

US District Court Civil Docket as of October 29, 2015
Retrieved from the court on November 5, 2015

**U.S. District Court
Middle District of Tennessee (Nashville)
CIVIL DOCKET FOR CASE #: 3:11-cv-01033**

Schuh v. HCA Holdings, Inc. et al
Assigned to: Chief Judge Kevin H. Sharp
Referred to: Magistrate Judge Barbara D. Holmes
related Case: 3:11-cv-01170
Cause: 15:77 Securities Fraud

Date Filed: 10/28/2011
Jury Demand: Plaintiff
Nature of Suit: 850
Securities/Commodities
Jurisdiction: Federal Question

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Defendant

Credit Suisse Securities (USA) LLC

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Defendant

Deutsche Bank Securities Inc.

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Goldman, Sachs & Co.

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Morgan Stanley & Co. Incorporated

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Defendant

Wells Fargo Securities, LLC

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Defendant

**Merrill Lynch Pierce Fenner & Smith
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Hercules Holding, II, LLC

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V.

Consol Defendant

Bank of America
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Consol Defendant

Credit Agricole Securities (USA) Inc.

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Consol Defendant

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Consol Defendant

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Consol Defendant

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Benjamin Philip Mills
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TERMINATED: 07/17/2015

Caldwell G. Collins
(See above for address)
ATTORNEY TO BE NOTICED

Edmund Polubinski , III
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ATTORNEY TO BE NOTICED

Jayne A. Feldheim
(See above for address)
TERMINATED: 05/26/2015

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Lawrence Portnoy
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Lesley Bark
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TERMINATED: 02/09/2015

Matthew Joseph Sweeney , III
(See above for address)
ATTORNEY TO BE NOTICED

Steven Allen Riley
(See above for address)
ATTORNEY TO BE NOTICED

Consol Defendant

Susquehanna Financial Group LLLP

represented by **Deryn Darcy**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Emmet P. Ong
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Joshua Dugan
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Consol Defendant

Samuel A. Ramirez & Company Inc.

represented by **Deryn Darcy**

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LEAD ATTORNEY

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Joshua Dugan

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LEAD ATTORNEY

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Consol Defendant

The Williams Capital Group, L.P.

represented by **Deryn Darcy**
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Consol Defendant

Muriel Siebert & Co., Inc.

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Consol Defendant

Loop Capital Markets, L.L.C.

represented by **Emmet P. Ong**
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ATTORNEY TO BE NOTICED

Joshua Dugan
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TERMINATED: 07/17/2015

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Steven Allen Riley
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Consol Defendant

Gleacher & Company Securities, Inc.

represented by **Benjamin Philip Mills**
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TERMINATED: 07/17/2015
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ATTORNEY TO BE NOTICED

Deryn Darcy
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*LEAD ATTORNEY
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Emmet P. Ong
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Consol Defendant

CastleOak Securities L.P.

represented by **Deryn Darcy**
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LEAD ATTORNEY
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Emmet P. Ong
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Consol Defendant

Sanford C. Bernstein & Co., LLC

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LEAD ATTORNEY
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Joshua Dugan
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LEAD ATTORNEY
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Matthew Joseph Sweeney , III

(See above for address)
ATTORNEY TO BE NOTICED

Steven Allen Riley
(See above for address)
ATTORNEY TO BE NOTICED

Consol Defendant

Caris & Company Inc.

represented by **Deryn Darcy**
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LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Emmet P. Ong
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Matthew Joseph Sweeney , III
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ATTORNEY TO BE NOTICED

Steven Allen Riley
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ATTORNEY TO BE NOTICED

Consol Defendant

Ernst & Young LLP
TERMINATED: 07/16/2012

represented by **Steven Allen Riley**
(See above for address)
ATTORNEY TO BE NOTICED

Deponent

Thomas Frist

represented by **Steven Allen Riley**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Date Filed	#	Docket Text
10/28/2011	<u>1</u>	COMPLAINT against Barclays Capital Inc., Christopher J. Birosak, Richard M. Bracken, Citigroup Global Markets Inc., John P. Connaughton, Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Goldman, Sachs & Co., Christopher R. Gordon, HCA Holdings, Inc., J.P. Morgan Securities LLC, Milton Johnson, Merrill Lynch, Michael W. Michelson, James C. Momtazee, Morgan Stanley & Co. Incorporated, Stephen G. Pagliuca, Pierce Fenner & Smith Incorporated, Nathan C. Thorne, Wells Fargo Securities, LLC, filed by Karsten Schuh. Filing fee paid,\$350 Summons issued. (Attachments: # <u>1</u> Civil Cover Sheet, # <u>2</u> Summons)(afs) (Entered: 10/28/2011)
10/28/2011	<u>2</u>	NOTICE from USDC Clerk regarding Corporate Disclosure Statement requirements. (afs) (Entered: 10/28/2011)
10/28/2011	<u>3</u>	NOTICE of Initial Case Management Conference set for 12/22/2011 at 11:00 PM in Courtroom 661 before Magistrate Judge E. Clifton Knowles. (afs) (Entered: 10/28/2011)
10/28/2011		BAR STATUS for Attorneys, George E. Barrett, Timothy L. Miles and Douglas S. Johnston, Jr. of TN and Catherine J. Kowalewski, Darren J. Robbins, David C. Walton and Francis Bottini of CA, verified as active on this date. (afs) (Entered: 10/28/2011)
10/28/2011	<u>4</u>	SUPPLEMENT filed by Karsten Schuh re <u>1</u> Complaint,,. (Miles, Timothy) (Entered: 10/28/2011)
10/28/2011		NOTICE TO COUNSEL, Catherine J. Kowalewski, Darren J. Robbins, David C. Walton and Francis A. bottini of CA : WITHIN 20 DAYS, November 17, 2011, counsel shall file a Motion to Appear Pro Hac Vice, a Certificate of Good Standing signed by the

		Clerk of a United States District Court where admitted, and pay a fee of \$75.00 (LR 83.01(d)). (afs) (Entered: 10/28/2011)
11/07/2011	<u>5</u>	SUMMONS returned executed by Karsten Schuh. Wells Fargo Securities, LLC served on 11/7/2011. (Attachments: # <u>1</u> Attachment Certified U.S. Mail Return Receipt)(Barrett, George) (Entered: 11/07/2011)
11/09/2011	<u>6</u>	Summons issued as to Goldman, Sachs & Co. (hb) (Entered: 11/09/2011)
11/10/2011	<u>7</u>	SUMMONS returned executed by Karsten Schuh. J.P. Morgan Securities LLC served on 11/4/2011. (Attachments: # <u>1</u> Attachment Certified U.S. Mail Return Receipt)(Barrett, George) (Entered: 11/10/2011)
11/10/2011	<u>8</u>	SUMMONS returned executed by Karsten Schuh. Deutsche Bank Securities Inc. served on 11/4/2011. (Attachments: # <u>1</u> Attachment Certified U.S. Mail Return Receipt)(Barrett, George) (Entered: 11/10/2011)
11/10/2011	<u>9</u>	SUMMONS returned executed by Karsten Schuh. Merrill Lynch served on 11/4/2011. (Attachments: # <u>1</u> Attachment Certified U.S. Mail Return Receipt)(Barrett, George) (Entered: 11/10/2011)
11/10/2011	<u>10</u>	SUMMONS returned executed by Karsten Schuh. Citigroup Global Markets Inc. served on 11/4/2011. (Attachments: # <u>1</u> Attachment Certified U.S. Mail Return Receipt)(Barrett, George) (Entered: 11/10/2011)
11/14/2011	<u>11</u>	SUMMONS returned executed by Karsten Schuh. Morgan Stanley & Co. Incorporated served on 11/4/2011. (Attachments: # <u>1</u> Attachment Certified U.S. Mail Return Receipt)(Barrett, George) (Entered: 11/14/2011)
11/14/2011	<u>12</u>	SUMMONS returned executed by Karsten Schuh. Morgan Stanley & Co. Incorporated served on 11/9/2011. (Attachments: # <u>1</u> Attachment Certified U.S. Mail Return Receipt)(Barrett, George) (Entered: 11/14/2011)
11/18/2011	<u>13</u>	SUMMONS returned executed by Karsten Schuh. Barclays Capital Inc. served on 11/10/2011. (Attachments: # <u>1</u> Attachment Certified U.S. Mail Return Receipt)(Barrett, George) (Entered: 11/18/2011)
11/18/2011	<u>14</u>	NOTICE of Appearance by Steven Allen Riley and Milton S. McGee, III on behalf of Christopher J. Birozak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne (Riley, Steven) Modified text on 11/21/2011 (hb). (Entered: 11/18/2011)
11/18/2011	<u>15</u>	CORPORATE DISCLOSURE STATEMENT filed by Christopher J. Birozak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 11/18/2011)
11/21/2011		BAR STATUS for Attys. Steven A. Riley and Milton S. McGee, III of TN verified as active on this date. (hb) (Entered: 11/21/2011)
11/21/2011	<u>16</u>	ORDER OF RECUSAL. Magistrate Judge E. Clifton Knowles recused. Case reassigned to Magistrate Judge Juliet E. Griffin for all further proceedings. Signed by Magistrate Judge E. Clifton Knowles on 11/21/2011. (hb) (Entered: 11/21/2011)

11/21/2011	<u>17</u>	SUMMONS returned executed by Karsten Schuh. Goldman, Sachs & Co. served on 11/14/2011. (Attachments: # <u>1</u> Attachment Certified U.S. Mail Return Receipt)(Barrett, George) (Entered: 11/21/2011)
11/22/2011	<u>18</u>	MOTION for attorney(s) Francis A. Bottini to Appear Pro Hac Vice (paid \$75 PHV fee; receipt number 0650-1191118) by Karsten Schuh. (Miles, Timothy) (Entered: 11/22/2011)
11/22/2011	<u>19</u>	CERTIFICATE OF GOOD STANDING of Attorney Francis A. Bottini from the United States District Court for the Central District of California filed by Karsten Schuh. (Miles, Timothy) (Entered: 11/22/2011)
11/22/2011	<u>20</u>	ORDER: By notice issued contemporaneously with the filing of the complaint, the initial case management conference was and remains scheduled on Thursday, December 22, 2011, at 11:00 a.m., in Courtroom 764, U.S. Courthouse, 801 Broadway, Nashville, TN. Prior to the initial case management conference, counsel for the parties shall meet and confer pursuant to Rule 26(f) of the Federal Rules of Civil Procedure, and shall, to the extent possible, exchange initial disclosures pursuant to Rule 26(a)(1). Prior to the initial case management conference, counsel for the parties shall also confer and shall prepare a proposed, joint initial case management order, including the parties' respective theories of the case, issues resolved and in dispute, proposed scheduling for the progression of the case, and any other relevant matters provided in Local Rule 16.01(d)(1)(c) and 16.01(d)(2). If the parties anticipate discovery of electronically stored information, they shall include in the proposed initial case management order the methodology for such discovery. See Administrative Order No. 174, entered July 9, 2007. If the parties anticipate little, if any, electronic discovery and they believe it is not necessary to be governed by Administrative Order No. 174, they shall so provide in the proposed initial case management order. Counsel shall e-file the proposed order prior to the initial case management conference. All counsel appearing at the initial case management conference shall bring with them their calendars and be cognizant of the calendars of any attorneys not appearing at the initial case management conference whose schedules are relevant to the scheduling in this case. It is so ORDERED. Signed by Magistrate Judge Juliet E. Griffin on 11/22/11. (af) (Entered: 11/22/2011)
11/22/2011	<u>21</u>	ORDER granting <u>18</u> Motion for Francis A. Bottini to Appear Pro Hac Vice. Signed by Magistrate Judge Juliet E. Griffin on 11/22/2011. (hb) (Entered: 11/22/2011)
11/22/2011		E-mail sent to Atty. Francis A. Bottini regarding mandatory CM/ECF registration. (hb) (Entered: 11/22/2011)
12/06/2011	<u>22</u>	Joint MOTION to Consolidate Cases <i>and Scheduling</i> by Barclays Capital Inc., Christopher J. Birosak, Richard M. Bracken, Citigroup Global Markets Inc., John P. Connaughton, Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Goldman, Sachs & Co., Christopher R. Gordon, HCA Holdings, Inc., J.P. Morgan Securities LLC, R. Milton Johnson, Merrill Lynch, Michael W. Michelson, James C. Momtazee, Morgan Stanley & Co. Incorporated, Stephen G. Pagliuca, Pierce Fenner & Smith Incorporated, Nathan C. Thorne, Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Proposed Order (Agreed))(Riley, Steven) (Entered: 12/06/2011)
12/14/2011	<u>23</u>	NOTICE of Appearance by Matthew Joseph Sweeney, III on behalf of Barclays Capital Inc., Citigroup Global Markets Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Merrill Lynch,

		Morgan Stanley & Co. Incorporated, Wells Fargo Securities, LLC (Sweeney, Matthew) (Entered: 12/14/2011)
12/15/2011		Minute Entry for proceedings held before Magistrate Judge Juliet E. Griffin: TELEPHONE CONFERENCE: Case Management Conference held on 12/15/2011. (jwc) (Entered: 01/12/2012)
12/16/2011		BAR STATUS for Attys. Matthew J. Sweeney, John S. Hicks, and Caldwell Collins of TN verified as active on this date. (hb) (Entered: 12/16/2011)
12/16/2011	<u>24</u>	MOTION for attorney(s) Everett Johnson to Appear Pro Hac Vice (FEE PAID) by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Proposed Order)(Riley, Steven) Modified text on 12/27/2011 (hb). Modified on 5/9/2012 (af). (Entered: 12/16/2011)
12/16/2011	<u>25</u>	CERTIFICATE OF GOOD STANDING of Attorney Everett Johnson from the United States District Court of District of Columbia filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 12/16/2011)
12/16/2011	<u>26</u>	MOTION for attorney(s) J. Christian Word to Appear Pro Hac Vice (FEE PAID) by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Proposed Order)(Riley, Steven) Modified text on 12/27/2011 (hb). Modified on 5/9/2012 (af). (Entered: 12/16/2011)
12/16/2011	<u>27</u>	CERTIFICATE OF GOOD STANDING of Attorney J. Christian Word from the United States District Court of District of Columbia filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 12/16/2011)
12/16/2011	<u>28</u>	MOTION for attorney(s) Michele E. Rose to Appear Pro Hac Vice (FEE PAID) by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Proposed Order)(Riley, Steven) Modified text on 12/27/2011 (hb). Modified on 5/9/2012 (af). (Entered: 12/16/2011)
12/16/2011	<u>29</u>	CERTIFICATE OF GOOD STANDING of Attorney Michele E. Rose from the United States District Court for the Eastern of Virginia filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 12/16/2011)

12/20/2011	<u>30</u>	ORDER: Pending before the Court is a Joint Motion for Entry of an Order of Consolidation and Scheduling (Docket No. 22). The Motion is GRANTED in part, and this action is now consolidated with Rafat Kishtah v. HCA Holdings, et al, Case No. 3:11-1098. Magistrate Judge Griffin will approve and enter a scheduling order. It is so ORDERED. Signed by District Judge Kevin H. Sharp on 12/20/11. (ALL FUTURE FILINGS SHALL BE MADE IN THE LEAD CASE 3:11-cv-1033)(af) (Entered: 12/20/2011)
12/22/2011	<u>31</u>	MOTION for attorney(s) Lawrence Jay Portnoy, Edmund Polubinski, III, Joanna Cohn Weiss to Appear Pro Hac Vice (FEE PAID) by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., Pierce Fenner & Smith Incorporated, RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) Modified text on 12/27/2011 (hb). Modified on 5/9/2012 (af). (Entered: 12/22/2011)
12/22/2011	<u>32</u>	CERTIFICATE OF GOOD STANDING of Attorney Lawrence Jay Portnoy from the United States District Court for the Southern District of New York filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., Pierce Fenner & Smith Incorporated, RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 12/22/2011)
12/22/2011	<u>33</u>	CERTIFICATE OF GOOD STANDING of Attorney Joanna Cohn Weiss from the United States District Court for the Southern District of New York filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., Pierce Fenner & Smith Incorporated, RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co.

		Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 12/22/2011)
12/22/2011	<u>34</u>	CERTIFICATE OF GOOD STANDING of Attorney Edmund Polubinski, III from the United States District Court for the Southern District of New York filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., Pierce Fenner & Smith Incorporated, RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 12/22/2011)
12/22/2011	<u>35</u>	CORPORATE DISCLOSURE STATEMENT filed by Sanford C. Bernstein & Co., LLC. (Sweeney, Matthew) (Entered: 12/22/2011)
12/22/2011	<u>36</u>	CORPORATE DISCLOSURE STATEMENT filed by Suntrust Robinson Humphrey, Inc.. (Sweeney, Matthew) (Entered: 12/22/2011)
12/22/2011	<u>37</u>	CORPORATE DISCLOSURE STATEMENT filed by Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 12/22/2011)
12/22/2011	<u>38</u>	CORPORATE DISCLOSURE STATEMENT filed by SMBC Nikko Capital Markets Limited. (Sweeney, Matthew) (Entered: 12/22/2011)
12/22/2011	<u>39</u>	CORPORATE DISCLOSURE STATEMENT filed by Susquehanna Financial Group LLLP. (Sweeney, Matthew) (Entered: 12/22/2011)
12/22/2011	<u>40</u>	CORPORATE DISCLOSURE STATEMENT filed by The Williams Capital Group, L.P.. (Sweeney, Matthew) (Entered: 12/22/2011)
12/23/2011	<u>41</u>	RECEIPT #34675019734 in the amount of \$225.00 re Motions to Appear Pro Hac Vice <u>24</u> , <u>26</u> , and <u>28</u> for Attys. Everett Johnson, J. Christian Word, and Michele Rose. (hb) (Entered: 12/27/2011)
12/27/2011	<u>42</u>	RECEIPT #34675019745 in the amount of \$225.00 re <u>31</u> Motion to Appear Pro Hac Vice for Attys. Lawrence Jay Portnoy, Edmund Polibinski, III and Joanna Cohn Weiss. (hb) (Entered: 12/27/2011)
12/27/2011	<u>43</u>	CORPORATE DISCLOSURE STATEMENT filed by Samuel A. Ramirez & Company Inc.. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>44</u>	CORPORATE DISCLOSURE STATEMENT filed by RBS Securities Inc.. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>45</u>	CORPORATE DISCLOSURE STATEMENT filed by RBC Capital Markets, LLC. (Sweeney, Matthew) (Entered: 12/27/2011)

12/27/2011	<u>46</u>	CORPORATE DISCLOSURE STATEMENT filed by Raymond James & Associates, Inc.. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>47</u>	CORPORATE DISCLOSURE STATEMENT filed by Robert W. Baird & Co. Incorporated. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>48</u>	CORPORATE DISCLOSURE STATEMENT filed by Oppenheimer & Co. Inc.. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>49</u>	CORPORATE DISCLOSURE STATEMENT filed by Muriel Siebert & Co., Inc.. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>50</u>	CORPORATE DISCLOSURE STATEMENT filed by Morgan Keegan & Company, Inc.. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>51</u>	CORPORATE DISCLOSURE STATEMENT filed by Merrill Lynch, Pierce Fenner & Smith Incorporated. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>52</u>	CORPORATE DISCLOSURE STATEMENT filed by Morgan Stanley & Co. Incorporated. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>53</u>	CORPORATE DISCLOSURE STATEMENT filed by Mizuho Securities USA Inc.. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>54</u>	CORPORATE DISCLOSURE STATEMENT filed by Loop Capital Markets, L.L.C.. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>55</u>	CORPORATE DISCLOSURE STATEMENT filed by Leerink Swann LLC. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>56</u>	CORPORATE DISCLOSURE STATEMENT filed by J.P. Morgan Securities LLC. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>57</u>	CORPORATE DISCLOSURE STATEMENT filed by Gleacher & Company Securities, Inc.. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>58</u>	CORPORATE DISCLOSURE STATEMENT filed by Lazard Capital Markets LLC. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>59</u>	CORPORATE DISCLOSURE STATEMENT filed by Goldman, Sachs & Co.. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>60</u>	CORPORATE DISCLOSURE STATEMENT filed by Deutsche Bank Securities Inc.. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>61</u>	CORPORATE DISCLOSURE STATEMENT filed by CRT Capital Group LLC. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>62</u>	CORPORATE DISCLOSURE STATEMENT filed by Credit Agricole Securities (USA) Inc.. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>63</u>	CORPORATE DISCLOSURE STATEMENT filed by Citigroup Global Markets Inc.. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>64</u>	CORPORATE DISCLOSURE STATEMENT filed by Credit Suisse Securities (USA) LLC. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>65</u>	CORPORATE DISCLOSURE STATEMENT filed by Cowen and Company, LLC. (Sweeney, Matthew) (Entered: 12/27/2011)

12/27/2011	<u>66</u>	CORPORATE DISCLOSURE STATEMENT filed by CastleOak Securities L.P.. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>67</u>	CORPORATE DISCLOSURE STATEMENT filed by Caris & Company Inc.. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>68</u>	CORPORATE DISCLOSURE STATEMENT filed by Avondale Partners, LLC. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>69</u>	CORPORATE DISCLOSURE STATEMENT filed by Barclays Capital Inc.. (Sweeney, Matthew) (Entered: 12/27/2011)
12/27/2011	<u>70</u>	MOTION to Appoint Counsel <i>and for Appointment as Lead Plaintiff</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Proposed Order)(Barrett, George) (Entered: 12/27/2011)
12/27/2011	<u>71</u>	MEMORANDUM in Support of <u>70</u> MOTION to Appoint Counsel <i>and for Appointment as Lead Plaintiff</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Burgraff v. Green Bankshares, # <u>2</u> Garden City Emps. Ret. Sys. v. Psychiatric Solutions)(Barrett, George) (Entered: 12/27/2011)
12/27/2011	<u>72</u>	DECLARATION of George E. Barrett filed by New England Teamsters & Trucking Industry Pension Fund re: <u>70</u> MOTION to Appoint Counsel <i>and for Appointment as Lead Plaintiff</i> . (Attachments: # <u>1</u> Exhibit A - PSLRA Notice, # <u>2</u> Exhibit B - Sworn Certification, # <u>3</u> Exhibit C - Chart of Transactions and Losses, # <u>4</u> Exhibit D - RGRD firm resume, # <u>5</u> Exhibit E - Barrett Johnston firm resume)(Barrett, George) (Entered: 12/27/2011)
01/04/2012		California bar status verified as active as to atty James I. Jaconette. WITHIN 20 DAYS (1/26/12), counsel shall file a Motion to Appear Pro Hac Vice, a Certificate of Good Standing signed by the Clerk of a United States District Court where admitted, and pay a fee of \$75.00 (LR 83.01(d)). (af) (Entered: 01/04/2012)
01/04/2012		SECOND & FINAL NOTICE TO COUNSEL, Catherine J. Kowalewski, Darren J. Robbins, David C. Walton: WITHIN 10 DAYS (1/14/12) EACH counsel shall file a Motion to Appear Pro Hac Vice, a Certificate of Good Standing signed by the Clerk of a United States District Court where admitted, and pay a fee of \$75.00 (LR 83.01(d)). FAILURE TO COMPLY WILL BE BROUGHT TO THE COURT'S ATTENTION. (af) (Entered: 01/04/2012)
01/05/2012	<u>73</u>	MOTION for attorney(s) Darren J. Robbins, Catherine J. Kowalewski, David C. Walton and James I. Jaconette to Appear Pro Hac Vice (paid \$300 PHV fee; receipt number 0650-1213465) by Karsten Schuh. (Miles, Timothy) (Entered: 01/05/2012)
01/05/2012	<u>74</u>	CERTIFICATE OF GOOD STANDING of Attorney Darren J. Robbins from the United States District Court for the Southern District of California filed by Karsten Schuh. (Miles, Timothy) (Entered: 01/05/2012)
01/05/2012	<u>75</u>	CERTIFICATE OF GOOD STANDING of Attorney Catherine J. Kowalewski from the United States District Court for the Southern District of California filed by Karsten Schuh. (Miles, Timothy) (Entered: 01/05/2012)
01/05/2012	<u>76</u>	CERTIFICATE OF GOOD STANDING of Attorney David C. Walton from the United States District Court for the Southern District of California filed by Karsten Schuh. (Miles, Timothy) (Entered: 01/05/2012)

01/05/2012	<u>77</u>	CERTIFICATE OF GOOD STANDING of Attorney James I. Jaconette from the United States District Court for the Southern District of California filed by Karsten Schuh. (Miles, Timothy) (Entered: 01/05/2012)
01/13/2012	<u>78</u>	NOTICE by New England Teamsters & Trucking Industry Pension Fund re <u>70</u> MOTION to Appoint Counsel <i>and for Appointment as Lead Plaintiff</i> ; <i>Notice of Unopposed Motion</i> (Barrett, George) (Entered: 01/13/2012)
01/26/2012	<u>79</u>	COPY OF ORDER entered in 3:11-cv-1170: Pending before the Court is a Joint Motion to Consolidate (Docket No. 97). The motion is GRANTED, and this action is now consolidated with Karsten Schuh v. HCA Holdings, et al, Case No. 3:11-1033. It is so ORDERED. Signed by District Judge Kevin H. Sharp on 1/26/12. (af) (Entered: 01/26/2012)
04/30/2012	<u>80</u>	MOTION to Ascertain Status of Case re <u>70</u> , <u>78</u> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment Proposed Order Docket No. 70)(Barrett, George) (Entered: 04/30/2012)
05/03/2012	<u>81</u>	ORDER granting <u>70</u> Motion for Appointment As Lead Plaintiff And Approval of Lead Plaintiff's Selection of Counsel. Signed by District Judge Kevin H. Sharp on 5/3/12. (afs) (Entered: 05/03/2012)
05/07/2012	<u>82</u>	ORDER denying <u>80</u> Motion to Ascertain Status of Case as Moot. See Docket Entry No. 81. Signed by District Judge Kevin H. Sharp on 5/7/12. (afs) (Entered: 05/07/2012)
05/11/2012	<u>83</u>	Joint MOTION FOR ENTRY OF AN ORDER ON SCHEDULING by Avondale Partners, LLC, Bank of America, Barclays Capital Inc., Christopher J. Birosak, Richard M. Bracken, CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., John P. Connaughton, Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Julius Daniels, Deutsche Bank Securities Inc., Ernst & Young LLP, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Gleacher & Company Securities, Inc., Goldman, Sachs & Co., Christopher R. Gordon, HCA Holdings, Inc., J.P. Morgan Securities LLC, R. Milton Johnson, Rafat Kishtah, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch, Michael W. Michelson, Mizuho Securities USA Inc., James C. Momtazee, Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., New England Teamsters & Trucking Industry Pension Fund, Oppenheimer & Co. Inc., Stephen G. Pagliuca, Pierce, Fenner & Smith Incorporated, RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Karsten Schuh, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Nathan C. Thorne, Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Proposed Order [PROPOSED] AGREED ORDER ON SCHEDULING)(Barrett, George) (Entered: 05/11/2012)
05/14/2012	<u>84</u>	MOTION for attorney(s) Scott H. Saham and Robert R. Henssler, Jr. to Appear Pro Hac Vice (paid \$150 PHV fee; receipt number 0650-1293140) by New England Teamsters & Trucking Industry Pension Fund. (Miles, Timothy) (Entered: 05/14/2012)
05/14/2012	<u>85</u>	CERTIFICATE OF GOOD STANDING of Attorney Scott H. Saham from the United States District Court for the Southern District of California filed by New England Teamsters & Trucking Industry Pension Fund. (Miles, Timothy) (Entered: 05/14/2012)

05/14/2012	<u>86</u>	CERTIFICATE OF GOOD STANDING of Attorney Robert R. Henssler Jr. from the United States District Court for the Southern District of California filed by New England Teamsters & Trucking Industry Pension Fund. (Miles, Timothy) (Entered: 05/14/2012)
05/15/2012	<u>87</u>	ORDER granting <u>24</u> Motion to Admit Everett Johnson to Appear Pro Hac Vice, <u>26</u> Motion to admit J. Christian Word Pro Hac Vice, <u>28</u> Motion to Admit Michele E. Rose to Appear Pro Hac Vice, <u>31</u> Motion to Admit Attorneys, Lawrence Jay Portnoy, Edmund Polubinski, III, Joanna Cohn Weiss to Appear Pro Hac Vice, <u>73</u> Motion for Attorneys, Darren J. Robbins, Catherine J. Kowalewski, David C. Walton and James I. Jaconette to Appear Pro Hac Vice, and <u>84</u> Motion to Admit Attorneys, Scott H. Saham and Robert R. Henssler, Jr. to Appear Pro Hac Vice. Signed by Magistrate Judge Juliet E. Griffin on 5/15/12. (afs) (Entered: 05/15/2012)
05/15/2012	<u>88</u>	ORDER granting <u>83</u> Motion For Entry of An Order on Scheduling. (See Order for Details). Signed by Magistrate Judge Juliet E. Griffin on 5/15/12. (afs) (Entered: 05/15/2012)
07/11/2012	<u>89</u>	MOTION for attorney(s) Lesley Anne Bark to Appear Pro Hac Vice (Fee not paid-due within 20 days) by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 07/11/2012)
07/11/2012	<u>90</u>	CERTIFICATE OF GOOD STANDING of Attorney Lesley Anne Bark from the United States District Court for the Southern of New York filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 07/11/2012)
07/13/2012	<u>91</u>	RECEIPT #34675022439 in the amount of \$75.00 re <u>89</u> Motion to Appear Pro Hac Vice. (afs) (Entered: 07/13/2012)
07/13/2012	<u>92</u>	AMENDED COMPLAINT (<i>Consolidated</i>) for Violation of the Federal Securities Laws against Avondale Partners, LLC, Barclays Capital Inc., Christopher J. Birosak, Richard

		M. Bracken, CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., John P. Connaughton, Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Gleacher & Company Securities, Inc., Goldman, Sachs & Co., Christopher R. Gordon, HCA Holdings, Inc., J.P. Morgan Securities LLC, R. Milton Johnson, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Michael W. Michelson, Mizuho Securities USA Inc., James C. Momtazee, Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., Stephen G. Pagliuca, RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Nathan C. Thorne, Wells Fargo Securities, LLC, filed by New England Teamsters & Trucking Industry Pension Fund.(Saham, Scott) (Entered: 07/13/2012)
07/16/2012	<u>93</u>	ORDER granting <u>89</u> Motion for Lesley Bark to Appear Pro Hac Vice. Signed by Magistrate Judge Juliet E. Griffin on 7/16/12. (afs) (Entered: 07/16/2012)
07/16/2012	<u>94</u>	Summons issued as to Hercules Holding, II, LLC. (afs) (Entered: 07/17/2012)
07/17/2012	<u>95</u>	SUMMONS returned executed by New England Teamsters & Trucking Industry Pension Fund, Karsten Schuh. Hercules Holding, II, LLC served on 7/17/2012. (Barrett, George) (Entered: 07/17/2012)
07/18/2012	<u>96</u>	MOTION to Withdraw as Attorney <i>Withdraw Appearance of Joanna Weiss as Co-Counsel for Underwriter Defendants</i> by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 07/18/2012)
07/19/2012	<u>97</u>	ORDER granting <u>96</u> Motion to Withdraw as Attorney. Attorney Joanna Cohn Weiss terminated. Signed by District Judge Kevin H. Sharp on 7/19/12. (afs) (Entered: 07/19/2012)
09/11/2012	<u>98</u>	MOTION to Dismiss <i>the Consolidated Complaint</i> by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 09/11/2012)

09/11/2012	<u>99</u>	MEMORANDUM in Support of <u>98</u> MOTION to Dismiss <i>the Consolidated Complaint</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 09/11/2012)
09/11/2012	<u>100</u>	NOTICE of Filing by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>99</u> Memorandum in Support, <u>98</u> MOTION to Dismiss <i>the Consolidated Complaint</i> (Attachments: # <u>1</u> Exhibit Ex. 1 - HCA S-1 3/09/11 Excerpt, # <u>2</u> Exhibit 2 - HCA 10-K 12/31/10 Excerpt, # <u>3</u> Exhibit 3 - HCA 10-Q 3/31/11, # <u>4</u> Exhibit 4 - HCA 8-K 7/25/11, # <u>5</u> Exhibit 5 - HCA 8-K 9/12/11, # <u>6</u> Exhibit 6 - Barron's 10/01/11, # <u>7</u> Exhibit 7 - HCA 8-K 2/06/12, # <u>8</u> Exhibit 8 - HCA 10-Q 6/30/11, # <u>9</u> Exhibit 9 - HCA 10-K 12/31/06 Excerpt, # <u>10</u> Exhibit 10 - Arfa v. Mecox Lane, # <u>11</u> Exhibit 11 - Belodoff v. Netlist, # <u>12</u> Exhibit 12 - Blackmoss Investments v. ACA Capital, # <u>13</u> Exhibit 13 - Gaer v. Educ. Mgmt. Corp., # <u>14</u> Exhibit 14 - In re Children's Place, # <u>15</u> Exhibit 15 - Mallen v. Alphatec Holdings, # <u>16</u> Exhibit 16 - Steckman v. Hart Brewing, # <u>17</u> Exhibit 17 - Stonecipher v. Lehman Abs Corp.)(Riley, Steven) (Entered: 09/11/2012)
09/11/2012	<u>101</u>	MOTION for Hearing re <u>98</u> MOTION to Dismiss <i>the Consolidated Complaint</i> by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 09/11/2012)
09/11/2012	<u>102</u>	NOTICE by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC re <u>99</u> Memorandum in Support, <u>98</u> MOTION to Dismiss <i>the Consolidated Complaint (Notice of Joinder of the Underwriter Defendants in Motion to Dismiss)</i> (Hicks, John) (Entered: 09/11/2012)
09/12/2012	<u>103</u>	CERTIFICATE OF SERVICE by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce

		Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC re <u>102</u> Notice (Other), Notice (Other), Notice (Other), Notice (Other), Notice (Other) (Hicks, John) (Entered: 09/12/2012)
09/17/2012	<u>104</u>	ORDER: In accord with the order entered May 15, 2012 (Docket Entry No. 88), the plaintiff shall have until November 12, 2012, to file a response to the motion to dismiss. Any reply, if necessary, shall be filed within 45 days of the filing of the response or by December 27, 2012, if the response is filed on November 12, 2012. The Clerk is directed to forward the cases in this consolidated action to the Honorable Kevin H. Sharp for his consideration of the motion to dismiss filed by the HCA defendants, in which the Underwriter defendants join, and accompanying filings (Docket Entry Nos. 98- 100), the response to be filed by November 12, 2012, and any reply, if necessary, to be filed no later than December 27, 2012, as well as the motion of the HCA defendants for oral argument (Docket Entry No. 101). It is so ORDERED. Signed by Magistrate Judge Juliet E. Griffin on 3:11cv1033. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 09/17/2012)
09/17/2012	<u>105</u>	MOTION for attorney(s) Robert K. Lu to Appear Pro Hac Vice (paid \$75 PHV fee; receipt number 0650-1372540) by New England Teamsters & Trucking Industry Pension Fund. (Miles, Timothy) (Entered: 09/17/2012)
09/17/2012	<u>106</u>	CERTIFICATE OF GOOD STANDING of Attorney Robert K. Lu from the United States District Court for the Southern of California filed by New England Teamsters & Trucking Industry Pension Fund. (Miles, Timothy) (Entered: 09/17/2012)
09/17/2012	<u>107</u>	ORDER: The motion of lead plaintiff New England Teamsters & Trucking Industry Pension Fund for admission pro hac vice (Docket Entry No. 105) is GRANTED. Robert K. Lu is hereby admitted to practice in this case on behalf of lead plaintiff New England Teamsters & Trucking Industry Pension Fund. The Clerk is directed to enter Robert K. Lu as counsel for lead plaintiff New England Teamsters & Trucking Industry Pension Fund at the same address and telephone number already listed on the docket for Scott H. Saham and Robert R. Henssler Jr., with an email address of RLu@rgrdlaw.com. It is so ORDERED. Signed by Magistrate Judge Juliet E. Griffin on 9/17/12. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 09/17/2012)
09/18/2012		BAR STATUS for Attorney, Robert K. Lu of CA, verified as active on this date. (afs) (Entered: 09/18/2012)
11/12/2012	<u>108</u>	RESPONSE in Opposition re <u>98</u> MOTION to Dismiss <i>the Consolidated Complaint</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment Declaration of Darren J. Robbins in Support of Lead Plaintiff's Opposiiton to Defendants' Motion to Dismiss, # <u>2</u> Exhibit A, Reed Abelson and Julie Creswell, Hospital Chain Inquiry Cited Unnecessary Cardiac Work, N.Y. Times, Aug. 7, 2012, # <u>3</u> Exhibit B, Bill Berkrot, Update 4- U.S. Probes HCA Heart Procedures, Hospital Billing, Reuters, Aug. 6, 2012, # <u>4</u> Exhibit C, Excerpts of Annual Report on Form 10-K filed by HCA, Inc. with the Securities and Exchange Commission on December 31, 2006, # <u>5</u>

		Exhibit D, Excerpts of Statement of Financial Accounting Standards No. 141 (revised 2007), Business Combinations, # <u>6</u> Exhibit E, Statement of Financial Accounting Concepts No. 2 (Original Pronouncements, As Amended), # <u>7</u> Attachment Appendix of Authorities to Lead Plaintiff's Opposition to Defendants' Motion to Dismiss)(Robbins, Darren) (Entered: 11/12/2012)
12/27/2012	<u>109</u>	REPLY to Response to re <u>98</u> MOTION to Dismiss <i>the Consolidated Complaint</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) Modified on 12/28/2012 (afs). (Entered: 12/27/2012)
12/27/2012	<u>110</u>	NOTICE by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC re <u>109</u> Reply to Response to Motion, <i>Notice of Joinder of the Underwriter Defendants in Reply Memorandum in Support of the Motion to Dismiss</i> (Sweeney, Matthew) (Entered: 12/27/2012)
01/18/2013	<u>111</u>	ORDER: Pending before the Court is Defendants Motion Requesting Oral Argument <u>101</u> on HCA Defendants' Motion to Dismiss the Consolidated Complaint <u>98</u> .The motion is GRANTED and the Court will hold oral argument on 2/25/2013 at 9:00 a.m. Signed by District Judge Kevin H. Sharp on 1/18/13. (DOCKET TEXT SUMMARY ONLY- ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(dt) (Entered: 01/18/2013)
02/07/2013	<u>112</u>	Joint MOTION FOR ENTRY OF AN ORDER RESCHEDULING ORAL ARGUMENT ON THE HCA DEFENDANTS' MOTION TO DISMISS THE CONSOLIDATED COMPLAINT re <u>111</u> Order on Motion for Hearing, by Avondale Partners, LLC, Barclays Capital Inc., Christopher J. Birosak, Richard M. Bracken, CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., John P. Connaughton, Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Gleacher & Company Securities, Inc., Goldman, Sachs & Co., Christopher R. Gordon, HCA Holdings, Inc., J.P. Morgan Securities LLC, R. Milton Johnson, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Michael W. Michelson, Mizuho Securities USA Inc., James C. Momtazee, Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., New England Teamsters & Trucking Industry Pension Fund, Oppenheimer & Co. Inc., Stephen G. Pagliuca, RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co.

		Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Nathan C. Thorne, Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Proposed Order)(Barrett, George) (Entered: 02/07/2013)
02/08/2013	<u>113</u>	ORDER: The parties' <u>112</u> Joint Motion for Entry of an Order Rescheduling Oral Argument on Defts' <u>98</u> Motion to Dismiss is GRANTED. The hearing is reset for 9:00 a.m. on 4/15/13 in Courtroom A826 before District Judge Kevin H. Sharp. Signed by District Judge Kevin H. Sharp on 2/8/13. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(rd) (Entered: 02/08/2013)
04/15/2013		Minute Entry for proceedings held before District Judge Kevin H. Sharp: Motion Hearing held on 4/15/2013 re <u>98</u> MOTION to Dismiss the Consolidated Complaint. Order to enter. (Court Reporter Dorothy Stiles.) (ab) (Entered: 04/15/2013)
04/24/2013	<u>114</u>	Transcript filed: Oral Argument on Motion to Dismiss, APRIL 15, 2013, before Judge Kevin Sharp. Court Reporter/Transcriber: Dorothy Stiles, 615-330-1764, dorothea_stiles@tnmd.uscourts.gov. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. IT IS THE RESPONSIBILITY OF COUNSEL TO REVIEW THE TRANSCRIPT AND REQUEST REDACTION OF CONTENT CLASSIFIED AS PRIVATE UNDER THE NOTICE TO MEMBERS OF THE BAR, ELECTRONIC AVAILABILITY OF TRANSCRIPTS OF COURT PROCEEDINGS, EFFECTIVE MAY 5, 2008, AVAILABLE ON THE COURT'S WEBSITE UNDER THE CM-ECF LINK at: http://www.tnmd.uscourts.gov/files/20091201Notice_to_the_Bar_Transcripts.pdf . Intent to Request Transcript Redaction due 5/1/2013. Redaction Request due 5/15/2013. Redacted Transcript Deadline set for 5/28/2013. Release of Transcript Restriction set for 7/23/2013. (ds) (Entered: 04/24/2013)
05/23/2013	<u>115</u>	NOTICE by New England Teamsters & Trucking Industry Pension Fund re <u>108</u> Response in Opposition to Motion,,,, <i>Notice of Recent Authority in Support of Lead Plaintiff's Opposition to Defendants' Motion to Dismiss</i> (Attachments: # <u>1</u> Exhibit A - Omnicare opinion, # <u>2</u> Exhibit B - Silverstrand opinion)(Henssler, Robert) (Entered: 05/23/2013)
05/28/2013	<u>116</u>	MEMORANDUM OF THE COURT. Signed by District Judge Kevin H. Sharp on 5/28/13. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 05/28/2013)
05/28/2013	<u>117</u>	ORDER: For the reasons explained in the accompanying Memorandum, the Motion to Dismiss (Docket No. 98) filed by the HCA Defendants and joined in by the Underwriter Defendants (Docket No. 110) is hereby GRANTED IN PART and DENIED IN PART. The Motion is GRANTED with respect to Plaintiffs' allegations that, in regard to its initial public offering, HCA unlawfully failed to disclose (1) an adverse trend in Medicaid Revenue Growth; (2) the potential impact on revenue of proposed Medicaid legislation in Florida and Texas; and (3) an adverse trend in Medicaid Supplemental UPL payments from Texas. In all other respects the Motion is denied. Plaintiff's request to amend the Consolidated Amended Complaint is DENIED. It is SO ORDERED. Signed by District Judge Kevin H. Sharp on 5/28/13. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs)

		(Entered: 05/28/2013)
05/31/2013	<u>118</u>	MOTION for attorney(s) Debra J. Wyman and Francis A. DiGiaccio to Appear Pro Hac Vice (paid \$150 PHV fee; receipt number 0650-1530211) by New England Teamsters & Trucking Industry Pension Fund. (Miles, Timothy) (Entered: 05/31/2013)
05/31/2013	<u>119</u>	CERTIFICATE OF GOOD STANDING of Attorney Debra J. Wyman from the United States District Court for the Southern District of California filed by New England Teamsters & Trucking Industry Pension Fund. (Miles, Timothy) (Entered: 05/31/2013)
05/31/2013	<u>120</u>	CERTIFICATE OF GOOD STANDING of Attorney Francis A. DiGiaccio from the United States District Court for the Southern District of California filed by New England Teamsters & Trucking Industry Pension Fund. (Miles, Timothy) (Entered: 05/31/2013)
06/03/2013		BAR STATUS for Attorneys, Debra J. Wyman and Francis A. DiGiaccio of CA, verified as active on on this date. (afs) (Entered: 06/03/2013)
06/06/2013	<u>121</u>	MOTION TO SET CASE MANAGEMENT CONFERENCE <i>PLAINTIFFS MOTION TO SET CASE MANAGEMENT CONFERENCE</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Proposed Order)(Barrett, George) (Entered: 06/06/2013)
06/07/2013	<u>122</u>	RESPONSE in Opposition re <u>121</u> MOTION TO SET CASE MANAGEMENT CONFERENCE <i>PLAINTIFFS MOTION TO SET CASE MANAGEMENT CONFERENCE</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 06/07/2013)
06/07/2013	<u>123</u>	NOTICE by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>122</u> Response in Opposition to Motion, (<i>Notice of Service of DE # 122</i>) (Riley, Steven) (Entered: 06/07/2013)
06/07/2013	<u>124</u>	STIPULATION <i>and [Proposed] Order Extending Time to Answer Consolidated Complaint</i> by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 06/07/2013)
06/11/2013	<u>125</u>	STIPULATION ORDER EXTENDING TIME TO ANSWER CONSOLIDATED COMPLAINT: As evidenced by the signatures of Lead Counsel for Plaintiffs and

		Counsel for Defendants, the Parties to the above-captioned action stipulate that Defendants shall have an extension of time through July 12, 2013 to answer the Consolidated Complaint. It appearing to the Court for good cause shown that the extension should be granted, IT IS SO ORDERED. Signed by Magistrate Judge Juliet E. Griffin on 6/11/2013. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(hb) (Entered: 06/11/2013)
06/11/2013	<u>126</u>	ORDER: The plaintiff's motion for Debra J. Wyman and Francis A. DiGiaccio for admissions pro hac vice <u>118</u> is Granted. The plaintiff's motion to set case management conference <u>121</u> is Granted in part to the extent that a case management conference will not be scheduled on June 14, 2013, but rather, for the reasons explained by the HCA defendants in their opposition <u>122</u> , the case management conference is scheduled on Tuesday, July 23, 2013, at 11:00 a.m., in Courtroom 764, U.S. Courthouse, 801 Broadway, Nashville, TN. Signed by Magistrate Judge Juliet E. Griffin on 6/11/13. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(dt) (Entered: 06/11/2013)
06/12/2013	<u>127</u>	MOTION for attorney(s) Emmet P. Ong, Joshua Dugan to Appear Pro Hac Vice (Fee not paid-due within 20 days) by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 06/12/2013)
06/12/2013	<u>128</u>	CERTIFICATE OF GOOD STANDING of Attorney Emmet Patrick Ong from the United States District Court for the Southern of New York filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 06/12/2013)
06/12/2013	<u>129</u>	CERTIFICATE OF GOOD STANDING of Attorney Joshua Buchanan Dugan from the United States District Court for the Southern of New York filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak

		Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 06/12/2013)
06/13/2013	<u>130</u>	RECEIPT #34675026981 in the amount of \$150.00 re <u>127</u> Motion to Appear Pro Hac Vice. (afs) (Entered: 06/13/2013)
06/14/2013		BAR STATUS for Attorneys, Emmet P. Ong and Joshua Dugan of NY, verified as active on this date. (afs) (Entered: 06/14/2013)
06/17/2013	<u>131</u>	ORDER granting <u>127</u> Motion for Emmet P. Ong and Joshua Dugan to Appear Pro Hac Vice. Signed by Magistrate Judge Juliet E. Griffin on 6/14/13. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 06/17/2013)
06/27/2013	<u>132</u>	Joint MOTION to Reschedule Case Management Conference by Avondale Partners, LLC, Barclays Capital Inc., Christopher J. Birosak, Richard M. Bracken, CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., John P. Connaughton, Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Ernst & Young LLP, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Gleacher & Company Securities, Inc., Goldman, Sachs & Co., Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, J.P. Morgan Securities LLC, R. Milton Johnson, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Michael W. Michelson, Mizuho Securities USA Inc., James C. Momtazee, Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., New England Teamsters & Trucking Industry Pension Fund, Oppenheimer & Co. Inc., Stephen G. Pagliuca, RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Nathan C. Thorne, Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Proposed Order Proposed Order)(Barrett, George) (Entered: 06/27/2013)
06/28/2013	<u>133</u>	ORDER: The parties' joint motion to reschedule case management conference (Docket Entry No. <u>132</u>) is GRANTED.The case management conference, scheduled by order entered June 11, 2013 (Docket EntryNo. 126), on July 23, 2013, is RESCHEDULED to Thursday, August 1, 2013, at 10:00 a.m., in Courtroom 764, U.S. Courthouse, 801 Broadway, Nashville, TN. In all other respects, the June 11, 2013, order remains in full force and effect. It is so ORDERED. Signed by Magistrate Judge Juliet E. Griffin on 6/28/13. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF

		AND READ THE ORDER.)(afs) (Entered: 06/28/2013)
07/12/2013	<u>134</u>	ANSWER to <u>92</u> Amended Complaint,,,,, by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC.(Sweeney, Matthew) (Entered: 07/12/2013)
07/12/2013	<u>135</u>	<i>HCA Defendants'</i> ANSWER to <u>92</u> Amended Complaint,,,,, and <i>Affirmative Defenses</i> by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne.(Riley, Steven) (Entered: 07/12/2013)
07/25/2013	<u>136</u>	PROPOSED CASE MANAGEMENT ORDER filed by All Defendants. (Riley, Steven) (Entered: 07/25/2013)
07/25/2013	<u>137</u>	PROPOSED CASE MANAGEMENT ORDER filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit A: Dkt. No. 108-2, 8/6/12 NY Times article)(Henssler, Robert) (Entered: 07/25/2013)
07/29/2013	<u>138</u>	NOTICE by Karsten Schuh <i>NOTICE OF CHANGE OF ATTORNEY FIRM ASSOCIATION</i> (Miles, Timothy) (Entered: 07/29/2013)
08/01/2013		Minute Entry for proceedings held before Magistrate Judge Juliet E. Griffin: Case Management Conference held on 8/1/2013; ORDER to enter. (Tape #G2013-071, 072.) (jwc) (Entered: 08/01/2013)
08/05/2013	<u>139</u>	ORDER: A further case management conference/hearing is scheduled on Friday, September 6, 2013, a 12:00 p.m., central time, in Courtroom 764, U.S. Courthouse, 801 Broadway, Nashville, TN, to address the plaintiffs' motion to compel, if appropriate, the status of discovery and any other discovery issues, including, but not necessarily limited to, electronic discovery issues, and any other appropriate matters. Signed by Magistrate Judge Juliet E. Griffin on 8/5/13. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 08/05/2013)
08/05/2013	<u>140</u>	STIPULATION and [<i>Proposed</i>] <i>Protective Order</i> by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 08/05/2013)
08/07/2013	<u>141</u>	MOTION to Compel <i>the Immediate Production of Certain Documents Relating to Improper Cardiac Procedures</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment Lead Plaintiff's Memorandum of Points and Authorities in Support of Motion to Compel the Immediate Production of Certain Documents Relating to Improper Cardiac Procedures, # <u>2</u> Attachment Declaration of

		<p>Scott H. Saham in Support of Lead Plaintiff's Motion to Compel the Immediate Production of Certain Documents Relating to Improper Cardiac Procedures, # <u>3</u> Exhibit 1, Reed Abelson and Julie Creswell, Hospital Chain Inquiry Cited Unnecessary Cardiac Work, N.Y. Times, Aug. 6, 2012, # <u>4</u> Exhibit 2, Plaintiffs First Set of Requests for Production of Documents to HCA Holdings, Inc., Hercules Holding II, LLC, and the Individual Defendants, # <u>5</u> Exhibit 3, HCA Defendants Responses and Objections to Lead Plaintiff's First Set of Requests for the Production of Documents, # <u>6</u> Exhibit 4, July 1, 2013 letter to Michele Rose and Edmund Polubinski, III regarding confirmation of their discussions during their telephonic Rule 26(f) conference, # <u>7</u> Exhibit 5, July 8, 2013 letter to Scott Saham from Michele Rose regarding response to July 1, 2013 letter, # <u>8</u> Proposed Order)(Saham, Scott) (Entered: 08/07/2013)</p>
08/08/2013	<u>142</u>	<p>INITIAL CASE MANAGEMENT ORDER #1: Motion to Amend Pleadings due by 10/15/2013. Discovery due by 7/30/2014. Dispositive Motions due by 4/15/2015. Signed by Magistrate Judge Juliet E. Griffin on 8/8/13. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(dt) (Entered: 08/09/2013)</p>
08/08/2013	<u>143</u>	<p>CASE MANAGEMENT ORDER #2: Jury Trial set for 9/15/2015 at 9:00 AM before District Judge Kevin H. Sharp. Pretrial Conference set for 8/31/2015 at 1:30 PM before District Judge Kevin H. Sharp. Signed by Magistrate Judge Juliet E. Griffin on 8/8/13. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(dt) (Entered: 08/09/2013)</p>
08/08/2013	<u>144</u>	<p>ORDER: By contemporaneously entered order, the Court has approved and entered the parties' proposed stipulation and protective order (Docket Entry No. <u>140</u>). Signed by Magistrate Judge Juliet E. Griffin on 8/8/13. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(dt) (Entered: 08/09/2013)</p>
08/08/2013	<u>145</u>	<p>STIPULATION AND PROTECTIVE ORDER. Signed by Magistrate Judge Juliet E. Griffin on 8/8/13. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(dt) (Entered: 08/09/2013)</p>
08/14/2013	<u>146</u>	<p>RESPONSE in Opposition re <u>141</u> MOTION to Compel <i>the Immediate Production of Certain Documents Relating to Improper Cardiac Procedures</i> filed by Christopher J. Birozak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit 1 - Transcript of Q2 2011 Conference Call, # <u>2</u> Exhibit 2 - HCA 2012 Form 10-K Excerpts, # <u>3</u> Exhibit 3 - Excerpts from 1Q 2013 Form 10-Q, # <u>4</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 08/14/2013)</p>
08/22/2013	<u>147</u>	<p>MOTION for Leave to File Reply Brief re <u>146</u> Response in Opposition to Motion,, by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit A, Lead Plaintiff's Reply in Support of Motion to Compel, # <u>2</u> Proposed Order Granting Lead Plaintiff's Motion for Leave to File Reply)(Saham, Scott) Modified on 8/28/2013 (afs). (Entered: 08/22/2013)</p>
08/22/2013	<u>148</u>	<p>Transcript filed for date of 8/1/2013 before Judge Juliet E. Griffin. Court Reporter/Transcriber: Dawn Suffridge, 615-383-0489, dawnuf2@comcast.net. Transcript may be viewed at the court public terminal or purchased through the Court</p>

		<p>Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. IT IS THE RESPONSIBILITY OF COUNSEL TO REVIEW THE TRANSCRIPT AND REQUEST REDACTION OF CONTENT CLASSIFIED AS PRIVATE UNDER THE NOTICE TO MEMBERS OF THE BAR, ELECTRONIC AVAILABILITY OF TRANSCRIPTS OF COURT PROCEEDINGS, EFFECTIVE MAY 5, 2008, AVAILABLE ON THE COURT'S WEBSITE UNDER THE CM-ECF LINK at:</p> <p>http://www.tnmd.uscourts.gov/files/20091201Notice_to_the_Bar_Transcripts.pdf. Intent to Request Transcript Redaction due 8/29/2013. Redaction Request due 9/12/2013. Redacted Transcript Deadline set for 9/23/2013. Release of Transcript Restriction set for 11/20/2013. (tmw) (Entered: 08/23/2013)</p>
08/26/2013	<u>149</u>	ORDER granting <u>147</u> Motion for Leave to File Reply. Signed by Magistrate Judge Juliet E. Griffin on 8/26/13. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(dt) (Entered: 08/26/2013)
08/26/2013	<u>150</u>	REPLY to Response to <u>141</u> MOTION to Compel <i>the Immediate Production of Certain Documents Relating to Improper Cardiac Procedures</i> filed by New England Teamsters & Trucking Industry Pension Fund. (dt) (Entered: 08/26/2013)
09/06/2013		Minute Entry for proceedings held before Magistrate Judge Juliet E. Griffin: Case Management Conference held on 9/6/2013, Discovery Hearing held on 9/6/2013; ORDER to enter. (Tape #G2013-081, 082.) (jwc) (Entered: 09/06/2013)
09/09/2013	<u>151</u>	ORDER: The plaintiffs' motion to compel (Docket Entry No. <u>141</u>) was GRANTED to the extent provided herein. A hearing is scheduled on Friday, November 8, 2013, at 9:30 a.m., central time, in Courtroom 764, U.S. Courthouse, 801 Broadway, Nashville, TN, to address any pending discovery motions, issues or disputes. The October 15, 2013, deadline for filing motions to amend the pleadings is extended to December 16, 2013. Signed by Magistrate Judge Juliet E. Griffin on 9/9/13. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 09/09/2013)
09/19/2013	<u>152</u>	Transcript filed for dates of 9/6/13 before Judge Juliet E. Griffin. Court Reporter/Transcriber: Dawn Suffridge, 615-383-0489, dawnzuf2@comcast.net. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. IT IS THE RESPONSIBILITY OF COUNSEL TO REVIEW THE TRANSCRIPT AND REQUEST REDACTION OF CONTENT CLASSIFIED AS PRIVATE UNDER THE NOTICE TO MEMBERS OF THE BAR, ELECTRONIC AVAILABILITY OF TRANSCRIPTS OF COURT PROCEEDINGS, EFFECTIVE MAY 5, 2008, AVAILABLE ON THE COURT'S WEBSITE UNDER THE CM-ECF LINK at: <p>http://www.tnmd.uscourts.gov/files/20091201Notice_to_the_Bar_Transcripts.pdf. Intent to Request Transcript Redaction due 9/26/2013. Redaction Request due 10/10/2013. Redacted Transcript Deadline set for 10/21/2013. Release of Transcript Restriction set for 12/18/2013. (afs) (Entered: 09/19/2013)</p>
09/24/2013	<u>153</u>	MOTION for Protective Order (<i>Stipulation and Proposed Supplemental Protective Order</i>) by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne.

		(Attachments: # <u>1</u> Exhibit A - Stipulation and (Proposed) Supplemental Protective Order)(McGee, Milton) (Entered: 09/24/2013)
09/26/2013	<u>154</u>	NOTICE of Intent to Request Transcript Redaction by Milton S. McGee, III re <u>152</u> Transcript,,, (McGee, Milton) (Entered: 09/26/2013)
09/26/2013	<u>155</u>	STIPULATION AND SUPPLEMENTAL PPROTECTIVE ORDER. Signed by Magistrate Judge Juliet E. Griffin on 9/25/13. (DOCKET TEXT SUMMARY ONLY- ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 09/26/2013)
09/26/2013	<u>156</u>	ORDER: The HCA defendant's motion for entry of stipulation and proposed supplemental protective order (Docket Entry No. <u>153</u>) is GRANTED. Signed by Magistrate Judge Juliet E. Griffin on 9/25/13. (DOCKET TEXT SUMMARY ONLY- ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 09/26/2013)
10/09/2013		Minute Entry for proceedings held before Magistrate Judge Juliet E. Griffin: Telephone Conference: Discovery Hearing held on 10/9/2013; ORDER to enter. (jwc) (Entered: 10/21/2013)
10/10/2013	<u>157</u>	MOTION to Seal Document (<i>redacted transcript portions</i>), MOTION for Transcript Redaction by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (McGee, Milton) (Entered: 10/10/2013)
10/10/2013	<u>158</u>	Sealed Document (<i>redacted transcript portions</i>) filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (McGee, Milton) (Entered: 10/10/2013)
10/15/2013	<u>159</u>	MOTION to Certify Class by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment Lead Plaintiff's Memorandum of Law in Support of Motion for Class Certification, # <u>2</u> Attachment Declaration of Scott H. Saham in Support of Lead Plaintiff's Motion for Class Certification, # <u>3</u> Exhibit A, Reed Abelson and Julie Creswell, Hospital Chain Inquiry Cited Unnecessary Cardiac Work, N.Y. Times, Aug. 6, 2012, # <u>4</u> Exhibit B, Excerpt of HCA Holdings, Inc. Form 10-K for the fiscal year ended December 31, 2012, # <u>5</u> Exhibit C, Bill Berkrot, A Justice Department Inquiry is the Start of HCAs Problems (cue The New York Times), MedCity News, Aug. 6, 2012, # <u>6</u> Exhibit D, Robbins Geller Rudman & Dowd LLP firm resum, # <u>7</u> Attachment Declaration of Edward F. Groden in Support of Lead Plaintiff's Motion for Class Certification, # <u>8</u> Proposed Order Granting Lead Plaintiff's Motion for Class Certification)(Saham, Scott) (Entered: 10/15/2013)
10/15/2013	<u>160</u>	APPENDIX filed by New England Teamsters & Trucking Industry Pension Fund re <u>159</u> Motion to Certify Class,,, (Saham, Scott) (Entered: 10/15/2013)
10/17/2013		Minute Entry for proceedings held before Magistrate Judge Juliet E. Griffin: Telephone Conference: Discovery Hearing held on 10/17/2013; ORDER to enter. (jwc) (Entered: 10/21/2013)
10/21/2013	<u>161</u>	MOTION to Compel <i>Compliance with September 9, 2013 Order and for Production of</i>

		<i>Documents Relating to: (1) HCAs Internal Investigation of Unnecessary Cardiac Procedures; (2) the DOJ Investigation of Improper Billing Practices; and (3) the Impact or Potential Impact of These Investigations on HCAs Performance</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment Lead Plaintiff's Memorandum of Law in Support of Motion to Compel, # <u>2</u> Attachment Declaration of Scott H. Saham in Support of Lead Plaintiff's Motion to Compel, # <u>3</u> Exhibit A, HCA Defendants' Privilege Log to be Efiled Under Seal, # <u>4</u> Exhibit B, Reed Abelson and Julie Creswell, Hospital Chain Inquiry Cited Unnecessary Cardiac Work, N.Y. Times, Aug. 6, 2012, # <u>5</u> Exhibit C, Excerpt of HCA Holdings, Inc. Form 10-K for the fiscal year ended December 31, 2012, # <u>6</u> Exhibit D, Bill Berkrot, A Justice Department Inquiry is the Start of HCAs Problems (cue The New York Times), MedCity News, Aug. 6, 2012, # <u>7</u> Exhibit E, HCA Defendants Exhibit B to October 7, 2013 letter detailing Parameters for Collection and Review of Documents Potentially Responsive to Paragraph 5 of the Courts September 9, 2013 Order, # <u>8</u> Exhibit F, HCA Defendants Interrogatory Response No. 5, # <u>9</u> Proposed Order in Support of Motion to Compel)(Saham, Scott) (Entered: 10/21/2013)
10/21/2013	<u>162</u>	APPENDIX filed by New England Teamsters & Trucking Industry Pension Fund re <u>161</u> Motion to Compel,,,,,. (Saham, Scott) (Entered: 10/21/2013)
10/21/2013	<u>163</u>	MOTION for Leave to File Document Under Seal by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Proposed Order Granting Motion for Leave to File Document Under Seal)(Saham, Scott) (Entered: 10/21/2013)
10/21/2013	<u>164</u>	Sealed Document <i>HCA Defendants Privilege Log pursuant to Paragraph 5 of the Court's September 9, 2013 Order (Dkt. 151)</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 10/21/2013)
11/04/2013	<u>165</u>	RESPONSE in Opposition re <u>161</u> MOTION to Compel <i>Compliance with September 9, 2013 Order and for Production of Documents Relating to: (1) HCAs Internal Investigation of Unnecessary Cardiac Procedures; (2) the DOJ Investigation of Improper Billing Practices; and (3) the Impact or</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit A - Notice of Rule 30(b)(6) Deposition, # <u>2</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 11/04/2013)
11/06/2013	<u>166</u>	MOTION for Leave to to File Reply Brief re <u>165</u> Response in Opposition to Motion,, by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit A, Lead Plaintiff's Reply in Support of Motion to Compel Compliance with September 9, 2013 Order and For Production of Documents, # <u>2</u> Exhibit B, Appendix of Non-Published Authorities, # <u>3</u> Proposed Order Granting Lead Plaintiff's Motion for Leave to File Reply)(Saham, Scott) (Entered: 11/06/2013)
11/07/2013	<u>167</u>	ORDER granting <u>166</u> Motion for Leave to File Reply Brief. Signed by District Judge Kevin H. Sharp on 11/7/13. (afs) (Entered: 11/08/2013)
11/07/2013	<u>168</u>	LEAD PLAINTIFFS REPLY IN SUPPORT OF MOTION TO COMPEL filed by New England Teamsters & Trucking Industry Pension Fund re <u>165</u> Response in Opposition to Motion,, filed by Michael W. Michelson, Thomas F. Frist, III, R. Milton Johnson, Christopher R. Gordon, James C. Momtazee, Richard M. Bracken, Hercules Holding, II,

		LLC, Kenneth W. Freeman, John P. Connaughton, Christopher J. Birosak, HCA Holdings, Inc., Nathan C. Thorne, William R. Frist, James D. Forbes, Stephen G. Pagliuca. (Attachments: # <u>1</u> Attachment APPENDIX (afs) (Entered: 11/08/2013)
11/08/2013	<u>169</u>	NOTICE of Filing by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne (<i>Declaration of Leesa Hinson</i>) (Attachments: # <u>1</u> Exhibit A - Declaration of Leesa Hinson)(Riley, Steven) (Entered: 11/08/2013)
11/08/2013		Minute Entry for proceedings held before Magistrate Judge Juliet E. Griffin: Motion Hearing held on 11/8/2013 re <u>161</u> MOTION to Compel <i>Compliance with September 9, 2013 Order and for Production of Documents Relating to: (1) HCAs Internal Investigation of Unnecessary Cardiac Procedures; (2) the DOJ Investigation of Improper Billing Practices; and (3) the Impact or filed by New England Teamsters & Trucking Industry Pension Fund, <u>157</u> MOTION to Seal Document (redacted transcript portions) MOTION for Transcript Redaction filed by Michael W. Michelson, Thomas F. Frist, III, R. Milton Johnson, Christopher R. Gordon, James C. Momtazee, Richard M. Bracken, Hercules Holding, II, LLC, Kenneth W. Freeman, John P. Connaughton, Christopher J. Birosak, HCA Holdings, Inc., Nathan C. Thorne, William R. Frist, James D. Forbes, Stephen G. Pagliuca, <u>163</u> MOTION for Leave to File Document Under Seal filed by New England Teamsters & Trucking Industry Pension Fund; ORDER to enter. (Tape #G2013-110, 111, 112.) (jwc) (Entered: 11/08/2013)</i>
11/22/2013	<u>170</u>	MOTION for Leave to File Document Under Seal by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 11/22/2013)
11/22/2013	<u>171</u>	Sealed Document <i>Supplemental Declaration of Leesa Hinson with Exhibit</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 11/22/2013)
11/22/2013	<u>172</u>	Sealed Document <i>HCA's Privilege Log with Legend</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 11/22/2013)
11/26/2013	<u>173</u>	ORDER granting <u>170</u> Motion for Leave to File Document Under Seal. Signed by District Judge Kevin H. Sharp on 11/26/13. (afs) (Entered: 11/26/2013)
12/02/2013	<u>174</u>	MOTION Entry of ESI Protocol <i>Lead Plaintiff's Notice of Motion and Motion for Entry of ESI Protocol</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Proposed Order Proposed Order)(Saham, Scott) (Entered: 12/02/2013)
12/02/2013	<u>175</u>	MOTION for Leave to File Document Under Seal <i>Motion for Leave to File Plaintiff's Proposed ESI Protocol and Accompanying Exhibits Under Seal</i> by New England

		Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Proposed Order Proposed Order)(Saham, Scott) (Entered: 12/02/2013)
12/02/2013	<u>176</u>	Sealed Document <i>Plaintiff's Proposed ESI Protocol</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 12/02/2013)
12/02/2013	<u>177</u>	RESPONSE filed by New England Teamsters & Trucking Industry Pension Fund re <u>171</u> Sealed Document, filed by Michael W. Michelson, Thomas F. Frist, III, R. Milton Johnson, Christopher R. Gordon, James C. Momtazee, Richard M. Bracken, Hercules Holding, II, LLC, Kenneth W. Freeman, John P. Connaughton, Christopher J. Birosak, HCA Holdings, Inc., Nathan C. Thorne, William R. Frist, James D. Forbes, Stephen G. Pagliuca <i>Plaintiff's Response to Declaration of Leesa Hinson</i> . (Saham, Scott) (Entered: 12/02/2013)
12/09/2013	<u>178</u>	Joint MOTION to Amend/Correct <i>Initial Case Management Order #1</i> by Avondale Partners, LLC, Bank of America, Barclays Capital Inc., Christopher J. Birosak, Richard M. Bracken, CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., John P. Connaughton, Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Julius Daniels, Deutsche Bank Securities Inc., Ernst & Young LLP, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Gleacher & Company Securities, Inc., Goldman, Sachs & Co., Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, J.P. Morgan Securities LLC, R. Milton Johnson, Rafat Kishtah, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Michael W. Michelson, Mizuho Securities USA Inc., James C. Momtazee, Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., New England Teamsters & Trucking Industry Pension Fund, Oppenheimer & Co. Inc., Stephen G. Pagliuca, RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Karsten Schuh, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Nathan C. Thorne, Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Proposed Order Proposed Order)(Riley, Steven) (Entered: 12/09/2013)
12/11/2013	<u>179</u>	MOTION for Leave to File Document Under Seal (<i>HCA's Reply to Plaintiff's Response to Declaration of Leesa Hinson</i>) by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 12/11/2013)
12/11/2013	<u>180</u>	Sealed Document <i>HCA's Reply to Plaintiff's Response to Declaration of Leesa Hinson</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 12/11/2013)
12/13/2013	<u>181</u>	MOTION for Protective Order by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton

		Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit A - HCA's Proposed ESI Protocol, # <u>2</u> Exhibit B - Proposed Order Granting HCA's Motion)(Riley, Steven) (Entered: 12/13/2013)
12/13/2013	<u>182</u>	MEMORANDUM in Support of <u>181</u> MOTION for Protective Order filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne . (Attachments: # <u>1</u> Attachment Unreported cases)(Riley, Steven) (Entered: 12/13/2013)
12/13/2013	<u>183</u>	DECLARATION of Steven A. Riley filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re: <u>181</u> MOTION for Protective Order . (Riley, Steven) (Entered: 12/13/2013)
12/13/2013	<u>184</u>	DECLARATION of Richard Stout filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re: <u>181</u> MOTION for Protective Order . (Riley, Steven) (Entered: 12/13/2013)
12/13/2013	<u>185</u>	DECLARATION of Mariateresa Barnett filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re: <u>181</u> MOTION for Protective Order . (Attachments: # <u>1</u> Exhibit A - Examples of emails from sampling)(Riley, Steven) (Entered: 12/13/2013)
12/13/2013	<u>186</u>	DECLARATION of Mark Walker filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re: <u>181</u> MOTION for Protective Order . (Attachments: # <u>1</u> Exhibit A - Hit report for HCA's proposed search terms for ESI loaded into Catalyst as of Dec. 12, 2013, # <u>2</u> Exhibit B (Part I) - Hit report for HCA's and Plaintiff's search terms for ESI loaded into Catalyst as of Dec. 12, 2013, # <u>3</u> Exhibit B (Part II) - Hit report for HCA's and Plaintiff's search terms for ESI loaded into Catalyst as of Dec. 12, 2013)(Riley, Steven) (Entered: 12/13/2013)
12/13/2013	<u>187</u>	RESPONSE in Opposition re <u>174</u> MOTION Entry of ESI Protocol <i>Lead Plaintiff's Notice of Motion and Motion for Entry of ESI Protocol</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 12/13/2013)
12/16/2013	<u>188</u>	ORDER: The parties' joint motion to modify initial case management order # 1 (Docket Entry No. <u>178</u> . The time for the defendants to file a response to the plaintiff's motion for

		class certification (Docket Entry No. <u>159</u>) is extended to February 18, 2014. Any reply, if necessary, shall be filed no later than April 9, 2014. is GRANTED. The December 19, 2013, deadline for filing any motions to amend the pleadings is extended to February 18, 2014. Signed by Magistrate Judge Juliet E. Griffin on 12/16/13. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 12/17/2013)
12/16/2013	<u>189</u>	Transcript filed for dates of 11/8/13 before Judge Juliet E. Griffin. Court Reporter/Transcriber: Dawn Suffridge, 615-383-0489, dawn suf2@comcast.net. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. IT IS THE RESPONSIBILITY OF COUNSEL TO REVIEW THE TRANSCRIPT AND REQUEST REDACTION OF CONTENT CLASSIFIED AS PRIVATE UNDER THE NOTICE TO MEMBERS OF THE BAR, ELECTRONIC AVAILABILITY OF TRANSCRIPTS OF COURT PROCEEDINGS, EFFECTIVE MAY 5, 2008, AVAILABLE ON THE COURT'S WEBSITE UNDER THE CM-ECF LINK at: http://www.tnmd.uscourts.gov/files/20091201Notice_to_the_Bar_Transcripts.pdf . Intent to Request Transcript Redaction due 12/23/2013. Redaction Request due 1/6/2014. Redacted Transcript Deadline set for 1/16/2014. Release of Transcript Restriction set for 3/17/2014. (afs) (Entered: 12/17/2013)
12/17/2013		Minute Entry for proceedings held before Magistrate Judge Juliet E. Griffin: Discovery Hearing held on 12/17/2013. (Tape #G2013-127, 128.) (jwc) (Entered: 12/17/2013)
02/07/2014	<u>190</u>	MOTION to Withdraw as Attorney (<i>Michele E. Rose</i>) by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 02/07/2014)
02/10/2014	<u>191</u>	ORDER granting <u>190</u> Motion to Withdraw as Attorney. Attorney Michele E. Rose terminated. Signed by District Judge Kevin H. Sharp on 2/10/14. (afs) (Entered: 02/10/2014)
02/13/2014	<u>192</u>	Joint MOTION to Amend/Correct <u>142</u> Order, Set Deadlines,, <u>188</u> Order, Terminate Motions, Set Deadlines,,,,, <i>Case Management Orders</i> by Avondale Partners, LLC, Bank of America, Barclays Capital Inc., Christopher J. Birosak, Richard M. Bracken, CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., John P. Connaughton, Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Julius Daniels, Deutsche Bank Securities Inc., Ernst & Young LLP, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Gleacher & Company Securities, Inc., Goldman, Sachs & Co., Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, J.P. Morgan Securities LLC, R. Milton Johnson, Rafat Kishtah, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Michael W. Michelson, Mizuho Securities USA Inc., James C. Momtazee, Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., New England Teamsters & Trucking Industry Pension Fund, Oppenheimer & Co. Inc., Stephen G. Pagliuca, RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company

		Inc., Sanford C. Bernstein & Co., LLC, Karsten Schuh, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Nathan C. Thorne, Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Proposed Order Proposed Order)(Riley, Steven) (Entered: 02/13/2014)
02/18/2014	<u>193</u>	ORDER: The parties' joint motion to modify case management orders (Docket Entry No. <u>192</u>) is GRANTED. The time for the defendants to file a response to the plaintiff's motion for class certification (Docket Entry No. <u>159</u>) is extended from February 18, 2014, to April 8, 2014. Any reply, if necessary, shall be filed no later than June 9, 2014. Signed by Magistrate Judge Juliet E. Griffin on 2/18/14. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 02/19/2014)
03/07/2014	<u>194</u>	NOTICE to Take Deposition of Victory Capital Management, Inc. by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne.(McGee, Milton) (Entered: 03/07/2014)
04/07/2014	<u>195</u>	Joint MOTION for Extension of Time to File <i>To Modify Case Management Orders</i> by Avondale Partners, LLC, Bank of America, Barclays Capital Inc., Christopher J. Birosak, Richard M. Bracken, CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., John P. Connaughton, Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Julius Daniels, Deutsche Bank Securities Inc., Ernst & Young LLP, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Gleacher & Company Securities, Inc., Goldman, Sachs & Co., Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, J.P. Morgan Securities LLC, R. Milton Johnson, Rafat Kishtah, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Michael W. Michelson, Mizuho Securities USA Inc., James C. Momtazee, Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., New England Teamsters & Trucking Industry Pension Fund, Oppenheimer & Co. Inc., Stephen G. Pagliuca, RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Karsten Schuh, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Nathan C. Thorne, Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Proposed Order [Proposed] Order Modifying Case Management Orders)(Riley, Steven) (Entered: 04/07/2014)
04/21/2014	<u>196</u>	ORDER: Joint Motion to Modify Case Management Orders <u>195</u> is Granted. Signed by Magistrate Judge Juliet E. Griffin on 4/21/14. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(dt) (Entered: 04/21/2014)
04/29/2014	<u>197</u>	MOTION for Leave to File Document Under Seal (<i>Opposition Brief and Certain Exhibits to Declaration of Steven A. Riley</i>) by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G.

		Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 04/29/2014)
04/29/2014	<u>198</u>	Sealed Document (<i>Memorandum of Law in Opposition to Lead Plaintiff's Motion for Class Certification, Dkt. 159</i>) filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 04/29/2014)
04/29/2014	<u>199</u>	NOTICE of Filing by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>198</u> Sealed Document, <i>Opposition Brief</i> (Attachments: # <u>1</u> Attachment Unreported cases cited in Opposition Brief)(Riley, Steven) (Entered: 04/29/2014)
04/29/2014	<u>200</u>	NOTICE by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC re <u>159</u> MOTION to Certify Class <i>Notice of Joinder of the Underwriter Defendants in Opposition to Lead Plaintiff's Motion for Class Certification</i> (Sweeney, Matthew) (Entered: 04/29/2014)
04/29/2014	<u>201</u>	NOTICE by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC re <u>159</u> MOTION to Certify Class <i>Notice of Joinder of the Underwriter Defendants in Opposition to Lead Plaintiff's Motion for Class Certification</i> (Sweeney, Matthew) (Entered: 04/29/2014)
04/29/2014	<u>202</u>	DECLARATION of Steven A. Riley filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G.

		<p>Pagliuca, Nathan C. Thorne re: <u>198</u> Sealed Document, (Attachments: # <u>1</u> Exhibit 2 Amendment No. 2 to HCAs Form S-1, # <u>2</u> Exhibit 5 HCAs Form 10-K dated Feb. 17, 2011, # <u>3</u> Exhibit 6 HCAs Form 10-Q dated Nov. 9, 2010, # <u>4</u> Exhibit 7 HCAs Form 8-K dated Nov. 24, 2010, # <u>5</u> Exhibit 8 Transcript of HCAs 3Q 2010 Earnings Call dated Nov. 9, 2010, # <u>6</u> Exhibit 9 Transcript of HCAs 4Q 2010 Earnings Call dated Feb. 3, 2011, # <u>7</u> Exhibit 10 Transcript of HCAs 1Q 2011 Earnings Call, dated May 4, 2011, # <u>8</u> Exhibit 11 Transcript of HCAs 2Q 2011 Earnings Call, dated July 25, 2011, # <u>9</u> Exhibit 12 HCAs Form 8-K dated July 25, 2011, # <u>10</u> Exhibit 13 HCAs Form 8-K dated Sept. 12, 2011, # <u>11</u> Exhibit 14 - Barron's Article, # <u>12</u> Exhibit 16 Article CathPCI Shows About Half of US PCI Centers Are Low Volume, # <u>13</u> Exhibit 17 Article Non-Evidence-Based ICD Implantations in the United States, # <u>14</u> Exhibit 18 Article ICD Shakeup; Feds seek info on implantable defibrillators, # <u>15</u> Exhibit 19 Article Trends in Coronary Revascularization in the United States From 2001 to 2009..., # <u>16</u> Exhibit 20 Article Stent Scandal: A shocking Story, But Not News, # <u>17</u> Exhibit 21 Article Doctor Faces Suits Over Cardiac Stents, # <u>18</u> Exhibit 22 HCAs Form 10-Q dated Aug. 5, 2011, # <u>19</u> Exhibit 23 HCAs Form 10-Q dated May 11, 2011, # <u>20</u> Exhibit 31 Intraday Chart from Bloomberg Finance)(Riley, Steven) (Entered: 04/29/2014)</p>
04/29/2014	<u>203</u>	<p>Sealed Document (<i>Exhibit 1 to Declaration of Steven A. Riley Deposition transcript of Edward Groden dated Feb. 26, 2014</i>) filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 04/29/2014)</p>
04/29/2014	<u>204</u>	<p>Sealed Document (<i>Exhibit 3 to Declaration of Steven A. Riley Docs re: 2006 HCA bond offering</i>) filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 04/29/2014)</p>
04/29/2014	<u>205</u>	<p>Sealed Document (<i>Exhibit 4 to Declaration of Steven A. Riley Docs re: 2010 HCA senior secured note offering</i>) filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 04/29/2014)</p>
04/29/2014	<u>206</u>	<p>Sealed Document (<i>Exhibit 15 to Declaration of Steven A. Riley Deposition transcript of Carolyn Rains dated Apr. 4, 2014</i>) filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 04/29/2014)</p>
04/29/2014	<u>207</u>	<p>Sealed Document (<i>Exhibit 24 to Declaration of Steven A. Riley Roadshow schedule summary</i>) filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 04/29/2014)</p>

04/29/2014	<u>208</u>	Sealed Document (<i>Exhibit 25 to Declaration of Steven A. Riley HCAs roadshow slide presentation</i>) filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 04/29/2014)
04/29/2014	<u>209</u>	Sealed Document (<i>Exhibit 26 to Declaration of Steven A. Riley HCAs roadshow itinerary</i>) filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 04/29/2014)
04/29/2014	<u>210</u>	Sealed Document (<i>Exhibit 27 to Declaration of Steven A. Riley IPO review dated March 2011</i>) filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 04/29/2014)
04/29/2014	<u>211</u>	Sealed Document (<i>Exhibit 28 to Declaration of Steven A. Riley document produced by Victory Capital Mgmt (VICT HCA 00075)</i>) filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 04/29/2014)
04/29/2014	<u>212</u>	Sealed Document (<i>Exhibit 29 to Declaration of Steven A. Riley Investor profile of Victory Capital Management</i>) filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 04/29/2014)
04/29/2014	<u>213</u>	Sealed Document (<i>Exhibit 30 to Declaration of Steven A. Riley document produced by Victory Capital Mgmt (VICT HCA 00093-132)</i>) filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 04/29/2014)
04/29/2014	<u>214</u>	Sealed Document (<i>Exhibit 32 to Declaration of Steven A. Riley Ipreo Global Markets Intelligence Groups Quarterly Report for HCA (11/16/2011)</i>) filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 04/29/2014)
04/30/2014	<u>215</u>	ORDER granting <u>197</u> Motion for Leave to File Document Under Seal. Signed by District Judge Kevin H. Sharp on 4/30/14. (afs) (Entered: 04/30/2014)
05/06/2014	<u>216</u>	MOTION for Extension of Time to Complete Discovery <i>for Entry of Plaintiff's Proposed</i>

		<i>Revised Case Management Order</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment Memorandum of Points and Authorities in Support of Plaintiff's Motion for Entry of Plaintiff's Proposed Revised Case Management Order, # <u>2</u> Exhibit 1, Defendants' Proposed Edits, # <u>3</u> Proposed Order Revised Case Management Order)(Saham, Scott) (Entered: 05/06/2014)
05/13/2014	<u>217</u>	MOTION for Leave to <i>Submit Newly Discovered Evidence in Support of Motion to Compel Production of Documents from HCA's Privilege Log</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit 1, Declaration of Julie Creswell on Behalf of The New York Times Company and in Opposition to Motion to Compel, # <u>2</u> Attachment Dukes v. Wal-Mart Stores, Inc., No. 01-cv-2252 CRB (JSC), 2013 U.S. Dist. LEXIS 42740 (N.D. Cal. Mar. 26, 2013), # <u>3</u> Proposed Order Granting Lead Plaintiff's Motion for Leave to Submit Newly Discovered Evidence in Support of Motion to Compel Production of Documents from HCA's Privilege Log)(Saham, Scott) (Entered: 05/13/2014)
05/21/2014	<u>218</u>	Joint MOTION for Leave to To Set Case Management Conference by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Proposed Order To Set Case Management Conference)(Saham, Scott) (Entered: 05/21/2014)
05/21/2014	<u>219</u>	RESPONSE in Opposition re <u>216</u> MOTION for Extension of Time to Complete <i>Discovery for Entry of Plaintiff's Proposed Revised Case Management Order</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Proposed Order Proposed Order)(Riley, Steven) (Entered: 05/21/2014)
05/22/2014	<u>220</u>	RESPONSE in Opposition re <u>216</u> MOTION for Extension of Time to Complete <i>Discovery for Entry of Plaintiff's Proposed Revised Case Management Order</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 05/22/2014)
05/27/2014	<u>221</u>	ORDER: The parties' joint motion to set case management conference (Docket Entry No. <u>218</u>) is GRANTED. A case management conference is scheduled on Thursday, June 26, 2014, at 2:00 p.m., in Courtroom 764, U.S. Courthouse, 801 Broadway, Nashville, TN. It is so ORDERED. Signed by Magistrate Judge Juliet E. Griffin on 5/27/14. (afs) (Entered: 05/27/2014)
05/30/2014	<u>222</u>	MOTION for Leave to File Document Under Seal by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II,

		LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 05/30/2014)
05/30/2014	<u>223</u>	Sealed Document <i>HCA Defendants' Opposition to Plaintiff's Motion for Leave to Submit "Newly Discovered" Evidence in Support of Motion to Compel</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 05/30/2014)
05/30/2014	<u>224</u>	Sealed Document <i>Supplemental Declaration of Leesa Hinson</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 05/30/2014)
05/30/2014	<u>225</u>	NOTICE of Filing by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>223</u> Sealed Document,, <i>Unreported case law</i> (Attachments: # <u>1</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 05/30/2014)
06/09/2014	<u>226</u>	MOTION for attorney(s) Jayme A. Feldheim to Appear Pro Hac Vice (paid \$75 PHV fee; receipt number 0650-1778980) by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 06/09/2014)
06/09/2014	<u>227</u>	CERTIFICATE OF GOOD STANDING of Attorney Jayme A. Feldheim from the United States District Court for the Southern District of New York filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W.

		Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 06/09/2014)
06/11/2014		BAR STATUS for Attorney, Jayme Feldheim of NY, verified as active on this date. (afs) (Entered: 06/11/2014)
06/17/2014	<u>228</u>	NOTICE by New England Teamsters & Trucking Industry Pension Fund re <u>161</u> MOTION to Compel <i>Compliance with September 9, 2013 Order and for Production of Documents Relating to: (1) HCAs Internal Investigation of Unnecessary Cardiac Procedures; (2) the DOJ Investigation of Improper Billing Practices; and (3) the Impact or of Submission of Supplemental Authority regarding Motion to Compel the Production of Documents from HCA's Privilege Log (Attachments: # <u>1</u> Exhibit A, United States ex rel. Barko v. Halliburton Co., 2014 U.S. Dist. LEXIS 36490 (D.D.C. Mar. 6, 2014), # <u>2</u> Exhibit B, Cahaly v. Benistar Prop. Exch. Trust Co., 2014 Mass. App. LEXIS 62 (Mass. App. Ct. June 6, 2014))(Saham, Scott) (Entered: 06/17/2014)</i>
06/23/2014	<u>229</u>	ORDER: The motion of the Underwriter Defendants to appear pro hac vice <u>226</u> is GRANTED. The HCA defendants' motion for leave to file under seal <u>222</u> is GRANTED. The motion filed by HCA Holdings, Inc. for leave to file reply under seal <u>179</u> is GRANTED. The plaintiff's motion for leave to file plaintiff's proposed ESI protocol and accompanying exhibits under seal <u>175</u> is GRANTED. The plaintiff's motion for leave to file document under seal <u>163</u> is GRANTED. Although it remains somewhat unclear why disclosures to the government necessarily warrant under seal protection, with some reluctance, the motion of the HCA defendants for transcript redaction and to file proposed redactions under seal <u>157</u> is GRANTED. Signed by Magistrate Judge Juliet E. Griffin on 6/23/14. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(dt) (Entered: 06/23/2014)
06/23/2014	<u>230</u>	STATUS REPORT of <i>Statetment of Issues to be Resolved at June 26, 2014 Status Conference</i> by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 06/23/2014)
06/24/2014	<u>231</u>	RESPONSE filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>228</u> Notice (Other),, filed by New England Teamsters & Trucking Industry Pension Fund . (Attachments: # <u>1</u> Attachment Supplemental authority - Genesco v. Visa)(Riley, Steven) (Entered: 06/24/2014)
06/24/2014	<u>232</u>	RESPONSE filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>230</u> Status Report filed by New England Teamsters & Trucking Industry Pension Fund . (Riley, Steven) (Entered: 06/24/2014)
06/26/2014		Minute Entry for proceedings held before Magistrate Judge Juliet E. Griffin: Case Management Conference held on 6/26/2014; ORDER to enter. (Tape #G2014-052, 053.) (jwc) (Entered: 06/26/2014)
06/30/2014	<u>233</u>	Joint MOTION for Hearing re <u>159</u> MOTION to Certify Class by Avondale Partners,

		<p>LLC, Bank of America, Barclays Capital Inc., Christopher J. Birosak, Richard M. Bracken, CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., John P. Connaughton, Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Julius Daniels, Deutsche Bank Securities Inc., Ernst & Young LLP, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Gleacher & Company Securities, Inc., Goldman, Sachs & Co., Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, J.P. Morgan Securities LLC, R. Milton Johnson, Rafat Kishtah, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Michael W. Michelson, Mizuho Securities USA Inc., James C. Momtazee, Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., New England Teamsters & Trucking Industry Pension Fund, Oppenheimer & Co. Inc., Stephen G. Pagliuca, RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Karsten Schuh, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Nathan C. Thorne, Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Proposed Order Setting Hearing)(Barrett, George) (Entered: 06/30/2014)</p>
06/30/2014	<u>234</u>	<p>MOTION for Leave to File Document Under Seal by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Proposed Order Granting Lead Plaintiff's Motion for Leave to File Documents Under Seal)(Robbins, Darren) (Entered: 06/30/2014)</p>
06/30/2014	<u>235</u>	<p>Sealed Document <i>Lead Plaintiff's Reply in Support of Motion for Class Certification</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment Declaration of Scott H. Saham in Support of Plaintiff's Reply in Support of Motion for Class Certification, # <u>2</u> Exhibit 2, Excerpts of Dennis Green Deposition Exhibit 15, # <u>3</u> Exhibit 3, Excerpts of HCA Volume Report December 2010, # <u>4</u> Exhibit 4, Paul Merrill Deposition Transcript excerpts, # <u>5</u> Exhibit 5, HCA Performance Report FY 2010)(Robbins, Darren) (Entered: 06/30/2014)</p>
06/30/2014	<u>236</u>	<p>Sealed Document filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit 6, HCA Service Line Pricing: YTD through Nov. 30, 2010, # <u>2</u> Exhibit 7, Excerpts of HCA Volume Report January 2011, # <u>3</u> Exhibit 8, Excerpts of HCA Volume Report February 2011, # <u>4</u> Exhibit 9, Cardiac-Related Admits by Quarter, # <u>5</u> Exhibit 10, 9/10/10 Email, # <u>6</u> Exhibit 11, 3/26/10 Email, # <u>7</u> Exhibit 12, 9/9/10 Email, # <u>8</u> Exhibit 13, 7/23/10 Email thread, # <u>9</u> Exhibit 14, Excerpts of Michael Marks Deposition Exhibit 64, # <u>10</u> Exhibit 15, 10/8/10 Email)(Robbins, Darren) (Entered: 06/30/2014)</p>
06/30/2014	<u>237</u>	<p>Sealed Document filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit 16, 10/26/10 Email, # <u>2</u> Exhibit 17, Excerpts of Michael Marks Deposition Exhibit 63, # <u>3</u> Exhibit 18, Michael Marks Deposition Exhibit 72, # <u>4</u> Exhibit 19, 10/14/10 Email, # <u>5</u> Exhibit 20, Dennis Green Deposition Exhibit 14, # <u>6</u> Exhibit 21, Dennis Green Deposition transcript excerpts, # <u>7</u> Exhibit 22, Excerpt of HCA Privilege Log, # <u>8</u> Exhibit 23, HCAs Responses and Objections to Lead Plaintiffs Third Set of Interrogatories with Exhibits A-C, # <u>9</u> Exhibit 24, Patrick Brilliant Deposition Exhibit 26, # <u>10</u> Exhibit 26, Rodney Smith Deposition transcript excerpts, # <u>11</u> Exhibit 27, Patrick Brilliant Deposition transcript excerpts, # <u>12</u> Exhibit 28, Don Avery Deposition transcript excerpts, # <u>13</u> Exhibit 29, ESI Protocol Exhibits 6-10, # <u>14</u> Exhibit</p>

		30, January 2011 HCA Clinical Services Group correspondence with attachment, # <u>15</u> Exhibit 31, December 2011 YTD, # <u>16</u> Exhibit 32, Michael Marks Deposition transcript excerpts, # <u>17</u> Exhibit 33, Dennis Green Deposition Exhibit 17)(Robbins, Darren) (Entered: 06/30/2014)
06/30/2014	<u>238</u>	DECLARATION of Scott H. Saham in Support of Plaintiff's Reply in Support of Motion for Class Certification filed by New England Teamsters & Trucking Industry Pension Fund re: <u>236</u> Sealed Document,, <u>235</u> Sealed Document,, <u>237</u> Sealed Document,,,,. (Attachments: # <u>1</u> Exhibit 1, Defendants Objections and Responses to Plaintiffs First Set of Requests for Admission Regarding Class Certification, # <u>2</u> Exhibit 2 to 24 Filed Under Seal, # <u>3</u> Exhibit 25, Declaration of Julie Creswell on Behalf of The New York Times Company and in Opposition to Motion to Compel in 1:14-mc-00059-P1 (S.D.N.Y.), # <u>4</u> Exhibit 26 to 33 Filed Under Seal, # <u>5</u> Exhibit 34, Bloomberg stock chart and daily pricing (print date price excluded), # <u>6</u> Exhibit 35, In re Kosmos Energy Ltd Securities Litigation, No. 3:12-cv-373-B (N.D. Tex. May 5, 2014) (Dkt. No. 151), Order Preliminarily Approving Settlement and Providing for Notice)(Robbins, Darren) (Entered: 06/30/2014)
06/30/2014	<u>239</u>	APPENDIX filed by New England Teamsters & Trucking Industry Pension Fund re <u>236</u> Sealed Document,, <u>235</u> Sealed Document,, <u>238</u> Declaration,,, <u>237</u> Sealed Document,,,,. (Robbins, Darren) (Entered: 06/30/2014)
07/01/2014	<u>240</u>	NOTICE by New England Teamsters & Trucking Industry Pension Fund re <u>228</u> Notice (Other),, of <i>Withdrawal of Recent Authority</i> (Saham, Scott) (Entered: 07/01/2014)
07/01/2014	<u>241</u>	ORDER granting <u>234</u> Motion for Leave to File Document Under Seal. Signed by District Judge Kevin H. Sharp on 7/1/2014. (DOCKET TEXT SUMMARY ONLY- ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(ds) (Entered: 07/01/2014)
07/01/2014	<u>242</u>	ORDER granting <u>233</u> Motion for Hearing on Lead Plaintiff's Motion for Class Certification. Lead Plaintiffs Motion for Class Certification shall be heard on 8/7/2014 at 10:30 a.m. Signed by District Judge Kevin H. Sharp on 7/1/14. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(dt) (Entered: 07/01/2014)
07/01/2014	<u>243</u>	RESPONSE filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>240</u> Notice (Other) filed by New England Teamsters & Trucking Industry Pension Fund . (Attachments: # <u>1</u> Attachment Case law - In Re: Kellogg Brown & Root, Inc.)(Riley, Steven) (Entered: 07/01/2014)
07/02/2014	<u>244</u>	NOTICE of Change of Address by Timothy L. Miles (Miles, Timothy) (Entered: 07/02/2014)
07/26/2014	<u>245</u>	Redacted Transcript filed for dates of 9/6/13 before Judge Juliet E. Griffin re <u>152</u> Transcript. Court Reporter/Transcriber: Dawn Suffridge, 615-383-0489, dawnzuf2@comcast.net. (afs) (Entered: 07/28/2014)
08/05/2014	<u>246</u>	MOTION for Leave to File Document Under Seal (<i>Sur-reply in Opposition to Lead Plaintiff's Motion for Class Certification</i>) by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist,

		III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 08/05/2014)
08/05/2014	<u>247</u>	Sealed Document <i>Sur-Reply in Opposition to Lead Plaintiff's Motion for Class Certification</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 08/05/2014)
08/06/2014	<u>248</u>	ORDER granting <u>246</u> Motion for Leave to File Document Under Seal. Signed by District Judge Kevin H. Sharp on 8/6/14. (afs) (Entered: 08/06/2014)
08/07/2014		Minute Entry for proceedings held before District Judge Kevin H. Sharp: Motion Hearing held on 8/7/2014 re <u>159</u> MOTION to Certify Class filed by New England Teamsters & Trucking Industry Pension Fund. Order to enter. (Court Reporter Wynette Blathers.) (ab) (Entered: 08/07/2014)
08/13/2014	<u>249</u>	Motion Hearing Transcript filed for date of August 7, 2014, before Judge Kevin Sharp. Court Reporter: Wynette Blathers, 615-401-7221, wynette_blathers@tnmd.uscourts.gov. Transcript may be viewed at the court public terminal or purchased through the Court Reporter before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. IT IS THE RESPONSIBILITY OF COUNSEL TO REVIEW THE TRANSCRIPT AND REQUEST REDACTION OF CONTENT CLASSIFIED AS PRIVATE UNDER THE NOTICE TO MEMBERS OF THE BAR, ELECTRONIC AVAILABILITY OF TRANSCRIPTS OF COURT PROCEEDINGS, EFFECTIVE MAY 5, 2008, AVAILABLE ON THE COURT'S WEBSITE UNDER THE CM-ECF LINK at: http://www.tnmd.uscourts.gov/files/20091201Notice_to_the_Bar_Transcripts.pdf . Intent to Request Transcript Redaction due 8/20/2014. Redaction Request due 9/3/2014. Redacted Transcript Deadline set for 9/15/2014. Release of Transcript Restriction set for 11/12/2014. (wb) (Entered: 08/13/2014)
08/18/2014	<u>250</u>	MOTION for Extension of Time to Complete Discovery <i>Modifying Certain Undisputed Deadlines of the Case Mangement Orders (Unopposed)</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Proposed Order Modifying Certain Undisputed Deadlines of the Case Management Orders)(Saham, Scott) (Entered: 08/18/2014)
08/20/2014	<u>251</u>	ORDER: The plaintiff's unopposed motion to modify certain undisputed deadlines of the casemanagement orders (Docket Entry No. <u>250</u>) is GRANTED, and the following deadlines are extended: trial is RESCHEDULED from September 15, 2015, to Tuesday, January 12, 2016, at 9:00 a.m., in Courtroom A- 826, U.S. Courthouse, 801 Broadway, Nashville, TN. The parties estimate that the trial would last four (4) weeks. The pretrial conference is also RESCHEDULED from August 31, 2015, to Monday, December 21, 2015, at 2:30 p.m., in Courtroom A-826.Signed by Magistrate Judge Juliet E. Griffin on 8/20/14. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 08/20/2014)
09/02/2014	<u>252</u>	Transcript filed for dates of 6/25/14 before Judge Juliet E. Griffin. Court

		<p>Reporter/Transcriber: Dorothy Stiles, 509-850-5980, stiles.dar@gmail.com. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. IT IS THE RESPONSIBILITY OF COUNSEL TO REVIEW THE TRANSCRIPT AND REQUEST REDACTION OF CONTENT CLASSIFIED AS PRIVATE UNDER THE NOTICE TO MEMBERS OF THE BAR, ELECTRONIC AVAILABILITY OF TRANSCRIPTS OF COURT PROCEEDINGS, EFFECTIVE MAY 5, 2008, AVAILABLE ON THE COURT'S WEBSITE UNDER THE CM-ECF LINK at:</p> <p>http://www.tnmd.uscourts.gov/files/20091201Notice_to_the_Bar_Transcripts.pdf. Intent to Request Transcript Redaction due 9/9/2014. Redaction Request due 9/23/2014. Redacted Transcript Deadline set for 10/3/2014. Release of Transcript Restriction set for 12/1/2014. (af) (Entered: 09/02/2014)</p>
09/05/2014	<u>253</u>	<p>PROPOSED CASE MANAGEMENT ORDER [<i>Proposed</i>] <i>Agreed to Revised Case Management Order</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 09/05/2014)</p>
09/08/2014	<u>254</u>	<p>ORDER: The plaintiff's motion for leave to submit newly discovered evidence in support of motion to compel production of documents from HCA's privilege log (Docket Entry No. <u>217</u>) is GRANTED. Signed by Magistrate Judge Juliet E. Griffin on 9/8/14. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 09/08/2014)</p>
09/08/2014	<u>255</u>	<p>AGREED REVISED CASE MANAGEMENT ORDER: Discovery due by 11/17/2014. Dispositive Motions due by 8/5/2015. Signed by Magistrate Judge Juliet E. Griffin on 9/8/14. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 09/08/2014)</p>
09/08/2014	<u>256</u>	<p>DECLARATION of Julie Creswell on Behalf of the New York Times Company and In Opposition To Motion To Compel. (afs) (Entered: 09/08/2014)</p>
09/16/2014	<u>257</u>	<p>ORDER: As provided herein, the plaintiff's motion to compel compliance with the September 9, 2013, order and for production of documents relating to HCA's internal investigation of unnecessary cardiac procedures, the DOJ investigation of improper billing practices, and the impact or potential impact of these investigations on HCA's performance (Docket Entry No. <u>161</u>) is DENIED. Signed by Magistrate Judge Juliet E. Griffin on 9/16/14. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 09/17/2014)</p>
09/19/2014	<u>258</u>	<p>ORDER: Although technically remaining pending, it appears to the Court that the plaintiff's motion for entry of ESI protocol (Docket Entry No. <u>174</u>) and the responsive motion filed by the HCA defendants for protective order (Docket Entry No. <u>181</u>) have become moot. As a result, the Clerk is directed to terminate those motions (Docket Entry Nos. <u>174</u> and <u>181</u>) as pending motions Signed by District Judge Kevin H. Sharp on 9/19/14. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 09/19/2014)</p>
09/22/2014	<u>259</u>	<p>MEMORANDUM OPINION OF THE COURT. Signed by District Judge Kevin H. Sharp on 9/22/14. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 09/22/2014)</p>
09/22/2014	<u>260</u>	<p>ORDER granting <u>159</u> Motion to Certify Class. Signed by District Judge Kevin H. Sharp on 9/22/14. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE</p>

		PDF AND READ THE ORDER.)(afs) (Entered: 09/22/2014)
09/26/2014	<u>261</u>	MOTION for Reconsideration re <u>257</u> Order on Motion to Compel,, <i>of the September 16, 2014 Order Based Upon Recent Testimony Demonstrating "Inability to Obtain the Information Elsewhere"</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment Declaration of Scott H. Saham in Support of Plaintiff's Motions)(Saham, Scott) (Entered: 09/26/2014)
09/26/2014	<u>262</u>	MOTION to Compel <i>Production of Documents from HCA's Privilege Log Dated August 4, 2014</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment Declaration of Scott H. Saham in Support of Plaintiff's Motions)(Saham, Scott) (Entered: 09/26/2014)
09/26/2014	<u>263</u>	MOTION for Leave to File Document Under Seal by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Proposed Order Granting Plaintiff's Motion for Leave to File Documents Under Seal)(Saham, Scott) (Entered: 09/26/2014)
09/26/2014	<u>264</u>	Sealed Document <i>Memorandum of Law in Support of Plaintiff's (1) Motion for Reconsideration of the September 16, 2014 Order and (2) Motion to Compel Production of Documents from HCA's Privilege Log Dated August 4, 2014</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit 1, August 4, 2014 HCA Privilege Log sorted to identify extracted terms [Under Seal], # <u>2</u> Exhibit 2, August 4, 2014 HCA Privilege Log sorted to identify extracted terms [Under Seal], # <u>3</u> Exhibit 3, Excerpts of December 17, 2013 transcript of case management conference [Under Seal], # <u>4</u> Exhibit 4, Excerpts of the Deposition of Alan Yuspeh taken on August 20, 2014 [Under Seal], # <u>5</u> Exhibit 5, Alan Yuspeh Deposition Exhibit 283 [Under Seal], # <u>6</u> Exhibit 6, Excerpts of the Deposition of Rodney Smith taken on January 8, 2014 [Under Seal], # <u>7</u> Exhibit 7, Excerpts of the Deposition of Michael Joseph taken on September 9, 2014 [Under Seal], # <u>8</u> Exhibit 8, Michael Joseph Deposition Exhibit 329 [Under Seal], # <u>9</u> Exhibit 9, Excerpts of the Deposition of Steven Manoukian taken on August 6, 2014 [Under Seal], # <u>10</u> Exhibit 10, Excerpts of the Deposition of Charles Hall taken on August 27, 2014 [Under Seal], # <u>11</u> Exhibit 11, Charles Hall Deposition Exhibit 315 [Under Seal])(Saham, Scott) (Entered: 09/26/2014)
09/26/2014	<u>265</u>	APPENDIX filed by New England Teamsters & Trucking Industry Pension Fund re <u>262</u> Motion to Compel, <u>264</u> Sealed Document,,,,, <u>261</u> Motion for Reconsideration,. (Saham, Scott) (Entered: 09/26/2014)
10/02/2014	<u>266</u>	MOTION for Discovery <i>Challenging Privilege and for in Camera Review of Certain Documents and in Further Support of Motion for Reconsideration</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment Memorandum of Law in Support of Plaintiff's Motion Challenging Privilege and for in Camera Review of Certain Documents and in Further Support of Motion for Reconsideration, # <u>2</u> Attachment Declaration of Scott H. Saham in Support of Plaintiff's Motion, # <u>3</u> Proposed Order Granting Plaintiff's Motion)(Saham, Scott) (Entered: 10/02/2014)
10/02/2014	<u>267</u>	MOTION for Leave to File Document Under Seal <i>for in Camera Review</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Proposed Order Granting Plaintiff's Motion for Leave to File Documents Under Seal for in Camera Review)(Saham, Scott) (Entered: 10/02/2014)
10/02/2014	<u>268</u>	Sealed Document <i>Exhibits 1 to 3</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit 1, September 29, 2014 letter and email [Under

		Seal], # <u>2</u> Exhibit 2, Kathy Smeykal Deposition Exhibit 442 [Under Seal], # <u>3</u> Exhibit 3, September 29-September 30, 2014 Christian Word/Scott Saham email thread [Under Seal])(Saham, Scott) (Entered: 10/02/2014)
10/02/2014	<u>269</u>	APPENDIX filed by New England Teamsters & Trucking Industry Pension Fund re <u>267</u> Motion for Leave to File Document under Seal, <u>268</u> Sealed Document, <u>266</u> Motion for Discovery,,. (Saham, Scott) (Entered: 10/02/2014)
10/14/2014	<u>270</u>	MOTION for Leave to File Document Under Seal (<i>Memorandum of Law in Opposition to Lead Plaintiffs: (1) Motion for Reconsideration of the Court's Sept. 16, 2014 Order (Dkt. #261); (2) Motion to Compel Production of Documents from HCA's Privilege Log dated Aug. 4, 2014 (Dkt. #262)</i>) by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 10/14/2014)
10/14/2014	<u>271</u>	Sealed Document <i>HCA Defendants' Opposition to Lead Plaintiffs (1) Motion for Reconsideration of the September 16, 2014 Order and (2) Motion to Compel Production of Documents from HCA's Privilege Log Dated August 4, 2014</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit 1 - Rodney Smith Deposition Excerpts, # <u>2</u> Exhibit 2 - HCA's Resp. & Obj. to 3rd Set of Interrogatories, # <u>3</u> Exhibit 3 - Michael Joseph Deposition Excerpts, # <u>4</u> Exhibit 4 - Alan Yuspeh Deposition Excerpts)(Riley, Steven) (Entered: 10/14/2014)
10/14/2014	<u>272</u>	NOTICE of Filing by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>271</u> Sealed Document,, <i>Case Law in Support of HCA Defendants' Opposition to Lead Plaintiffs (1) Motion for Reconsideration of the September 16, 2014 Order and (2) Motion to Compel Production of Documents from HCA's Privilege Log Dated August 4, 2014</i> (Attachments: # <u>1</u> Attachment Case Law)(Riley, Steven) (Entered: 10/14/2014)
10/15/2014	<u>273</u>	MOTION for Leave to for Leave to File Reply re <u>261</u> MOTION for Reconsideration re <u>257</u> Order on Motion to Compel,, <i>of the September 16, 2014 Order Based Upon Recent Testimony Demonstrating "Inability to Obtain the Information Elsewhere"</i> , <u>262</u> MOTION to Compel <i>Production of Documents from HCA's Privilege Log Dated August 4, 2014</i> , <u>264</u> Sealed Document,,,,, by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit A - Placeholder for Reply [Filed Under Seal], # <u>2</u> Exhibit B - Reply Declaration of Scott H. Saham, # <u>3</u> Proposed Order Proposed Order Granting Plaintiff's Motion for Leave to File Reply)(Saham, Scott) (Entered: 10/15/2014)
10/15/2014	<u>274</u>	MOTION for Leave to File Document Under Seal by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Proposed Order Proposed Order Granting Plaintiff's Motion for Leave to File Documents Under Seal)(Saham, Scott) (Entered: 10/15/2014)
10/15/2014	<u>275</u>	Sealed Document <i>Reply in Support of Motion for Reconsideration and to Compel the</i>

		<i>Production of Documents from HCA's Privilege Log</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit 1 - HCA-SCHUH-00797794 - 802 [Under Seal], # <u>2</u> Exhibit 2 - HCA-SCHUH-00983833 - 840 [Under Seal], # <u>3</u> Exhibit 3 - HCA-SCHUH-00797783 - 793 [Under Seal])(Saham, Scott) (Entered: 10/15/2014)
10/20/2014	<u>276</u>	MOTION for Leave to File Document Under Seal (1) <i>HCA's Opposition to Plaintiff's Motion Challenging Privilege and for In Camera Review of Certain Documents and in Further Support of Motion for Reconsideration</i> ; (2) <i>Exhibit A to Opposition</i> ; (3) <i>Declaration of Cheryl Mason</i> ; (4) <i>Declaration of Sandy Metzler</i> by HCA Holdings, Inc.. (Riley, Steven) (Entered: 10/20/2014)
10/20/2014	<u>277</u>	Sealed Document <i>HCA's Opposition to Plaintiff's Motion Challenging Privilege and For In Camera Review of Certain Documents and in Further Support of Motion for Reconsideration</i> filed by HCA Holdings, Inc.. (Attachments: # <u>1</u> Exhibit A - Kathy Smeykal Deposition Excerpts)(Riley, Steven) (Entered: 10/20/2014)
10/20/2014	<u>278</u>	Sealed Document <i>Declaration of Cheryl Mason</i> filed by HCA Holdings, Inc.. (Riley, Steven) (Entered: 10/20/2014)
10/20/2014	<u>279</u>	Sealed Document <i>Declaration of Sandy Metzler</i> filed by HCA Holdings, Inc.. (Riley, Steven) (Entered: 10/20/2014)
10/20/2014	<u>280</u>	NOTICE of Filing by HCA Holdings, Inc. re <u>277</u> Sealed Document, <i>Unreported Case Law</i> (Attachments: # <u>1</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 10/20/2014)
10/24/2014	<u>281</u>	MOTION to Quash <i>Subpoena to Non-Party Thomas Frist, Jr.</i> by Thomas Frist. (Riley, Steven) (Entered: 10/24/2014)
10/24/2014	<u>282</u>	MEMORANDUM in Support of <u>281</u> MOTION to Quash <i>Subpoena to Non-Party Thomas Frist, Jr.</i> filed by Thomas Frist . (Attachments: # <u>1</u> Exhibit A - 12.17.13 Hearing Transcript Excerpts, # <u>2</u> Exhibit B - Subpoena to Testify at Deposition, # <u>3</u> Exhibit C - Subpoena Duces Tecum, # <u>4</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 10/24/2014)
11/07/2014	<u>283</u>	NOTICE by Thomas Frist re <u>281</u> MOTION to Quash <i>Subpoena to Non-Party Thomas Frist, Jr. of Withdrawal</i> (Riley, Steven) (Entered: 11/07/2014)
11/17/2014	<u>284</u>	MOTION to Compel <i>Responses to Requests for Admission</i> by HCA Holdings, Inc.. (Riley, Steven) (Entered: 11/17/2014)
11/17/2014	<u>285</u>	DECLARATION of Steven A. Riley filed by HCA Holdings, Inc. re: <u>284</u> MOTION to Compel <i>Responses to Requests for Admission</i> . (Riley, Steven) (Entered: 11/17/2014)
11/17/2014	<u>286</u>	MOTION for Leave to File Document Under Seal <i>Re: HCA's Motion to Compel</i> by HCA Holdings, Inc.. (Riley, Steven) (Entered: 11/17/2014)
11/17/2014	<u>287</u>	Sealed Document (<i>Memorandum in Support of HCA's Motion to Compel</i>) filed by HCA Holdings, Inc.. (Riley, Steven) (Entered: 11/17/2014)
11/17/2014	<u>288</u>	Sealed Document (<i>Meet and Confer Letter, Exh. 1 to Riley Decl.</i>) filed by HCA Holdings, Inc.. (Riley, Steven) (Entered: 11/17/2014)
11/17/2014	<u>289</u>	Sealed Document (<i>Agreement Between Lead Plaintiff and Victory Capital, Exh. 2 to Riley Decl.</i>) filed by HCA Holdings, Inc.. (Riley, Steven) (Entered: 11/17/2014)

11/17/2014	<u>290</u>	CERTIFICATE of Consultation filed by HCA Holdings, Inc. re <u>284</u> MOTION to Compel <i>Responses to Requests for Admission</i> filed by HCA Holdings, Inc.. (Riley, Steven) (Entered: 11/17/2014)
11/17/2014	<u>291</u>	NOTICE of Filing by HCA Holdings, Inc. re <u>284</u> MOTION to Compel <i>Responses to Requests for Admission (Unreported Cases)</i> (Attachments: # <u>1</u> Attachment 1 - Argus v. Prof'l Benefits, # <u>2</u> Attachment 2 - Huley v. Glencore, # <u>3</u> Attachment 3 - Piskura v. Taser)(Riley, Steven) (Entered: 11/17/2014)
11/20/2014	<u>292</u>	MOTION for attorney(s) KEVIN A. LAVELLE to Appear Pro Hac Vice (paid \$75 PHV fee; receipt number 0650-1883112) by New England Teamsters & Trucking Industry Pension Fund. (Miles, Timothy) (Entered: 11/20/2014)
11/20/2014	<u>293</u>	CERTIFICATE OF GOOD STANDING of Attorney KEVIN A. LAVELLE from the United States District Court for the Southern District of California filed by New England Teamsters & Trucking Industry Pension Fund. (Miles, Timothy) (Entered: 11/20/2014)
11/21/2014		BAR STATUS for Attorney, Kevin A. Lavelle of TN, verified as active on this date. (afs) (Entered: 11/21/2014)
12/01/2014	<u>294</u>	MOTION for attorney(s) Nathan H. Seltzer to Appear Pro Hac Vice (paid \$75 PHV fee; receipt number 0650-1887721) by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Attachment Proposed Order)(Riley, Steven) (Entered: 12/01/2014)
12/01/2014	<u>295</u>	CERTIFICATE OF GOOD STANDING of Attorney Nathan H. Seltzer from the United States District Court of District of Columbia filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 12/01/2014)
12/04/2014	<u>296</u>	RESPONSE in Opposition re <u>284</u> MOTION to Compel <i>Responses to Requests for Admission</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment Declaration of Scott H. Saham in Support of Plaintiff's Opposition to HCA's Motion to Compel, # <u>2</u> Exhibit 1, E-mail from Sarah A. Greenfield to Scott Saham regarding Plaintiff's Responses sent on November 14, 2014 at 4:43 p.m. ET on the business day prior to date HCA filed its motion, # <u>3</u> Exhibit 2-3, E-Filed Under Seal, # <u>4</u> Exhibit 4, HCAs 30(b)(6) Notice of Rule 30(b)(6) Deposition of Victory Capital Management, Inc., # <u>5</u> Exhibit 5-6, E-Filed Under Seal)(Saham, Scott) (Entered: 12/04/2014)
12/04/2014	<u>297</u>	MOTION for Leave to File Document Under Seal by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment [Proposed] Order Granting Plaintiff's Motion)(Saham, Scott) (Entered: 12/04/2014)
12/04/2014	<u>298</u>	Sealed Document <i>Exhibit 2, Lead Plaintiff's Responses and Objections to HCA Holdings, Inc.'s First Set of Requests for Admission to Lead Plaintiff New England Teamsters & Trucking Industry Pension Fund</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit 3, Excerpts of the Deposition of Carolyn Rains taken on April 4, 2014, # <u>2</u> Exhibit 5, Excerpt of New England Teamsters & Trucking

		Industry Pension Fund trading records (P0000003), # <u>3</u> Exhibit 6, August 24, 2011 Letter to Victory Capital Management (VICT HCA 00180 - VICT HCA 00181)(Saham, Scott) (Entered: 12/04/2014)
12/04/2014	<u>299</u>	APPENDIX filed by New England Teamsters & Trucking Industry Pension Fund re <u>298</u> Sealed Document,, <u>296</u> Response in Opposition to Motion,,. (Saham, Scott) (Entered: 12/04/2014)
12/09/2014		BAR STATUS in District of Columbia verified as current as of today for attorney Nathan Seltzer. (tg) (Entered: 12/09/2014)
12/19/2014	<u>300</u>	MOTION for Leave to File Document Under Seal (<i>Reply to Lead Plaintiff's Opposition (Dkt 296) to HCA Holdings, Inc.'s Motion to Compel Responses to Requests for Admission</i>) by HCA Holdings, Inc.. (Riley, Steven) (Entered: 12/19/2014)
12/19/2014	<u>301</u>	Sealed Document <i>HCA Holdings, Inc.'s Reply in Support of Its Motion to Compel Responses to Requests for Admission</i> filed by HCA Holdings, Inc.. (Riley, Steven) (Entered: 12/19/2014)
01/08/2015	<u>302</u>	MOTION for Leave to re <u>261</u> MOTION for Reconsideration re <u>257</u> Order on Motion to Compel,, <i>of the September 16, 2014 Order Based Upon Recent Testimony Demonstrating "Inability to Obtain the Information Elsewhere"</i> , <u>262</u> MOTION to Compel <i>Production of Documents from HCA's Privilege Log Dated August 4, 2014</i> , <u>263</u> MOTION for Leave to File Document Under Seal , <u>264</u> Sealed Document,,,,, by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment Memorandum in Support of Motion for Leave, # <u>2</u> Attachment Declaration of Scott H. Saham in Support of Motion for Leave, # <u>3</u> Exhibit 1, Declaration of David E. McCraw in Support of Defendants Opposition to Supplemental Motion to Compel in Case 1:14-mc-00059-RWS (S.D.N.Y) (Dkt. No. 38), # <u>4</u> Exhibit 2, Affidavit of Service of Doctor George Ebra & Associates, Inc. subpoena to produce documents, # <u>5</u> Exhibit 3, HCAs Responses and Objections to Lead Plaintiffs Fourth Set of Interrogatories, # <u>6</u> Exhibit 4, October 22, 2014 e-mail thread between Scott Saham and Sarah Greenfield, # <u>7</u> Exhibit 5, December 9, 2014 e-mail thread between Scott Saham and Sarah Greenfield, # <u>8</u> Exhibit 6, Amended Notice of Court-Mandated Deposition Pursuant to Rule 30(b)(6) to Defendant HCA Holdings, Inc., # <u>9</u> Attachment <i>Hilton-Rorar v. State & Fed. Communs. Inc.</i> , # <u>10</u> Attachment Declaration of James I. Jaconette in Support of Motion for Leave, # <u>11</u> Attachment [Proposed] Order Granting Plaintiff's Motion for Leave)(Saham, Scott) (Entered: 01/08/2015)
01/08/2015	<u>303</u>	CERTIFICATE Plaintiff's Local Rule 37.01(B)(3) Certificate of Consultation filed by New England Teamsters & Trucking Industry Pension Fund re <u>302</u> MOTION for Leave to re <u>261</u> MOTION for Reconsideration re <u>257</u> Order on Motion to Compel,, <i>of the September 16, 2014 Order Based Upon Recent Testimony Demonstrating "Inability to Obtain the Information Elsewhere"</i> , <u>262</u> filed by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 01/08/2015)
01/26/2015	<u>304</u>	MOTION for Leave to to File Documents Under Seal by Christopher J. Birozak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Nathan C. Thorne. (Riley, Steven) (Entered: 01/26/2015)
01/26/2015	<u>305</u>	Sealed Document <i>HCA Defendants' Opposition to Lead Plaintiff's Motion for (1) Leave to Depose Dr. George Ebra and (2) for In Camera Review of Documents Authored by</i>

		<i>Dr. George Ebra Found on HCA's Privilege Log Dated August 4, 2014; and in Further Support of (1) Motion for Reconsideration of the Court's September 9, 2014 Order and (2) Motion to Compel Documents from HCA's Privilege Log Dated August 4, 2014; and to Provide Guidance Regarding the Scope of the Pending 30(b)(6) Deposition</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 01/26/2015)
01/26/2015	<u>306</u>	NOTICE of Filing by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>305</u> Sealed Document,, <i>Unreported Case Law</i> (Attachments: # <u>1</u> Attachment Hilton-Rorar case law, # <u>2</u> Attachment Visteon Corp Case Law)(Riley, Steven) (Entered: 01/26/2015)
01/26/2015	<u>307</u>	DECLARATION of Milton S. McGee, III filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re: <u>305</u> Sealed Document,,,. (Attachments: # <u>1</u> Exhibit 12 - List of Depositions)(Riley, Steven) (Entered: 01/26/2015)
01/26/2015	<u>308</u>	Sealed Document <i>Exhibits 1-11 to Declaration of Milton S. McGee, III (Dkt 307) (Ex. 1 - Rodney Smith Transcript Excerpts)</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit 2 - Charles Hall Transcript Excerpts, # <u>2</u> Exhibit 3 - Michael Joseph Transcript Excerpts, # <u>3</u> Exhibit 4 - Patrick Brilliant Transcript Excerpts, # <u>4</u> Exhibit 5 - Alan Yespeh Transcript Excerpts, # <u>5</u> Exhibit 6 - Milton Johnson Transcript Exerpts, # <u>6</u> Exhibit 7 - Nov. 22, 2013 Privilege Log, # <u>7</u> Exhibit 8 - HCA's Responses to Lead Plaintiff's 4th Interrogatories, # <u>8</u> Exhibit 9 - HCA Privilege Log, # <u>9</u> Exhibit 10 - 8.01.14 Correspondence, # <u>10</u> Exhibit 11 - 12.17.13 Case Mgmt Conference Transcript)(Riley, Steven) (Entered: 01/26/2015)
02/05/2015	<u>309</u>	MOTION to Withdraw as Attorney <i>Lesley Bark</i> by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 02/05/2015)

02/09/2015	<u>310</u>	ORDER granting <u>309</u> Motion to Withdraw as Attorney. Attorney Lesley Bark terminated. Signed by Chief Judge Kevin H. Sharp on 2/9/15. (afs) (Entered: 02/09/2015)
02/26/2015	<u>311</u>	ORDER of the 6CCA: The petition for permission to appeal is DENIED. (af) (Entered: 02/26/2015)
03/09/2015	<u>312</u>	MOTION for Leave to <i>Depose and Obtain Document Discovery from Christopher Gentile</i> , MOTION to Compel <i>HCA to Produce Documents</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment Memorandum of Law, # <u>2</u> Attachment Declaration of Scott H. Saham in Support of Memorandum of Law, # <u>3</u> Exhibit A, United States, ex rel. Christopher Gentile v. HCA Holdings, Inc. et al., No. 1:12-cv-20638-WJZ, Complaint for Damages and Other Relief Under the False Claims Act (31 U.S.C. §3730) (S.D. Fla. Feb. 15, 2012), # <u>4</u> Exhibit B, Excerpts of HCA Holdings, Inc. Form 10-K for the fiscal year ended December 31, 2014, # <u>5</u> Exhibit C, The HCA Defendants Rule 26(a)(1) Initial Disclosures, # <u>6</u> Exhibit D, Excerpts of December 17, 2013 Transcript of Case Management Conference before The Honorable Juliet E. Griffin, United States Magistrate Judge, # <u>7</u> Exhibit E, Plaintiffs First Set of Requests for Production of Documents to HCA Holdings, Inc. Hercules Holding II, and the Individual Defendants, # <u>8</u> Exhibit F, Meet-and-Confer Email dated March 2, 2015 between Scott H. Saham and Christian Word, # <u>9</u> Attachment Proposed Order, # <u>10</u> Attachment Appendix of Unreported Authorities)(Saham, Scott) (Entered: 03/09/2015)
03/09/2015	<u>313</u>	CERTIFICATE of Consultation filed by New England Teamsters & Trucking Industry Pension Fund re <u>312</u> MOTION for Leave to <i>Depose and Obtain Document Discovery from Christopher Gentile</i> MOTION to Compel <i>HCA to Produce Documents</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 03/09/2015)
03/09/2015	<u>314</u>	MOTION for Hearing re <u>313</u> Certificate, <u>312</u> MOTION for Leave to <i>Depose and Obtain Document Discovery from Christopher Gentile</i> MOTION to Compel <i>HCA to Produce Documents</i> by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 03/09/2015)
03/26/2015	<u>315</u>	RESPONSE in Opposition re <u>312</u> MOTION for Leave to <i>Depose and Obtain Document Discovery from Christopher Gentile</i> MOTION to Compel <i>HCA to Produce Documents</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit A - 7.09.2014 Correspondence, # <u>2</u> Exhibit C - 11.14.2013 Correspondence, # <u>3</u> Exhibit D - 11.21.2013 Correspondence, # <u>4</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 03/26/2015)
03/26/2015	<u>316</u>	MOTION for Leave to File Document Under Seal (<i>Exhibits to Declaration of Milton S. McGee, III</i>) by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 03/26/2015)
03/26/2015	<u>317</u>	DECLARATION of Milton S. McGee, III filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist,

		III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re: <u>315</u> Response in Opposition to Motion,,. (Riley, Steven) (Entered: 03/26/2015)
03/26/2015	<u>318</u>	Sealed Document <i>Exhibit 1 - Lawnview Presentation</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit 2 - 8.15.2014 Privilege Log, # <u>2</u> Exhibit 3 - Manoukian Deposition Excerpts)(Riley, Steven) (Entered: 03/26/2015)
03/27/2015	<u>319</u>	Report of Parties Regarding Mediation Efforts by Avondale Partners, LLC, Barclays Capital Inc., Christopher J. Birosak, Richard M. Bracken, CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., John P. Connaughton, Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Julius Daniels, Deutsche Bank Securities Inc., James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Gleacher & Company Securities, Inc., Goldman, Sachs & Co., Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, J.P. Morgan Securities LLC, R. Milton Johnson, Rafat Kishtah, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Michael W. Michelson, Mizuho Securities USA Inc., James C. Momtazee, Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., New England Teamsters & Trucking Industry Pension Fund, Oppenheimer & Co. Inc., Stephen G. Pagliuca, RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Karsten Schuh, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Nathan C. Thorne, Wells Fargo Securities, LLC(Riley, Steven) (Entered: 03/27/2015)
04/03/2015	<u>320</u>	MOTION for Leave to re <u>313</u> Certificate, <u>312</u> MOTION for Leave to <i>Depose and Obtain Document Discovery from Christopher Gentile</i> MOTION to Compel HCA to Produce Documents, <u>314</u> MOTION for Hearing re <u>313</u> Certificate, <u>312</u> MOTION for Leave to <i>Depose and Obtain Document Discovery from Christopher Gentile</i> MOTION to Compel HCA to Produce Documents Leave to File Reply by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit A, Reply Memorandum of Law in Further Support of Motion, # <u>2</u> Exhibit B, Declaration of Scott H. Saham, # <u>3</u> Exhibit 1 to Saham Decl., Declaration of Christopher Gentile in Support of his Motion to Declare Waiver in United States, ex rel. Gentile v. HCA Holdings, Inc., No. 12-cv-20638-ZLOCH (S.D. Fla.) (Dkt. No. 47-1), # <u>4</u> Exhibit 2 to Saham Decl., Gentile Motion to Declare Waiver, United States, ex rel. Gentile v. HCA Holdings, Inc., No. 12-cv-20638-ZLOCH (S.D. Fla.) (Dkt. No. 47), # <u>5</u> Attachment Proposed Order)(Saham, Scott) (Entered: 04/03/2015)
04/03/2015	<u>321</u>	APPENDIX filed by New England Teamsters & Trucking Industry Pension Fund re <u>320</u> Motion for Leave,,,,. (Saham, Scott) (Entered: 04/03/2015)
04/07/2015	<u>322</u>	MOTION to Place Exhibit Under Seal (<i>Docket No. 312.3</i>) by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman,

		Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Attachment Memorandum in Support of Motion)(Riley, Steven) (Entered: 04/07/2015)
04/17/2015	<u>323</u>	MOTION for Leave to to File Sur-Reply in Opposition to Plaintiff's Motion (1) for Leave to Depose and Obtain Document Discovery From Christopher Gentile and (2) to Compel HCA to Produce Documents re <u>320</u> MOTION for Leave to re <u>313</u> Certificate, <u>312</u> MOTION for Leave to <i>Depose and Obtain Document Discovery from Christopher Gentile</i> MOTION to Compel <i>HCA to Produce Documents</i> , <u>314</u> MOTION for Hearing re <u>313</u> Certificate, [31 by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Attachment Unreported Case Law, # <u>2</u> Attachment Sur-Reply, # <u>3</u> Exhibit A to Sur-Reply - Opposition to Mot to Declare Waiver, # <u>4</u> Attachment Case law to Sur-Reply)(Riley, Steven) (Entered: 04/17/2015)
05/04/2015	<u>324</u>	MOTION for Leave to <i>Submit Newly Discovered Evidence in Support of Motions for Reconsideration and to Compel the Production of Documents From HCA's Privilege Log Dated August 4, 2014</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment Declaration of Scott H. Saham in Support of Lead Plaintiff's Motion for Leave, # <u>2</u> Exhibit 1, Second Declaration of Christopher Gentile in Further Support of Motion to Declare Waiver, or in the Alternative, to Compel in Camera Review in United States, ex rel. Gentile v. HCA Holdings, Inc., No. 12-cv-20638-ZLOCH (S.D. Fla.) (Dkt. No. 59-1), # <u>3</u> Exhibit 2, Excerpts of November 8, 2013 Case Management Conference Transcript, # <u>4</u> Attachment [PROPOSED] Order Granting Lead Plaintiff's Motion for Leave)(Saham, Scott) (Entered: 05/04/2015)
05/12/2015	<u>325</u>	CERTIFICATE OF GOOD STANDING of Attorney Jamie Lynne Wine from the United States District Court for the Southern District of New York filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 05/12/2015)
05/12/2015	<u>326</u>	MOTION for attorney(s) Sarah A. Greenfield to Appear Pro Hac Vice (paid \$75 PHV fee; receipt number 0650-1982432) by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Attachment Proposed Order)(Riley, Steven) (Entered: 05/12/2015)
05/12/2015	<u>327</u>	CERTIFICATE OF GOOD STANDING of Attorney Sarah Ann Tomkowiak from the United States District Court for the Northern District of Illinois filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 05/12/2015)

05/12/2015	<u>328</u>	MOTION for attorney(s) Jamie L. Wine to Appear Pro Hac Vice (paid \$75 PHV fee; receipt number 0650-1982427) by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Attachment Proposed Order)(Riley, Steven) Modified Text on 5/12/2015 (dt). (Entered: 05/12/2015)
05/13/2015		BAR STATUS for Attorneys, Sarah Ann Greenfield of IL and Jamie L. Wine of NY, verified as active on this date. (afs) Modified on 5/13/2015 (afs). (Entered: 05/13/2015)
05/15/2015	<u>329</u>	MOTION for Status Conference Regarding Briefing and Evidentiary Hearing on Daubert Motions, Trial Administration, and Absent Class Member Discovery by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 05/15/2015)
05/15/2015	<u>330</u>	MEMORANDUM in Support of <u>329</u> MOTION for Status Conference Regarding Briefing and Evidentiary Hearing on Daubert Motions, Trial Administration, and Absent Class Member Discovery filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne . (Attachments: # <u>1</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 05/15/2015)
05/18/2015	<u>331</u>	MOTION for attorney(s) James E. Barz to Appear Pro Hac Vice (paid \$75 PHV fee; receipt number 0650-1985613) by New England Teamsters & Trucking Industry Pension Fund. (Johnston, Douglas) (Entered: 05/18/2015)
05/18/2015	<u>332</u>	CERTIFICATE OF GOOD STANDING of Attorney James E. Barz from the United States District Court for the Northern of Illinois filed by New England Teamsters & Trucking Industry Pension Fund. (Johnston, Douglas) (Entered: 05/18/2015)
05/20/2015	<u>333</u>	MOTION to Withdraw as Attorney <i>Jayme A. Jonat</i> by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 05/20/2015)
05/20/2015	<u>334</u>	RESPONSE in Opposition re <u>324</u> MOTION for Leave to <i>Submit Newly Discovered Evidence in Support of Motions for Reconsideration and to Compel the Production of</i>

		<i>Documents From HCA's Privilege Log Dated August 4, 2014</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Karsten Schuh, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit A - Motion for Protective Order)(Riley, Steven) (Entered: 05/20/2015)
05/21/2015	<u>335</u>	CERTIFICATE OF SERVICE by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC re <u>333</u> MOTION to Withdraw as Attorney <i>Jayme A. Jonat</i> (Sweeney, Matthew) (Entered: 05/21/2015)
05/21/2015		BAR STATUS for Attorney, James E. Barz of IL, verified as active on this date. (afs) (Entered: 05/21/2015)
05/26/2015	<u>336</u>	ORDER granting <u>333</u> Motion to Withdraw as Attorney. Attorney Jayme A. Feldheim terminated. Signed by Chief Judge Kevin H. Sharp on 5/26/15. (afs) (Entered: 05/26/2015)
05/27/2015	<u>337</u>	ORDER: Pending before the Court is The HCA Defendant's Motion for Status Conference Regarding Briefing and Evidentiary Hearing on Daubert Motions, Trial Administration, and Absent Class Member Discovery (Docket No. <u>329</u>). The motion is GRANTED and a status conference in this matter is hereby scheduled for Monday, July 27, 2015, at 10:30 a.m. It is so ORDERED. Signed by Chief Judge Kevin H. Sharp on 5/27/15. (afs) (Entered: 05/27/2015)
06/01/2015	<u>338</u>	MOTION for attorney(s) Benjamin Philip Mills to Appear Pro Hac Vice (paid \$75 PHV fee; receipt number 0650-1992063) by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 06/01/2015)
06/02/2015		BAR STATUS for Attorney, Benjamin P. Mills of NY, verified as active on this date.

		(afs) (Entered: 06/02/2015)
06/02/2015		NOTICE TO COUNSEL Benjamin Philip Mills : In 20 Days, Counsel must submit a Certificate of Good Standing signed by the Clerk of a United States District Court where admitted,(LR 83.01(d)). (afs) (Entered: 06/02/2015)
06/09/2015	<u>339</u>	CERTIFICATE OF GOOD STANDING of Attorney Benjamin Philip Mills from the United States District Court for the Southern District of New York filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 06/09/2015)
06/10/2015	<u>340</u>	MOTION for Leave to File Document Under Seal by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment [Proposed] Order Granting Plaintiff's Motion for Leave to File Documents Under Seal)(Saham, Scott) (Entered: 06/10/2015)
06/10/2015	<u>341</u>	Sealed Document <i>Plaintiff's Statement Regarding Issues to be Addressed at Status Conference</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit 4, Depo. Ex. 361 from the September 16, 2014 Deposition Transcript of Chigger J. Bynum (filed under seal), # <u>2</u> Exhibit 5, Excerpts from the Sept. 16, 2014 Depo. Transcript of Chigger J. Bynum (filed under seal))(Saham, Scott) (Entered: 06/10/2015)
06/10/2015	<u>342</u>	DECLARATION of Scott H. Saham In Support of Plaintiff's Statement Regarding Issues to be Adressed at Status Conference filed by New England Teamsters & Trucking Industry Pension Fund re: <u>341</u> Sealed Document, <u>340</u> MOTION for Leave to File Document Under Seal . (Attachments: # <u>1</u> Exhibit 1, Excerpts from the June 25, 2014 Status Conference Hearing Transcript, # <u>2</u> Exhibit 2, Garden City Emps. Ret. Sys. v. Psychiatric Solutions, Inc., et al., No. 3:09-cv-00882-WJH, Joint Modified Case Management Order (M.D. Tenn. Mar. 19, 2014) (Haynes, S.J.), # <u>3</u> Exhibit 3, Beach v. Healthways Inc., No. 3:08-cv-00569, Joint Initial Case Management Plan and Order (M.D. Tenn. Mar. 25, 2009) (Griffin, M.J.), # <u>4</u> Exhibit 4-5 Placeholder for Exhibits efiled Under Seal)(Saham, Scott) (Entered: 06/10/2015)
06/10/2015	<u>343</u>	APPENDIX filed by New England Teamsters & Trucking Industry Pension Fund re <u>341</u> Sealed Document, <u>342</u> Declaration,, <u>340</u> Motion for Leave to File Document under Seal,. (Saham, Scott) (Entered: 06/10/2015)
06/11/2015	<u>344</u>	MOTION for attorney(s) Deryn Darcy to Appear Pro Hac Vice (paid \$75 PHV fee; receipt number 0650-1999225) by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit

		Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 06/11/2015)
06/11/2015	<u>345</u>	CERTIFICATE OF GOOD STANDING of Attorney Deryn Darcy from the United States District Court for the Southern District of New York filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 06/11/2015)
06/19/2015		BAR STATUS for Attorney Deryn Darcy of NY, verified as active on this date. (afs) (Entered: 06/19/2015)
06/29/2015	<u>346</u>	First MOTION to Withdraw as Attorney <i>Francis A. DiGiacco</i> by New England Teamsters & Trucking Industry Pension Fund. (Johnston, Douglas) (Entered: 06/29/2015)
07/02/2015	<u>347</u>	ORDER granting <u>346</u> Motion to Withdraw as Attorney. Attorney Francis A. DiGiacco terminated. Signed by Chief Judge Kevin H. Sharp on 7/2/15. (afs) (Entered: 07/02/2015)
07/15/2015	<u>348</u>	MOTION to Withdraw as Attorney <i>Benjamin Mills</i> by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 07/15/2015)
07/17/2015	<u>349</u>	ORDER granting <u>348</u> Motion to Withdraw as Attorney. Attorney Benjamin Philip Mills

		terminated. Signed by Chief Judge Kevin H. Sharp on 7/17/15. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(dt) (Entered: 07/17/2015)
07/23/2015	<u>350</u>	MOTION to Exclude <i>the Expert Testimony of D. Paul Regan</i> by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 07/23/2015)
07/23/2015	<u>351</u>	MOTION to Exclude <i>the Expert Testimony of Richard Puntillo</i> by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 07/23/2015)
07/23/2015	<u>352</u>	MOTION to Exclude <i>Certain Expert Testimony of Jephtha Curtis, M.D.</i> by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 07/23/2015)
07/23/2015	<u>353</u>	MOTION for Leave to File Document Under Seal (<i>in support of Motions to Exclude Expert Testimony</i>) by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 07/23/2015)
07/23/2015	<u>354</u>	Sealed Document <i>HCA Defendants' Memorandum in Support of Their Motion to Exclude the Expert Testimony of D. Paul Regan (Docket #350)</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 07/23/2015)
07/23/2015	<u>355</u>	NOTICE of Filing by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>354</u> Sealed Document, <i>Unreported Case Law to Docket #354 (Attachments: # 1 Attachment Unreported Case Law)</i> (Riley, Steven) (Entered: 07/23/2015)
07/23/2015	<u>356</u>	DECLARATION of Milton S. McGee, III filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re: <u>350</u> MOTION to Exclude <i>the Expert Testimony of D. Paul Regan</i> , <u>354</u> Sealed Document,. (Attachments: # <u>1</u> Exhibit 5 - Zelman Article, # <u>2</u>

		Exhibit 6 - Cleverly Excerpt, # <u>3</u> Exhibit 10 - Morgan Stanley Article dated 4/19/11, # <u>4</u> Exhibit 11 - 2011 10-K, # <u>5</u> Exhibit 12 - HCA Current Report Form 8-K)(Riley, Steven) (Entered: 07/23/2015)
07/23/2015	<u>357</u>	MOTION to Exclude <i>the Opinion Testimony of Professor Richard Puntillo Concerning the Underwriters' Due Diligence</i> by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 07/23/2015)
07/23/2015	<u>358</u>	MOTION for Leave to File Document Under Seal (1) <i>the Underwriter Defendants' Memorandum in Support of its Motion to Exclude the Opinion Testimony of Professor Richard Puntillo Concerning the Underwriters' Due Diligence (the "Memorandum")</i> ; (2) <i>the Declaration of Emmet Ong in Support Thereof (the "Ong Declaration")</i> ; and (3) <i>Exhibits B-N to the Ong Declaration</i> by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 07/23/2015)
07/23/2015	<u>359</u>	Sealed Document <i>Exhibits 1-4 and 7-9 to the Declaration of Milton S. McGee, III (Dkt # <u>356</u>)</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit 2 - Rebuttal Expert Report of D Paul Regan, # <u>2</u> Exhibit 3 - Steve Feinstein Deposition Excerpts, # <u>3</u> Exhibit 4 - D Paul Regan Deposition Transcript, # <u>4</u> Exhibit 7 - Ex. 99 to Paul Merrill deposition transcript, # <u>5</u> Exhibit 8 - Ex. 358 to Chigger Bynum deposition transcript, # <u>6</u> Exhibit 9 - Chigger Bynum deposition excerpts)(Riley, Steven) Modified on 7/23/2015 (afs). (Entered: 07/23/2015)
07/23/2015	<u>360</u>	Sealed Document <i>Declaration of Brett E. Barlag in Support of HCA Defendants' Motion to Exclude the Expert Testimony of D. Paul Regan (Dkt # <u>350</u>)</i> filed by Christopher J.

		<p>Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit A - Expert Report of Brett E Barlag)(Riley, Steven) Modified on 7/23/2015 (afs). (Entered: 07/23/2015)</p>
07/23/2015	<u>361</u>	<p>Sealed Document <i>Memorandum of Law in Support of The Underwriter Defendants' Motion to Exclude the Opinion Testimony of Professor Richard Puntillo Concerning the Underwriters' Due Diligence</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 07/23/2015)</p>
07/23/2015	<u>362</u>	<p>Sealed Document <i>Declaration of Keith T. Ghezzi in Support of the HCA Defendants' Motion to Exclude the Expert Testimony of D. Paul Regan (Dkt # 350)</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit A - Expert Report of Keith T. Ghezzi)(Riley, Steven) Modified on 7/23/2015 (afs). (Entered: 07/23/2015)</p>
07/23/2015	<u>363</u>	<p>Sealed Document <i>Declaration of M. Timothy Renjilian, CPA in Support of the HCA Defendants' Motion to Exclude the Expert Testimony of D. Paul Regan (Dkt # 350)</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit A - Expert Report of M. Timothy Renjilian, CPA)(Riley, Steven) Modified on 7/23/2015 (afs). (Entered: 07/23/2015)</p>
07/23/2015	<u>364</u>	<p>Sealed Document <i>HCA Defendants' Memorandum in Support of Their Motion to Exclude the Expert Testimony of Richard Puntillo</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 07/23/2015)</p>
07/23/2015	<u>365</u>	<p>NOTICE of Filing by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton</p>

		Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>351</u> MOTION to Exclude <i>the Expert Testimony of Richard Puntillo</i> , <u>364</u> Sealed Document, <i>Unreported Case Law</i> (Attachments: # <u>1</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 07/23/2015)
07/23/2015	<u>366</u>	DECLARATION of Milton S. McGee, III filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re: <u>351</u> MOTION to Exclude <i>the Expert Testimony of Richard Puntillo</i> , <u>364</u> Sealed Document,. (Riley, Steven) (Entered: 07/23/2015)
07/23/2015	<u>367</u>	Sealed Document <i>Exhibits 1-17 to the Declaration of Milton S. McGee, III (Dkt #366)</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit 2 - Puntillo Rebuttal re Barlag and Renjilian, # <u>2</u> Exhibit 3 - Puntillo Rebuttal re Lawrence, # <u>3</u> Exhibit 4 - Richard Puntillo Deposition Transcript, # <u>4</u> Exhibit 5 - Don Avery Deposition Excerpts, # <u>5</u> Exhibit 6 - Paul Brilliant Deposition Excerpts, # <u>6</u> Exhibit 7 - Ex. 21 Paul Brilliant Deposition Transcript, # <u>7</u> Exhibit 8 - Rodney Smith Deposition Excerpts, # <u>8</u> Exhibit 9 - Exs. 34 & 38 to Rodney Smith Deposition Transcript, # <u>9</u> Exhibit 10 - Ex. 39 to Rodney Smith Deposition Transcript, # <u>10</u> Exhibit 11 - Ex. 30 to Rodney Smith Deposition Transcript, # <u>11</u> Exhibit 12 - Ex. 441 to Smeykal Deposition Transcript, # <u>12</u> Exhibit 13 - HCA-SCHUH-00887735, # <u>13</u> Exhibit 14 - HCA-SCHUH-00775328, # <u>14</u> Exhibit 15 - Charles Hall Deposition Excerpts, # <u>15</u> Exhibit 16 - Ex. 265 to Steven Manoukian deposition transcript, # <u>16</u> Exhibit 17 - HCA-SCHUH-00399223 and HCA-SCHUH-00399233)(Riley, Steven) (Entered: 07/23/2015)
07/23/2015	<u>368</u>	Sealed Document <i>Declaration of Brett E. Barlag in Support of the HCA Defendants' Motion to Exclude the Expert Testimony of Richard Puntillo (Dkt #351 & 364)</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit A - Expert Report of Brett E. Barlag)(Riley, Steven) (Entered: 07/23/2015)
07/23/2015	<u>369</u>	Sealed Document <i>HCA Defendants' Memorandum in Support of Their Motion to Exclude Certain Expert Testimony of Jephtha Curtis, M.D. (Dkt #352)</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 07/23/2015)
07/23/2015	<u>370</u>	NOTICE of Filing by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>369</u> Sealed Document, <i>Unreported Case Law</i> (Attachments: # <u>1</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 07/23/2015)

07/23/2015	<u>371</u>	DECLARATION of Milton S. McGee, III filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re: <u>352</u> MOTION to Exclude <i>Certain Expert Testimony of Jephtha Curtis, M.D.</i> , <u>369</u> Sealed Document,. (Riley, Steven) (Entered: 07/23/2015)
07/23/2015	<u>372</u>	Sealed Document <i>Declaration of Emmet P. Ong in Support of The Underwriter Defendants' Motion to Exclude the Opinion Testimony of Professor Richard Puntillo Concerning the Underwriters' Due Diligence</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Exhibit Exhibit B to Emmet P. Ong Declaration, # <u>2</u> Exhibit Exhibit C to Emmet P. Ong Declaration, # <u>3</u> Exhibit Exhibit D to Emmet P. Ong Declaration, # <u>4</u> Exhibit Exhibit E to Emmet P. Ong Declaration, # <u>5</u> Exhibit Exhibit F to Emmet P. Ong Declaration, # <u>6</u> Exhibit Exhibit G to Emmet P. Ong Declaration, # <u>7</u> Exhibit Exhibit H to Emmet P. Ong Declaration, # <u>8</u> Exhibit Exhibit I to Emmet P. Ong Declaration, # <u>9</u> Exhibit Exhibit J to Emmet P. Ong Declaration, # <u>10</u> Exhibit Exhibit K to Emmet P. Ong Declaration, # <u>11</u> Exhibit Exhibit L to Emmet P. Ong Declaration, # <u>12</u> Exhibit Exhibit M to Emmet P. Ong Declaration, # <u>13</u> Exhibit Exhibit N to Emmet P. Ong Declaration)(Sweeney, Matthew) (Entered: 07/23/2015)
07/23/2015	<u>373</u>	Sealed Document <i>Exhibits 1-5 to the Declaration of Milton S. McGee (Dkt #371)</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit 2 - Jephtha Curtis Rebuttal Report, # <u>2</u> Exhibit 3 - Jephtha Curtis Deposition Transcript, # <u>3</u> Exhibit 4 - Ex. 257 to Steven Manoukian Deposition Transcript, # <u>4</u> Exhibit 5 - Charles Hall Deposition Excerpts)(Riley, Steven) (Entered: 07/23/2015)
07/23/2015	<u>374</u>	Sealed Document <i>Declaration of Brett E. Barlag in Support of HCA Defendants' Motion to Exclude Certain Expert Testimony of Jephtha Curtis, M.D. (Dkt #352 & 369)</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit A - Expert Report of Brett E. Barlag)(Riley, Steven) (Entered: 07/23/2015)
07/23/2015	<u>375</u>	NOTICE of Filing by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit

		<p>Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC re <u>372</u> Sealed Document,,,,,, <u>357</u> MOTION to Exclude <i>the Opinion Testimony of Professor Richard Puntillo Concerning the Underwriters' Due Diligence</i> (Sweeney, Matthew) (Entered: 07/23/2015)</p>
07/23/2015	<u>376</u>	<p>NOTICE by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC re <u>351</u> MOTION to Exclude <i>the Expert Testimony of Richard Puntillo</i>, <u>352</u> MOTION to Exclude <i>Certain Expert Testimony of Jephtha Curtis, M.D.</i>, <u>350</u> MOTION to Exclude <i>the Expert Testimony of D. Paul Regan Notice of Joinder of the Underwriter Defendants to the HCA Defendants' Motions to Exclude Certain Expert Testimony.</i> (Sweeney, Matthew) (Entered: 07/23/2015)</p>
07/23/2015	<u>377</u>	<p>RESPONSE filed by Christopher J. Birozak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>341</u> Sealed Document, filed by New England Teamsters & Trucking Industry Pension Fund <i>to Plaintiff's Statement Regarding Issues to be Addressed at Status Conference.</i> (Attachments: # <u>1</u> Exhibit Ex 1 - June 25, 2015 Hearing Transcript, # <u>2</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 07/23/2015)</p>
07/24/2015	<u>378</u>	<p>MOTION for Leave to Submit Recent Authority in Support of Motions Seeking Production of Allegedly Privileged Documents re <u>302</u> MOTION for Leave to re <u>261</u> MOTION for Reconsideration re <u>257</u> Order on Motion to Compel,, <i>of the September 16, 2014 Order Based Upon Recent Testimony Demonstrating "Inability to Obtain the Information Elsewhere"</i>, <u>262</u> , <u>312</u> MOTION for Leave to <i>Depose and Obtain Document Discovery from Christopher Gentile</i> MOTION to Compel <i>HCA to Produce Documents</i>, <u>264</u> Sealed Document,,,,, by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment Text of Proposed Order)(Saham, Scott) (Entered: 07/24/2015)</p>
07/24/2015	<u>379</u>	<p>DECLARATION of Scott H. Saham filed by New England Teamsters & Trucking Industry Pension Fund re: <u>378</u> MOTION for Leave to Submit Recent Authority in</p>

		Support of Motions Seeking Production of Allegedly Privileged Documents re <u>302</u> MOTION for Leave to re <u>261</u> MOTION for Reconsideration re <u>257</u> Order on Motion to Compel,, of the Septem. (Attachments: # <u>1</u> Exhibit A - Order On Motion to Declare Waiver in US v. HCA Holdings (S.D. Fla.))(Saham, Scott) (Entered: 07/24/2015)
07/24/2015	<u>380</u>	NOTICE of Appearance by Jerry E. Martin on behalf of New England Teamsters & Trucking Industry Pension Fund (Martin, Jerry) (Entered: 07/24/2015)
07/27/2015		BAR STATUS for Attorney, Jerry E. Martin of TN, verified as active on this date. (afs) (Entered: 07/27/2015)
07/27/2015		Minute Entry for proceedings held before Chief Judge Kevin H. Sharp: Status Conference held on 7/27/2015. (Court Reporter Wynette Blathers.) (ab) (Entered: 07/27/2015)
07/27/2015	<u>381</u>	ORDER granting <u>353</u> Motion for Leave to File Document Under Seal. Signed by Chief Judge Kevin H. Sharp on 7/27/15. (afs) (Entered: 07/27/2015)
07/27/2015	<u>382</u>	ORDER granting <u>358</u> Motion for Leave to File Document Under Seal. Signed by Chief Judge Kevin H. Sharp on 7/27/15. (afs) (Entered: 07/27/2015)
07/30/2015	<u>383</u>	Status Conference Transcript filed for date of July 27, 2015, before Judge Kevin Sharp. Court Reporter: Wynette Blathers, 615-401-7221, wynette_blathers@tnmd.uscourts.gov. Transcript may be viewed at the court public terminal or purchased through the Court Reporter before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. IT IS THE RESPONSIBILITY OF COUNSEL TO REVIEW THE TRANSCRIPT AND REQUEST REDACTION OF CONTENT CLASSIFIED AS PRIVATE UNDER THE NOTICE TO MEMBERS OF THE BAR, ELECTRONIC AVAILABILITY OF TRANSCRIPTS OF COURT PROCEEDINGS, EFFECTIVE MAY 5, 2008, AVAILABLE ON THE COURT'S WEBSITE UNDER THE CM-ECF LINK at: http://www.tnmd.uscourts.gov/files/20091201Notice_to_the_Bar_Transcripts.pdf . Intent to Request Transcript Redaction due 8/6/2015. Redaction Request due 8/20/2015. Redacted Transcript Deadline set for 8/31/2015. Release of Transcript Restriction set for 10/28/2015. (wb) (Entered: 07/30/2015)
08/05/2015	<u>384</u>	Joint MOTION for Leave to <i>Set Briefing Schedule and Page Limitations on Summary Judgment and Daubert Motions</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit A, Stipulation and [Proposed] Order on Summary Judgment and Daubert Briefing)(Robbins, Darren) (Entered: 08/05/2015)
08/05/2015	<u>385</u>	MOTION for Summary Judgment <i>on Plaintiffs' Remaining Claims</i> by Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 08/05/2015)
08/05/2015	<u>386</u>	MOTION for Leave to File Document Under Seal <i>in support of their Motion for Summary Judgment</i> , MOTION for Leave to File Excess Pages by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 08/05/2015)

08/05/2015	<u>387</u>	Sealed Document <i>HCA Defendants' Memorandum of Law in Support of Motion for Summary Judgment (Dkt 385)</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 08/05/2015)
08/05/2015	<u>388</u>	Sealed Document <i>HCA Defendants' Statement of Undisputed Material Facts</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 08/05/2015)
08/05/2015	<u>389</u>	DECLARATION of Milton S. McGee, III filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re: <u>388</u> Sealed Document, <u>385</u> MOTION for Summary Judgment on Plaintiffs' Remaining Claims, <u>387</u> Sealed Document,. (Attachments: # <u>1</u> Exhibit 15 - Amended & Restated Ltd Liability Co. Agreement, # <u>2</u> Exhibit 16 - Amended & Restated Bylaws of HCA Holdings 3.09.11, # <u>3</u> Exhibit 17 - Amended & Restated Cert. of Incop of HCA Holdings 3.8.11, # <u>4</u> Exhibit 18 - Management Agmt 11.17.06, # <u>5</u> Exhibit 19 - Registration Rights Agreement, # <u>6</u> Exhibit 76 - 2014 Form 10-K, # <u>7</u> Exhibit 105 - 2006 Form 10-K, # <u>8</u> Exhibit 125 - 3Q 2010 Earnings Call Transcript, # <u>9</u> Exhibit 126 - 4Q 2010 Earnings Call Transcript, # <u>10</u> Exhibit 127 - 1Q 2011 Earnings Call Transcript, # <u>11</u> Exhibit 128 - 2Q 2011 Earnings Call Transcript)(Riley, Steven) (Entered: 08/05/2015)
08/05/2015	<u>390</u>	Sealed Document <i>Exhibits 1-14 to Declaration of Milton S. McGee, III (Dkt #389) Ex. 1 - C. Bynum's Deposition Excerpts</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit 2 - R. Bracken Deposition Transcript, # <u>2</u> Exhibit 3 - excerpts from the Deposition of Milton Johnson, # <u>3</u> Exhibit 4 - excerpts from the Deposition of Michael Michelson, # <u>4</u> Exhibit 5 - excerpts from the Deposition of John P. Connaughton, # <u>5</u> Exhibit 6 - excerpts from the Deposition of James Forbes, # <u>6</u> Exhibit 7 - excerpts from the Deposition of Thomas F. Frist, III, # <u>7</u> Exhibit 8 - excerpts from the Deposition of James C. Momtazee, # <u>8</u> Exhibit 9 - HCA-SCHUH-00948030-085, # <u>9</u> Exhibit 10 - excerpts from the Deposition of Edward F. Groden, # <u>10</u> Exhibit 11 - Plaintiffs Responses to HCA Defendants First Requests for Admission, # <u>11</u> Exhibit 12 - P0003320-21, # <u>12</u> Exhibit 13 - P0002374-423, # <u>13</u> Exhibit 14 - excerpts from the Deposition transcript of Carolyn Rains)(Riley, Steven) (Entered: 08/05/2015)
08/05/2015	<u>391</u>	Sealed Document <i>Exhibits 20-34 to Declaration of Milton S. McGee, III (Dkt #389) Ex. 20 (Part 1 of 2) HCA-SCHUH-00947921-81</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit 20 (Part 2 of 2) - HCA-SCHUH-

		00947921-81, # <u>2</u> Exhibit 21 - HCA-SCHUH-00942429-40, # <u>3</u> Exhibit 22 - HCA-SCHUH-00748838-67, # <u>4</u> Exhibit 23 - HCA-SCHUH-00797126-54, # <u>5</u> Exhibit 24 - HCA-SCHUH-00797180-210, # <u>6</u> Exhibit 25 - HCA-SCHUH-00765663-66, # <u>7</u> Exhibit 26 - HCA-SCHUH-00765667-69, # <u>8</u> Exhibit 27 - HCA-SCHUH-00891739-40, # <u>9</u> Exhibit 28 - HCA-SCHUH-00765712-51, # <u>10</u> Exhibit 29 - Plaintiffs Deposition Exhibit 674, # <u>11</u> Exhibit 30 - Plaintiffs Deposition Exhibit 675, # <u>12</u> Exhibit 31 - HCA-SCHUH-00944180-83, # <u>13</u> Exhibit 32 - HCA-SCHUH-00946007-19, # <u>14</u> Exhibit 33 - HCA-SCHUH-REV-00014165, # <u>15</u> Exhibit 34 - Plaintiffs Deposition Exhibit 13)(Riley, Steven) (Entered: 08/05/2015)
08/05/2015	<u>392</u>	Sealed Document <i>Exhibits 35-50 to Declaration of Milton S. McGee, III (Dkt #389) Ex. 35 - excerpts from the Deposition of Michael Marks</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit 36 - excerpts from the Deposition of Paul Merrill, # <u>2</u> Exhibit 37 - HCA-SCHUH-00644848-74, # <u>3</u> Exhibit 38 - HCA-SCHUH-00359254-92, # <u>4</u> Exhibit 39 - HCA-SCHUH-REV-00006908-34, # <u>5</u> Exhibit 40 - HCA-SCHUH-REV-00006835-73, # <u>6</u> Exhibit 41 - excerpts from the Deposition of Michael Joseph, # <u>7</u> Exhibit 42 (Part 1 of 2) - Plaintiffs Deposition Exhibit 71, # <u>8</u> Exhibit 42 (Part 2 of 2) - Plaintiffs Deposition Exhibit 71, # <u>9</u> Exhibit 43 - excerpts from the Deposition of Dennis Green, # <u>10</u> Exhibit 44 - excerpts from the Deposition of Patrick Brilliant, # <u>11</u> Exhibit 45 - (Part 1 of 3) - Plaintiffs Deposition Exhibit 98, # <u>12</u> Exhibit 45 (Part 2 of 3) - Plaintiffs Deposition Exhibit 98, # <u>13</u> Exhibit 45 (Part 3 of 3) - Plaintiffs Deposition Exhibit 98, # <u>14</u> Exhibit 46 - Plaintiffs Deposition Exhibit 99, # <u>15</u> Exhibit 47 - excerpts from the Deposition of Chuck Hall, # <u>16</u> Exhibit 48 - Plaintiffs Deposition Exhibit 201, # <u>17</u> Exhibit 49 - excerpts from the September 16, 2014 Deposition of Chigger Bynum, # <u>18</u> Exhibit 50 - excerpts from the Deposition of Donald Stinnett)(Riley, Steven) (Entered: 08/05/2015)
08/05/2015	<u>393</u>	Sealed Document <i>Exhibits 51-66 to Declaration of Milton S. McGee, III (Dkt #389) Ex. 51 - excerpts from the Deposition of Alan Yuspeh</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit 52 - Plaintiffs Deposition Exhibit 296, # <u>2</u> Exhibit 53 - Plaintiffs Deposition Exhibit 605, # <u>3</u> Exhibit 54 - Plaintiffs Deposition Exhibit 48, # <u>4</u> Exhibit 55 - Plaintiffs Deposition Exhibit 268, # <u>5</u> Exhibit 56 - Plaintiffs Deposition Exhibit 29, # <u>6</u> Exhibit 57 - HCA-SCHUH-00001608-09, # <u>7</u> Exhibit 58 - Plaintiffs Deposition Exhibit 21, # <u>8</u> Exhibit 59 - excerpts from the Deposition of Dr. Steven Manoukian, # <u>9</u> Exhibit 60 - excerpts from the Deposition of Don Avery, # <u>10</u> Exhibit 61 - Plaintiffs Deposition Exhibit 251, # <u>11</u> Exhibit 62 - Plaintiffs Deposition Exhibit 256, # <u>12</u> Exhibit 63 - Plaintiffs Deposition Exhibit 245, # <u>13</u> Exhibit 64 - Plaintiffs Deposition Exhibit 77, # <u>14</u> Exhibit 65 - Plaintiffs Deposition Exhibit 134, # <u>15</u> Exhibit 66 - HCA-SCHUH-00347685-86)(Riley, Steven) (Entered: 08/05/2015)
08/05/2015	<u>394</u>	Sealed Document <i>Exhibits 67-75 to Declaration of Milton S. McGee, III (Dkt #389) Ex. 67 - Plaintiffs Deposition Exhibit 226</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II,

		<p>LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit 68 - Plaintiffs Deposition Exhibit 513, # <u>2</u> Exhibit 69 - excerpts from the November 12, 2013 Deposition of Dennis Green, # <u>3</u> Exhibit 70 - HCA-SCHUH-REV-00002792-854, # <u>4</u> Exhibit 71 - Plaintiffs Deposition Exhibit 409, # <u>5</u> Exhibit 72 - HCA-SCHUH-00940799, # <u>6</u> Exhibit 73 - HCA-SCHUH-REV-00006787-833, # <u>7</u> Exhibit 74 - HCA-SCHUH-REV-00006687-745, # <u>8</u> Exhibit 75 - HCA-SCHUH-REV-00006535-93)(Riley, Steven) (Entered: 08/05/2015)</p>
08/05/2015	<u>395</u>	<p>Sealed Document <i>Exhibits 77-92 to Declaration of Milton S. McGee, III (Dkt #389) Ex. 77 - HCA-SCHUH-00408649-695</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit 78 - Plaintiffs Deposition Exhibit 195, # <u>2</u> Exhibit 79 - Plaintiffs Deposition Exhibit 750, # <u>3</u> Exhibit 80 - Plaintiffs Deposition Exhibit 613, # <u>4</u> Exhibit 81 - Plaintiffs Deposition Exhibit 716, # <u>5</u> Exhibit 82 - HCA-SCHUH-00760379, # <u>6</u> Exhibit 83 - HCA-SCHUH-00740924, # <u>7</u> Exhibit 84 - Plaintiffs Deposition Exhibit 550, # <u>8</u> Exhibit 85 - HCA-SCHUH-00522720-855, # <u>9</u> Exhibit 86 - HCA-SCHUH-00765752-814, # <u>10</u> Exhibit 87 - HCA-SCHUH-00414976, # <u>11</u> Exhibit 88 - Director Defendants Respective Amended & Supplemental Responses to Plaintiffs Interrogatory No. 16, # <u>12</u> Exhibit 89 (Part 1 of 2) - HCA-SCHUH-00482500-631, # <u>13</u> Exhibit 89 (Part 2 of 2) - HCA-SCHUH-00482500-631, # <u>14</u> Exhibit 90 - Plaintiffs Deposition Exhibit 423, # <u>15</u> Exhibit 91 - HCA-SCHUH-00727409-508, # <u>16</u> Exhibit 92 - HCA-SCHUH-REV-00031296)(Riley, Steven) (Entered: 08/05/2015)</p>
08/05/2015	<u>396</u>	<p>Sealed Document <i>Exhibits 93-104 to Declaration of Milton S. McGee, III (Dkt #389) Ex. 93 - Plaintiffs Deposition Exhibit 518</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit 94 - HCA-SCHUH-00565156-59, # <u>2</u> Exhibit 95 - Plaintiffs Deposition Exhibit 234, # <u>3</u> Exhibit 96 - HCA-SCHUH-00914870-904, # <u>4</u> Exhibit 97 - HCA-SCHUH-REV-00010698-721, # <u>5</u> Exhibit 98 - HCA-SCHUH-00811035-70, # <u>6</u> Exhibit 99 - HCA-SCHUH-00874141-61, # <u>7</u> Exhibit 100 - HCA-SCHUH-00753732-41, # <u>8</u> Exhibit 101 - Plaintiffs Deposition Exhibit 514, # <u>9</u> Exhibit 102 - HCA-SCHUH-REV-00031271-94, # <u>10</u> Exhibit 103 - HCA-SCHUH-00389255, # <u>11</u> Exhibit 104 - HCA-SCHUH-00749389)(Riley, Steven) (Entered: 08/05/2015)</p>
08/05/2015	<u>397</u>	<p>MOTION for Summary Judgment by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein &</p>

		Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 08/05/2015)
08/05/2015	<u>398</u>	Sealed Document <i>Exhibits 106-124 to Declaration of Milton S. McGee, III (Dkt #389) Ex. 106 - HCA-SCHUH-00892918</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit 107 - HCA-SCHUH-00567826-35, # <u>2</u> Exhibit 108 - HCA-SCHUH-00827293-304, # <u>3</u> Exhibit 109 - HCA-SCHUH-00728012, # <u>4</u> Exhibit 110 - HCA-SCHUH-00945593-626, # <u>5</u> Exhibit 111 - HCA-SCHUH-00905161-83, # <u>6</u> Exhibit 112 - HCA-SCHUH-00797211-28, # <u>7</u> Exhibit 113 (Part 1 of 2) - HCA-SCHUH-00748916-103, # <u>8</u> Exhibit 113 (Part 2 of 2) - HCA-SCHUH-00748916-103, # <u>9</u> Exhibit 114 - HCA-SCHUH-00712337, # <u>10</u> Exhibit 115 - Russell Harms Deposition Excerpt, # <u>11</u> Exhibit 116 - Plaintiffs Deposition Exhibit 177, # <u>12</u> Exhibit 117 - HCA-SCHUH-00522890-93, # <u>13</u> Exhibit 118 - HCA-SCHUH-00503452-56, # <u>14</u> Exhibit 119 - HCA-SCHUH-00948968-76, # <u>15</u> Exhibit 120 - Plaintiffs Deposition Exhibit 23, # <u>16</u> Exhibit 121 - HCA-SCHUH-00528125-87, # <u>17</u> Exhibit 122 - HCA-SCHUH-00372972-80, # <u>18</u> Exhibit 123 - Plaintiffs Deposition Exhibit 582, # <u>19</u> Exhibit 124 - HCA-SCHUH-00452451-52)(Riley, Steven) (Entered: 08/05/2015)
08/05/2015	<u>399</u>	MOTION for Leave to File Document Under Seal : (1) <i>Memorandum of Law in Support of the Underwriter Defendants' Motion for Summary Judgment</i> ; (2) <i>Underwriter Defendants' Statement of Undisputed Material Facts</i> ; (3) <i>Declaration of Emmet P. Ong</i> ; (4) <i>Exhibits</i> ; (5) <i>Declaration of Marion Kristal Goldberg</i> ; and (6) <i>Declaration of William J. Miller</i> by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 08/05/2015)
08/05/2015	<u>400</u>	Sealed Document <i>Exhibits 129-157 to Declaration of Milton S. McGee, III (Dkt #389) Ex. 129 - HCA-SCHUH-00371113-14</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit 130 - HCA-SCHUH-00761349-401, # <u>2</u> Exhibit 131 - HCA-SCHUH-00701397-459, # <u>3</u> Exhibit 132 - Steven P. Feinstein Deposition Transcript Excerpt, # <u>4</u> Exhibit 133 - HCA-SCHUH-00869828, # <u>5</u> Exhibit 134 - HCA-SCHUH-00477203, # <u>6</u> Exhibit 135 - Lead Plaintiffs Responses and Objections to Defendants HCAs Second Set of Interrogatories, # <u>7</u> Exhibit 136 - HCA

		and Hercules Holding II, LLCs Responses and Objections to Lead Plaintiffs First Set of Interrogatories, # <u>8</u> Exhibit 137 - HCAs Responses and Objections to Lead Plaintiffs Seventh Set of Interrogatories, # <u>9</u> Exhibit 138 - Plaintiffs Deposition Exhibit 228, # <u>10</u> Exhibit 139 - Plaintiffs Deposition Exhibit 323, # <u>11</u> Exhibit 140 - Plaintiffs Deposition Exhibit 14, # <u>12</u> Exhibit 141 - HCA-SCHUH-00404651-86, # <u>13</u> Exhibit 142 - Plaintiffs Deposition Exhibit 424, # <u>14</u> Exhibit 143 - Plaintiffs Deposition Exhibit 358, # <u>15</u> Exhibit 144 - Plaintiffs Deposition Exhibit 359, # <u>16</u> Exhibit 145 - HCA-SCHUH-00942911-43, # <u>17</u> Exhibit 146 - HCA-SCHUH-00942758-97, # <u>18</u> Exhibit 147 - JPM_00000691-740, # <u>19</u> Exhibit 148 - HCA-SCHUH-00999612-53, # <u>20</u> Exhibit 149 - HCA-SCHUH-00727697-745, # <u>21</u> Exhibit 150 - HCA-SCHUH-REV-00003608-10, # <u>22</u> Exhibit 151 - HCA-SCHUH-007787709-10, # <u>23</u> Exhibit 152 - HCA-SCHUH-00812313-30, # <u>24</u> Exhibit 153 - HCA-SCHUH-REV-00007713-16, # <u>25</u> Exhibit 154 - HCA-SCHUH-00482445-447, # <u>26</u> Exhibit 155 - HCA-SCHUH-00505439-440, # <u>27</u> Exhibit 156 - HCA-SCHUH-00942944-50, # <u>28</u> Exhibit 157 - HCA-SCHUH-00950404-589)(Riley, Steven) (Entered: 08/05/2015)
08/05/2015	<u>401</u>	Sealed Document : <i>Memorandum of Law in Support of the Underwriter Defendants' Motion for Summary Judgment (Dkt #397)</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 08/05/2015)
08/05/2015	<u>402</u>	Sealed Document : <i>Underwriter Defendants' Statement of Undisputed Material Facts Pursuant to Local Rule 56.1</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 08/05/2015)
08/05/2015	<u>403</u>	Sealed Document <i>Exhibits 158-170 to Declaration of Milton S. McGee, III (Dkt #389) Ex. 158 - HCA-SCHUH-00987872-74</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist,

		<p>III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit 159 - JPM_00005103-104, 132-66, # <u>2</u> Exhibit 160 - MLPFS_00007254-55, # <u>3</u> Exhibit 161 - HCA-SCHUH-00911535-36, # <u>4</u> Exhibit 162 - HCA-SCHUH-00891932-34, # <u>5</u> Exhibit 163 - HCA-SCHUH-00891935-36, # <u>6</u> Exhibit 164 - HCA-SCHUH-00891942-2007, # <u>7</u> Exhibit 165 - HCA-SCHUH-REV-00010614-66, # <u>8</u> Exhibit 166 - HCA-SCHUH-00989935-936, # <u>9</u> Exhibit 167 - HCA-SCHUH-00727606-63, # <u>10</u> Exhibit 168 - HCA-SCHUH-00892008-71, # <u>11</u> Exhibit 169 (Part 1 of 3) - HCA-SCHUH-00892072-160, # <u>12</u> Exhibit 169 (Part 2 of 3) - HCA-SCHUH-00892072-160, # <u>13</u> Exhibit 169 (Part 3 of 3) - HCA-SCHUH-00892072-160, # <u>14</u> Exhibit 170 - HCA-SCHUH-00893089)(Riley, Steven) (Entered: 08/05/2015)</p>
08/05/2015	<u>404</u>	<p>Sealed Document <i>Exhibits 171-173 to Declaration of Milton S. McGee, III (Dkt #389) Ex. 171 (Part 1 of 2) - HCA-SCHUH-00727509-605</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit 171 (Part 2 of 2) - HCA-SCHUH-00727509-605, # <u>2</u> Exhibit 172 (Part 1 of 2) - HCA-SCHUH-00950266, # <u>3</u> Exhibit 172 (Part 2 of 2) - HCA-SCHUH-00950266, # <u>4</u> Exhibit 173 (Part 1 of 5) - HCA-SCHUH-00949385-694, # <u>5</u> Exhibit 173 (Part 2 of 5) - HCA-SCHUH-00949385-694, # <u>6</u> Exhibit 173 (Part 3 of 5) - HCA-SCHUH-00949385-694, # <u>7</u> Exhibit 173 (Part 4 of 5) - HCA-SCHUH-00949385-694, # <u>8</u> Exhibit 173 (Part 5 of 5) - HCA-SCHUH-00949385-694)(Riley, Steven) (Entered: 08/05/2015)</p>
08/05/2015	<u>405</u>	<p>Sealed Document <i>Exhibits 174-193 to Declaration of Milton S. McGee, III (Dkt #389) Ex. 174 - CGMI_00000427-34</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit 175 - HCA-SCHUH-00986937-42, # <u>2</u> Exhibit 176 - HCA-SCHUH-00946764-89, # <u>3</u> Exhibit 177 - MLGPE_00003271-79, # <u>4</u> Exhibit 178 - MLPFS_00000293-299, # <u>5</u> Exhibit 179 - HCA-SCHUH-00000789, # <u>6</u> Exhibit 180 - HCA-SCHUH-00893482-502, # <u>7</u> Exhibit 181 - HCA-SCHUH-00000791-822, # <u>8</u> Exhibit 182 - HCA-SCHUH-00893503-52, # <u>9</u> Exhibit 183 - HCA-SCHUH-00759620-621, # <u>10</u> Exhibit 184 - HCA-SCHUH-00403602-38, # <u>11</u> Exhibit 185 - HCA-SCHUH-00877000-001, # <u>12</u> Exhibit 186 (Part 1 of 2) - HCA-SCHUH-00946020, # <u>13</u> Exhibit 186 (Part 2 of 2) - HCA-SCHUH-00946020, # <u>14</u> Exhibit 187 - HCA-SCHUH-REV-00012610-30, # <u>15</u> Exhibit 188 - HCA Holdings, Inc., Quarterly Report (2011 Form 10-Q) (Nov. 9, 2011), # <u>16</u> Exhibit 189 - excerpts from the Deposition of D. Paul Regan, # <u>17</u> Exhibit 190 - excerpts from the Deposition of Dr. Jephtha Curtis, # <u>18</u> Exhibit 191 - HCA-SCHUH-00773334, # <u>19</u> Exhibit 192 - HCA-SCHUH-773306, # <u>20</u> Exhibit 192 - HCA-SCHUH-00777464)(Riley, Steven) (Entered: 08/05/2015)</p>
08/05/2015	<u>406</u>	<p>Sealed Document <i>Declaration of Brett Barlag</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit A - Expert Report of</p>

		Brett A. Barlag, # <u>2</u> Exhibit B - Spreadsheet)(Riley, Steven) (Entered: 08/05/2015)
08/05/2015	<u>407</u>	Sealed Document <i>Declaration of Keith Ghezzi</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit A - Expert Report of Keith Ghezzi)(Riley, Steven) (Entered: 08/05/2015)
08/05/2015	<u>408</u>	NOTICE of Filing by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>387</u> Sealed Document, <i>Unreported Case Law</i> (Attachments: # <u>1</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 08/05/2015)
08/05/2015	<u>409</u>	NOTICE by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC re <u>385</u> MOTION for Summary Judgment <i>on Plaintiffs' Remaining Claims / Notice of Partial Joinder of the Underwriter Defendants to the HCA Defendants' Motion for Summary Judgment on Plaintiffs' Remaining Claims</i> (Sweeney, Matthew) (Entered: 08/05/2015)
08/05/2015	<u>410</u>	Sealed Document : <i>Declaration of Marion Kristal Goldberg</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 08/05/2015)
08/05/2015	<u>411</u>	Sealed Document : <i>Declaration of William J. Miller</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank

		Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 08/05/2015)
08/05/2015	<u>412</u>	Sealed Document : <i>Declaration of Emmet P. Ong in Support of The Underwriter Defendants' Motion for Summary Judgment</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 08/05/2015)
08/05/2015	<u>413</u>	Sealed Document : <i>Exhibits 2 and Exhibits 10-19 to the Declaration of Emmet P. Ong in Support of The Underwriter Defendants' Motion for Summary Judgment</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Exhibit 2 - Confidential Deposition Transcript dated 10/22/14, # <u>2</u> Exhibit 10 - Presentation, # <u>3</u> Exhibit 11 - Confidential IPO Submission, # <u>4</u> Exhibit 12 - Underwriting Agreement, # <u>5</u> Exhibit 13 - Presentation to HCA Holdings, Inc., # <u>6</u> Exhibit 14 - Memorandum, # <u>7</u> Exhibit 15 - Investor Issues Committee Memo, # <u>8</u> Exhibit 16 - IPO Submission, # <u>9</u> Exhibit 17 - Confidential Deposition taken 11/13/14, # <u>10</u> Exhibit 18 - Approval Memorandum, # <u>11</u> Exhibit 19 - Correspondence)(Sweeney, Matthew) (Entered: 08/06/2015)
08/06/2015	<u>414</u>	Sealed Document : <i>Exhibits 21-31 to the Declaration of Emmet P. Ong in Support of The Underwriter Defendants' Motion for Summary Judgment</i> filed by Avondale Partners,

		<p>LLC, CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Exhibit 21 - 11/5/10 Email, # <u>2</u> Exhibit 22 - 11/8/10 Email, # <u>3</u> Exhibit 23 - 11/10/10 Email, # <u>4</u> Exhibit 24 - IPO Discussion Materials, # <u>5</u> Exhibit 25 - Expert Report of Professor Gary M. Lawrence, # <u>6</u> Exhibit 26 - Deposition of Cory Rapkin, # <u>7</u> Exhibit 27 - 4/26/10 Email, # <u>8</u> Exhibit 28 - 3/1/10 Email, # <u>9</u> Exhibit 29 - 3/1/10 Email, # <u>10</u> Exhibit 30 - 9/16/10 Email, # <u>11</u> Exhibit 31 - Working Group List)(Sweeney, Matthew) (Entered: 08/06/2015)</p>
08/06/2015	<u>415</u>	<p>Sealed Document : <i>Exhibits 33-39 to the Declaration of Emmet P. Ong in Support of The Underwriter Defendants' Motion for Summary Judgment</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Exhibit 33 - Daily Timeline Through Closing, # <u>2</u> Exhibit 34 - Email June 5, # <u>3</u> Exhibit 35 - Email Feb. 21, # <u>4</u> Exhibit 36 - Email Mar. 9, # <u>5</u> Exhibit 37 - Email Mar. 15, # <u>6</u> Exhibit 38 - Email Feb. 15, # <u>7</u> Exhibit 39 - Email Mar. 21)(Sweeney, Matthew) (Entered: 08/06/2015)</p>
08/06/2015	<u>416</u>	<p>Sealed Document : <i>Exhibits 42-53 to the Declaration of Emmet P. Ong in Support of The Underwriter Defendants' Motion for Summary Judgment</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo</p>

		Securities, LLC. (Attachments: # <u>1</u> Exhibit 42 Feb. 15 Email, # <u>2</u> Exhibit 43 Dec. 22 Email, # <u>3</u> Exhibit 44 Dec. 22 Notes, # <u>4</u> Exhibit 45 Apr. 28 Email, # <u>5</u> Exhibit 46 June 7 Email, # <u>6</u> Exhibit 47 Feb. 14 Email, # <u>7</u> Exhibit 48 Feb. 15 Email, # <u>8</u> Exhibit 49 Mar. 14 Email, # <u>9</u> Exhibit 50 Jan. 13 Email, # <u>10</u> Exhibit 51 Nov. 4 Email, # <u>11</u> Exhibit 52 Apr. 28 Email, # <u>12</u> Exhibit 53 June 7 Email)(Sweeney, Matthew) (Entered: 08/06/2015)
08/06/2015	<u>417</u>	Sealed Document : <i>Exhibits 55-65 to the Declaration of Emmet P. Ong in Support of The Underwriter Defendants' Motion for Summary Judgment</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Exhibit 55 - HCA Overview, # <u>2</u> Exhibit 56 - Feb. 2011 Email, # <u>3</u> Exhibit 57 - Financial Statements, # <u>4</u> Exhibit 58 - 2011 Budget, # <u>5</u> Exhibit 59 - Debt Schedule, # <u>6</u> Exhibit 60 - Feb. 10 Email, # <u>7</u> Exhibit 61 - Finance Plan, # <u>8</u> Exhibit 62 - Puntillo Deposition, # <u>9</u> Exhibit 63 - Jan. 31 Email, # <u>10</u> Exhibit 64 - Apr. 28 Email, # <u>11</u> Exhibit 65 - Feb. 22 Email)(Sweeney, Matthew) (Entered: 08/06/2015)
08/06/2015	<u>418</u>	Sealed Document : <i>Exhibits 69-74 and Exhibits 76-85 to the Declaration of Emmet P. Ong in Support of The Underwriter Defendants' Motion for Summary Judgment</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Exhibit 69 - Officers Certificate, # <u>2</u> Exhibit 70 - Simpson Letter, # <u>3</u> Exhibit 71 - Simpson Letter No. 2, # <u>4</u> Exhibit 72 - Bass Berry Letter, # <u>5</u> Exhibit 73 - E&Y Letter, # <u>6</u> Exhibit 74 - E&Y Letter No. 2, # <u>7</u> Exhibit 76 - Rains Transcript, # <u>8</u> Exhibit 77 - Citi Sept. 2010 Report, # <u>9</u> Exhibit 78 - JPM Sept. 2010 Report, # <u>10</u> Exhibit 79 - Citi High Yield Weekly Sept. 17, 2010, # <u>11</u> Exhibit 80 - Citi High Yield Weekly Oct. 1, 2010, # <u>12</u> Exhibit 81 - Citi Oct. 2010 Report, # <u>13</u> Exhibit 82 - Citi High Yield Weekly Oct. 22, 2010, # <u>14</u> Exhibit 83 - JPM Oct. 2010 Report, # <u>15</u> Exhibit 84 - Citi Q3 2010 Report, # <u>16</u> Exhibit 85 - JPM Nov. 2010 Report)(Sweeney, Matthew) (Entered: 08/06/2015)

08/06/2015 419 Sealed Document : *Exhibits 87-82; 94-95; 99-100; 102; 105; and 110 to the Declaration of Emmet P. Ong in Support of The Underwriter Defendants' Motion for Summary Judgment* filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Attachments: # 1 Exhibit 87 - Citi High Yield Weekly Nov. 12, 2010, # 2 Exhibit 88 - JPM Nov. 16, 2010 Report, # 3 Exhibit 89 - JPM Nov. 23, 2010 Report, # 4 Exhibit 90 - Dec. 3 2010 Citi Report, # 5 Exhibit 91 - Dec. 3 2010 Citi Report, # 6 Exhibit 92 - Dec. 12 2010 Citi Report, # 7 Exhibit 94 - Dec. 17 2010 Citi Report, # 8 Exhibit 95 - Jan. 3 2011 JPM Report, # 9 Exhibit 99 - Jan. 25 2011 JPM Report, # 10 Exhibit 100 - Jan. 31 2011 JPM Report, # 11 Exhibit 102 - Feb. 7 2011 JPM Report, # 12 Exhibit 105 - Feb. 15 2011 JPM Report, # 13 Exhibit 110 - Mar. 7 2011 JPM Report)(Sweeney, Matthew) (Entered: 08/06/2015)

08/06/2015 420 NOTICE of Filing by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC (Attachments: # 1 Exhibit 1 - Registration Statement, # 2 Exhibit 3 - HCA 10-K 2010, # 3 Exhibit 4 - HCA Our History page, # 4 Exhibit 5 - HCA 8-K Nov. 24 2006, # 5 Exhibit 6 - HCA SEC Filing History page, # 6 Exhibit 7 - HCA Q4 2010 Earnings Call Transcript, # 7 Exhibit 8 - HCA Q3 2002 Earnings Call Transcript, # 8 Exhibit 9 - HCA/Columbia Q3 1999 Earnings Announcement, # 9 Exhibit 20 - HCA 8-K Nov. 24 2010, # 10 Exhibit 32 - HCA Q1 10-Q 2010, # 11 Exhibit 40 - HCA 10-K 2008, # 12 Exhibit 41 - HCA 10-K 2009, # 13 Exhibit 54 - HCA 10-K 2011, # 14 Exhibit 66 - Optum Webpage, # 15 Exhibit 67 - HCA Q2 2010 10Q, # 16 Exhibit 68 - HCA Q3 2010 10Q, # 17 Exhibit 75 - Johnson Bio, # 18 Exhibit 86 - Fitch Nov. 2010 Report, # 19 Exhibit 93 - Dec. 13 2010 Moodys Report, # 20 Exhibit 96 - Jan. 18 2011 Morgan Stanley Report, # 21 Exhibit 97 - Jan. 20 2011 Oppenheimer Report, # 22 Exhibit 98 - Jan. 25 2011 Susquehana Report, # 23 Exhibit 101 - Feb. 2 2011 Morgan Stanley Report, # 24 Exhibit 103 - Feb. 14 2011 JPM Report, # 25 Exhibit 104 - Feb. 14 2011 JPM Report, # 26 Exhibit 106 - Feb. 22 2011 Credit Suisse Report, # 27 Exhibit 107 - Feb. 24 2011 MLPFS Report, # 28 Exhibit

		108 - Feb. 28 2011 Moodys Report, # <u>29</u> Exhibit 109 - Mar. 2 2011 Raymond James Report, # <u>30</u> Exhibit 111 - SEC Release No. 33-6335, # <u>31</u> Attachment Unreported Cases - In re International Rectifier, Picard, Stavroff, Weinberger)(Sweeney, Matthew) (Entered: 08/06/2015)
08/06/2015	<u>421</u>	ORDER granting <u>384</u> Motion for Leave to Set Briefing Schedule. Signed by Chief Judge Kevin H. Sharp on 8/6/15. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 08/06/2015)
08/06/2015	<u>422</u>	ORDER: MOTION <u>386</u> GRANTED. Signed by Chief Judge Kevin H. Sharp on 8/6/15. (afs) (Entered: 08/06/2015)
08/06/2015	<u>423</u>	ORDER granting <u>399</u> Motion for Leave to File Document Under Seal. Signed by Chief Judge Kevin H. Sharp on 8/6/15. (afs) (Entered: 08/06/2015)
08/07/2015	<u>424</u>	RESPONSE in Opposition re <u>378</u> MOTION for Leave to Submit Recent Authority in Support of Motions Seeking Production of Allegedly Privileged Documents re <u>302</u> MOTION for Leave to re <u>261</u> MOTION for Reconsideration re <u>257</u> Order on Motion to Compel,, of the <i>Septem</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 08/07/2015)
08/26/2015	<u>425</u>	MOTION for Leave to File Document Under Seal <i>in Support of Plaintiff's Opposition to the HCA Defs Motions to Exclude</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment Proposed [Order] Granting Plaintiff's Motion for Leave to File Under Seal)(Saham, Scott) (Entered: 08/26/2015)
08/26/2015	<u>426</u>	Sealed Document <i>Pltf's Opp to the HCA Defs Motion to Exclude Portions of the Testimony of Jephtha Curtis, M.D.</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 08/26/2015)
08/26/2015	<u>427</u>	Sealed Document <i>Pltf's Opp to the HCA Defs Motion to Exclude Portions of the Expert Testimony of D. Paul Regan</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 08/26/2015)
08/26/2015	<u>428</u>	Sealed Document <i>Pltf's Opp to the HCA and UW Defs Motions to Exclude Portions of the Expert Testimony of Professor Richard Puntillo</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 08/26/2015)
08/26/2015	<u>429</u>	Sealed Document <i>Declaration of Scott Saham in Support of Pltfs Opp to HCA and UW Defs' Motions to Exclude</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit Defs. Depo. Ex. 33 (Puntillo Depo), # <u>2</u> Exhibit Smith Depo., Ex. 33, # <u>3</u> Exhibit Marks Depo., Ex. 79, # <u>4</u> Exhibit Merrill Depo., Ex. 98, # <u>5</u> Exhibit Merrill Depo., Ex. 99, # <u>6</u> Exhibit Merrill Depo., Ex. 101, # <u>7</u> Exhibit Merrill Depo., Ex. 102, # <u>8</u> Exhibit Merrill Depo., Ex. 123, # <u>9</u> Exhibit Manoukian Depo., Ex. 251, # <u>10</u> Exhibit Manoukian Depo., Ex. 252, # <u>11</u> Exhibit Manoukian Depo., Ex. 253, # <u>12</u> Exhibit Manoukian Depo., Ex. 257, # <u>13</u> Exhibit Manoukian Depo., Ex. 265, # <u>14</u> Exhibit Yuseph Depo., Ex. 279, # <u>15</u> Exhibit Yuseph Depo., Ex. 281)(Saham, Scott) (Entered: 08/26/2015)
08/26/2015	<u>430</u>	Sealed Document <i>Yuseph Depo., Ex. 287 to the Saham Decl</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit Yuseph Depo., Ex. 296, # <u>2</u> Exhibit Bynum Depo., Ex. 358, # <u>3</u> Exhibit Bynum Depo., Ex. 359, # <u>4</u>

		Exhibit Bynum Depo., Ex. 363, # <u>5</u> Exhibit Jackey Depo., Ex. 539, # <u>6</u> Exhibit Jackey Depo., Ex. 542, # <u>7</u> Exhibit Johnson Depo., Ex. 555, # <u>8</u> Exhibit Johnson Depo., Ex. 556, # <u>9</u> Exhibit Johnson Depo., Ex. 559, # <u>10</u> Exhibit Johnson Depo., Ex. 573, # <u>11</u> Exhibit Johnson Depo., Ex. 580, Part 1 of 2, # <u>12</u> Exhibit Johnson Depo., Ex. 580, Part 2 of 2, # <u>13</u> Exhibit Bracken Depo., Ex. 658, # <u>14</u> Exhibit Carlton Depo., Ex. 801, # <u>15</u> Exhibit Carlton Depo., Ex. 803, # <u>16</u> Exhibit Fredi Depo., Ex. 813, # <u>17</u> Exhibit Juneja Depo., Ex. 833)(Saham, Scott) (Entered: 08/26/2015)
08/26/2015	<u>431</u>	Sealed Document <i>Ex. 1000, Depo. Transcript of Dr. Joseph Fredi, dated June 4, 2015</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit 1001, Depo transcript of Keith Ghezzi, dated July 9, 2015, # <u>2</u> Exhibit 1002, Depo transcript of Richard Bracken, dated November 6, 2014, # <u>3</u> Exhibit 1004, Depo transcript of Chigger J. Bynum, dated Sept. 16, 2014, # <u>4</u> Exhibit 1005, Depo transcript of Brett Edward Barlag, dated June 25, 2015, # <u>5</u> Exhibit 1007, Depo transcript of Timothy Renjilian, CPA, dated June 23, 2015, # <u>6</u> Exhibit 1008, Depo transcript of Michael Joseph, dated Sept. 9, 2014, # <u>7</u> Exhibit 1010, Depo transcript of Gary Lawrence, dated June 19, 2015, # <u>8</u> Exhibit 1013, Depo transcript of Milton Johnson, dated Oct. 24, 2014, # <u>9</u> Exhibit 1014, Depo transcript of Timothy A. Carlton, M.D., dated June 2, 2015, # <u>10</u> Exhibit 1015, Depo transcript of Cory Rapkin, dated Jan. 30, 2015, # <u>11</u> Exhibit 1016, Depo transcript of Michael Marks, dated May 9, 2014, # <u>12</u> Exhibit 1017, Depo transcript of Steven Manoukian, dated August 6, 2014, # <u>13</u> Exhibit 1018, Depo transcript of Charles Hall, dated August 27, 2014, # <u>14</u> Exhibit 1019, Depo transcript of Donald Avery, dated January 10, 2014, # <u>15</u> Exhibit 1020, HCAs Responses and Objections to Lead Plaintiffs Third Set of Interrogatories)(Saham, Scott) (Entered: 08/26/2015)
08/26/2015	<u>432</u>	NOTICE of Filing by New England Teamsters & Trucking Industry Pension Fund re <u>430</u> Sealed Document,,, <u>429</u> Sealed Document,,, <u>431</u> Sealed Document,,,,, <i>Yuseph Depo., Ex. 280 and all Public exhibits</i> (Attachments: # <u>1</u> Exhibit Joseph Depo., Ex. 330, # <u>2</u> Exhibit Johnson Depo., Ex. 567, # <u>3</u> Exhibit Hazen Depo., Ex. 616, # <u>4</u> Exhibit Fredi Depo, Ex. 819, # <u>5</u> Exhibit Lawrence Depo., Ex. 824, # <u>6</u> Exhibit Ghezzi Depo., Ex. 844, # <u>7</u> Exhibit 1003, HCAs First Supplemental Responses to Lead Plaintiffs Sixth Set of Interrogatories (Nos. 19 and 22, attaching Exhibits A and B), dated Aug. 18, 2014, # <u>8</u> Exhibit 1006, Page 331 of an article by William Cleverley, Paula Song, James Cleverley entitled: Essentials of Health Care Finance (7th ed.), # <u>9</u> Exhibit 1009, Lead Plaintiffs Responses and Objections to Defendant HCAs Second Set of Interrogatories to Lead Plaintiff, dated Oct. 16, 2014, # <u>10</u> Exhibit 1011, Updated CV of Richard Puntillo as of June 2015, # <u>11</u> Exhibit 1012, July 15, 2015 hearing transcript excerpt of Richard Puntillo testimony in California Superior Court)(Saham, Scott) (Entered: 08/26/2015)
08/26/2015	<u>433</u>	NOTICE of Filing by New England Teamsters & Trucking Industry Pension Fund re <u>427</u> Sealed Document, <u>426</u> Sealed Document, <u>428</u> Sealed Document <i>Appendix of Nonreported Authorities in Support of Pltf's Opp to the HCA and UW Defs Motions to Exclude</i> (Saham, Scott) (Entered: 08/26/2015)
08/26/2015	<u>434</u>	MOTION to Exclude <i>the Expert Testimony of Brett E. Barlag</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment [Proposed] Order on Plaintiff's Motion to Exclude Expert Testimony of Brett Barlag)(Saham, Scott) (Entered: 08/26/2015)
08/26/2015	<u>435</u>	MOTION to Exclude <i>the Expert Testimony of Dr. Keith Ghezzi, M.D.</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment [Proposed]

		Order on Plaintiff's Motion to Exclude Expert Testimony of Dr. Keith Ghezzi, M.D.)(Saham, Scott) (Entered: 08/26/2015)
08/26/2015	<u>436</u>	MOTION to Exclude <i>the Expert Testimony of M. Timothy Renjilian</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment [Proposed] Order on Plaintiff's Motion to Exclude Expert Testimony of M. Timothy Renjilian)(Saham, Scott) (Entered: 08/26/2015)
08/26/2015	<u>437</u>	MOTION for Leave to File Document Under Seal <i>Plaintiff's memoranda, declaration and exhibits in Support of Motions to Exclude Expert Testimonies</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment [Proposed] Order on Motion to File Under Seal Plaintiff's memoranda, declaration and exhibits in Support of Motions to Exclude Expert Testimonies)(Saham, Scott) (Entered: 08/26/2015)
08/26/2015	<u>438</u>	Sealed Document <i>Plaintiff's Memorandum in Support of Its Motion to Exclude the Expert Testimony of Brett E. Barlag</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 08/26/2015)
08/26/2015	<u>439</u>	Sealed Document <i>Plaintiff's Memorandum in Support of Its Motion to Exclude Expert Testimony of Dr. Keith Ghezzi, M.D.</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 08/26/2015)
08/26/2015	<u>440</u>	Sealed Document <i>Plaintiff's Memorandum in Support of Its Motion to Exclude the Expert Testimony of M. Timothy Renjilian</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 08/26/2015)
08/26/2015	<u>441</u>	Sealed Document <i>Declaration of Scott H. Saham in Support of Motions to Exclude the Expert Testimonies of Barlag, Ghezzi and Renjilian</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit Defs. Depo. Ex. 51 (Curtis Depo), # <u>2</u> Exhibit Marks Depo., Ex. 71, # <u>3</u> Exhibit Marks Depo, Ex. 559, # <u>4</u> Exhibit Ghezzi Depo., Ex. 846)(Saham, Scott) (Entered: 08/26/2015)
08/26/2015	<u>442</u>	NOTICE of Filing by New England Teamsters & Trucking Industry Pension Fund re <u>441</u> Sealed Document, <u>440</u> Sealed Document, <u>438</u> Sealed Document, <u>439</u> Sealed Document <i>Rains Depo., Ex. 9 (Part 1 of 3) and all Public Exhibits</i> (Attachments: # <u>1</u> Exhibit Rains Depo., Ex. 9 (Part 2 of 3), # <u>2</u> Exhibit Rains Depo., Ex. 9 (Part 3 of 3), # <u>3</u> Exhibit Johnson Depo., Ex. 567, # <u>4</u> Exhibit Ghezzi Depo., Ex. 843, # <u>5</u> Exhibit Ghezzi Depo., Ex. 845, # <u>6</u> Exhibit Allegeny Health Network, West Penn Allegheny Health System Annual Report, For the Fiscal Year Ended June 30, 2013, # <u>7</u> Exhibit Excerpt of Alvin R. Feinstein, M.D., Principles of Medical Statistics Section 23.5 (2002 Chapman & Hall))(Saham, Scott) (Entered: 08/26/2015)
08/26/2015	<u>443</u>	APPENDIX filed by New England Teamsters & Trucking Industry Pension Fund re <u>438</u> Sealed Document, <u>439</u> Sealed Document, <u>440</u> Sealed Document. (Saham, Scott) (Entered: 08/26/2015)
08/26/2015	<u>444</u>	MOTION to Exclude <i>Expert Testimony of Expert Gregory J. Nachtwey</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit [Proposed] Order on Plaintiff's Motion to Exclude Expert Testimony of Gregory J. Nachtwey)(Jaconette, James) (Entered: 08/26/2015)
08/26/2015	<u>445</u>	Sealed Document <i>Plaintiff's Motion for Leave to File Documents Under Seal</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment [Proposed] Order Granting Plaintiff's Motion for Leave to File Documents

		Under Seal)(Jaconette, James) (Entered: 08/26/2015)
08/26/2015	<u>446</u>	Sealed Document <i>Plaintiff's Memorandum in Support of Its Motion to Exclude the Expert Testimony of Gregory J. Nachtwey</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Jaconette, James) (Entered: 08/26/2015)
08/26/2015	<u>447</u>	Sealed Document <i>Declaration of James I. Jaconette in Support of Motion to Exclude the Expert Testimony of Gregory J. Nachtwey</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit Feinstein Depo., Defs. Ex. 55, # <u>2</u> Exhibit Nachtwey Depo., Ex. 863, # <u>3</u> Exhibit Nachtwey Depo., Ex. 870, # <u>4</u> Exhibit Nachtwey Depo., Ex. 873, # <u>5</u> Exhibit Nachtwey Depo., Ex. 874, # <u>6</u> Exhibit Nachtwey Depo., Ex. 875, # <u>7</u> Exhibit Nachtwey Depo., Ex. 876, # <u>8</u> Exhibit Nachtwey Depo., Ex. 877, # <u>9</u> Exhibit Nachtwey Depo., Ex. 878, # <u>10</u> Exhibit Nachtwey Depo., Ex. 880, # <u>11</u> Exhibit 2002, Transcript of Deposition of Gregory J. Nachtwey, dated June 30, 2015, # <u>12</u> Exhibit 2003, Transcript of Deposition of Vinita Juneja, Ph.D., dated June 30, 2015, # <u>13</u> Exhibit 2004, Comparable HCA Transactions on October 28, 2011)(Jaconette, James) (Entered: 08/26/2015)
08/26/2015	<u>448</u>	NOTICE of Filing by New England Teamsters & Trucking Industry Pension Fund re <u>447</u> Sealed Document,,, <u>444</u> MOTION to Exclude <i>Expert Testimony of Expert Gregory J. Nachtwey</i> , <u>446</u> Sealed Document <i>Nachtwey Depo., Ex. 861 and all Public Exhibits</i> (Attachments: # <u>1</u> Exhibit Nachtwey Depo., Ex. 862, # <u>2</u> Exhibit Nachtwey Depo., Ex. 864, # <u>3</u> Exhibit Nachtwey Depo., Ex. 868, # <u>4</u> Exhibit Nachtwey Depo., Ex. 871, # <u>5</u> Exhibit 2005, Bank of America Sells Back 15.6% HCA Stake for \$1.5 Billion, Bloomberg (Sept. 15, 2011))(Jaconette, James) (Entered: 08/26/2015)
08/26/2015	<u>449</u>	APPENDIX filed by New England Teamsters & Trucking Industry Pension Fund re <u>446</u> Sealed Document, <u>444</u> Exclude,. (Jaconette, James) (Entered: 08/26/2015)
08/28/2015	<u>450</u>	ORDER granting <u>292</u> Motion for Kevin A. Lavelle to Appear Pro Hac Vice; granting <u>294</u> Motion for Nathan Seltzer to Appear Pro Hac Vice; granting <u>326</u> Motion for Sarah Greenfield to Appear Pro Hac Vice; granting <u>328</u> Motion for Jamie L. Wine to Appear Pro Hac Vice; granting <u>331</u> Motion for James Barz to Appear Pro Hac Vice; granting <u>338</u> Motion for Benjamin Mills to Appear Pro Hac Vice; granting <u>344</u> Motion for Deryn Darcy to Appear Pro Hac Vice. Signed by Magistrate Judge Barbara D. Holmes on 8/28/15. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 08/28/2015)
09/14/2015	<u>451</u>	SUPPLEMENT filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>424</u> Response in Opposition to Motion,,, (Attachments: # <u>1</u> Exhibit A - Notice of Dismissal, # <u>2</u> Exhibit B - Objections to Motion for Waiver)(Riley, Steven) (Entered: 09/14/2015)
09/16/2015	<u>452</u>	MOTION for Leave to File Document Under Seal <i>Plaintiff's Responses and Exhibits to HCA Defendants and Underwriter Defendants Motions for Summary Judgment</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment [Proposed] Order Granting Plaintiff's Motion for Leave to File Under Seal Responses and Exhibits to HCA Defendants and Underwriter Defendants Motions for Summary Judgment)(Saham, Scott) (Entered: 09/16/2015)
09/16/2015	<u>453</u>	Sealed Document <i>Plaintiff's Memorandum of Law in Opposition to HCA Defendants'</i>

		<i>Motion for Summary Judgment</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 09/16/2015)
09/16/2015	<u>454</u>	Sealed Document <i>Plaintiff's Opposition to the Underwriter Defendants' Motion for Summary Judgment</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 09/16/2015)
09/16/2015	<u>455</u>	APPENDIX filed by New England Teamsters & Trucking Industry Pension Fund re <u>454</u> Sealed Document, <u>453</u> Sealed Document. (Saham, Scott) (Entered: 09/16/2015)
09/16/2015	<u>456</u>	Sealed Document <i>Declaration of Scott H. Saham in Support of Plaintiff's Oppositions to the HCA Defendants and Undwriter Defendants Motions for Summary Judgment</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit Green Depo., Ex. 13, # <u>2</u> Exhibit Green Depo., Ex. 14, # <u>3</u> Exhibit Green Depo., Ex. 15, # <u>4</u> Exhibit Green Depo., Ex. 17, # <u>5</u> Exhibit Green Depo., Ex. 19, # <u>6</u> Exhibit Brilliant Depo., Ex. 21, # <u>7</u> Exhibit Brilliant Depo., Ex. 26, # <u>8</u> Exhibit Smith Depo., Ex. 29, # <u>9</u> Exhibit Smith Depo., Ex. 30, # <u>10</u> Exhibit Smith Depo., Ex. 31, # <u>11</u> Exhibit Smith Depo., Ex. 32, # <u>12</u> Exhibit Smith Depo., Ex. 33, # <u>13</u> Exhibit Smith Depo., Ex. 34, # <u>14</u> Exhibit Smith Depo., Ex. 35, # <u>15</u> Exhibit Smith Depo., Ex. 36, # <u>16</u> Exhibit Smith Depo., Ex. 37, # <u>17</u> Exhibit Smith Depo., Ex. 38, # <u>18</u> Exhibit Avery Depo., Ex. 51, # <u>19</u> Exhibit Avery Depo., Ex. 52, # <u>20</u> Exhibit Avery Depo., Ex. 53)(Saham, Scott) (Entered: 09/16/2015)
09/16/2015	<u>457</u>	Sealed Document <i>Avery Depo., Ex. 54 to the Scott H. Saham Declaration</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit Avery Depo., Ex. 55, # <u>2</u> Exhibit Avery Depo., Ex. 56, # <u>3</u> Exhibit Avery Depo., Ex. 57, # <u>4</u> Exhibit Avery Depo., Ex. 58, # <u>5</u> Exhibit Marks Depo., Ex. 63, # <u>6</u> Exhibit Marks Depo., Ex. 64, # <u>7</u> Exhibit Marks Depo., Ex. 67, # <u>8</u> Exhibit Marks Depo., Ex. 68, # <u>9</u> Exhibit Marks Depo., Ex. 72, # <u>10</u> Exhibit Marks Depo., Ex. 73, # <u>11</u> Exhibit Marks Depo., Ex. 79, # <u>12</u> Exhibit Marks Depo., Ex. 91, # <u>13</u> Exhibit Marks Depo., Ex. 94, # <u>14</u> Exhibit Merrill Depo., Ex. 98, # <u>15</u> Exhibit Merrill Depo., Ex. 99, # <u>16</u> Exhibit Merrill Depo., Ex. 100, # <u>17</u> Exhibit Merrill Depo., Ex. 101, # <u>18</u> Exhibit Merrill Depo., Ex. 102, # <u>19</u> Exhibit Merrill Depo., Ex. 103, # <u>20</u> Exhibit Merrill Depo., Ex. 104)(Saham, Scott) (Entered: 09/16/2015)
09/16/2015	<u>458</u>	Sealed Document <i>Merrill Depo., Ex. 105 to Scott H. Saham Declaration</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit Merrill Depo., Ex.114, # <u>2</u> Exhibit Merrill Depo., Ex.124, # <u>3</u> Exhibit Merrill Depo., Ex.125, # <u>4</u> Exhibit Merrill Depo., Ex.127, # <u>5</u> Exhibit Merrill Depo., Ex.131, # <u>6</u> Exhibit Harms Depo., Ex.154, # <u>7</u> Exhibit Harms Depo., Ex.164, # <u>8</u> Exhibit Shallcross Depo., Ex.184, # <u>9</u> Exhibit Shallcross Depo., Ex.185, # <u>10</u> Exhibit Shallcross Depo., Ex.186, # <u>11</u> Exhibit Shallcross Depo., Ex.187, # <u>12</u> Exhibit Shallcross Depo., Ex.188, # <u>13</u> Exhibit Shallcross Depo., Ex.189, # <u>14</u> Exhibit Shallcross Depo., Ex.190, # <u>15</u> Exhibit Shallcross Depo., Ex.191, # <u>16</u> Exhibit Shallcross Depo., Ex.192, # <u>17</u> Exhibit Shallcross Depo., Ex.193, # <u>18</u> Exhibit Shallcross Depo., Ex.194, # <u>19</u> Exhibit Shallcross Depo., Ex.195, # <u>20</u> Exhibit Shallcross Depo., Ex.196)(Saham, Scott) (Entered: 09/16/2015)
09/16/2015	<u>459</u>	Sealed Document <i>Shallcross Depo., Ex. 197 to Scott H. Saham Declaration</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit Manoukian Depo., Ex. 252, # <u>2</u> Exhibit Manoukian Depo., Ex. 253, # <u>3</u> Exhibit Manoukian Depo., Ex. 254, # <u>4</u> Exhibit Manoukian Depo., Ex. 257, # <u>5</u> Exhibit Manoukian Depo., Ex. 259, # <u>6</u> Exhibit Manoukian Depo., Ex. 265, # <u>7</u> Exhibit

		Manoukian Depo., Ex. 268, # <u>8</u> Exhibit Yuspeh Depo., Ex. 279, # <u>9</u> Exhibit Yuspeh Depo., Ex. 283, # <u>10</u> Exhibit Yuspeh Depo., Ex. 286, # <u>11</u> Exhibit Yuspeh Depo., Ex. 287, # <u>12</u> Exhibit Yuspeh Depo., Ex. 296, # <u>13</u> Exhibit Yuspeh Depo., Ex. 302, # <u>14</u> Exhibit Yuspeh Depo., Ex. 304, # <u>15</u> Exhibit Joseph Depo., Ex. 342, # <u>16</u> Exhibit Joseph Depo., Ex. 345, # <u>17</u> Exhibit Joseph Depo., Ex. 346, # <u>18</u> Exhibit Joseph Depo., Ex. 350, # <u>19</u> Exhibit Bynum Depo., Ex. 358, # <u>20</u> Exhibit Bynum Depo., Ex. 359)(Saham, Scott) (Entered: 09/16/2015)
09/16/2015	<u>460</u>	Sealed Document <i>Bynum Depo., Ex. 361 to Scott H. Saham Declaration</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit Bynum Depo., Ex. 362, # <u>2</u> Exhibit Bynum Depo., Ex. 363, # <u>3</u> Exhibit Bynum Depo., Ex. 370, # <u>4</u> Exhibit Bynum Depo., Ex. 373, # <u>5</u> Exhibit Green Depo., Ex. 399, # <u>6</u> Exhibit Metzler Depo., Ex. 458, # <u>7</u> Exhibit Metzler Depo., Ex. 461, # <u>8</u> Exhibit Metzler Depo., Ex. 463, # <u>9</u> Exhibit Metzler Depo., Ex. 467, # <u>10</u> Exhibit Metzler Depo., Ex. 469, # <u>11</u> Exhibit Metzler Depo., Ex. 471, # <u>12</u> Exhibit Michelson Depo., Ex. 510, # <u>13</u> Exhibit Michelson Depo., Ex. 517, # <u>14</u> Exhibit Michelson Depo., Ex. 521, # <u>15</u> Exhibit Michelson Depo., Ex. 523, # <u>16</u> Exhibit Jackey Depo., Ex. 524, # <u>17</u> Exhibit Jackey Depo., Ex. 525, # <u>18</u> Exhibit Jackey Depo., Ex. 531, # <u>19</u> Exhibit Jackey Depo., Ex. 544, # <u>20</u> Exhibit Jackey Depo., Ex. 550, # <u>21</u> Exhibit Jackey Depo., Ex. 552, # <u>22</u> Exhibit Jackey Depo., Ex. 553, # <u>23</u> Exhibit Johnson Depo., Ex. 555, # <u>24</u> Exhibit Johnson Depo., Ex. 556, # <u>25</u> Exhibit Johnson Depo., Ex. 559)(Saham, Scott) (Entered: 09/16/2015)
09/16/2015	<u>461</u>	Sealed Document <i>Johnson Depo., Ex. 559 to Scott H.Saham Declaration</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit Johnson Depo., Ex. 573, # <u>2</u> Exhibit Johnson Depo., Ex. 575, # <u>3</u> Exhibit Johnson Depo., Ex. 576, # <u>4</u> Exhibit Johnson Depo., Ex. 580 (Part 1), # <u>5</u> Exhibit Johnson Depo., Ex. 580 (Part 2), # <u>6</u> Exhibit Johnson Depo., Ex. 583, # <u>7</u> Exhibit Stinnett Depo., Ex. 623, # <u>8</u> Exhibit Stinnett Depo., Ex. 630, # <u>9</u> Exhibit Stinnett Depo., Ex. 631, # <u>10</u> Exhibit Stinnett Depo., Ex. 637, # <u>11</u> Exhibit Stinnett Depo., Ex. 638, # <u>12</u> Exhibit Bracken Depo., Ex. 652, # <u>13</u> Exhibit Bracken Depo., Ex. 654, # <u>14</u> Exhibit Bracken Depo., Ex. 658, # <u>15</u> Exhibit Forbes Depo., Ex. 669, # <u>16</u> Exhibit Forbes Depo., Ex. 677, # <u>17</u> Exhibit Forbes Depo., Ex. 686, # <u>18</u> Exhibit Forbes Depo., Ex. 689, # <u>19</u> Exhibit Spencer Depo., Ex. 711, # <u>20</u> Exhibit Frist III Depo., Ex. 716, # <u>21</u> Exhibit Connaughton Depo., Ex. 750, # <u>22</u> Exhibit Gordon Depo., Ex. 775, # <u>23</u> Exhibit Rapkin Depo., Ex. 791, # <u>24</u> Exhibit Rapkin Depo., Ex. 795, # <u>25</u> Exhibit. Lawrence Depo., Ex. 820, # <u>26</u> Exhibit Lawrence Depo., Ex. 823, # <u>27</u> Exhibit Lawrence Depo., Ex. 825, # <u>28</u> Exhibit Juneja Depo., Ex. 831, # <u>29</u> Exhibit Juneja Depo., Ex. 832, # <u>30</u> Exhibit Juneja Depo., Ex. 833, # <u>31</u> Exhibit Juneja Depo., Ex. 834, # <u>32</u> Exhibit Juneja Depo., Ex. 835)(Saham, Scott) (Entered: 09/16/2015)
09/16/2015	<u>462</u>	Sealed Document <i>Defs. Depo. Ex. 2 (Rains) to Scott H. Saham Declaration</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit Defs. Depo. Ex. 28 (Puntillo), # <u>2</u> Exhibit Defs. Depo. Ex. 33 (Puntillo), # <u>3</u> Exhibit Defs. Depo. Ex. 35 (Regan))(Saham, Scott) (Entered: 09/16/2015)
09/16/2015	<u>463</u>	Sealed Document <i>Depo. Transcript of Vinita Juneja, Ph.D., dated June 30, 2015 to the Scott H. Saham Declaration</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit B, Deposition transcript of Richard Bracken, dated Nov. 6, 2014, # <u>2</u> Exhibit C, Deposition transcript of Donald Stinnett, dated Oct. 31, 2014, # <u>3</u> Exhibit D, Deposition transcript of Milton Johnson, dated Oct. 24, 2014, # <u>4</u> Exhibit E, Deposition transcript of Chigger Bynum, dated Sept. 16, 2014, # <u>5</u> Exhibit

		F, Deposition transcript of Paul Merrill, dated May 30, 2014, # <u>6</u> Exhibit H, Deposition transcript of Steven P. Feinstein, Ph.D., CFA, dated June 19, 2015, # <u>7</u> Exhibit I, Email dated Oct. 14, 2010, # <u>8</u> Exhibit J, Deposition transcript of Dennis Green, dated Nov. 12, 2013, # <u>9</u> Exhibit K, HCA Responses and Objections to Lead Plaintiffs Third Set of Interrogatories, # <u>10</u> Exhibit L, Deposition transcript of Rodney Smith, dated Jan. 8, 2014, # <u>11</u> Exhibit M, Deposition transcript of Patrick Brilliant, dated Dec. 20, 2013, # <u>12</u> Exhibit N, Deposition transcript of Donald Avery, dated Jan. 10, 2014, # <u>13</u> Exhibit O, Deposition transcript of Steven Manoukian, dated Aug. 6, 2014, # <u>14</u> Exhibit P, Deposition transcript of Charles Hall, dated Aug. 27, 2014, # <u>15</u> Exhibit Q, Deposition transcript of Michael Joseph, dated Sep. 9, 2014, # <u>16</u> Exhibit R, Deposition transcript of Michael Marks, dated May 9, 2014, # <u>17</u> Exhibit S, Expert report of D. Paul Regan, CPA/CFF, CFE, # <u>18</u> Exhibit T, Expert report of Professor Steven P. Feinstein, Ph.D., CFA, # <u>19</u> Exhibit V, UW ANALYST REPORTS 00011154-60, # <u>20</u> Exhibit W, Expert report of Jeptha P. Curtis, M.D., # <u>21</u> Exhibit X, Expert report of Professor Richard Puntillo, # <u>22</u> Exhibit Y, Deposition transcript of Timothy A. Carlton, M.D., dated June 2, 2015, # <u>23</u> Exhibit Z, Deposition transcript of Dr. Joseph Fredi, dated June 4, 2015)(Saham, Scott) (Entered: 09/16/2015)
09/16/2015	<u>464</u>	Sealed Document <i>Deposition transcript of Cory Rapkin, dated Jan. 30, 2015 to the Scott H. Saham Declaration</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit DD, Deposition transcript of Brett E. Barlag, dated June 25, 2015, # <u>2</u> Exhibit EE, Deposition transcript of Alan Yuspeh, dated Aug. 20, 2014, # <u>3</u> Exhibit FF, Deposition transcript of John P. Connaughton, dated Dec. 12, 2014, # <u>4</u> Exhibit GG, Deposition of Christopher R. Gordon, dated Jan. 7, 2015, # <u>5</u> Exhibit HH, Deposition transcript of James Forbes, dated Nov. 11, 2014, # <u>6</u> Exhibit JJ, Deposition transcript of Spencer Watts, dated Nov. 13, 2014, # <u>7</u> Exhibit KK, MLPFS_00006354-6385, # <u>8</u> Exhibit LL, Deposition transcript of Gary W. Lawrence, dated June 19, 2015, # <u>9</u> Exhibit MM, Deposition transcript of Robert Jackey, dated Oct. 22, 2014, # <u>10</u> Exhibit PP, JPM_00002573-2606, # <u>11</u> Exhibit QQ, Deposition transcript of Carolyn Rains, dated Apr. 4, 2014, # <u>12</u> Exhibit RR, Deposition transcript of James C. Momtazee, dated Dec. 18, 2014, # <u>13</u> Exhibit SS, HCA-SCHUH-00947921-938, # <u>14</u> Exhibit TT, HCA-SCHUH-00797010-7125, # <u>15</u> Exhibit V V, Deposition transcript of Thomas F. Frist III, dated Nov. 19, 2014, # <u>16</u> Exhibit W W, Deposition transcript of Michael W. Michelson, dated Oct. 10, 2014, # <u>17</u> Exhibit YY, Deposition transcript of Sandy Metzler, dated Sept. 30, 2014, # <u>18</u> Exhibit BBB, Deposition transcript of Richard Shallcross, dated June 25, 2014, # <u>19</u> Exhibit III, Deposition transcript of Mihran Timothy Renjilian, CPA, dated June 23, 2015)(Saham, Scott) (Entered: 09/16/2015)
09/16/2015	<u>465</u>	MOTION for Leave to File Document Under Seal by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 09/16/2015)
09/16/2015	<u>466</u>	Sealed Document <i>HCA Defendants' Reply in Support of Their [Dkt 350] Motion to Exclude the Expert Testimony of D. Paul Regan</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 09/16/2015)
09/16/2015	<u>467</u>	MOTION for Leave to File Document Under Seal <i>Reply Memorandum of Law in</i>

		<p><i>Support of The Underwriter Defendants' Motion to Exclude the Opinion Testimony of Professor Richard Puntillo Concerning the Underwriters' Due Diligence</i> by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Polubinski, Edmund) (Entered: 09/16/2015)</p>
09/16/2015	<u>468</u>	<p>Sealed Document <i>HCA Defendants' Reply in Support of Their [Dkt 351] Motion to Exclude the Expert Testimony of Richard Puntillo</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 09/16/2015)</p>
09/16/2015	<u>469</u>	<p>NOTICE of Filing by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>468</u> Sealed Document, <i>Unreported Case Law in Support HCA Defendants' Reply in Support of Their Motion to Exclude the Expert Testimony of Richard Puntillo</i> (Attachments: # <u>1</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 09/16/2015)</p>
09/16/2015	<u>470</u>	<p>Sealed Document <i>HCA Defendants' Reply in Support of Their [Dkt 352] Motion to Exclude Certain Expert Testimony of Jephtha Curtis, M.D.</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 09/16/2015)</p>
09/16/2015	<u>471</u>	<p>NOTICE of Filing by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>470</u> Sealed Document, <i>Unreported Case Law in Support of HCA Defendants' Reply in Support of Their Motion to Exclude Certain Expert Testimony of Jephtha Curtis, M.D.</i> (Attachments: # <u>1</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 09/16/2015)</p>
09/16/2015	<u>472</u>	<p>Sealed Document <i>Supplemental Declaration of Brett E. Barlag in Support of [Dkt 470] the HCA Defendants' Reply in Support of Their Motion to Exclude Certain Expert</i></p>

		<i>Testimony of Jephtha Curtis, M.D.</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 09/16/2015)
09/16/2015	473	Sealed Document <i>Reply Memorandum of Law in Support of The Underwriter Defendants' Motion to Exclude the Opinion Testimony of Professor Richard Puntillo Concerning the Underwriters' Due Diligence</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Attachment Case Appendix)(Polubinski, Edmund) (Entered: 09/16/2015)
09/16/2015	474	NOTICE by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC re <u>470</u> Sealed Document, <u>466</u> Sealed Document, <u>468</u> Sealed Document, <i>Notice of Joinder of the Underwriter Defendants to the HCA Defendants' Replies in Support of thier Motions to Exclude Certain Expert Testimony.</i> (Polubinski, Edmund) (Entered: 09/16/2015)
09/16/2015	475	NOTICE of Filing by New England Teamsters & Trucking Industry Pension Fund re <u>456</u> Sealed Document,,, <i>Yuspeh Depo., Exhibit 280 to Scott. H. Saham Declaration</i> (Attachments: # <u>1</u> Exhibit Joseph Depo., Ex. 330, # <u>2</u> Exhibit Hazen Depo., Ex. 616, # <u>3</u> Exhibit Bracken Depo., Ex. 653, # <u>4</u> Exhibit Forbes Depo., Ex. 698, # <u>5</u> Exhibit Frist III Depo., Ex. 727, # <u>6</u> Exhibit Connaughton Depo., Ex. 736, # <u>7</u> Exhibit Momtazee Depo., Ex. 759, # <u>8</u> Exhibit Gordon Depo., Ex. 779, # <u>9</u> Exhibit Rapkin Depo., Ex. 789, # <u>10</u> Exhibit Rapkin Depo., Ex. 797, # <u>11</u> Exhibit Juneja Depo., Ex. 836, # <u>12</u> Exhibit Juneja Depo., Ex. 837, # <u>13</u> Exhibit G, HCA 1st Supp Responses to Lead Plaintiff's 6th Set of Interrogs, # <u>14</u> Exhibit U, Form 8-K Current Report, # <u>15</u> Exhibit AA, HCA's Objections and Response to Pltfs' 3rd Set of RFA, # <u>16</u> Exhibit BB, Lead Pltfs Responses and Objections to HCA's 2nd Set of of Interrogs, # <u>17</u> Exhibit II, HCA Form 10-Q for the

		quarterly period ended 6/30/2015)(Saham, Scott) (Entered: 09/16/2015)
09/16/2015	<u>476</u>	NOTICE of Filing by New England Teamsters & Trucking Industry Pension Fund re <u>475</u> Notice (Other),,,, <u>456</u> Sealed Document,,, <i>Affidavit of John Gawaluck, Exhibit NN to Scott H. Saham Declaration</i> (Saham, Scott) (Entered: 09/16/2015)
09/16/2015	<u>477</u>	NOTICE of Filing by New England Teamsters & Trucking Industry Pension Fund re <u>476</u> Notice (Other), <u>475</u> Notice (Other),,,, <u>456</u> Sealed Document,,, <i>Letter dated Oct. 16, 2014, Exhibit OO to Scott H. Saham Declaration</i> (Attachments: # <u>1</u> Exhibit UU, HCA Holdings, Inc. Form 8-K March 15, 2011, # <u>2</u> Exhibit XX, Mark Maremont and Mike Spector, Wall Street Journal, Blackstone to Rein in Fee Practice, # <u>3</u> Exhibit ZZ, Richard M. Bracken Form 4s filed March 2011, # <u>4</u> Exhibit AAA, R. Milton Johnson Form 4s filed March 2011, # <u>5</u> Exhibit CCC, R. Milton Johnsons Responses and Objections to Plaintiffs Fifth Set of Interrogatories dated Aug. 1, 2014, # <u>6</u> Exhibit DDD, Pagliucas Responses and Objections to Plaintiffs Fifth Set of Interrogatories, # <u>7</u> Exhibit EEE, Thornes Responses and Objections to Plaintiffs Fifth Set of Interrogatories, # <u>8</u> Exhibit FFF, Birosaks Responses and Objections to Plaintiffs Fifth Set of Interrogatories, # <u>9</u> Exhibit GGG, Forbess Responses and Objections to Plaintiffs Fifth Set of Interrogatories, # <u>10</u> Exhibit HHH, Freemans Responses and Objections to Plaintiffs Fifth Set of Interrogatories)(Saham, Scott) (Entered: 09/16/2015)
09/16/2015	<u>478</u>	Sealed Document <i>Plaintiffs (1) Response To The Underwriter Defendants Statement Of Undisputed Material Facts In Support Of Their Motion For Summary Judgment And (2) Statement Of Additional Facts In Dispute</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 09/16/2015)
09/16/2015	<u>479</u>	Sealed Document <i>Plaintiffs Response To The HCA Defendants Statement Of Undisputed Material Facts In Support Of Their Motion For Summary Judgment And Plaintiffs Statement Of Material Facts To Which There Exists A Genuine Issue To Be Tried</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 09/16/2015)
09/21/2015	<u>480</u>	ORDER granting <u>452</u> Motion for Leave to File Document Under Seal. Signed by Chief Judge Kevin H. Sharp on 9/21/15. (afs) (Entered: 09/21/2015)
09/21/2015	<u>481</u>	ORDER granting <u>465</u> Motion for Leave to File Document Under Seal. Signed by Chief Judge Kevin H. Sharp on 9/21/15. (afs) (Entered: 09/21/2015)
09/21/2015	<u>482</u>	ORDER granting <u>467</u> Motion for Leave to File Document Under Seal. Signed by Chief Judge Kevin H. Sharp on 9/21/15. (afs) (Entered: 09/21/2015)
09/21/2015	<u>483</u>	ORDER: On or before October 15, 2015, Plaintiffs shall file responses to Defendants Daubert motions and/or file their own Daubert Motions. The Court will hold a Daubert evidentiary hearing on November 24, and 25, 2015, commencing the first day at 9:00 a.m Signed by Chief Judge Kevin H. Sharp on 9/21/15. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 09/21/2015)
09/22/2015	<u>484</u>	Joint MOTION <i>Agreed Upon Parties to Approve the Form and Manner of Class Notice</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit A, Notice of Pendency of Class Action, # <u>2</u> Exhibit B, Notice Plan, # <u>3</u> Attachment [Proposed] Order Granting Parties' Agreed Upon Motion to Approve the Form and Manner of Class Notice)(Saham, Scott) (Entered: 09/22/2015)
09/23/2015	<u>485</u>	ORDER granting <u>484</u> Motion To Approve The Form and Manner of Class Notice.

		Signed by Chief Judge Kevin H. Sharp on 9/23/15. (DOCKET TEXT SUMMARY ONLY-ATTORNEYS MUST OPEN THE PDF AND READ THE ORDER.)(afs) (Entered: 09/23/2015)
09/29/2015	<u>486</u>	ORDER: The following several motions filed by both Plaintiffs and Defendants for leave to file documents under seal are GRANTED: Docket Entry Nos. <u>263</u> , <u>267</u> , <u>270</u> , <u>274</u> , <u>276</u> , <u>286</u> , <u>297</u> , <u>300</u> , <u>304</u> , <u>316</u> , <u>322</u> , and <u>340</u> . The Clerk is directed to maintain UNDER SEAL the documents referenced in the motions until further order of the Court. Plaintiffs' motion for leave to file a reply (Docket Entry No. <u>273</u>) is GRANTED. Signed by Magistrate Judge Barbara D. Holmes on 9/29/15. (afs) (Entered: 09/29/2015)
09/29/2015	<u>487</u>	MOTION for Leave to File Document Under Seal by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 09/29/2015)
09/29/2015	<u>488</u>	Sealed Document <i>HCA Defendants' Response in Opposition to Plaintiffs' Motion to Exclude the Expert Testimony of Brett E. Barlag</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 09/29/2015)
09/29/2015	<u>489</u>	DECLARATION of Milton S. McGee, III filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re: <u>488</u> Sealed Document,. (Attachments: # <u>1</u> Exhibit 1 - Introduction to Forensic and Investigative Accounting, # <u>2</u> Exhibit 2 - Fundamentals of Finance - Ch. 1)(Riley, Steven) (Entered: 09/29/2015)
09/29/2015	<u>490</u>	NOTICE of Filing by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>488</u> Sealed Document, <i>Unreported Case Law to Dkt 488</i> (Attachments: # <u>1</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 09/29/2015)
09/29/2015	<u>491</u>	Sealed Document <i>HCA Defendants' Opposition to Plaintiffs' Motion to Exclude the Expert Testimony of Gregory J. Nachtwey</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 09/29/2015)
09/29/2015	<u>492</u>	DECLARATION of Milton S. McGee, III filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re: <u>491</u> Sealed Document,. (Attachments: # <u>1</u> Exhibit 1 - Uniform Standards of Professional Appraisal Practice, # <u>2</u> Exhibit 2 - American Society of Appraisers, # <u>3</u> Exhibit 3 - Damodaran on Valuation Ch 7, # <u>4</u> Exhibit 4 - Ch 19 From

		Valuing a Business 5th Edition - Pratt, # <u>5</u> Exhibit 5 - Damodaran 2014)(Riley, Steven) (Entered: 09/29/2015)
09/29/2015	<u>493</u>	NOTICE of Filing by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>491</u> Sealed Document, <i>Unreported Case Law to Dkt 491</i> (Attachments: # <u>1</u> Attachment Unreported Case Law (1 of 2), # <u>2</u> Attachment Unreported Case Law (2 of 2))(Riley, Steven) (Entered: 09/29/2015)
09/29/2015	<u>494</u>	Sealed Document <i>HCA Defendants' Response in Opposition to Plaintiffs' Motion to Exclude the Expert Testimony of Dr. Keith Ghezzi, M.D.</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 09/29/2015)
09/29/2015	<u>495</u>	NOTICE of Filing by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>494</u> Sealed Document, <i>Unreported Case Law to Dkt 494</i> (Attachments: # <u>1</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 09/29/2015)
09/29/2015	<u>496</u>	Sealed Document <i>HCA Defendants' Opposition to Plaintiffs' Motion to Exclude the Expert Testimony of M. Timothy Renjilian</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 09/29/2015)
09/29/2015	<u>497</u>	NOTICE of Filing by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne re <u>496</u> Sealed Document, <i>Unreported Case Law to Dkt 496</i> (Attachments: # <u>1</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 09/29/2015)
09/29/2015	<u>498</u>	NOTICE by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC re <u>494</u> Sealed Document, <u>491</u> Sealed Document, <u>488</u> Sealed Document, <u>496</u> Sealed

		Document, <i>Notice of Joinder of the Underwriter Defendants to the HCA Defendants' Oppositions to Exclude Certain Expert Testimony</i> (Polubinski, Edmund) (Entered: 09/29/2015)
09/30/2015	<u>499</u>	ORDER granting <u>487</u> Motion for Leave to File Document Under Seal. Signed by Chief Judge Kevin H. Sharp on 9/30/15. (afs) (Entered: 09/30/2015)
10/02/2015	<u>500</u>	MOTION for attorney(s) Brian M. Burnovski to Appear Pro Hac Vice (Fee PAID) by Avondale Partners, LLC, Barclays Capital Inc., Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) Modified on 10/29/2015 (jw). (Entered: 10/02/2015)
10/02/2015	<u>501</u>	CERTIFICATE OF GOOD STANDING of Attorney Brian Burnovski from the United States District Court for the Southern District of New York filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Sweeney, Matthew) (Entered: 10/02/2015)
10/13/2015		BAR STATUS for Attorney, Brian Burnovski of NY, verified as active on this date. (afs) (Entered: 10/13/2015)
10/14/2015	<u>502</u>	MOTION for Leave to File Document Under Seal <i>(1) Reply Memorandum of Law in Support of the Underwriter Defendants' Motion for Summary Judgment; (2) Underwriter Defendants' Responses to Plaintiff's Statement of Additional Facts in Dispute; (3) Declaration of Emmet P. Ong; (4) Exhibits 112-115 identified in the Ong Declaration; (5) Declaration of Brian Palo with accompanying exhibits; (6) Declaration of Joshua Moradfar and accompanying exhibits; and (7) Declaration of Theodore Omlid and accompanying exhibits.</i> by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital

		Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Polubinski, Edmund) (Entered: 10/14/2015)
10/14/2015	<u>503</u>	Sealed Document <i>Reply Memorandum of Law in Support of the Underwriter Defendants' Motion for Summary Judgment</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Polubinski, Edmund) (Entered: 10/14/2015)
10/14/2015	<u>504</u>	MOTION for Leave to File Document Under Seal <i>Lead Plaintiff's Replies in Support of Motions to Exclude Testimony of Brett E. Barlag, Timothy Renjilian, Keith Ghezzi, and Gregory Nachtwey and Exhibits</i> by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Attachment [Proposed Order] Granting Plaintiff's Motion for Leave to File Documents Under Seal)(Saham, Scott) (Entered: 10/14/2015)
10/14/2015	<u>505</u>	Sealed Document <i>Underwriter Defendants' Responses to Plaintiff's Statement of Additional Facts</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Polubinski, Edmund) (Entered: 10/14/2015)
10/14/2015	<u>506</u>	Sealed Document <i>Lead Plaintiff's Reply in Support of Motion to Exclude Testimony of Brett E. Barlag</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit 2006, HCA Holdings, Inc., Figure 12, Phase 1 Facilities: Summary of Medicare FFS ICD)(Saham, Scott) (Entered: 10/14/2015)

10/14/2015	<u>507</u>	Sealed Document <i>Lead Plaintiff's Reply in Support of Motion to Exclude Testimony of Timothy Renjilian</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 10/14/2015)
10/14/2015	<u>508</u>	Sealed Document <i>Lead Plaintiff's Reply in Support of Motion to Exclude Expert Testimony of Dr. Keith Ghezzi, M.D.</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Saham, Scott) (Entered: 10/14/2015)
10/14/2015	<u>509</u>	Sealed Document <i>Declaration of Emmet P. Ong in Support of the Underwriter Defendants' Reply in Support of Their Motion for Summary Judgment</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Exhibit 112-Underwriter Defendants Supplemental Initial Disclosures, # <u>2</u> Exhibit 113-Email, # <u>3</u> Exhibit 114-Expert Report of M. Timothy Renjilian, CPA, # <u>4</u> Exhibit 115-Email and Attachment)(Polubinski, Edmund) (Entered: 10/14/2015)
10/14/2015	<u>510</u>	Sealed Document <i>Lead Plaintiff's Reply in Support of Motion to Exclude Testimony of Gregory J. Nachtwey</i> filed by New England Teamsters & Trucking Industry Pension Fund. (Attachments: # <u>1</u> Exhibit Nachtwey Depo., Ex. 866, # <u>2</u> Exhibit Nachtwey Depo., Ex. 872)(Jaconette, James) (Entered: 10/14/2015)
10/14/2015	<u>511</u>	MOTION for Leave to File Document Under Seal by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 10/14/2015)
10/14/2015	<u>512</u>	DECLARATION of Milton S. McGee in Support of HCA Defendants' Reply in Support of Motion for Summary Judgment filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 10/14/2015)
10/14/2015	<u>513</u>	APPENDIX filed by New England Teamsters & Trucking Industry Pension Fund re <u>506</u> Sealed Document, <u>508</u> Sealed Document, <u>507</u> Sealed Document, <u>510</u> Sealed Document., (Saham, Scott) (Entered: 10/14/2015)
10/14/2015	<u>514</u>	Sealed Document <i>Declaration of Joshua Moradfar</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank

		Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Exhibit A-Email and Attachment, # <u>2</u> Exhibit B-Email and Attachment)(Polubinski, Edmund) (Entered: 10/14/2015)
10/14/2015	<u>515</u>	Sealed Document <i>Exhibit 1 to the Declaration of Milton S. McGee, III</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Attachments: # <u>1</u> Exhibit Exhibit 2 to the Declaration of Milton S. McGee)(Riley, Steven) (Entered: 10/14/2015)
10/14/2015	<u>516</u>	Sealed Document <i>Declaration of Brett E. Barlag in Support of HCA Defendants' Reply in Support of Motion for Summary Judgment</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 10/14/2015)
10/14/2015	<u>517</u>	Sealed Document <i>Declaration of Theodore Omlid</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Exhibit A-Due Diligence Checklist, # <u>2</u> Exhibit B-Email)(Polubinski, Edmund) (Entered: 10/14/2015)
10/14/2015	<u>518</u>	Sealed Document <i>Reply Memorandum in Support of HCA Defendants' Motion for Summary Judgment</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 10/14/2015)
10/14/2015	<u>519</u>	Sealed Document <i>Declaration of Brian Palo</i> filed by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P.,

		<p>Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Exhibit A-Email and Attachment, # <u>2</u> Exhibit B-Notes)(Polubinski, Edmund) (Entered: 10/14/2015)</p>
10/14/2015	<u>520</u>	<p>Sealed Document <i>Response to Plaintiffs' Statement of Additional Facts</i> filed by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne. (Riley, Steven) (Entered: 10/14/2015)</p>
10/14/2015	<u>521</u>	<p>NOTICE of Filing by Christopher J. Birosak, Richard M. Bracken, John P. Connaughton, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, R. Milton Johnson, Michael W. Michelson, James C. Momtazee, Stephen G. Pagliuca, Nathan C. Thorne <i>Notice of Filing of Unreported Case Law</i> (Attachments: # <u>1</u> Attachment Unreported Case Law)(Riley, Steven) (Entered: 10/14/2015)</p>
10/14/2015	<u>522</u>	<p>NOTICE of Filing by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC re <u>503</u> Sealed Document,,, (Attachments: # <u>1</u> Attachment -Unreported Cases and Other Supporting Materials)(Polubinski, Edmund) (Entered: 10/14/2015)</p>
10/14/2015	<u>523</u>	<p>NOTICE by Avondale Partners, LLC, Barclays Capital Inc., CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Deutsche Bank Securities Inc., Gleacher & Company Securities, Inc., Goldman, Sachs & Co., J.P. Morgan Securities LLC, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Mizuho Securities USA Inc., Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co.</p>

		Inc., RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Wells Fargo Securities, LLC re <u>518</u> Sealed Document, <i>Underwriter Defendants' Partial Joinder to the Reply Memorandum of Law in Support of the HCA Defendants' Motion for Summary Judgment on Plaintiffs' Remaining Claims.</i> (Polubinski, Edmund) (Entered: 10/14/2015)
10/16/2015	<u>524</u>	ORDER granting <u>504</u> Motion for Leave to File Document Under Seal. Signed by Chief Judge Kevin H. Sharp on 10/16/15. (afs) (Entered: 10/16/2015)
10/16/2015	<u>525</u>	ORDER granting <u>502</u> Motion for Leave to File Document Under Seal. Signed by Chief Judge Kevin H. Sharp on 10/16/15. (afs) (Entered: 10/16/2015)
10/16/2015	<u>526</u>	ORDER granting <u>511</u> Motion for Leave to File Document Under Seal. Signed by Chief Judge Kevin H. Sharp on 10/16/15. (afs) (Entered: 10/16/2015)
10/19/2015	<u>527</u>	Joint MOTION for Hearing for <i>Telephonic Conference Regarding Upcoming Daubert Evidentiary Hearings</i> by Avondale Partners, LLC, Bank of America, Barclays Capital Inc., Christopher J. Birosak, Richard M. Bracken, CRT Capital Group LLC, Caris & Company Inc., CastleOak Securities L.P., Citigroup Global Markets Inc., John P. Connaughton, Cowen and Company, LLC, Credit Agricole Securities (USA) Inc., Credit Suisse Securities (USA) LLC, Julius Daniels, Deutsche Bank Securities Inc., Ernst & Young LLP, James D. Forbes, Kenneth W. Freeman, Thomas F. Frist, III, William R. Frist, Gleacher & Company Securities, Inc., Goldman, Sachs & Co., Christopher R. Gordon, HCA Holdings, Inc., Hercules Holding, II, LLC, J.P. Morgan Securities LLC, R. Milton Johnson, Rafat Kishtah, Lazard Capital Markets LLC, Leerink Swann LLC, Loop Capital Markets, L.L.C., Merrill Lynch Pierce Fenner & Smith Incorporated, Michael W. Michelson, Mizuho Securities USA Inc., James C. Momtazee, Morgan Keegan & Company, Inc., Morgan Stanley & Co. Incorporated, Muriel Siebert & Co., Inc., Oppenheimer & Co. Inc., Stephen G. Pagliuca, RBC Capital Markets, LLC, RBS Securities Inc., Raymond James & Associates, Inc., Robert W. Baird & Co. Incorporated, SMBC Nikko Capital Markets Limited, Samuel A. Ramirez & Company Inc., Sanford C. Bernstein & Co., LLC, Suntrust Robinson Humphrey, Inc., Susquehanna Financial Group LLLP, The Williams Capital Group, L.P., Nathan C. Thorne, Wells Fargo Securities, LLC. (Attachments: # <u>1</u> Exhibit A - HCA Defendants' Position, # <u>2</u> Exhibit B - Plaintiff's Position, # <u>3</u> Exhibit C - Underwriter Defendants' Position)(Riley, Steven) (Entered: 10/19/2015)
10/28/2015	<u>528</u>	ORDER: In lieu of the trial set to begin on January 12, 2016, the Court will reschedule the Daubert Hearings currently set for November 24, 2015, for January 12 - 15, 2016, at 9:00 a.m. The Joint Motion for Telephonic Status Conference Regarding Daubert Hearings Scheduled for November 24th and 25th (Docket No. <u>527</u>) is hereby DENIED as moot. Signed by Chief Judge Kevin H. Sharp on 10/28/15. (afs) Modified on 10/28/2015 (afs). (Entered: 10/28/2015)
10/29/2015	<u>529</u>	RECEIPT #34675038275 in the amount of \$75 re <u>500</u> Motion to Appear Pro Hac Vice. (jw) (Entered: 10/29/2015)
10/29/2015	<u>530</u>	ORDER granting <u>500</u> Motion for Brian M. Burnovski to Appear Pro Hac Vice. Signed by Magistrate Judge Barbara D. Holmes on 10/29/15. (afs) (Entered: 10/29/2015)

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