

# University Governance and Organization

## DIRECTORY

### THE BOARD OF TRUSTEES

- Victor Arias, Jr., DHR International, 5215 North O'Connor Boulevard, Suite 200, Irving, TX 75039
- Kenneth J. Bacon, Fannie Mae, 3900 Wisconsin Avenue, N.W., Washington, DC 20016-2892
- Mari J. Baker, Board of Trustees Office, Building 310, 2nd Floor, Main Quadrangle, Stanford, CA 94305-2060
- Paul V. Barber, JMI Equity Fund, 12680 High Bluff Drive, Suite 200, San Diego, CA 92130
- Robert M. Bass, Keystone, Inc., 201 Main Street, Fort Worth, TX 76102
- Peter S. Bing, 9700 West Pico Boulevard, Los Angeles, CA 90035
- Cory A. Booker, Municipal Council, Newark City Hall, 920 Broad Street, Room 304, Newark, NJ 07102
- John E. Bryson, Edison International, 2244 Walnut Grove Avenue, Rosemead, CA 91770
- T. Robert Burke, Four Embarcadero Center, Suite 3700, San Francisco, CA 94111
- Winston H. Chen, Paramitas Foundation, 3945 Freedom Circle, Suite 760, Santa Clara, CA 95054
- Mary B. Cranston, Pillsbury Madison & Sutro, 50 Fremont Street, San Francisco, CA 94105
- Doris F. Fisher, GAP, Inc., One Harrison Street, San Francisco, CA 94105
- Bradford M. Freeman, Freeman Spogli & Company, 11100 Santa Monica Boulevard, Suite 1900, Los Angeles, CA 90025-3384
- William A. Halter, Office of Social Security, ITC Building, Room 874, 500 E Street, SW, Washington, DC 20054
- Leslie Hatamiya, Board of Trustees Office, Building 310, 2nd Floor, Main Quadrangle, Stanford, CA 94305-2060
- John Hennessy, President, Stanford University, Building 10, Main Quadrangle, Stanford, CA 94305-2060
- William C. Landreth, Board of Trustees Office, Building 310, 2nd Floor, Main Quadrangle, Stanford, CA 94305-2060
- David M. Laney, Jenkens and Gilchrist, 1445 Ross Avenue, Suite 3200, Dallas, TX 75202-2799
- John P. Levin, Folger Levin & Kahn LLP, Embarcadero Center West, 275 Battery Street, 23rd Floor, San Francisco, CA 94111
- Burton J. McMurtry, Board of Trustees Office, Building 310, 2nd Floor, Main Quadrangle, Stanford, CA 94305-2060
- Henry Muller, Time Inc., 1271 Avenue of the Americas, New York, NY 10020
- Denise M. O'Leary, Board of Trustees Office, Building 310, 2nd Floor, Main Quadrangle, Stanford, CA 94305-2060
- Ellen Ochoa, Board of Trustees Office, Building 310, 2nd Floor, Main Quadrangle, Stanford, CA 94305-2060
- Charles J. Ogletree, Harvard Law School, 320 Hauser Hall, Cambridge, MA 02138
- Mark Oldman, Board of Trustees Office, Building 310, 2nd Floor, Main Quadrangle, Stanford, CA 94305-2060
- Susan P. Orr, Board of Trustees Office, Building 310, 2nd Floor, Main Quadrangle, Stanford, CA 94305-2060
- Gregor G. Peterson, P.O. Box 4450, 904 Lakeshore Boulevard, Incline Village, NV 89450
- Pamela A. Rymer, Court of Appeals, 125 South Grand Avenue, #600, Pasadena, CA 91105
- Victoria P. Sant, Board of Trustees Office, Building 310, 2nd Floor, Main Quadrangle, Stanford, CA 94305-2060

- Charles R. Schwab, The Charles Schwab Corporation, 101 Montgomery Street, 120-30-110, San Francisco, CA 94104-4122
- John H. Scully, SPO Partners & Co., 591 Redwood Hwy., Suite 3215, Mill Valley, CA 94941
- Isaac Stein, Waverley Associates, 525 University Avenue, #700, Palo Alto, CA 94301
- James R. Ukropina, O'Melveny & Myers, 400 South Hope Street, Suite 1060, Los Angeles, CA 90071
- Ward W. Woods, Jr., Bessemer Securities Corporation, 630 Fifth Avenue, New York, NY 10111-0100

### ADMINISTRATIVE ORGANIZATION

#### EXECUTIVE OFFICERS, 2000-01

- President:* John L. Hennessy
- Provost:* John Etchemendy
- Vice President for Business Affairs and Chief Financial Officer:* to be announced
- Vice President for Development:* John B. Ford
- Vice Provost and Dean of Research and Graduate Policy:* Charles H. Kruger
- Vice Provost and Dean for Institutional Planning and Operations:* to be announced
- Vice Provost and Dean of Student Affairs:* James Montoya
- Chief Executive Officer, Stanford Management Company:* Michael McCaffery
- Chief Information Officer, Information Technology Systems and Services:* Raman Khanna
- General Counsel: (Acting) Debra Zumwalt*
- Interim President and Chief Executive Officer, UCSF Stanford Health Care:* David Hunter
- University Librarian and Director of Academic Information Resources:* Michael A. Keller
- Dean of Graduate School of Business:* Robert Joss
- Dean of Continuing Studies Program:* Charles Junkerman
- Dean of School of Earth Sciences:* Franklin M. Orr, Jr.
- Dean of School of Education:* Debra J. Stipek; *Interim Dean (Autumn):* Denis Phillips
- Dean of School of Engineering:* James D. Plummer
- Dean of School of Humanities and Sciences:* Malcom R. Beasley
- Dean of School of Law:* Kathleen Sullivan
- Dean of School of Medicine:* Eugene A. Bauer
- Director of Hoover Institution:* John Raisian
- Director of Stanford Linear Accelerator Center:* Jonathan Dorfman

## ORGANIZATION

### BOARD OF TRUSTEES

*Powers and Duties*—The Board of Trustees is custodian of the endowment and all properties of the University. The Board administers the invested funds, sets the annual budget, and determines policies for operation and control of the University. The powers and duties of the Board of Trustees derive from the Founding Grant, amendments, legislation, and court decrees. In addition, the Board operates under its own bylaws and a series of resolutions on major policy.

*Membership*—Board membership is set at 35, including the President of the University who serves ex officio and with vote. Trustees serve a five-year term and are eligible for appointment to one additional five-year term. At the conclusion of that term, a Trustee is not eligible for reelection until after a lapse of one year. Eight of the Trustees are elected or appointed in accordance with the Rules Governing the Election or Appointment of Alumni Nominated Trustees. Four of the Alumni Nominated Trustees must be 35 years of age or under and four older than 35 when elected. They serve a five-year term.

*Officers of the Board*—The officers of the board are a chair, one or more vice chairs, and a secretary. Officers are elected to one-year terms at the annual meeting in June. Their terms of office begin July 1.

*Committees*—Standing committees of the Board are Academic Policy, Planning, and Management; Alumni and External Affairs; Audit; Development; Finance; Land and Buildings; and Medical Center. Special committees include Compensation, Investment Responsibility, Litigation, and Nominations.

*Meetings*—The Board generally meets five times each year.

## THE PRESIDENT

The Founding Grant prescribes that the Board of Trustees shall appoint the President of the University and that the Board shall give to the President the following powers:

To prescribe the duties of the professors and teachers.

To prescribe and enforce the course of study and the mode and manner of teaching.

Such other powers as will enable the President to control the educational part of the University to such an extent that the President may justly be held responsible for the course of study therein and for the good conduct and capacity of the professors and teachers.

The President is also responsible for the management of financial and business affairs of the University, including operation of the physical plant.

The President appoints the following, subject to confirmation by the Board: Provost, Vice President for Business Affairs and Chief Financial Officer, Vice President for Development, and General Counsel.

## COMMITTEES AND PANELS

University Committees are appointed by and are primarily responsible to the President. Such committees deal with matters on which the responsibility for recommendation or action is clearly diffused among different constituencies of the University. In accordance with the *Report on the Committee Structure of the University*, Academic Council members are appointed to University Committees on nomination of the Senate Committee on Committees and student members on nomination of the Associated Students of Stanford University (ASSU) Committee on Nominations. The President takes the initiative in the appointment of staff members to such committees. Although immediately responsible to the President, University Committees may be called upon to report to the Senate of the Academic Council or the ASSU. Charges to such committees are set by the President on recommendation of the Committee on Committees and others. There are nine standing University Committees, as follows:

- Advisory Panel on Investment Responsibility (AP-IR)
- Committee on Athletics, Physical Education, and Recreation (C-APER)
- Committee on Environmental Health and Safety (C-EHES)
- Committee on Faculty and Staff Benefits (C-FSB)
- Committee on Land and Building Development (C-LBD)
- Committee on Public Events (C-PE)
- Editorial Board of the University Press (EB-UP)
- KZSU Advisory Board (KZSU)
- Panel on Outdoor Art (P-OA)

Additionally there are six standing administrative panels which are appointed by the Vice Provost and Dean of Research and Graduate Study, and which report through him to the President.

- Administrative Panel on Biosafety
- Administrative Panel on Human Subjects in Medical Research-01
- Administrative Panel on Human Subjects in Medical Research-03
- Administrative Panel on Human Subjects in Medical Research-04
- Administrative Panel on Human Subjects in Non-Medical Research-02
- Administrative Panel on Laboratory Animal Care
- Administrative Panel on Radiological Safety

## PROVOST

The Provost, as the chief academic and budget officer, administers the academic program (instruction and research in schools and other unaffiliated units) and University services in support of the academic program (student affairs, libraries and information resources, and institutional planning). In the absence or inability of the President to act, the Provost becomes the Acting President of the University. The Provost shares with the President conduct of the University's relations with other educational institutions, groups, and associations.

*Schools of the University*—The program of instruction in the University is organized into seven schools: Graduate School of Business, School of Earth Sciences, School of Education, School of Engineering, School of Humanities and Sciences, School of Law, School of Medicine.

The deans of the schools report to the Provost.

## THE ACADEMIC COUNCIL

According to the Articles of Organization of the Faculty, originally adopted by the Board of Trustees in 1904 and revised in 1977, the powers and authority of the faculty are vested in the Academic Council consisting of: (1) the President of the University; (2) tenure-line faculty—Assistant, Associate, and Full Professor; (3) nontenure-line faculty—Associate and Full Professor followed by the parenthetical notation (Teaching), (Performance), (Applied Research), or (Clinical); (4) nontenure-line research faculty—Research Assistant Professor, Associate Professor (Research), Professor (Research); (5) Senior Fellows in specified policy centers and institutes; and (6) certain specified officers of academic administration.

In the Spring of 1968, the Academic Council approved the charter for a Senate to be composed of 55 representatives elected by the Hare System of Proportional Representation and, as ex officio nonvoting members, deans of the academic schools and certain major officers of academic administration.

In the allocation of representation, each school constitutes a major constituency. The Senate may create from time to time other major constituencies as conditions warrant. Approximately one-half of the representatives are allocated to constituencies on the basis of the number of students in those constituencies and the remainder on the basis of the number of members of the Academic Council from each constituency.

## COMMITTEES

Committees of the Academic Council are created by and responsible to the Senate of the Academic Council and are appointed by the Committee on Committees of the Senate. Such committees deal with academic policy matters on which the primary responsibility for action and decision lies with the Academic Council or, by delegation, the Senate. Pursuant to the Senate's acceptance on September 25, 1969 of the *Report from the Committee on Committees on the Committee Structure of the University* and subsequent Senate action, the Senate has established seven standing Committees of the Academic Council, as follows:

- Committee on Academic Appraisal and Achievement (C-AAA)
- Committee on Academic Computing and Information Systems (C-ACIS)
- Committee on Graduate Studies (C-GS)
- Committee on Libraries (C-Lib)
- Committee on Research (C-Res)
- Committee on Undergraduate Admissions and Financial Aid (C-UAFA)
- Committee on Undergraduate Studies (C-US)

The Senate has also created a Planning and Policy Board of the Senate to consider long-range strategic issues of concern to the faculty.

Information regarding charges to these committees is available from the Office of the Academic Secretary to the University.

## ASSOCIATED STUDENTS

Two weeks after the University opened in 1891, students met to form the Associated Students of Stanford University (ASSU). All registered students are members of the Association. They are governed by the ASSU

Constitution and Bylaws, which was last revised and approved by student vote in April 1999, and approved by the President in September 1999.

*Executive*—The President and Vice President serve as the chief executives and representatives for the Association. The Financial Manager acts as business manager of the ASSU, CEO of Stanford Student Enterprises (SSE) and controller of the Students' Organizations Fund, wherein ASSU and student organization funds are deposited.

*Legislative*—There are two legislative bodies, an Undergraduate Senate and a Graduate Student Council, that work together to determine

the Association's budgetary, financial, investment, business, and operating policies. In addition, each entity provides funding for student organizations, recommends student appointments to University committees and advocates on behalf of its constituents. Each body has 15 elected representatives and an elected chair. Both meet regularly to conduct Association business and discuss and act on issues pertinent to student life at Stanford.

