

# University Governance and Organization

Web Sites: <http://www.stanford.edu/about/administration/> and <http://facts.stanford.edu/administration/>

Stanford University is a trust with corporate powers under the laws of the State of California. The University is a tax-exempt entity under section 501(c)3 of the Internal Revenue Code. Under the provisions of the Founding Grant, the Board of Trustees (with a maximum membership of 38) is custodian of the endowment and all the properties of Stanford University. The board administers the invested funds, sets the annual budget and determines policies for operation and control of the university. Among the powers given to the trustees by the Founding Grant is the power to appoint a president. The board delegates broad authority to the president to operate the university and to the faculty on certain academic matters. The formal legal name is "The Board of Trustees of the Leland Stanford Junior University."

## Accreditation

Stanford University is accredited by the Accrediting Commission of Senior Colleges and Universities of the Western Association of Schools and Colleges. (<http://directory.wascenior.org/stanford-university/#zoom=15&lat=3742964&lon=-12217294&layers=TF0BT>)

## Executive Officers Stanford Administration

- John Hennessy, President
- John Etchemendy, Provost
- David Demarest, Vice President for Public Affairs
- Vice President for Human Resources, to be announced
- Randall S. Livingston, Vice President for Business Affairs and Chief Financial Officer
- William J. Madia, Vice President, SLAC National Accelerator Laboratory
- Robert Reidy, Vice President for Land, Buildings and Real Estate
- Martin Shell, Vice President for Development
- Howard Wolf, Vice President for Alumni Affairs and President, Stanford Alumni Association
- Debra Zumwalt, Vice President and General Counsel

## Cabinet

- Ann Arvin, Vice Provost and Dean of Research
- Harry Elam, Vice Provost for Undergraduate Education
- Chi-Chang Kao, Director, SLAC National Accelerator Laboratory
- Patricia Gumpert, Vice Provost for Graduate Education
- M. Elizabeth Magill, Dean, School of Law
- Pamela Matson, Dean, School of Earth, Energy and Environmental Sciences
- Lloyd Minor, Dean, School of Medicine
- John Mitchell, Vice Provost for Teaching and Learning
- Persis Drell, Dean, School of Engineering
- Thomas Gilligan, Director, Hoover Institution on War, Revolution and Peace, effective September 1, 2015
- Richard Saller, Dean, School of Humanities and Sciences
- Garth Saloner, Dean, Graduate School of Business

- Daniel Schwartz, Dean, Graduate School of Education, effective September 1, 2015

## The Board of Trustees Powers and Duties

The Board of Trustees is custodian of the endowment and all properties of the University. The Board administers the invested funds, sets the annual budget, and determines policies for the operation and control of the University. The powers and duties of the Board of Trustees derive from the Founding Grant, amendments, legislation, and court decrees. In addition, the Board operates under its own bylaws and a series of resolutions on major policy.

## Membership

Board membership is set at 38, including the President of the University who serves ex officio and with vote. Trustees serve a five-year term and are eligible for appointment to one additional five-year term. At the conclusion of that term, a Trustee is not eligible for reelection until after a lapse of one year. Eight of the Trustees are elected or appointed in accordance with the Rules Governing the Election or Appointment of Alumni Nominated Trustees. They serve a five-year term.

## Officers of the Board

The officers of the board are a chair, one or more vice chairs, a secretary, and an associate secretary. Officers are elected to one-year terms at the annual meeting in June, with the exception of the chair, who serves a two-year term. Their terms of office begin July 1.

## Committees

Standing committees of the Board are Academic Policy, Planning, and Management; Alumni and External Affairs; Audit and Compliance; Development; Finance; Land and Buildings; the Medical Center; and Trusteeship. Special committees include Athletics, Compensation, Investment Responsibility, and Litigation.

## Meetings

The Board generally meets five times each year.

## Members of the Board of Trustees as of April 2015

- Fred W. Alvarez, Partner, Jones Day, Palo Alto, CA
- Robert M. Bass, President, Keystone Group LP, Fort Worth, TX
- Brook H. Byers, Partner, Kleiner Perkins Caufield & Byers, Menlo Park, CA
- James E. Canales, President, Barr Foundation, Boston, MA
- Bret E. Comolli, Chairman, Asurion Corporation, Atherton, CA
- RoAnn Costin, President, Wilderness Point Investments, Boston, MA
- James G. Coulter, Founding Partner, TPG Capital, LP, San Francisco, CA
- Deborah A. DeCotis, Private Investor, D Squared Holdings LLC, New York, NY
- Steven A. Denning, Chairman, General Atlantic LLC, Greenwich, CT
- Bruce W. Dunlevie, General Partner, Benchmark Capital, Woodside, CA
- Angela S. Filo, Co-Founder, Yellow Chair Foundation, Palo Alto, CA
- Armando Garza, Chairman, Alfa, Garza Garcia, NL, MX
- John A. Gunn, Chairman Emeritus and Director, Dodge and Cox, San Francisco, CA

- Gail B. Harris, Retired Partner, Simpson Thacher & Bartlett LLP, New York, NY
- Christine U. Hazy, Co-Founder and Managing Director, Sketch Foundation, Los Angeles, CA
- John L. Hennessy, President, Stanford University, Stanford, CA
- Ronald B. Johnson, Founder & CEO, Enjoy, Menlo Park, CA
- Tonia G. Karr, San Francisco, CA
- Frank D. Lee, CEO, Dragonfly Sciences, Inc., Wellesley, MA
- Bernard Liautaud, General Partner, Balderton Capital, London, UK
- Susan R. McCaw, President, COM Investments, Santa Barbara, CA
- Lloyd M. Metz, Managing Director, ICV Partners, New York, NY
- Hamid R. Moghadam, Chairman & CEO, Prologis, Inc., San Francisco, CA
- Kenneth E. Olivier, Chairman Emeritus, Dodge and Cox, San Francisco, CA
- Paul A. Ormond, Chairman, President, CEO, HCR ManorCare, Inc., Toledo, OH
- Ruth M. Porat, Exec. Vice President & Chief Financial Officer, Morgan Stanley, New York, NY
- Laurene Powell Jobs, Founder/Chair, Emerson Collective, Palo Alto, CA
- Jeffrey S. Raikes, Co-Founder, the Raikes Foundation, Seattle, WA
- Mindy B. Rogers, Atherton, CA
- Victoria B. Rogers, President, Rose Hills Foundation, Los Angeles, CA
- Kavitar Ram Shriram, Founder, Sheralo Ventures, Menlo Park, CA
- Ronald P. Spogli, Founding Partner, Freeman Spogli & Co., Los Angeles, CA
- Srinija Srinivasan, Palo Alto, CA
- Isaac Stein, President, Waverley Associates, Atherton, CA
- Thomas F. Steyer, Founder & CEO, NextGen Action, San Francisco, CA
- Gene T. Sykes, Global Co-Head of M&A & Chairman, Goldman Sachs Group, Inc., Los Angeles, CA
- Vaughn C. Williams, Of Counsel, Skadden Arps Slate Meagher & Flom, New York, NY
- Jerry Yang, AME Cloud Ventures, Palo Alto, CA

## The President

The Founding Grant prescribes that the Board of Trustees shall appoint the President of the University and that the Board shall give to the President the following powers:

- To prescribe the duties of the professors and teachers.
- To prescribe and enforce the course of study and the mode and manner of teaching.
- Such other powers as will enable the President to control the educational part of the University to such an extent that the President may justly be held responsible for the course of study therein and for the good conduct and capacity of the professors and teachers.

The President is also responsible for the management of financial and business affairs of the University, including operation of the physical plant.

The President is responsible for the safety of the campus and may take reasonable steps to protect the University including, but not limited to, barring people from campus who disrupt the normal business operations of the University or who present a threat to the safety of the University community. In extraordinary circumstances, the President may permanently discontinue students who present a threat to the health and safety of the University community.

The President appoints the following, subject to confirmation by the Board: Provost, Vice President for Business Affairs and Chief Financial

Officer, Chief Executive Officer of Stanford Management Company, Vice President for Alumni Affairs and President of Stanford Alumni Association, Vice President for Development, Vice President for Public Affairs, Vice President and General Counsel, Vice President for the SLAC National Accelerator Laboratory, and Vice President for Land, Buildings, and Real Estate.

For additional information, see the Office of the President web (<http://www.stanford.edu/dept/president>) site.

## Committees and Panels Appointed by the President

University Committees are appointed by and are primarily responsible to the President. Such committees deal with matters on which the responsibility for recommendation or action is clearly diffused among different constituencies of the University. In accordance with the Report on the Committee Structure of the University, Academic Council members are appointed to University Committees on nomination of the Senate Committee on Committees and student members on nomination of the Associated Students of Stanford University (ASSU) Committee on Nominations. The President takes the initiative in the appointment of staff members to such committees. Although immediately responsible to the President, University Committees may be called upon to report to the Senate of the Academic Council or the ASSU. Charges to such committees are set by the President on recommendation of the Committee on Committees and others. There are five University Committees, as follows:

- Advisory Panel on Investment Responsibility and Licensing (APIR-L)
- Committee on Athletics, Physical Education, and Recreation (C-APER)
- Committee on Environmental Health and Safety (C-EH&S)
- Committee on Faculty Staff Human Resources (C-FSHR)
- Panel on Outdoor Art (P-OA)

Additionally there are eleven standing administrative panels which are appointed by the Vice Provost and Dean of Research, and which report through him/her to the President:

- Administrative Panel on Biosafety
- Administrative Panel on Human Subjects in Medical Research-01
- Administrative Panel on Human Subjects in Medical Research-03
- Administrative Panel on Human Subjects in Medical Research-04
- Administrative Panel on Human Subjects in Medical Research-05
- Administrative Panel on Human Subjects in Medical Research-06
- Administrative Panel on Human Subjects in Medical Research-07
- Administrative Panel on Human Subjects in Medical Research-08
- Administrative Panel on Human Subjects in Non-Medical Research-02
- Administrative Panel on Laboratory Animal Care
- Administrative Panel on Radiological Safety

## The Provost

The Provost, as the chief academic and budget officer, administers the academic program (instruction and research in schools and other academic units) and University services in support of the academic program (including budgeting and planning, land and buildings, libraries and information resources, and student affairs). In the absence or inability of the President to act, the Provost becomes the Acting President of the University. The Provost shares with the President conduct of the University's relations with other educational institutions, groups, and associations.

## Schools of the University

The program of instruction in the University is organized into seven schools:

- Graduate School of Business
- School of Earth, Energy and Environmental Sciences
- Graduate School of Education
- School of Engineering
- School of Humanities and Sciences
- Stanford Law School
- School of Medicine

The deans of the schools report to the Provost.

## The Academic Council

Stanford Academic Council (<http://academiccouncil.stanford.edu>) web site.

According to the Articles of Organization of the Faculty, originally adopted by the Board of Trustees in 1904 and revised in 1977, the powers and authority of the faculty are vested in the Academic Council consisting of:

1. the President of the University
2. tenure-line faculty: Assistant, Associate, and Full Professor
3. nontenure-line faculty: Associate and Full Professor followed by the parenthetical notation (Teaching), (Performance), (Applied Research), or (Clinical)
4. nontenure-line research faculty: Assistant Professor (Research), Associate Professor (Research), Professor (Research)
5. Senior Fellows in specified policy centers and institutes
6. certain specified officers of academic administration.

In the Spring of 1968, the Academic Council approved the charter for a Senate to be composed of 55 representatives elected by the Hare System of Proportional Representation and, as ex officio nonvoting members, deans of the academic schools and certain major officers of academic administration.

In the allocation of representation, each school constitutes a major constituency. The Senate may create from time to time other major constituencies as conditions warrant. Approximately one-half of the representatives are allocated to constituencies on the basis of the number of students in those constituencies and the remainder on the basis of the number of members of the Academic Council from each constituency.

## Committees of the Academic Council

Committees of the Academic Council are created by and responsible to the Senate of the Academic Council and are appointed by the Committee on Committees of the Senate. Such committees deal with academic policy matters on which the primary responsibility for action and decision lies with the Academic Council or, by delegation, the Senate. Pursuant to the Senate's acceptance on September 25, 1969 of the Report from the Committee on Committees on the Committee Structure of the University and subsequent Senate action, the Senate has established seven standing Committees of the Academic Council, as follows:

- Committee on Academic Computing and Information Systems (C-ACIS)
- Committee on Graduate Studies (C-GS)
- Committee on Libraries (C-Lib)
- Committee on Research (C-Res)
- Committee on Review of Undergraduate Majors (C-RUM)

- Committee on Undergraduate Admissions and Financial Aid (C-UAFA)
- Committee on Undergraduate Standards and Policy (C-USP)

The Senate has also created a Planning and Policy Board of the Senate to consider long-range strategic issues of concern to the faculty. Information regarding charges to these committees is available from the Office of the Academic Secretary to the University.

## Associated Students of Stanford University (ASSU)

Web Site: <http://assu.stanford.edu>

All registered undergraduates and graduate students are members of the ASSU. They are governed by the ASSU Constitution and Bylaws, which was last revised and approved by student vote in April 2013.

## Executive

The President and Vice President serve as the chief executives and representatives for the Association. The Financial Manager acts as business manager of the ASSU, CEO of Stanford Student Enterprises (SSE), and controller of the Students' Organizations Fund in which ASSU and student organization funds are deposited.

## Legislative

There are two legislative bodies, an Undergraduate Senate and a Graduate Student Council, that work together to determine the Association's budgetary, financial, investment, business, and operating policies. In addition, each entity provides funding for student organizations, participates in recommending student appointments to University Committees and advocates on behalf of its constituents. Each body has 15 elected representatives and an elected chair. Both meet regularly to conduct Association business and discuss and act on issues pertinent to student life at Stanford.