OFFICE OF SPONSORED RESEARCH

BROWN BAG

Small-Group Participation
Open-Forum Discussions
Get Your Questions Answered!

Summary of Discussion Point Takeaways from September 10, 2013 Brown Bag

The New Type of Federal Agency Audits Using Data Analytics: What Does This Mean for Us?

- Federal Auditors are now using data analytics to perform the audits which allows them to extrapolate their findings from statistical sampling.
- This increases the potential for larger dollar value settlements from findings on one or just a few awards.
- The audit targets high risk areas such as clerical and admin salary and non-salary costs, computers, cost transfers and charges in the last 60 days of an award.
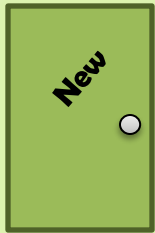
Proposal Types in SeRA and Their Impacts on PTA Configuration

- Discussion was about the differences between the proposal types and what the typical scenarios for each are. Please see the attached as a reference.

Which proposal type should I use?
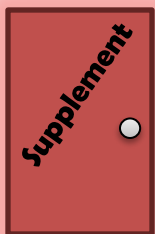## Typical scenarios:

New

- You are submitting a proposal for a new project where a SPO# doesn't already exist.

Resubmission

- The original proposal submission will not be funded because it was rejected by the sponsor or withdrawn by Stanford and you are submitting the proposal again for re-consideration.

NCC/Progress

- You have a partially-funded award and the sponsor requires that you submit an institutionally-endorsed progress report to the sponsor in order to receive funding for the next budget period.

- You have a PHS-sponsored award or subcontract that requires an annual FCOI certification.

Supplement

- You have an existing award and you are requesting more funding to support the existing Scope of Work.

- You have an existing award and you are requesting more funding to support an expansion of the Scope of Work.

Renewal

- You have an award where you want to request the next period of time and money for the next segment of work.