGs are complementary strategies for mitigating climate change.
- The health and environmental benefits of BC reductions are also substantial.
 - Average public health benefits of reducing directly emitted $PM_{2.5}$ in the U.S. are estimated to range from \$290,000 to \$1.2 million per ton $PM_{2.5}$ in 2030.
 - Globally, $PM_{2.5}$ reductions due to BC mitigation measures could potentially lead to hundreds of thousands of avoided premature deaths each year.



Science-policy reports on short-lived climate forcers



Benefits of mitigating BC and methane



POTENTIAL BENEFITS = MITIGATION POTENTIAL +/- CONSTRAINING FACTORS



Goals

Climate

Radiative Forcing
Temperature
Ice/Snow Melt
Precipitation

Health

Ambient Exposures
Indoor Exposures

Environment

Surface Dimming
Visibility

Emissions sources

Stationary Sources

Brick Kilns
Coke Ovens
Diesel Generators
Utilities
Flaring

Open Biomass Burning

Agricultural Burning
Prescribed Burning
Wildfire

Mobile Sources

On-Road Diesel
On-Road Gasoline
Construction Equip.
Agricultural Equip.
Locomotives
Marine

Residential Cooking and Heating

Cookstoves
Woodstoves
Hydronic Heaters

Mitigation options

Available Control Technologies

e.g. Diesel
Particulate Filters

Alternative Strategies to Reduce Emissions

e.g. Efficiency
Improvements, Substitution

Timing

Location

Atmospheric
Transport

Co-Emitted
Pollutants

Cost

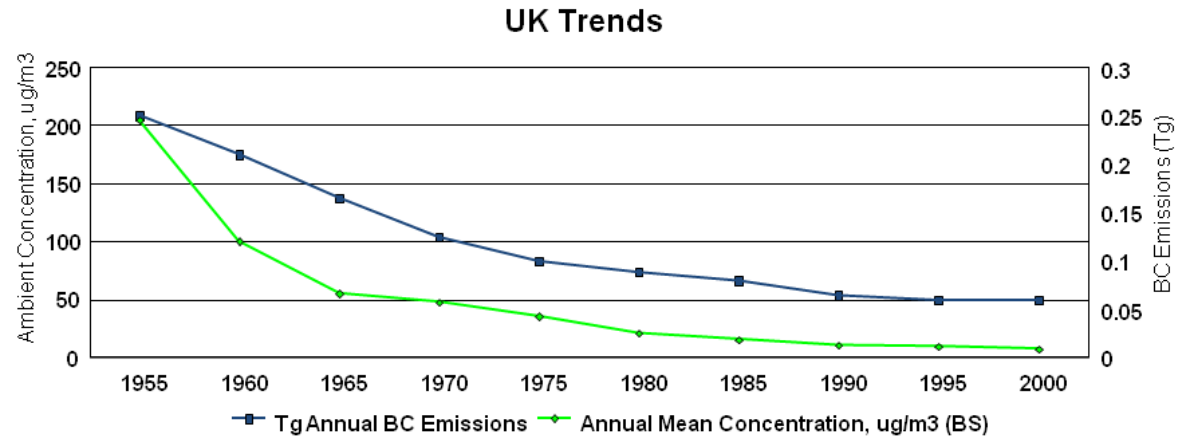
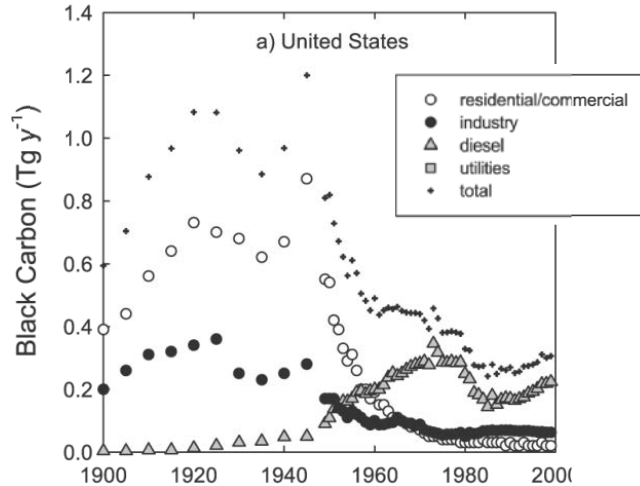
Existing Regulatory
Programs

Implementation
Barriers

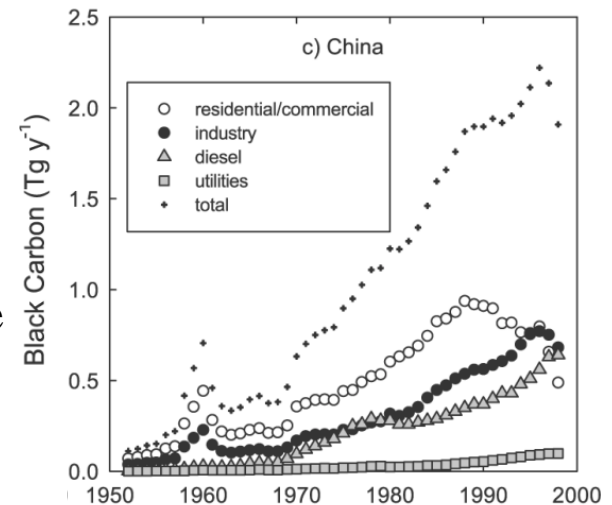
Uncertainty¹⁹

Black Carbon Emissions: Trends

- Long-term historic trends of BC emissions in the United States and other developed countries reveal a steep decline in emissions over the last several decades.
- Ambient BC concentrations have declined as emissions have been reduced.

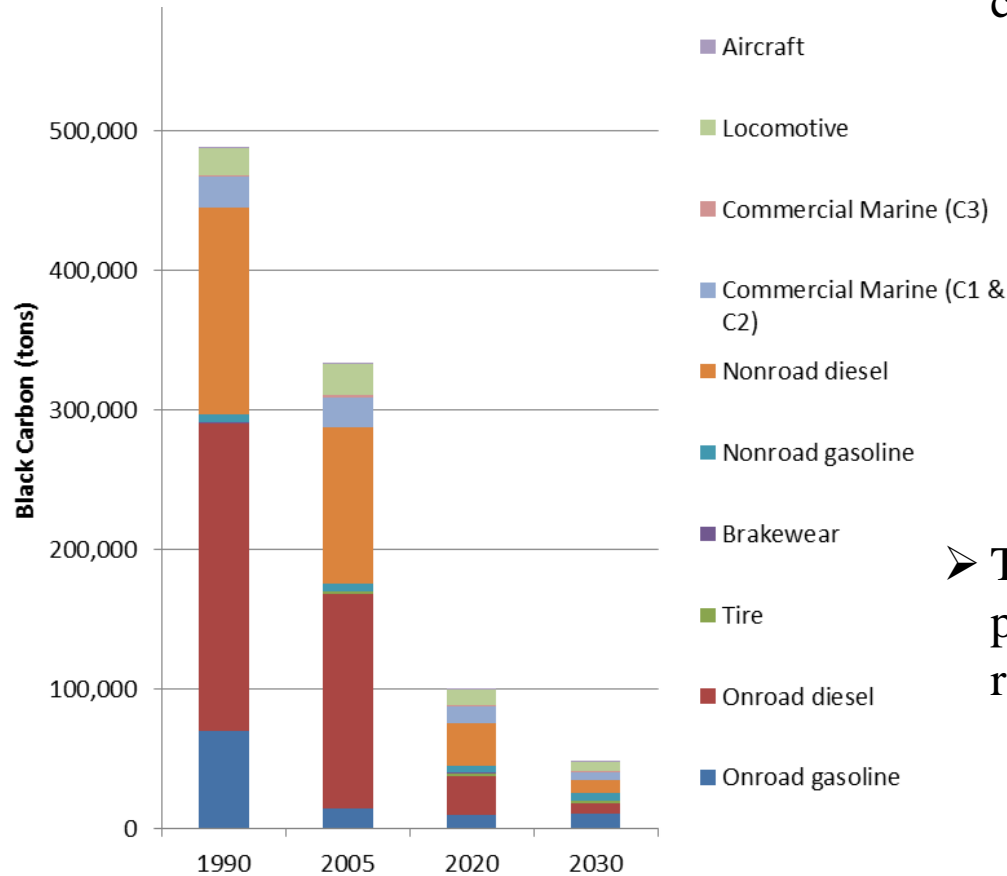


- Developing countries (e.g., China and India) have shown a very sharp rise in BC emissions over the past 50 years.
- Total global BC emissions are likely to decrease in the future, but developing countries may experience emissions growth in key sectors (transportation, residential).



Appendix

U.S. Mobile Sources



Emissions from U.S. Mobile Sources

➤ BC emissions from U.S. mobile diesel engines controlled via:

- Emissions standards for new engines, including requirements resulting in use of diesel particulate filters (DPFs) in conjunction with ultra low sulfur diesel fuel.
- Retrofit programs for in-use mobile diesel engines, such as EPA's National Clean Diesel Campaign and the SmartWay Transport Partnership Program.

➤ Total U.S. mobile source BC emissions are projected to decline by 86% by 2030 due to regulations already promulgated.

- EPA has estimated the cost of controlling $PM_{2.5}$ from new diesel engines at ~ \$14,000/ton (2010\$).