

Chapter 6: Carbon and Biogeochemical Cycles

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1 **Executive Summary**

2
3 The radiative properties of the atmosphere are strongly influenced by the abundance of the long-lived
4 greenhouse gases carbon dioxide (CO₂), methane (CH₄) and nitrous oxide (N₂O). The concentrations of these
5 gases have increased since the beginning of the Industrial Revolution around 1750, by a factor 1.4 for CO₂,
6 2.5 for CH₄, and 1.2 for N₂O. With a very high level of confidence, the concentration increase of these
7 greenhouse gases is caused by anthropogenic emissions. Superimposed on the concentration increase are
8 modulations induced by natural biogeochemical processes.

9
10 Human activities use fossil fuel carbon to produce energy, a process that emits CO₂ to the atmosphere. Fossil
11 fuel emissions were 9.1 PgC in 2011 and increasing, equivalent to over 1% of the atmospheric CO₂ content.
12 In addition to fossil fuel burning, land use change emits CO₂ to the atmosphere contributing about an
13 additional 1 PgC in recent years. The human caused release of CO₂ to the atmosphere is absorbed partly by
14 the ocean, and partly by the land biosphere, where it can be stored in the vegetation and soils. The additional
15 CO₂ entering into the surface ocean causes ocean acidification, while it gets slowly mixed into the deep
16 waters. On centennial to millennial time scales, it will react with ocean carbonate sediments and dissolve
17 them. On geological time scales of 10,000 years or longer, rock weathering will further remove the
18 additional CO₂.

19
20 The global cycle of atmospheric methane (CH₄) is a small loop of the global carbon cycle. But CH₄ is a
21 much more -potent greenhouse gas than CO₂, and interacts with tropospheric photochemistry. Among the
22 global surface emissions of CH₄, one can distinguish natural sources from wetland ecosystems, termites,
23 wildfires, recently re-appraised geological emanations, and anthropogenic sources from natural gas and oil
24 industry, coal mining, landfills, and agriculture (livestock and rice paddies). The main sink of CH₄ is the
25 chemical reaction with OH radicals in the atmosphere, a small sink in the soils and by reactive chlorine in the
26 marine boundary layer.

27
28 In the pre-human world, creation of reactive nitrogen (comprising all nitrogen species other than atmospheric
29 N₂) from atmospheric N₂ occurred primarily through two processes: lightning and biological nitrogen
30 fixation, with the latter being by far the most important. At equilibrium, this reactive N did not accumulate in
31 environmental reservoirs, but was converted back to atmospheric N₂ by microbial denitrification processes.
32 Today, the creation of reactive nitrogen increases every year on a global basis because of anthropogenic
33 activities. The dominant processes are the manufacture of N-fertilizers and NH₃ for industrial feedstocks.
34 However, fossil fuel energy consumption also produces increasing amounts of reactive nitrogen, which is
35 exacerbated by the growing prevalence of biofuels. The addition of more reactive nitrogen to the
36 environment by human activities, also induces more N₂O emissions. These N₂O emissions are caused by
37 microbial nitrification in presence of oxygen, and by microbial denitrification in environments where oxygen
38 is scarce, in soils (cropland soils enriched in fertilizer N, and other soils), as well as in wetlands, rivers,
39 estuaries and the ocean. In addition, there is a small source of N₂O from industry and fossil fuel combustion.

40 *Glacial-interglacial changes in CO₂*

41
42 The 90 ppm increase in atmospheric CO₂ between glacial and interglacial conditions were mainly caused by
43 ocean outgassing of CO₂ in response to physical changes in the ocean, with significant contributions from
44 changes in biological fertilisation by iron deposition and carbonate chemistry. In parallel, carbon storage on
45 land increased from glacial to inter-glacial. Models of reduced complexity can simulate magnitude and
46 phasing of glacial-interglacial CO₂ changes, while complex full-scale coupled carbon cycle climate models
47 currently cannot account for the entire magnitude of the changes in atmospheric CO₂ during glaciations.
48 Uncertainties in reconstructing glacial conditions and deficiencies in understanding some of the primary
49 controls on the partitioning of carbon between surface and deep ocean waters prevent an unambiguous
50 interpretation of low glacial CO₂.

51 *Holocene changes in CO₂ and CH₄*

52
53 Available studies suggest that a combination of natural marine and terrestrial processes, with an additional
54 contribution from late Holocene agricultural activity, is consistent both in magnitude and timing with the
55 reconstructed Holocene CO₂ evolution. The contribution of early anthropogenic land use and land cover
56 change is not sufficient to explain the reconstructed 20 ppm CO₂ increase both with respect to the timing of
57 the emissions and their magnitude. However, it could explain the CH₄ increase during the last 4,000 years.

Last millennium changes in CO₂ and CH₄

Causes for variations of CO₂ during the last Millennium, especially for the CO₂ drop by 5 to 8 ppm around year 1600, have not yet been identified. Responses of the global carbon cycle to climate cooling due to reduced solar irradiance or to volcanic eruptions as well as forest regrowth as a consequence of a war or plague induced reduction in world population have been hypothesized to explain these variations. Climatic and anthropogenic forcing are proposed to explain variability in the atmospheric CH₄ during the last millennium, but the confidence in these mechanisms is low.

CO₂ emissions and their fate since 1750

CO₂ emissions from fossil fuel combustion and cement production released 365 ± 22 PgC to the atmosphere between 1750 and 2010, while deforestation and other land use change activities released an additional 151 ± 51 PgC. Of these 516 ± 56 Pg C, 238 ± 7 PgC have accumulated in the atmosphere resulting into the observed increase of atmospheric CO₂ concentration from 278 ± 3 ppm in 1750 to 389.8 ppm at the end of 2010. The remaining amount of anthropogenic carbon has been redistributed in the various reservoirs of the global carbon cycle, namely in the oceans and in terrestrial ecosystems – the carbon “sinks”. The transfer of CO₂ between the atmosphere and the sea has led to the storage of 154 ± 20 Pg of additional “anthropogenic” C in the ocean since 1750. Terrestrial ecosystems have accumulated 124 ± 59 Pg of anthropogenic C during the same period, more than compensating the cumulative C losses from land use change (mainly deforestation) since 1750. The gain of carbon by terrestrial ecosystems is estimated to take place mainly through the uptake of CO₂ by enhanced photosynthesis at higher CO₂ levels and N deposition, longer growing seasons in high latitudes, and the expansion and recovery of forests from past land use. These processes vary regionally.

The global budget of anthropogenic CO₂ over the past decade (2000–2009)

Average fossil fuel and cement manufacturing emissions were 7.7 ± 0.5 PgC yr⁻¹ in the decade 2000–2009 with an average growth rate of 2.9% yr⁻¹. This rate of increase of fossil fuel emissions is higher than during the 1990’s (1.0% yr⁻¹). Emissions from land use change over the same decade are dominated by tropical deforestation, and are estimated at 0.9 ± 0.5 PgC yr⁻¹, with possibly a small decrease from the 1990s due to lower reported forest loss during this decade. Atmospheric CO₂ concentrations grew by 4.0 ± 0.2 PgC yr⁻¹ in the 2000s. The estimated mean ocean and land CO₂ sinks are 2.3 ± 0.5 PgC yr⁻¹ and 2.3 ± 0.9 PgC yr⁻¹, respectively for 2000–2009.

Historical and recent changes in atmospheric CH₄

Since preindustrial times, the concentration of CH₄ increased by a factor 2.5, from ca. 730 ppb by 1750 to 1790 ppb by the end of 2009. The global growth rate of CH₄ has decreased from 12 ± 2 ppb yr⁻¹ during 1983–1989, down to 2 ± 4 ppb yr⁻¹ during the years 2000–2009, causing nearly-stable annual global CH₄ concentrations between 1999 and 2006. The reasons for this near stabilization are still debated but different lines of evidence include: reduced emissions from the gas industry in the countries of the former Soviet union, reduced global fossil fuel related emissions, compensation between increasing anthropogenic emissions and decreasing wetland emissions, reduced emissions from rice paddies, and changes in OH concentrations. Since 2007, atmospheric CH₄ is increasing again. A possible cause relies on positive trends in tropical wetland emissions with some contribution of northern high latitudes in 2007, due to anomalies of precipitation and temperature in these regions.

The global budget of CH₄ over the past decade: emissions and sinks

Regional CH₄ sources at the surface the globe are biogenic (64–76%; wetlands, ruminants, landfills, waste, termites), thermogenic (19–30%; oil, gas and coal extraction, transportation and use, and natural geological sources), or pyrogenic (4–6%; wildfires, biomass burning and biofuels) in origin. The single most dominant CH₄ source for annual magnitude and interannual variations is CH₄ emissions from natural wetlands, from the tropics and high northern latitudes (range of 174–280 Tg CH₄ yr⁻¹ for 2000–2009). Overall for 2000–2009, from an ensemble of process-based models and inventories, anthropogenic CH₄ sources range between 235 and 338 Tg CH₄ yr⁻¹ including ruminant animals, sewage and waste, fossil fuel related emissions, and rice-paddies agriculture. Anthropogenic emissions are found to be of the same order as natural sources (244–368 Tg CH₄ yr⁻¹). Methane is mainly destroyed in the atmosphere by reaction with OH radicals. The different approaches agree now that OH changes remained within 5% in the period 2000–2009. Atmospheric-based estimates of methane emissions and sinks, using data assimilation techniques, provide more narrow ranges

1 for global emissions (518–550) and global sinks for the years 2000s, with a domination of anthropogenic
2 emissions over natural ones.

3 *Future projections of the coupled carbon-climate system during the 21st century*

4 There is no evidence yet that the carbon cycle - climate feedback is systematically different between the
5 “new” coupled carbon cycle climate models used in the AR5 (called the CMIP5 models) and the “old” AR4
6 models (called the C4MIP models). The new CMIP5 models consistently estimate a positive feedback, i.e.,
7 reduced natural sinks or increased natural CO₂ sources in response to future climate change. In particular,
8 carbon sinks in tropical land ecosystems are vulnerable to climate change. Land-use, land-use change and
9 land management is emerging as a key driver of the future terrestrial carbon cycle, modulating both
10 emissions and sinks, but this human induced process is not consistently represented in coupled carbon cycle
11 climate models, causing a significant source of uncertainty in future projections of atmospheric CO₂ and
12 climate.

13
14 A key update since AR4 is the introduction of nutrient dynamics in some land carbon models, in particular
15 the limitations on plant growth imposed by nitrogen availability. Models including the nitrogen cycle predict
16 a significantly lower uptake of anthropogenic CO₂ in land ecosystems than the C4MIP model mean. These
17 nutrient-enabled models also predict that this effect is partly offset by direct stimulation of growth due to
18 airborne nitrogen deposition, and increased nutrient availability due to warming. In all cases, the net effect is
19 a smaller predicted land sink for a given trajectory of anthropogenic CO₂ emissions.

20 *Future projections of ocean acidification and ocean deoxygenation during the 21st century*

21
22 Mult-model projections show large 21st century decreases in pH and carbonate ion concentrations (CO₃²⁻)
23 throughout the world oceans for high-emissions scenarios. Aragonite undersaturation in surface waters is
24 reached within decades in the Southern Ocean as highlighted in AR4, but new studies show that
25 undersaturation occurs sooner and is more intense in the Arctic. Most recent projections under AR5
26 mitigation scenarios illustrate that limiting the atmospheric CO₂ will greatly influence the level of ocean
27 acidification that will be experienced.

28
29 Multi-model projections show large 21st century decreases in oceanic dissolved oxygen caused by enhanced
30 stratification and warming, and mainly located in the sub-surface mid-latitude oceans. There is however no
31 consensus on the future evolution of the volume of hypoxic and suboxic waters, due to the large uncertainties
32 in potential biogeochemical effects and in the evolution of tropical ocean dynamics.

33 *Future evolution of the coupled carbon-climate system beyond the 21st century*

34
35 Ocean and land ecosystems will continue to respond to climate change and atmospheric CO₂ increases
36 created during the 21st century, even for centuries after any stabilization. Ocean acidification will continue
37 inexorably in the future, with surface waters becoming corrosive to aragonite shells even before the end of
38 the 21st century. Committed land ecosystem carbon cycle changes, i.e., induced changes in CO₂ sources and
39 sinks, will manifest themselves further beyond the end of the 21st century. In addition, there is medium
40 confidence that large areas of permafrost will experience thawing, but uncertainty over the magnitude frozen
41 carbon losses through CO₂ or CH₄ emissions to the atmosphere are large. The thawing of frozen carbon
42 stores constitutes a positive feedback that is missing in current coupled carbon-climate models projections.

43 *Future evolution of natural CH₄ sources*

44
45 Future methane emissions from natural sources will be affected by climate change, but there is limited
46 confidence in quantitative projections of these changes. Models and ecosystem warming experiments show
47 agreement that wetland emissions will increase per unit area in a warmer climate, but wetland areal extent
48 may increase or decrease depending on regional changes in temperature and precipitation affecting wetland
49 hydrology. Estimates of the future release of CH₄ from gas hydrates in response to seafloor warming are
50 poorly constrained, but could lead to significant emissions. However, the global release of CH₄ from
51 hydrates to the atmosphere is likely to be low due to the under-saturated state of the ocean, long-ventilation
52 time of the ocean, and slow propagation of warming through the seafloor.

53 *Carbon dioxide removal methods*

54
55 Several methods have been proposed to remove CO₂ from the atmosphere in the future. They are categorized
56 as “Carbon Dioxide Removal (CDR)” methods under a broad class of proposals to moderate future climate
57

1 change. Examples are afforestation/reforestation, carbon sequestration in soils, biomass energy and carbon
2 capture and storage, ocean fertilization, accelerated weathering and direct air capture of CO₂. The CDR
3 induced extra carbon storage over land would be in organic form, but storage in oceans and geological
4 formations would be in inorganic forms. To have a discernable climate effect, CDR schemes should be able
5 to remove several Petagrams of carbon each year from the atmosphere over several decades in this century.
6

7 *Carbon dioxide removal methods uncertainties*

8 Scientific considerations for evaluating CDR methods include their storage capacity, the permanence of the
9 storage and potential adverse side effects, and the so called “rebound effect”: When carbon is stored in a
10 reservoir, the concentration gradient between the atmosphere and carbon reservoirs is reduced and thereby
11 the subsequent rate of removal of CO₂ from the atmosphere. The effects of CDR methods are in general slow
12 on account of long time scales required by relevant carbon cycle processes, and thus may not present an
13 option for rapid mitigation of climate change during the next century. The maximum physical potentials may
14 not be achievable in real world because of other constraints, such as competing demands for land. However,
15 if implemented on larger scales and for enough time, CDR methods could potentially make a contribution in
16 reducing atmospheric CO₂. The level of scientific knowledge upon which CDR methods can be evaluated is
17 low, and uncertainties are very large.
18

19 *Carbon dioxide removal methods side effects*

20 The side effects from CDR methods are highly uncertain. On land, removal of atmospheric CO₂ would lead
21 to a temporary acceleration in global water cycle. Massive changes in forest area will also have climate
22 consequences by altering the surface energy budget. Over the oceans, enhanced biological production may
23 enhance the utilization of nitrogen and phosphate nutrients, causing a decrease in production "downstream"
24 from fertilized regions. Enhanced ocean biological production could acidify the deep ocean, and lead to
25 expand regions with low oxygen concentration, increased production of N₂O and CH₄, possible disruptions
26 to marine ecosystems and disturbance to regional carbon cycle.
27
28

6.1 Introduction

The radiative properties of the atmosphere are strongly influenced by the abundance of long-lived greenhouse gases (LLGHGs), including carbon dioxide (CO₂), methane (CH₄) and nitrous oxide (N₂O). The concentrations of these gases have substantially increased over the last 200 years caused primarily by direct and indirect anthropogenic emissions (see Chapter 2). LLGHGs represent a part of the atmospheric branches of the natural global biogeochemical cycles, which describe the flows and transformations of the major elements (C, N, P, O, S, etc.) between the different components of the Earth system (atmosphere, ocean, land, lithosphere) by physical, chemical and biological processes. Since these processes are themselves also dependent on the prevailing environment and climate, changes in the latter can also modify the concentrations of the LLGHGs as witnessed, e.g., during the glacial cycles (see Chapter 5).

6.1.1 Global Carbon Cycle Overview

6.1.1.1 CO₂ Cycle

CO₂ represents a component of the atmospheric branch of the global carbon cycle. The global carbon cycle can be viewed as a series of reservoirs of carbon in the Earth system, which are connected by exchange fluxes. Principally one can distinguish two domains in the global carbon cycle: (1) A fast domain with large exchange fluxes and relatively rapid reservoir turnovers, which consists of carbon in the atmosphere, the ocean and on land in living vegetation and soils. Reservoir turnover times, defined as reservoir content divided by the exchange flux, range from a few years for the atmosphere, to decades, centuries up to a few millennia for the various carbon reservoirs of the land vegetation and soil and the various domains in the ocean. (2) A second, slow domain consists of the huge carbon stores in rocks and sediments, which exchange carbon with the fast domain through volcanism, erosion and sediment formation on the sea floor. Geological turnover times of the reservoirs of the slow domain are 10,000 years or longer. On time scales of the anthropogenic interference with the global carbon cycle, the slow domain can be assumed to be at steady state. Exchange fluxes between the slow and the fast domain are relatively small (<1 PgC yr⁻¹) and can be assumed approximately constant in time (volcanism, sedimentation), although erosion and river fluxes may have been modified by changes in land use (Raymond and Cole, 2003).

During the Holocene prior to the industrial revolution, also the fast domain has been close to steady state as witnessed by the relatively small variations of atmospheric CO₂ recorded in ice cores (see Section 6.2). A schematic of the global carbon cycle with focus on the fast domain is shown in Figure 6.1. The numbers represent the estimated current pool sizes in PgC, and the magnitude of the different exchange fluxes in PgC yr⁻¹ averaged over the time period 2000–2009.

[INSERT FIGURE 6.1 HERE]

Figure 6.1: Simplified schematic of the global carbon cycle. Numbers represent reservoir sizes (in PgC), resp. carbon exchange fluxes (in PgC yr⁻¹), representing average conditions over the 2000–2009 time period.

In the atmosphere, CO₂ is the dominant carbon bearing trace gas with a current concentration of approximately 390 ppm (January 2011), which corresponds to 828 PgC. Additional trace gases include carbon monoxide (CO) and (CH₄) (~2 PgC each), and still smaller amounts of hydrocarbons and other chemical compounds.

On land, carbon is contained in organic compounds in vegetation (350–550 PgC, (Prentice et al., 2001) and in soils (1500–2400 PgC, (Batjes, 1996), with an additional carbon amount in wetlands (200–450 PgC) and stored in loess permafrost (~1500 PgC, (Tarnocai et al., 2009). Atmospheric CO₂ is taken up by plants through photosynthesis (123 ± 8 PgC yr⁻¹, (Beer et al., 2010) cycled through plant tissue, detritus and soil carbon and subsequently released back into the atmosphere by autotrophic and heterotrophic respiration and additional disturbance processes (e.g., harvest, or fire) on a multitude of time scales. Photosynthesis by the vegetation in the northern extra tropical hemisphere causes the characteristic seesaw seasonal pattern in atmospheric CO₂ (Figure 6.3).

The oceanic carbon reservoir (~3800 PgC) consists predominantly of dissolved inorganic carbon (DIC): carbonic acid, bicarbonate and carbonate ions, which are tightly coupled via ocean chemistry. Marine organisms, primarily phyto-, zooplankton and other microorganisms, represent a small carbon pool (~3

PgC), which is turned over very rapidly in days to a few weeks. Photosynthesis by phytoplankton in the ocean surface layer extracts inorganic carbon, which subsequently is transformed through the marine food chain and finally respired back to DIC by microbes through heterotrophic respiration. After death of the organisms, some of the organic carbon sinks to deeper waters and is remineralized there to inorganic carbon. This process creates a natural concentration gradient of DIC between deeper layers and the surface ocean. Upwelling deeper waters are therefore supersaturated with carbon and release this in the form of CO₂ back to the atmosphere, which, on annual average is then taken up elsewhere by photosynthesis in nutrient rich ocean areas. This natural cycle, termed “marine biological pump”, is limited primarily by radiation and the prevailing nutrients (phosphate and nitrate). A second natural oceanic carbon cycle, the “marine carbonate pump” is generated by the formation of calcareous shells of certain oceanic microorganisms in the surface ocean which, after sinking to depth are mostly dissolved and transformed back into bicarbonate and calcium ions. Paradoxically, this cycle operates counter the marine biological pump: in the formation of calcareous shells bicarbonate is split into carbonate and dissolved CO₂, while the reverse takes place during shell dissolution at depth. Only a small fraction (~0.2 PgC yr⁻¹) of the carbon exported by biological processes from the surface reaches the sea floor and is stored in sediments for millennia and longer. A third marine carbon cycle exists due to the fact that the solubility of CO₂ is higher in colder than in warmer waters. This “solubility pump” effectively extracts CO₂ from the atmosphere in colder regions and releases it back to the atmosphere in warmer surface waters.

6.1.1.2 CH₄ Cycle

The global cycle of atmospheric methane (CH₄) represents a small loop of the global carbon cycle. However, because of the stronger radiative properties per molecule of CH₄ compared to CO₂ (Chapter 8), its interactions with photochemistry and its particular source-sink processes, it effectively represents an independent biogeochemical cycle, which is only loosely coupled to the carbon cycle.

Sources of CH₄ are either non-biogenic, including (1) natural emissions from geological sources (see pages from geothermal vents and volcanoes) and natural fires, and (2) anthropogenic emissions from fossil fuel mining or incomplete burning of fossil fuels and biomass (Figure 6.2). A second category consists of biogenic sources including (1) the natural emissions from wetlands, oceans and termites as well as (2) the anthropogenic sources from rice agriculture, livestock, landfills and waste treatment. In general, biogenic CH₄ is produced from organic matter under anoxic conditions by fermentation processes of methanogenic microbes (Conrad, 1996). CH₄ is removed from the atmosphere by photochemistry (reaction with the OH radical to CO and subsequently to CO₂). Atmospheric CH₄ is also removed in the stratosphere by the ozone chemistry and at the surface by oxidation in dry soils.

[INSERT FIGURE 6.2 HERE]

Figure 6.2: Schematic of the global cycle of CH₄. Numbers represent fluxes in TgCH₄ yr⁻¹ estimated for the time period 2000–2009 (see Section 6.3.). Green arrows denote natural fluxes, red arrows anthropogenic fluxes.

A very large additional pool (1500–7000 PgC, (Archer, 2007) of CH₄ exists in the form of frozen hydrates deposits in permafrost soils, shallow Arctic ocean sediments and on the slopes of continental shelves. These hydrates of biogenic origin are stable under suitable conditions of low temperature and high pressure. Warming or changes in pressure, e.g., due to lowering sea-level could render some of these hydrates unstable with a potential release of CH₄ to the atmosphere. Emissions from melting hydrates have been documented over the East Siberian shelf (Shakhova et al., 2010), however, the estimated magnitude of these fluxes is relatively minor (5–10 TgC yr⁻¹). It is also not clear if recent Arctic Ocean warming triggered these emissions or if they are a relict of the long-term warming trend since the last glaciation. Most of the hydrates are located at depth in soils and ocean sediments, which will be reached by current anthropogenic warming only on millennial time scales. Hence CH₄ emissions from this hydrate pool will manifest itself as chronic seepages, potentially providing an amplifying effect similar to other terrestrial biogeochemical feedbacks (Archer, 2007).

6.1.2 Anthropogenic Perturbation

6.1.2.1 Carbon Cycle

1 Since the beginning of the industrial revolution, human activities by burning of fossil fuels (coal, oil and gas)
2 have release large amounts of carbon dioxide into the atmosphere (Boden et al., 2011; Rotty, 1983). The
3 amount released can be estimated for the recent decades from statistics of fossil fuel use quite accurately
4 (~5%). Estimates for the time period prior to 1950 are less certain (Rotty, 1983). Total emissions over the
5 industrial period 1750–2008 amount to approximately 340 PgC. This also includes an additional small
6 anthropogenic source of CO₂ caused by the production of cement.

7
8 The second major anthropogenic perturbation of the global carbon cycle has been caused by changes in land
9 use and land management, which are accompanied by changes in land carbon storage. In particular
10 deforestation for procurement of land for agricultural or pasture is inevitably associated with a loss of
11 terrestrial carbon. Estimation of this carbon source to the atmosphere requires knowledge of changes in land
12 area as well as estimates of the carbon stored per area prior and after the land use change transition. In
13 addition, longer term effects, such as degradation of soils after land use conversion have to be taken into
14 account as well. Since preindustrial times, anthropogenic land use changes have been massive: Today,
15 already 35% of all ice-free land areas are used for agriculture and pasture (Foley et al., 2007) and total CO₂
16 emissions from land use changes are estimated at approximately 152 PgC (Houghton, 2010) (see Table 6.2).

17
18 The almost exponentially increasing anthropogenic emissions are clearly the cause of the observed increases
19 in atmospheric CO₂. Since most of the emissions take place in the industrialized countries north of the
20 equator, on annual average stations in the northern hemisphere show slightly higher concentrations than
21 stations in the southern hemisphere, as witnessed by the observations from Mauna Loa, Hawaii, and the
22 South Pole (Figure 6.3). The annually averaged concentration difference between the two stations follows
23 extremely well the estimated difference in emissions between the hemispheres (Fan et al., 1999; Keeling et
24 al., 1989a; Tans et al., 1989). CO₂ from fossil fuels and from the land biosphere is depleted in the ¹³C/¹²C
25 stable isotope ratio, which induces a decreasing trend in the atmospheric ¹³C/¹²C ratio of the CO₂
26 concentration as well as on annual average slightly lower ¹³C/¹²C values in the northern hemisphere (Figure
27 6.3). Because fossil fuel CO₂ is devoid of radiocarbon (¹⁴C), reconstructions of the ¹⁴C/C isotopic ratio of
28 atmospheric CO₂ from tree rings prior to the nuclear weapon tests also show a declining trend (Levin et al.,
29 2010; Stuiver and Quay, 1981). An additional indication of the anthropogenic influence on atmospheric CO₂
30 is provided by the declining atmospheric O₂ content (see Figure 6.3 and Section 6.1.3.2).

31 [INSERT FIGURE 6.3 HERE]

32 **Figure 6.3:** Atmospheric concentration of CO₂, oxygen, ¹³C/¹²C stable isotope ratio in CO₂, CH₄ and N₂O recorded
33 over the last decades at representative stations in the northern (solid lines) and the southern (dashed lines) hemisphere.
34 (a: CO₂ from Mauna Loa and South Pole (Keeling et al., 2005), O₂ from Alert and Cape Grim
35 (<http://scrippsco2.ucsd.edu/> right axes), b: ¹³C/¹²C: Mauna Loa, South Pole (Keeling et al., 2005), c: CH₄ from Mauna
36 Loa and South Pole (Dlugokencky et al., 2010), d: N₂O from Adrigole and Cape Grim (Prinn et al., 2000).

37 6.1.2.2 CH₄ Cycle

38
39 Throughout the Holocene, atmospheric CH₄ levels varied only moderately (up to 50 ppb) around 700 ppb,
40 indicating a close balance between natural emissions and sinks (see Section 6.2.3.2). During this time the
41 dominant natural source of CH₄ were the wetlands and changes in their geographical extent and climate
42 variations have caused the small variations seen in the ice core record, see Figure 6.6 [references]. After
43 1800 CH₄ levels rose almost exponentially similar to CO₂, reaching 1650 ppb in 1985. Since then the
44 atmospheric growth of CH₄ has been declining to nearly zero in the early 2000s. Over the last few years
45 atmospheric CH₄ has been growing again, although it is not clear if this reflects a real trend or natural
46 variability.

47
48 As with CO₂ there is ample evidence that the atmospheric CH₄ rise during the industrial epoch has been
49 caused by anthropogenic activities. The massive expansion of cattle grazing [number and reference needed],
50 the emissions from fossil fuel mining and the expansion of rice agriculture are the dominant anthropogenic
51 sources which contribute presently more than 75% of the total emissions. The fossil contribution can be
52 estimated from measurements of ¹⁴C in CH₄ (Etiope et al., 2008; Lassey et al., 2007; Wahlen et al., 1989). It
53 can also be estimated from ice core measurements of ethane (C₂H₆), which is dominantly emitted from fossil
54 fuel mining in relatively well known amounts relative to CH₄ (Aydin et al., 2011a). The observed north-
55 south gradient in CH₄ provides a powerful indication of the anthropogenic emissions, which are
56 predominantly located in the northern hemisphere (see Figure 6.3 and Figure 6.10).

6.1.3 Connections Between Carbon and Other Biogeochemical Cycles

6.1.3.1 Global Nitrogen Cycle including N_2O

In most terrestrial and oceanic ecosystems, reactive nitrogen (N_r , comprising all nitrogen species other than molecular atmospheric N_2 , such as NH_3 and NO_x) constitutes a limiting element for growth, hence the cycles of nitrogen and carbon are closely coupled. In the pre-human world, creation of N_r from N_2 occurred primarily through two processes, lightning and biological nitrogen fixation (BNF). N_r did not accumulate in environmental reservoirs because microbial N fixation and denitrification processes were approximately equal (Ayres et al., 1994). This is no longer the case. N_r is now accumulating in the environment on all spatial scales—local, regional, and global. During the last few decades, production of N_r by humans has been greater than production from all natural terrestrial systems (Galloway et al., 1995). The global increase in N_r production has three main causes: (1) widespread cultivation of legumes, rice, and other crops that promote conversion of N_2 to organic N through BNF; (2) combustion of fossil fuels, which converts both atmospheric N_2 and fossil N to reactive NO_x ; and (3) the Haber-Bosch process, which converts nonreactive N_2 to reactive NH_3 to sustain food production and some industrial activities.

On a global basis, the anthropogenic sources of new N_r formed from N_2 are about equal to the N_r formed by BNF in continents and the ocean. For continents, anthropogenic sources are about twice that of natural sources (Figure 6.4). The emission of N_r to the atmosphere by NH_3 and NO_x emissions is driven by agriculture and fossil fuel combustion, respectively. There is a net transfer of N_r from the continental atmosphere to the marine atmosphere, resulting in N deposition to the ocean that is greater than by riverine discharge. The connection between the nitrogen and carbon cycles are discussed in Box 6.1.

[START BOX 6.1 HERE]

Box 6.1: Nitrogen Cycle and Nitrogen Carbon Cycle Feedbacks

In the pre-human world, biological nitrogen fixation was the dominant means by which new reactive nitrogen (N_r , defined as all N species except N_2) was made available to living organisms. The total amount of N_r that circulated naturally among various compartments of the atmosphere and the biosphere of the Earth was quite small. Thus, the biodiversity and intricate webs of relationships found in nature evolved as a result of intensive competition among many different life forms – most of them evolving under N-limited conditions. During the last 18th and 19th centuries, human involvement with N began with discovery of N as an element, the discovery of fundamental microbial processes that transform N_r from one species to another (e.g., biological nitrogen fixation, nitrification, denitrification), and importance of N_r as a nutrient. It was this latter discovery that led to the development of the Haber-Bosch process (synthesis of NH_3 from its elements) in the early 20th century. By the end of the 20th century and continuing into the 21st century, human creation of N_r (Haber-Bosch process, fossil fuel combustion, legume cultivation) dominated N_r creation relative to natural processes (biological nitrogen fixation, lightning) on a global basis. This dominance has profound impacts on human health, ecosystem health and the radiation balance of the earth.

The time-course of N_r production from 1850 to 2005 illustrates both the rate and magnitude of change (Box 6.1, Figure 1). By about the mid-1970s, human systems became more important than natural systems in creating N_r . Currently food production accounts for ~75% of the N_r created by humans, with fossil fuel combustion and industrial uses accounting for ~13% each.

[INSERT BOX 6.1, FIGURE 1 HERE]

Box 6.1, Figure 1: World population (blue line) and reactive creation by the fossil fuel burning (orange line), from legumes (red line) and by the Haber-Bosch process (green line), over the last 160 years.

Of all the questions that could be asked about this, three that are the most relevant to this document are: what is the fate of the anthropogenic N_r ?; what are the impacts on humans and ecosystems?; what are the connections to climate change?

1 With respect to its fate, of this Nr is released to the environment—combustion sources immediately, food
2 production sources within about a year, and industrial sources immediately to years, depending on the use.
3 Once released, the Nr is transported, transformed, and stored. Large amounts are injected into the
4 atmosphere and to coastal systems (Figure 6.1.3). A portion is converted back to N₂ but this amount is
5 uncertain and is one of the most critical questions concerning the human influence on the nitrogen cycle
6 today.

7
8 With respect to impacts, they are both positive and negative. The overwhelming positive response is the
9 production of food. The Haber-Bosch process is responsible for providing the nitrogen that helps produce the
10 food for most of the world. However, since most of the Nr created by humans enters that unmanaged
11 environment, the critical question is what are the consequences? They range from local, regional and global
12 and include and increase in tropospheric ozone and atmospheric particles, acidification of the atmosphere,
13 soils and fresh waters, over fertilization of unmanaged forests, grasslands, coastal waters, open ocean,
14 decrease in stratospheric ozone, and direct and indirect contributions to climate change. All of these can have
15 negative impacts on ecosystems and people. A unique characteristic of the impacts of Nr is that the impacts
16 are linked through nitrogen's biogeochemical cycle. Referred to as the 'nitrogen-cascade', essentially once a
17 molecule of N₂ is split and the nitrogen atoms become reactive (e.g., NH₃, NO_x), any given nitrogen atom
18 can contribute to all of the impacts noted above in sequence (Box 6.1, Figure 2). The only way of
19 terminating the N-cascade is to convert Nr back to N₂.

20
21 **[INSERT BOX 6.1, FIGURE 2 HERE]**

22 **Box 6.1, Figure 2:** Nitrogen cycle interactions with terrestrial and aquatic ecosystems.

23
24 The third question, direct and indirect impacts of Nr on climate change are summarize here and detailed in
25 other areas of the report.

26
27 The most important direct links between Nr and climate include 1) N₂O formation, 2) ground level O₃
28 formation from NO_x, and 3) aerosol formation affecting radiative forcing. The first two have warming
29 effects; the last can have a warming or a cooling effect. The most important indirect links between Nr and
30 climate include: 1) alteration of the biospheric CO₂ sink due to increased supply of Nr, 2) excess Nr
31 deposition either increasing or reducing ecosystem productivity and so C-sequestration, 3) changes in
32 ecosystem CH₄ production and consumption due to Nr deposition to wetlands, 4) changes in CH₄ production
33 and emission from ruminants, 5) O₃ formed in the troposphere as a result of NO_x and VOC emissions
34 reduces plant productivity, and therefore reduces CO₂ uptake from the atmosphere, 6) O₃ effects on
35 atmospheric OH radical concentrations and thus atmospheric lifetime of atmospheric CH₄ (Erisman et al.,
36 2011)

37
38 It is important to note, that because of the nitrogen cascade, the creation of any molecule of Nr from N₂, at
39 any location, has the potential to climate change, either directly or indirectly. This potential exists until the
40 Nr is converted back to N₂.

41
42 **[END BOX 6.1 HERE]**

43
44
45 **[INSERT FIGURE 6.4 HERE]**

46 **Figure 6.4:** Global nitrogen cycle. In the top panel, the upper part shows the flows of reactive Nitrogen species, the
47 lower part the processes by which atmospheric molecular nitrogen is converted to reactive nitrogen species. The bottom
48 panel shows a schematic of the global cycle of N₂O. Blue arrows are natural, red arrows anthropogenic fluxes, and
49 yellow arrows represent fluxes with an anthropogenic and natural component. BNF: biological nitrogen fixation. Units:
50 TgN yr⁻¹.

51
52 *6.1.3.2 Oxygen Cycle*

53
54 The cycle of atmospheric molecular oxygen is tightly coupled to the fast component of the global carbon
55 cycle. The burning of fossil fuels requires oxygen with clearly defined amounts depending on fuel type. As a
56 consequence of the anthropogenic perturbation, atmospheric O₂ levels are decreasing, which has been
57 observed over the last 20 years by accurate O₂ measurements (Keeling and Shertz, 1992; Manning and
58 Keeling, 2006). This provides independent evidence that the rising CO₂ is not caused by volcanic emissions

1 or by a warming ocean, but must be due to an oxidation process. The oxygen measurements furthermore also
2 show the north-south concentration gradient as expected from the stronger fossil fuel consumption in the
3 northern hemisphere (Keeling et al., 1996).

4
5 On land, during photosynthesis and respiration, O₂ and CO₂ are exchanged in well-defined stoichiometric
6 ratios. However, with respect to exchanges with the ocean O₂ behaves quite differently from CO₂, since
7 compared to the atmosphere only a small amount of O₂ is dissolved in the ocean. This is which is different
8 with CO₂, which contains a much larger ocean inventory due to the carbonate chemistry. This different
9 behaviour of the two gases with respect to ocean exchange provides a powerful method to independently
10 assess the partitioning of the uptake of CO₂ by land and oceans (Manning and Keeling, 2006).

11 **6.1.4 Outline of Chapter 6**

12
13
14 The material in the following sections is organized as follows: Section 6.2 assesses the present understanding
15 of the mechanisms responsible for the variations of the three major biogeochemical trace gases CO₂, CH₄
16 and N₂O in the past, emphasizing glacial-interglacial changes, variations during the Holocene since the last
17 glaciation and their variability over the last millennium. Section 6.3 focuses on the fossil fuel era since 1750
18 addressing the major source and sink processes and their variability in space and time. This information is
19 then used to critically evaluate the simulation models of the biogeochemical cycles, including their
20 sensitivity to changes in atmospheric composition and climate. Section 6.4 assesses future projections of
21 carbon and other biogeochemical cycles computed with off-line and coupled climate-carbon cycle models.
22 This includes a quantitative assessment of sign and magnitude of the various feedback mechanisms as
23 represented in current models, as well as additional processes that might become important in the future, but
24 which are not yet fully described in current biogeochemical models. The final Section 6.5 addresses the
25 effects of deliberate carbon dioxide removal methods and solar radiation management on the carbon cycle.

26 **6.2 Variations before the Fossil Fuel Era**

27 **6.2.1 Introduction. Why are past GHG changes relevant for the future climate?**

28
29 Numerous mechanisms responsible for atmospheric GHG changes in the past will operate in the future
30 climate as well. Past archives of GHG and climate changes provide therefore powerful constraints for
31 biogeochemical models applied for projections of GHG concentration in the future.

32 **6.2.2 Glacial – Interglacial GHG Changes**

33 **6.2.2.1 Key Processes Contributing to the Low Glacial GHG Concentrations**

34 **6.2.2.1.1 Main glacial-interglacial CO₂ drivers**

35
36
37 Ice cores recovered from the Antarctic ice cap reveal that the concentration of atmospheric CO₂ at the height
38 of the Last Glacial Maximum (LGM) around 20 thousand years ago (20 ka) was about one third lower than
39 during the subsequent interglacial (Holocene) period (Delmas et al., 1980; Monnin et al., 2001; Neftel et al.,
40 1982). Longer (to 800 ka) records exhibit similar features, with CO₂ values of ~180–200 ppm during glacial
41 intervals (Luthi et al., 2008), although prior to around 400 ka, interglacial CO₂ values were 240–260 ppm
42 rather than 270–290 ppm subsequently.

43
44
45 A variety of proxy reconstructions as well as conceptual, 'box', Intermediate Complexity (EMIC), but also
46 complex Earth System (ESM) Models have been used to test hypotheses for the cause of lower LGM
47 atmospheric CO₂ concentrations. The ways in which the global carbon cycle operated at the LGM and their
48 relative implications for CO₂ can be broken down by individual drivers (Figure 6.5). It should be recognized
49 however that this breaking down is somewhat artificial, as many of the components may combine non-
50 linearly (Bouttes et al., 2011), preventing a simple linear sum of the component parts. Only well-established
51 individual drivers are quantified (Figure 6.5), and discussed below.

52
53
54 *Reduced terrestrial carbon storage.* The d13C record of ocean waters as preserved in benthic foraminiferal
55 shells has been used to infer that the terrestrial carbon storage was substantially reduced in glacial times.
56 Estimates of land carbon loss at the LGM range from a few hundreds to 1000 PgC (e.g., Bird et al., 1996).

1 Recent dynamic vegetation model simulations tend to favor values at the higher end (~800 PgC) (Kaplan et
2 al., 2002; Otto et al., 2002) and indicate a larger role for the physiological effects of low CO₂ on
3 photosynthesis at the LGM than that of climate-induced biome shifts (Prentice and Harrison, 2009).

4
5 *Lower ocean temperatures.* Reconstructions of sea-surface temperatures during the LGM suggest that the
6 global ocean was on average 3–5°C cooler compared to the Holocene). Because the solubility of CO₂ scales
7 inversely with temperature (Zeebe and Wolf-Gladrow, 2001), a colder glacial ocean will hold more carbon.
8 However, uncertainties in reconstructing of pattern of ocean temperature change, particularly in the tropics
9 (Archer et al., 2000; Waelbroeck et al., 2009), together with problems in transforming this pattern to the
10 resolution of (particularly box) models in light of the non-linear nature of the CO₂-temperature relationship
11 (Ridgwell, 2001), creates a ~24 pm spread in CO₂ estimates, although it can be noted that most 3-D OGCM
12 projections cluster more tightly.

13
14 *Lower global sea level, increased ocean salinity and alkalinity.* Changes in ocean volume also induces a well
15 understood effect on CO₂ solubility, with LGM sea-level about ~120 m lower than today. This impacts the
16 ocean carbon cycle in three distinct ways. First, higher LGM ocean surface salinity induces a ~6 ppm
17 decrease in atmospheric CO₂ (Bopp et al., 2003). Second, total dissolved carbon and alkalinity become more
18 concentrated in equal proportions, which has the effect of driving atmospheric CO₂ higher. Finally,
19 decreasing the ambient hydrostatic pressure at the ocean floor with lower sea-level promotes the preservation
20 of CaCO₃ in sediments and hence on the longer-term (~2–8 kyr (Archer et al., 2000; Ridgwell and
21 Hargreaves, 2007)) provides an additional alkalinity influence on CO₂.

22
23 *Ocean circulation.* Potential changes in global circulation that promotes the retention of dissolved carbon in
24 the deep ocean have increasingly become the focus on recent work on the glacial-interglacial CO₂ problem.
25 That ocean circulation likely plays a key role in low glacial CO₂ is exemplified by the tight coupling between
26 deep ocean temperatures and atmospheric CO₂ (Shackleton, 2000). Evidence from bore hole sites (Adkins et
27 al., 2002) and from surface ocean data in polar regions (Jaccard et al., 2005) show that the glacial ocean was
28 highly stratified compared to interglacial conditions and may have hold a larger store of carbon during
29 glacial times. However, conflicting hypotheses exist on the drivers of increasing ocean stratification, e.g.,
30 northward shift and weakening of SH westerly winds (Toggweiler et al., 2006), reduced air-sea buoyancy
31 fluxes (Watson and Garabato, 2006), massive brine rejections (Bouttes et al., 2011). Ocean carbon cycles
32 models have projected circulation-induced CO₂ changes that range from 3 ppm (Bopp et al., 2003) to 57 ppm
33 (Toggweiler, 1999).

34
35 *Aeolian iron fertilization.* Both marine and terrestrial sediment records indicate higher rates of deposition of
36 dust and hence Fe supply at the LGM (Mahowald et al., 2006), implying Fe fertilization of marine
37 productivity and lower glacial CO₂ (Martin, 1990). However, despite models generally employ similar
38 reconstructions of glacial dust fluxes (i.e., Mahowald et al., 1999; Mahowald et al., 2006), there is
39 considerable model-model disagreement in the associated CO₂ change. OGCM-based Fe cycle models tend
40 to cluster at the lower end (e.g., Archer et al., 2000; Bopp et al., 2003), with box models (e.g., Watson et al.,
41 2000) or EMICs (e.g., Brovkin et al., 2007) at the higher end although not always (Parekh et al., 2008). An
42 alternative view comes from inferences drawn from the timing and magnitude of changes in dust and CO₂ in
43 ice cores (Rothlisberger et al., 2004), assigning a 20 ppm limit for Southern Ocean Fe fertilization, and 8
44 ppm in the North Pacific.

45
46 *Increased sea-ice extent.* A long-standing hypothesis is of increased LGM sea-ice cover acting as a barrier to
47 air-sea gas exchange and hence reduces the 'leakage' of CO₂ during winter months to the glacial atmosphere
48 (Broecker and Peng, 1986). However, concurrent changes in ocean circulation and biological productivity
49 complicate the estimation of the CO₂ impact (Kurahashi-Nakamura et al., 2007). Despite this, excepting an
50 idealized box model projection (Stephens and Keeling, 2000), models are relative consistent in projecting a
51 small (increase) in CO₂.

52
53 *Other glacial CO₂ drivers.* A number of further aspects of altered climate and biogeochemistry at the LGM
54 are also likely to have affected atmospheric CO₂. Reduced bacterial metabolic rates (Matsumoto, 2007),
55 reduction in coral reefs growth and other forms of shallow water CaCO₃ accumulation (Berger, 1982),
56 increase glacial supply of dissolved Si (required by diatoms to form frustules) (Harrison, 2000), changes in
57 net global weathering rates have (Berner, 1992), but also 'carbonate compensation' (Ridgwell and Zeebe,

2005), 'silica leakage' (Matsumoto et al., 2002), and changes to the CaCO₃ to organic matter 'rain ratio' to the sediments (Archer and Maierreimer, 1994), will act to amplify or diminish the CO₂ effect of many of the above drivers.

Summary. All the major glacial CO₂ drivers (Figure 6.5) are likely to have already been identified. However, significant uncertainties in reconstructing glacial boundary conditions plus deficiencies in fully understanding some of the primary controls on carbon storage in the ocean and in the land exist. This uncertainty prevents an unambiguous interpretation of the causes of low glacial CO₂. Assessment of the balance of mechanisms at prior deglacial transitions or glacial inceptions will likely provide additional insights into the drivers of low glacial CO₂. As iron cycling (Parekh et al., 2006) and organic matter remineralization (Matsumoto, 2007) are likely sensitive to climate change in general, improved understanding drawn from the glacial-interglacial cycles will help constrain the magnitude of future ocean feedbacks on atmospheric CO₂.

[INSERT FIGURE 6.5 HERE]

Figure 6.5: Carbon dioxide concentrations changes from late Holocene to the LGM (left) and from late Holocene to early/mid Holocene (7 ka) (right). Filled black circles represent individual model-based estimates for individual ocean, land, geological or human drivers. Solid color bars represent expert judgment (to the nearest 5 ppm) rather than a formal statistical average. References for the different model assessment used for the glacial drivers are as per (Kohfeld and Ridgwell, 2009) with excluded model projections in grey. References for the different model assessment used for the holocene drivers are 1. (Joos et al., 2004), 2. (Brovkin et al., 2008), 3. (Kleinen et al., 2010), 4. (Broecker et al., 1999), 5. (Ridgwell et al., 2003), 6. (Brovkin et al., 2002), 7. Shurgers et al. (2006), 8. (Kleinen et al., 2010), 9. (Yu, 2011), 10. (Kleinen et al., 2011), 11. (Ruddiman, 2003, 2007), 12. (Strassmann et al., 2008), 13. (Olofsson and Hickler, 2008), 14. (Pongratz et al., 2009), 15. (Kaplan et al., 2011), 16. (Lemmen, 2009), 17. (Stocker et al., 2011) and 18. (Roth and Joos, submitted).

6.2.2.1.2 Glacial CH₄ and N₂O

Polar ice core analyses have shown that the atmospheric mixing ratios of CH₄ and N₂O were much lower under glacial conditions compared with interglacial ones. Their reconstructed history encompasses the last 800,000 years (Loulergue et al., 2008; Schilt et al., 2010a). Glacial CH₄ mixing ratios are in the 350–400 ppbv range during the 8 glacial maxima covered so far. This is about half the levels observed during interglacial conditions. The Last Glacial Maximum N₂O mixing ratio amounts to 202 ± 8 ppbv, compared to the Early Holocene levels of about 270 ppbv (Fluckiger et al., 1999).

CH₄ and N₂O isotopic ratio measurements in polar ice provide additional constraints on the mechanisms responsible for their temporal changes. N₂O isotopes have only been used to investigate the causes of in-situ production in ice (Sowers, 2001). δD and ¹⁴C of CH₄ have shown that catastrophic methane hydrate degassing events were unlikely causing last deglaciation CH₄ increases (Bock et al., 2010; Petrenko et al., 2009; Sowers, 2006). δ¹³C and δD of CH₄ combined with inter-polar gradient changes suggest that most of the methane doubling during the last deglaciation results from the development of boreal wetlands, a stronger source from tropical wetlands and an increase residence time due to a reduced oxidative capacity of the atmosphere (Fischer et al., 2008). The biomass burning source would have little changed on the same time scale, whereas this CH₄ source experienced large fluctuations over the last millennium (Mischler et al., 2009; Wang et al., 2010b).

Several modeling studies (Kaplan et al., 2006; Valdes et al., 2005) have addressed the mechanisms behind methane variations on glacial-interglacial time-scales. Tropical temperature influencing tropical wetlands and global vegetation are found to be the dominant controls for global CH₄ emissions and atmospheric concentrations (Konijnendijk et al., 2011).

6.2.2.2 Processes Controlling Changes in GHG During Abrupt Glacial Events

Greenhouse gases (CO₂, CH₄ and N₂O) reveal sharp millennial-scale changes in the course of glaciations, associated with the so-called Dansgaard/Oeschger (DO) climatic events. But their amplitude, shape and timing differ. CO₂ concentrations varied by about 20 ppm, increasing during cold (stadial) events in Greenland, attaining a maximum around the time of the rapid warming in Greenland, which lasted about 1000 years and decreased afterward (Ahn and Brook, 2008). CO₂ co-varied roughly with Antarctic temperatures. Methane and N₂O showed rapid transitions following Greenland temperatures with little or no

1 lag. DO CH₄ changes are in the 50–200 ppbv range (Fluckiger et al., 2004) and are in phase with Greenland
2 warmings at decadal time scale (Huber et al., 2006). DO N₂O fluctuations can reach glacial-interglacial
3 amplitudes, and for the warmest and longest DO events, N₂O starts to increase several centuries before
4 Greenland temperature and CH₄ (Schilt et al., 2010b).

5
6 However, conflicting hypotheses exist on the drivers of these changes. Some model simulations suggest that
7 both CO₂ and N₂O fluctuations can be explained by changes in the Atlantic meridional overturning ocean
8 circulation (Schmittner and Galbraith, 2008), CO₂ variations being mainly caused by changes in the
9 efficiency of the biological pump which affects deep ocean carbon storage (Bouttes et al., 2011), whereas
10 N₂O variations would be due to changes in productivity and oxygen concentrations in the shallow subsurface
11 ocean (Jaccard and Galbraith, accepted). Other studies however suggest that CO₂ fluctuations can be
12 explained by changes in the land carbon storage (Bozbiyik et al., 2011; Menviel et al., 2008), and that
13 terrestrial processes would have to explain most of N₂O changes (Goldstein et al., 2003).

14 15 **6.2.3 GHG Changes over the Holocene (last 11,000 years)**

16 17 **6.2.3.1 Understanding Processes Underlying Holocene CO₂ Changes**

18
19 The evolution of the well-mixed atmospheric GHGs (carbon dioxide, methane, and N₂O) during the
20 Holocene, a recent interglacial period continuing for about 11 ka, is known with high certainty from ice core
21 analyses (Figure 6.6). A decrease in atmospheric CO₂ of about 7 ppm from 11 to 8 ka was followed by a 20
22 ppm CO₂ increase until the onset of the industrial period (Elsig et al., 2009; Indermuhle et al., 1999; Monnin
23 et al., 2004). These variations in atmospheric CO₂ over the eleven thousands of years preceding the onset of
24 industrialization are more than a factor of five smaller than the CO₂ increase over the past 200 years. Despite
25 of small scale, the mechanisms of interglacial CO₂ changes are essential for understanding a role of natural
26 forcings in CO₂ dynamics.

27 28 **[INSERT FIGURE 6.6 HERE]**

29 **Figure 6.6:** Variations of CO₂, CH₄, and N₂O concentrations during the Holocene. The data are for Antarctic ice cores
30 (EPICA Dome C (Fluckiger et al., 2002; Monnin et al., 2004) (triangles); Law Dome, (MacFarling-Meure et al., 2006)
31 circles), and for Greenland ice core (GRIP (Blunier et al., 1995), squares). Lines are for 200-year moving average.

32
33 Since the IPCC AR4 release, mechanisms underlying a 20 ppm CO₂ increase between 7 ka and the industrial
34 period were a matter of intensive debate. During 3 interglacial periods prior to the Holocene, CO₂ was not
35 increasing, and this led to a hypothesis that anthropogenic CO₂ emissions associated with landuse were a
36 main driver of the Holocene CO₂ changes (Ruddiman, 2003, 2007). Recent ice core CO₂ data (Siegenthaler
37 et al., 2005b) reveals that during MIS11, an interglacial period about 400-420 ka, CO₂ was increasing similar
38 to the Holocene period. Drivers of atmospheric CO₂ changes during the Holocene are divided into oceanic
39 and land-based processes (Figure 6.5).

40 41 **6.2.3.1.1 Oceanic processes**

42 With high certainty, the change in oceanic carbonate chemistry state explains the CO₂ growth through the
43 Holocene. Proposed mechanisms include: (i) a shift of oceanic carbonate sedimentation from a deep sea to
44 the shallow waters and excessive accumulation of CaCO₃ on shelves including coral reef growth (Kleinen et
45 al., 2010; Ridgwell et al., 2003); (ii) a carbonate compensation to release of carbon from the deep ocean
46 during deglaciation and buildup of terrestrial biosphere in the early Holocene (Broecker et al., 1999; Elsig et
47 al., 2009; Joos et al., 2004; Menviel and Joos, submitted). The proxies for the carbonate ion concentration in
48 the deep sea (Yu et al., 2010) and increased dissolution of carbonate sediments in the deep tropical Pacific
49 (Anderson et al., 2008) support the hypothesis of oceanic source of carbon for the atmosphere during the
50 Holocene. Changes in SSTs over the last 7 ka (Kim et al., 2004) could drive atmospheric CO₂ slightly lower
51 (Brovkin et al., 2008) or higher (Menviel and Joos, submitted) but with high certainty SST-driven CO₂
52 change is a minor contribution to the Holocene CO₂ growth.

53 54 **6.2.3.1.2 Terrestrial processes: ice-core evidence**

55 δ^{13} of atmospheric CO₂ trapped in the ice cores is a reliable proxy for changes in terrestrial biospheric carbon
56 pools during interglacial periods. The inverse calculations yield an increase in terrestrial carbon stocks of

1 about 300 GtC between 11 and 5 ka BP and small overall terrestrial changes in the millennia thereafter (Elsig
2 et al., 2009).

3 4 *6.2.3.1.3 Natural terrestrial processes*

5 After 7 ka, increasing atmospheric CO₂ concentrations stimulated gross primary productivity of terrestrial
6 vegetation resulting in increases in carbon storage. Modelling studies suggest that CO₂ fertilization represent
7 substantial land sink of carbon (>100 GtC) on Holocene timescales (Joos et al., 2004; Kaplan et al., 2002;
8 Kleinen et al., 2010). Orbitally forced climate variability, including the intensification and decline of the
9 Afro-Asian monsoon and the mid-Holocene warming of the high-latitudes of the northern hemisphere
10 resulted in continental-scale changes in vegetation distribution and terrestrial carbon. These changes are
11 expected to have been small (Brovkin et al., 2002; Schurgers et al., 2006). The Holocene evolution of carbon
12 in peatlands has been reconstructed globally, suggesting a land carbon uptake of several hundred GtC,
13 although uncertainties remain and recent estimates of the current carbon stock in boreal peats differ by about
14 a factor of two (Kleinen et al., 2011; Tarnocai et al., 2009; Yu, 2011). Changes in the rate of carbon
15 emissions from volcanoes (Huybers and Langmuir, 2009; Roth and Joos, submitted) is another uncertainty in
16 the Holocene carbon budget.

17 18 *6.2.3.1.4 Landuse*

19 Global syntheses of the observational paleoecological and archaeological records for Holocene landuse are
20 not currently available (Gaillard et al., 2010). Available global reconstructions of anthropogenic land use and
21 land cover change (LULCC) prior to the last millennium currently extrapolate the relationship of the land
22 cover change and population density from a single region and specific time period to the entire globe and
23 Holocene (Kaplan et al., 2011) or extrapolate the changes of per-capita land requirements occurring with
24 agro-technological progress over time from single regions to changes in all regions of the world (Goldewijk
25 et al., 2011). Because of regional differences in land use systems and uncertainty in historical population
26 estimates the confidence in spatially explicit LULCC reconstructions is low.

27
28 Some recent studies focused on reconstructing LULCC while making very simple assumptions regarding
29 patterns of both terrestrial carbon storage and the effect of land use on carbon (Lemmen, 2009; Olofsson and
30 Hickler, 2008), while others relied on more sophisticated terrestrial biosphere models to simulate carbon
31 storage and loss (Pongratz et al., 2009; Stocker et al., 2011; Strassmann et al., 2008). The conclusion of the
32 above studies was that cumulative Holocene carbon emissions as a result of preindustrial LULCC were not
33 large enough (~50–150 Pg) to have had an influence on Holocene CO₂ concentrations. Recent study by
34 (Kaplan et al., 2011) suggested that these attempts represented significant underestimates and that more than
35 350 Pg C could have been released as a result of LULCC between 8 ka and AD 1850.

36 37 *6.2.3.1.5 Human impacts on Holocene biomass burning*

38 In addition to clearing of forests for crop and pasture, biomass burning by preindustrial humans has been
39 hypothesized as sources of both CO₂ and CH₄ over the Holocene. Studies that synthesized charcoal records
40 from lake and bog sediments initially suggested that there could be large-scale correlations between burning
41 activity and atmospheric CO₂ (Carcaillet et al., 2002), though this hypothesis was largely discounted by two
42 later global syntheses that used similar methods and concluded that fire activity followed climate variability
43 (Marlon et al., 2008; Power et al., 2008). In contrast, regional syntheses of charcoal and other paleo-evidence
44 of biomass burning suggest fire is closely related to the dynamics of human societies (McWethy et al., 2009;
45 Nevle and Bird, 2008; Nevle et al., 2011).

46 47 *6.2.3.2 Holocene CH₄ and N₂O Drivers*

48
49 The Holocene atmospheric CH₄ dynamics has a minimum around 5 ka and a later rise by about 100 ppb
50 (Figure 6.6). Major Holocene agricultural developments, in particular wet rice cultivation and widespread
51 domestication of ruminants, have been seen as an explanation for the Late Holocene CH₄ rise (Ruddiman,
52 2007). The most recent syntheses of archaeological data point to an increasing anthropogenic CH₄ source
53 from domesticated ruminants after 5 ka and from rice cultivation after 4 ka (Fuller et al., 2011; Ruddiman,
54 2007). The modelling support for either natural or anthropogenic explanation of the Late Holocene CH₄ rise
55 is equivocal. A study (Kaplan et al., 2006) suggested that a part of this rise could be explained by
56 anthropogenic sources. Wetland CH₄ models driven by simulated climate changes are able (Singarayer et al.,
57 2011) or unable (Konijnendijk et al., 2011) to simulate Late Holocene methane rise. Additionally to the

1 wetland CH₄ source, long-term trends in biomass burning have been invoked to explain the CH₄ record
2 (Ferretti et al., 2005; Marlon et al., 2008).

3
4 No studies are known about mechanisms of Holocene N₂O changes.

6.2.4 GHG Changes over the Last Millennium

6.2.4.1 Mechanisms which led to the CO₂ drop around year 1600

5
6
7
8 High resolution records of ice cores reveals that atmospheric CO₂ during the last millennium varied with a
9 drop in atmospheric CO₂ concentration by about 7-10 ppm around year 1600 and a CO₂ recovery during the
10 17th century (Ahn et al., submitted), in progress; (Siegenthaler et al., 2005a; Trudinger et al., 2002), **Figure**
11 **6.7**). The CO₂ decrease during the 17th century was used to evaluate a strength of atmospheric CO₂
12 sensitivity to changes in global temperature (Cox and Jones, 2008; Frank et al., 2010; Scheffer et al., 2006)
13 which depends on the choice of global temperature reconstructions.

[INSERT FIGURE 6.7 HERE]

14
15
16
17 **Figure 6.7:** Variations of CO₂, CH₄, and N₂O during 900–1900 AD. The data are for Antarctic ice cores: (Etheridge et
18 al., 1996; MacFarling-Meure et al., 2006), circles; West Antractic Ice Sheet (Ahn et al., submitted; Mitchell et al.,
19 2011), triangles; Dronning Maud Land (Siegenthaler et al., 2005a), squares. Lines are for 30-year moving average.

20
21 One of possible explanations of atmospheric CO₂ drop around yr 1600 is a response of carbon cycle to the
22 cooling caused by reduced solar irradiance during Maunder minimum. However, simulations of EMICs
23 (Brovkin et al., 2004; Gerber et al., 2003) and comprehensive ESMs (Jungclaus et al., 2010) suggest that this
24 forcing is not sufficient to obtain a CO₂ drop of observed amplitude. The CO₂ drop could be also caused by a
25 climate cooling in response to volcanic eruptions. Another hypothesis calls for a link between CO₂ and
26 epidemics and wars and associated reforestation of abandoned lands, especially in central America. Here,
27 results are scenario dependent. Simulations by (Pongratz et al., 2011) does not show any drop in CO₂, while
28 results by (Kaplan et al., 2011) suggest a considerable increase in land carbon storage during late 16th - early
29 17th century. Low resolution of pollen records available for central America does not allow to support or
30 falsify these model conclusions.

31
32 Ensemble simulations over the last 1200 years have been conducted using a comprehensive ESM including a
33 fully-interactive carbon-cycle (Jungclaus et al., 2010). For the two ensemble simulations using a lower and
34 higher estimate for the multi-centennial variations of the solar irradiance, the sensitivity of atmospheric CO₂
35 concentration to Northern Hemisphere temperature changes is diagnosed as 2.7 and 4.4 ppm K⁻¹,
36 respectively. This sensitivity falls within the range of 1.7–21.4 ppm K⁻¹ of a recent reconstruction-based
37 assessment (Frank et al., 2010), though at its lower end.

6.2.4.2 Mechanisms Controlling CH₄ and N₂O

38
39
40
41 High resolution ice core records reveal a CH₄ drop in the late 16th century by about 20 ppb (MacFarling-
42 Meure et al., 2006; Mitchell et al., 2011). Correlations between variations in CH₄ and temperature in 15–16th
43 centuries suggest that climate change affected CH₄ emissions during this time period. Changes in
44 anthropogenic CH₄ emissions during times of war and plague likely contributes to variability in atmospheric
45 CH₄ concentration, although cannot explain all variability (Mitchell et al., 2011).

46
47 No studies are known about mechanisms of N₂O changes for the last Millennium.

6.3 Evolution of Biogeochemical Cycles in the Fossil Fuel Era

6.3.1 CO₂ Emissions and their Fate Since 1750

48
49
50
51
52
53 Prior to the Industrial Revolution, defined in the following to begin by 1750, the concentration of
54 atmospheric CO₂ fluctuated between 170 and 300 ppm for at least 2.1 million years (Honisch et al., 2009;
55 Luthi et al., 2008; Petit et al., 1999; see Section 6.2). Between 1750 and 2011, the combustion of fossil fuels
56 (coal, gas, oil, and gas flaring) and the production of cement have released 365 ± 22 PgC to the atmosphere

(Boden et al., 2011), with an additional 151 ± 51 PgC due to land conversion, mainly deforestation (Table 6.1; see Section 6.3.2 for data sources). This carbon is called anthropogenic carbon.

Table 6.1: Global CO₂ budget, cumulated since the Industrial revolution (1750) and averaged over the past three decades.

	1850–2011	1980–1989	1990–1999	2000–2009
	PgC	PgC yr ⁻¹	PgC yr ⁻¹	PgC yr ⁻¹
Atmospheric increase ^a :	238 ± 7	3.4 ± 0.3	3.1 ± 0.2	4.0 ± 0.2
Fossil fuel combustion and cement production ^b :	365 ± 22	5.5 ± 0.3	6.4 ± 0.4	7.7 ± 0.5
Ocean-to-atmosphere flux ^c :	154 ± 20	-2.0 ± 0.5	-2.2 ± 0.4	-2.3 ± 0.5
Land-to-atmosphere flux:				
Land Use Change ^d	151 ± 51	1.3 ± 0.5	1.3 ± 0.5	0.9 ± 0.5
Residual terrestrial sink ^e :	124 ± 59	-1.4 ± 0.8	-2.4 ± 0.8	-2.3 ± 0.9

Notes:

(a) Data from Thomas Conway and Pieter Tans, NOAA/ESRL (www.esrl.noaa.gov/gmd/ccgg/trends/).

(b) CO₂ emissions are estimated by the Carbon Dioxide Information Analysis Center (CDIAC) based on UN energy statistics for fossil fuel combustion and US Geological Survey for cement production (Boden et al., 2011).

(c) Averaged from existing global estimates (see text for the mean values and Table 6.5 for the decadal trends).

(d) Land Use Change (LUC) CO₂ emissions are averaged from existing global estimates (see text and Table 6.10).

(e) Estimated as the sum of the other terms, assuming the errors are independent and added quadratically.

Of the 516 ± 56 Pg of anthropogenic C emitted to the atmosphere by human activities, less than half have accumulated in the atmosphere (238 ± 7 PgC), resulting in the current atmospheric CO₂ concentration of 389.8 ppm by year 2010 (Conway and Tans, 2011). The remaining anthropogenic C has been absorbed by the oceans and in terrestrial ecosystems – the carbon “sinks” (Figure 6.8). The CO₂ emissions and the speed at which C is being transferred from the atmosphere to the ocean and terrestrial pools drives the growth rate of atmospheric CO₂, which directly leads to changes in the Earth’s radiative forcing.

[INSERT FIGURE 6.8 HERE]

Figure 6.8: Sources and sinks fluxes (PgC yr⁻¹) for all main flux component of the global CO₂ budget from 1750 to 2010. CO₂ emissions are estimated by the Carbon Dioxide Information Analysis Center (CDIAC) based on UN energy statistics for fossil fuel combustion and US Geological Survey for cement production (Boden et al., 2011). CO₂ emissions from deforestation and other land use change prior to 1960 are from the average of three estimates (Pongratz et al., 2009; Shevliakova et al., 2009; van Minnen et al., 2009) for 1750–1959 and from (Friedlingstein et al., 2010) from 1960. The atmospheric CO₂ growth rate prior to 1960 is based on a spline fit to ice core observations (Etheridge et al., 1996; Friedli et al., 1986; Neftel et al., 1982) and a synthesis of atmospheric observations from 1960 (Conway and Tans, 2011). The fit to ice core does not capture the large interannual variability in atmospheric CO₂ and is represented with a dash line on the figure. The ocean CO₂ sink prior to 1960 is from (Khatiwala et al., 2009) and a combination of model and observations from 1960 updated (LeQuere et al., 2009).

The transfer of CO₂ between the atmosphere and the oceans is driven by the differential partial pressure between these two compartments. This transfer has led to the storage of 154 ± 20 Pg of anthropogenic C into the ocean since the beginning of the Industrial Revolution (updated from (Sabine et al., 2004); see Section 6.3.2.4.3). Given the high solubility of CO₂ and the under-saturated state of the oceans, the ocean sink will continue to remove atmospheric CO₂ until the entire ocean has re-equilibrated with the higher atmospheric CO₂ (see Box 6.2).

[START BOX 6.2 HERE]

Box 6.2: CO₂ Residence Time

1 The concept of a mean characteristic lifetime for fossil fuel CO₂ is misleading because uptake of the CO₂ is
2 driven by several processes operating on very different time scales. Because CO₂ is released to the
3 atmosphere, the atmosphere contains more CO₂ than it would in a steady state with the ocean and the land
4 biosphere, and this imbalance drives uptake into those reservoirs. If CO₂ emissions stopped, the atmospheric
5 concentration is expected to approach equilibrium on a time scale of several centuries, with most of released
6 CO₂ winding up in form of dissolved inorganic carbon in the ocean (the ocean invasion phase, see figure
7 below). In the atmosphere / ocean/ land biosphere steady state, the airborne fraction of the CO₂ slug is
8 expected to be 15–40%, depending on the amount of carbon released (Archer et al., 2009b), which depletes
9 the carbonate buffer system of the ocean.

11 In a second stage, the pH of the ocean will be restored by the CaCO₃ cycle, replenishing the buffer capacity
12 of the ocean and further drawing down atmospheric CO₂ as it seeks a balance between CaCO₃ sedimentation
13 and terrestrial weathering. This neutralization stage has a time scale of 3–7 thousand years, and pulls the
14 airborne fraction down to 10–25% of original CO₂ pulse after about 10,000 years (Archer and Brovkin,
15 2008; Lenton and Britton, 2006; Montenegro et al., 2007; Ridgwell and Hargreaves, 2007; Tyrrell et al.,
16 2007).

18 The rest of the fossil fuel CO₂ will be removed from the atmosphere by silicate weathering, a slow process of
19 CO₂ reaction with CaO of igneous rocks. This geological process takes up to several hundred thousand years
20 (e.g., (Walker and Kasting, 1992)).

22 Main chemical reactions of fossil fuel CO₂ removal:

24 Seawater buffer (ocean invasion) $\text{CO}_2 + \text{CO}_3 = + \text{H}_2\text{O} \leftrightarrow 2 \text{HCO}_3^-$ (dissolved in the ocean)

25 Reaction with calcium carbonate, $\text{CaCO}_3 \text{ CO}_2 + \text{CaCO}_3 + \text{H}_2\text{O} \rightarrow 2 \text{HCO}_3^-$ (ocean)

26 Silicate weathering (reaction with igneous rocks) $\text{CO}_2 + \text{CaSiO}_3 \rightarrow \text{CaCO}_3 + \text{SiO}_2$ (ocean sediments)

28 [INSERT BOX 6.2, FIGURE 1 HERE]

29 **Box 6.2, Figure 1:** A fraction of emitted CO₂ remaining in the atmosphere in case of total CO₂ emissions of 100 (blue),
30 1,000 (red), and 5,000 GtC (black line) released at once in year 0. The graph shows results of the CLIMBER model
31 (Archer et al., 2009b) extended up to 50 thousand years. Arrows indicate a sequence of natural processes of CO₂
32 removal operating on different time scales. Note that higher CO₂ emissions lead to higher airborne CO₂ fraction due to
33 reduced carbonate buffer capacity of the ocean and positive climate-carbon cycle feedback.

35 [END BOX 6.2 HERE]

37
38 Terrestrial ecosystems have accumulated 124 ± 59 Pg of anthropogenic C during the same period, largely
39 compensating the C losses from deforestation since 1750, mainly through the uptake of CO₂ by enhanced
40 photosynthesis at higher CO₂ levels and N deposition, longer growing seasons in high latitudes, and the
41 expansion and thickening of forests in temperate regions. This increased is inferred by mass balance as the
42 difference between emissions and measured atmospheric and oceanic storage increase (Table 6.1).

44 6.3.2 Global CO₂ Budget

46 The anthropogenic CO₂ budget calculations are improved from those of the Fourth Assessment Report
47 (AR4) (Denman et al., 2007). Revised data on the rates of land conversion from country statistics processed
48 by the FAO (FAO, 2010) provides a more robust estimate of the land use change flux (Friedlingstein et al.,
49 2010). In addition, a new global compilation of forest inventory data, based upon 100,000s of individual
50 forest measurements, provides an independent estimate of the amount of carbon that has been gained by
51 forests over the past two decades, albeit with very scarce data for tropical forest (Pan et al., 2011). The net
52 air-sea CO₂ flux climatology established from repeated shipboard measurements was updated with a new
53 global dataset of 3 million measurements of surface water pCO₂ (Takahashi et al., 2009), 2 million more
54 observations than of the previous estimate. For both ocean and land regions, a continuing use of multiple
55 constrains with atmospheric inversions (top down approaches) and ground-based observations and modeling
56 (bottom up approaches) provides coarse scale consistent checks on the estimates for a number of regions
57 (Ciais et al., 2010; McGuire et al., 2009; Piao et al., 2009b). The global anthropogenic CO₂ budget estimated
58 from a range of observations and methods accounts for most of the trends in the CO₂ sinks, and a large part

1 of the observed variability (LeQuere et al., 2009; Sitch et al., 2008), although unaccounted interannual
2 variability of up to 2 PgC yr⁻¹ still remain to be explained (Section 6.3.2.5.1), and is largely driven by
3 tropical latitudes as inferred from atmospheric CO₂ inversions (Figure 6.9).

4
5 **[INSERT FIGURE 6.9 HERE]**

6 **Figure 6.9:** The interannual variability of surface CO₂ fluxes from inversions of the TRANSCOM project for the period
7 of 1990–2008. The ensemble of inversion results contains up to 17-atmospheric inversion models. The ensemble mean
8 is bounded by the 1 sigma inter-model spread in ocean-atmosphere (blue) and land-atmosphere (green) CO₂ fluxes (PgC
9 yr⁻¹) grouped into large latitude bands, and over the globe. For each flux and each region, the CO₂ flux anomalies were
10 obtained by subtracting the long term mean flux from each inversion and removing the seasonal signal. Grey shaded
11 regions indicate El Niño episodes, and the back bars indicate the cooling period following the Mt. Pinatubo eruption. A
12 positive flux means a larger than normal source of CO₂ to the atmosphere (or a smaller CO₂ sink).

13
14 *6.3.2.1 Emissions from Fossil Fuel Combustion and Industrial Processes*

15
16 Global CO₂ emissions from the combustion of fossil fuels (coal, oil, natural gas) are determined from
17 national energy consumption statistics and converted to emissions by fuel type (Marland and Rotty, 1984).
18 Estimated errors for the annual global emissions are on the order of ±5% (±1 standard deviation), increasing
19 to ±7% for recent decades where a larger fraction of the global emissions originate from emerging
20 economies, where energy statistics are more uncertain (Gregg et al., 2008). CO₂ emissions from cement
21 production were 4% of the total emissions during 2000–2009, compared to 3% in the 1990s. Additional
22 emissions from gas flaring represent <1% of the global emissions.

23
24 Global CO₂ emissions from fossil fuel combustion and cement production were 7.7 ± 0.5 PgC yr⁻¹ on
25 average in the decade 2000–2009, 6.4 ± 0.4 PgC yr⁻¹ during 1990–1999, and 5.5 ± 0.3 PgC yr⁻¹ during
26 1980–1989 (Table 6.1). Global fossil fuel emissions increased by 2.9% yr⁻¹ on average during the decade
27 2000–2009 compared to 1.0% yr⁻¹ in the 1990s and 1.9% yr⁻¹ in the 1980s. The increased growth since 2000
28 was caused primarily by rising use of coal for energy production in emerging economies and the growth in
29 global wealth (Raupach et al., 2007); Figure 6.10). The global financial crisis in 2008–2009 induced only a
30 small decrease in global emissions in 2009 (1.3 %), with the return to a high annual growth rate of 5.9% and
31 record high in emissions of 9.1 PgC in 2010 (Peters et al., 2011).

32
33 **[INSERT FIGURE 6.10 HERE]**

34 **Figure 6.10:** CO₂ emissions from fossil fuel combustion and cement production by fuel type (PgC yr⁻¹). CO₂ emissions
35 are estimated by the Carbon Dioxide Information Analysis Center (CDIAC) based on UN energy statistics for fossil
36 fuel combustion and US Geological Survey for cement production (Boden et al., 2011).

37
38 *6.3.2.2 Emissions from Land Use Change*

39
40 CO₂ is also emitted to the atmosphere by deforestation and other land use activities. Clearing land for
41 agriculture and other land use releases CO₂, often through combustion, and decomposition of dead plant
42 material and soil organic matter. Regrowth of forest can partially compensate for emissions by taking up
43 carbon from the atmosphere if trees are replanted or grow on abandoned agricultural lands. Logging and
44 other forms of biomass removal emit CO₂ when wood products reach the end of their lifetime (e.g., through
45 combustion or decaying in landfills). Approaches to estimate CO₂ fluxes from land use fall into three
46 categories: (1) a so called “bookkeeping” method that tracks carbon in living vegetation, dead plant material,
47 wood products and soils with cultivation, harvesting and reforestation using country-level reports on changes
48 in forest area (Houghton, 2003); (2) process-based terrestrial ecosystem models that simulate carbon
49 exchanges between vegetation, soil, and atmosphere using spatially-explicit data on land use change (see
50 references in Table 6.2) and (3) detailed regional analysis based on satellite data estimate changes in forest
51 area combined with abovementioned bookkeeping models or estimates of biomass loss with land use change,
52 and subsequent decomposition of soil organic matter (Achard et al., 2004; DeFries et al., 2002). The
53 bookkeeping method is based on land cover change and biomass data, but includes only simple process
54 dynamics as decay and regrowth rates. Process-based models include more extensive process dynamics, but
55 generate their own biomass and soil carbon that may differ from observations. Satellite-based estimates are
56 data-rich but generally focus on the tropics only, and do not explicitly include CO₂ emissions that result from
57 deforestation prior to their starting period and thus can underestimate CO₂ emissions by 13–62% depending
58 on the starting year and decade (Ramankutty et al., 2006). Advances in estimating carbon emissions from

1 fire and separate accounting of deforestation-related fire emissions from other types of fires (van der Werf et
 2 al., 2010) provide additional information on interannual variability not available in AR4. None of the
 3 available estimates include emissions from peatland drainage, which have been estimated at 0.30 PgC yr⁻¹
 4 over 1997–2006 (van der Werf et al., 2009).

5
 6
 7 **Table 6.2:** Estimates of land to atmosphere emissions from land use changes (Pg yr⁻¹). Positive values indicate carbon
 8 losses from land ecosystems.

	Land Use Database ^h	Climate	1980–1989 GtC yr ⁻¹	1990–1999 GtC yr ⁻¹	2000–2009 GtC yr ⁻¹
Satellite-based Methods (tropics only)					
(Acharid et al., 2002)	Landsat	observed		0.64 ± 0.21 ^a	
(DeFries et al., 2002)	AVHRR	observed	0.6 (0.3–0.8)	0.9 (0.5–1.4)	
(van der Werf et al., 2010)	GFED	observed			0.83
Bookkeeping Method (global) ^a					
(Friedlingstein et al., 2010)	FAO-2010	no variability	1.4 ± 0.5 ^d	1.5 ± 0.5 ^d	1.1 ± 0.5 ^d
Process Models (global) ^b					
(Shevliakova et al., 2009)	HYDE	no variability	1.1	1.1	
(Shevliakova et al., 2009)	SAGE	no variability	1.4	1.3	
(Piao et al., 2009a)		observed	1.0		
(vanMinnen et al., 2009)	HYDE	no variability	1.3	1.3	
(vanMinnen et al., 2009)	HYDE+pastures	no variability	1.6	1.6	
updated from (vanMinnen et al., 2009)	HYDE	no variability	1.2	1.1	1.1 ^c
(Strassmann et al., 2008)	HYDE	no variability	1.3	1.3	
updated from (Stocker et al., 2011)	HYDE	no variability	1.4	0.9	0.6
(Yang et al., 2010)	SAGE	observed	1.2	1.0	0.8 ^c
(Yang et al., 2010)	FAO-2005	observed	1.7	1.4	
updated from (Yang et al., 2010)	HYDE	observed	1.7	0.9	0.9
updated from (Arora and Boer, 2010)	HYDE crop	averaged ^e	0.5 ^e	0.5 ^e	0.5 ^e
Average of process models ^f			1.3 ± 0.2	1.1 ± 0.2	0.8 ± 0.2
Global average ^g			1.3 ± 0.5	1.3 ± 0.5	0.9 ± 0.5

9 Notes:

10 (a) Based on observed land cover change and observed vegetation biomass, but with limited process dynamics.

11 (b) Based on observed land cover change but modeled vegetation biomass, with more explicit vegetation dynamics.

12 (c) 2000–2005 only.

13 (d) Uncertainty from sensitivity study presented in (Houghton, 2005), excluding the older 1981 data. It includes an
 14 assessment of uncertainty associated with the rate of deforestation and with the vegetation biomass.

15 (e) The large variability produced by the calculation method is removed for comparison with other studies by averaging
 16 the flux over the three decades.

17 (f) Average of all estimates. The uncertainty represents ±1 Mean Absolute Deviation from the mean.

18 (g) Average of the global methods. The uncertainty is assumed independent and added quadratically.

19 (h) References for the databases used: GFED (van der Werf et al., 2009); HYDE (Goldewijk et al., 2011), SAGE
 20 (Ramankutty and Foley, 1999).

21
 22
 23 Global CO₂ emissions from land use change are estimated at 1.3 ± 0.5, 1.3 ± 0.5, and 0.9 ± 0.5 PgC yr⁻¹ for
 24 the 1980s, 1990s, and 2000s, respectively (Table 6.2). The lower emissions reported in the 2000s compared
 25 to the 1990s is within the error bar of the data and methods, though it is corroborated by satellite monitoring
 26 which also reported a decrease in deforestation in the Brazilian Amazon for the latter part of this decade

(Nepstad et al., 2009) and in tropical Asia relative to the 1990s (Hansen et al., 2009). 54 percent of the emissions originated from the tropics in the 1980s on average across methods, a share that increased to 67% in the 1990s and 74% in the 2000s. However the range of estimates is large and estimates from the bookkeeping method and process models do not agree in the extra tropics (Table 6.3).

Table 6.3: Estimates of land to atmosphere emissions from land use changes (PgC yr^{-1}) for decadal periods from 1980s to 2000s. Positive values indicate carbon losses from land ecosystems. Uncertainties are reported as ± 1 standard deviation. Numbers in parentheses are ranges in uncertainty provided in some studies. Tropical Americas include all Central and South American countries. Tropical Asia includes the middle East, India and surrounding countries, Indonesia and Papua New Guinea. East Asia includes China, Japan, Mongolia and Korea.

	Tropical Americas	Africa	Tropical Asia	North America	Eurasia	East Asia	Oceania
<i>2000s</i>							
(van der Werf et al., 2010) ^{a,b}	0.33	0.15	0.35				
(DeFries and Rosenzweig, 2010) ^c	0.46	0.08	0.36				
(Friedlingstein et al., 2010)	0.48	0.31 ^c	0.25	0.01	-0.07 ^d	0.01 ^e	
(vanMinnen et al., 2009) ^a	0.50	0.15	0.16	0.09	0.08	0.13	0.01
(Stocker et al., 2011) ^a	0.19	0.18	0.21	0.019	-0.067	0.12	0.011
(Yang et al., 2010) ^a	0.11	-0.10	0.19	0.25	0.27	0.13 ^d	0.02
Average	0.35 \pm 0.14	0.13 \pm 0.09	0.25 \pm 0.07	0.09 \pm 0.08	0.05 \pm 0.12	0.10 \pm 0.04	0.01 \pm 0.00
<i>1990s</i>							
(DeFries et al., 2002)	0.5 (0.2-0.7)	0.1 (0.1-0.2)	0.4 (0.2-0.6)				
(Achard et al., 2004)	0.3 (0.3-0.4)	0.2 (0.1-0.2)	0.4 (0.3-0.5)				
(Friedlingstein et al., 2010)	0.67	0.32 ^c	0.45	0.05	-0.04	0.05 ^e	
(vanMinnen et al., 2009) ^a	0.42	0.12	0.15	0.08	0.08	0.25	0.01
(Stocker et al., 2011) ^a	0.30	0.14	0.19	-0.072	0.11	0.27	0.002
(Yang et al., 2010) ^a	0.16	-0.16	0.22	0.25	0.32	0.16 ^d	-0.01
Average	0.37 \pm 0.14	0.12 \pm 0.12	0.28 \pm 0.11	0.08 \pm 0.09	0.12 \pm 0.10	0.18 \pm 0.08	0.01 \pm 0.01
<i>1980s</i>							
(DeFries et al., 2002)	0.4 (0.2-0.5)	0.1 (0.08-0.14)	0.2 (0.1-0.3)				
(Friedlingstein et al., 2010)	0.79	0.22 ^c	0.32	0.04	0.00	0.07 ^e	
(vanMinnen et al., 2009) ^a	0.36	0.15	0.17	0.07	0.07	0.40	0.00
(Stocker et al., 2011) ^a	0.44	0.16	0.25	0.085	0.11	0.40	0.009
(Yang et al., 2010) ^a	0.21	-0.16	0.27	0.27	0.57	0.54 ^d	-0.01
Average	0.44 \pm 0.10	0.09 \pm 0.13	0.12 \pm 0.04	0.12 \pm 0.08	0.19 \pm 0.19	0.34 \pm 0.11	0.01 \pm 0.01

Notes:

(a) Updated results.

(b) Based on estimates of carbon emissions from deforestation and degradation fires, including peat carbon emissions. Estimates were doubled to account for emissions other than fire including respiration of leftover plant materials and soil carbon following deforestation following (Olivier et al., 2005). Estimates include peat fires and oxidation. If peat fires are excluded, estimate in tropical Asia is 0.23 and Pan-tropical total 0.71.

(c) CO₂ estimates were summed for dry and humid tropical forests, converted to C and normalized to annual values.

Estimates are based on satellite-derived deforestation area (Hansen et al., 2010), and assume 0.6 fraction of biomass emitted with deforestation. Estimates do not include carbon uptake by regrowth. Estimates cover emissions from 2000–2005.

(d) Includes China only.

(e) East Asia and Oceania are averaged in one region. The flux is split in two equally for computing the average; North Africa and the Middle East are combined with Eurasia.

[INSERT FIGURE 6.11 HERE]

Figure 6.11: CO₂ emissions from land use change from a range of methods (PgC yr⁻¹). Estimates are from (Friedlingstein et al., 2010), thick black, (Pongratz et al., 2009), thin black, (Shevliakova et al., 2009), HYDE data: cyan full, SAGE data: cyan dotted, (vanMinnen et al., 2009), updated HYDE: green full, HYDE data: green dotted, HYDE with pastures: green dashed, (Piao et al., 2008), blue, (Strassmann et al., 2008), red dotted, (Stocker et al., 2011), red, (Yang et al., 2010) updated HYDE: purple full; FAO data: purple dash; SAGE data: purple dotted).

The estimates shown in Figure 6.11 are the net CO₂ emissions from land use change, which includes (1) the CO₂ emitted during deforestation and other land use change, (2) CO₂ emitted in the years after deforestation from soils and litter decomposition, (3) CO₂ uptake from secondary forest regrowth on abandoned lands and reforestation, and (4) other land use processes such as logging and wood harvesting. (Pan et al., 2011) estimated gross emissions from tropical deforestation, sum of terms (1) and (2) above, at 3.0 ± 0.5 PgC yr⁻¹ for the 1990s and 2.8 ± 0.5 PgC yr⁻¹ for the 2000s. Thus the gross emissions caused by deforestation are about double the net emissions because of the presence of a large regrowth compensating half of the deforestation fluxes.

The uncertainties in land use CO₂ emissions reduced from AR4's range of 0.5 to 2.7 PgC yr⁻¹ for the 1990s to ± 0.5 PgC yr⁻¹ in this assessment because of improved accuracy of land cover change incorporating satellite data, a larger number of independent methods to quantify emissions and the consistency of the reported results (Table 6.2). In particular, the FAO forests area loss were revised downwards in 2010 following improvements in data coverage, for instance over Indonesia where new data were introduced and the Amazon where higher resolution satellite data were used (FAO, 2010).

Over the 1750–2011 time period, CO₂ emissions of 151 ± 51 PgC can be estimated using the recent land use fluxes of 25 ± 6 PgC during 1980–2010 (Table 6.1) and the average of the three publications that have estimated land use emissions during 1750–1980: (Pongratz et al., 2009) - 111 PgC, (vanMinnen et al., 2009) - 119 PgC, and (Shevliakova et al., 2009) - 222 PgC. The CO₂ flux from land use has been dominated by deforestation and other land use change in the high latitudes prior to 1980s, and in the tropics since approximately 1980, largely from deforestation in tropical America and Asia with smaller contributions from tropical Africa.

6.3.2.3 Atmospheric CO₂ Concentration Growth Rate

Since preindustrial times (1750), the concentration of CO₂ in the atmosphere increased by 40%, from 278 ± 3 ppm to 388.5 ± 0.1 ppm in 2010 year (Figure 6.12), (MacFarling-Meure et al., 2006), corresponding to an increase in CO₂ of 238 ± 7 PgC in the atmosphere. Atmospheric CO₂ grew at a rate of 3.4 ± 0.3 PgC yr⁻¹ in the 1980s, 3.1 ± 0.2 PgC yr⁻¹ in the 1990s, and 4.0 ± 0.2 PgC yr⁻¹ in the 2000s (Conway and Tans, 2011): NOAA/ESRL (www.esrl.noaa.gov/gmd/ccgg/trends/). The rise of atmospheric CO₂ is established with a very small uncertainty from measurements of CO₂ trapped in air bubbles in ice cores between 1750 and 1957 e.g., (Etheridge et al., 1996), and from highly precise atmospheric CO₂ concentration measurements after that date (Keeling et al., 1976).

[INSERT FIGURE 6.12 HERE]

Figure 6.12: Atmospheric concentration history over the last 260 years determined from air enclosed in ice cores, firn air and direct atmospheric measurements (MacFarling-Meure et al., 2006).

There is ample evidence that the atmospheric increase is caused by the anthropogenic emissions of CO₂ because of the corresponding measured increases in the abundance of ¹⁴CO₂ (before nuclear bomb testing) isotopes (Lassey et al., 2007) and the small decrease in molecular oxygen (O₂) in the atmosphere (Manning and Keeling, 2006), all of which are affected by fossil fuel combustion in known proportions (Figure 6.1). Furthermore, the CO₂ concentration in the atmosphere increases faster in the Northern hemisphere compared to the Southern hemisphere (see Section 6.1), a signal which tracks the increasing fossil CO₂ emissions in the northern hemisphere (Fan et al., 1999; Keeling et al., 1989b; Tans et al., 1989).

The ice core record of atmospheric CO₂ concentration during the past century also exhibits some interesting features, which can be related to climate induced-changes in the carbon cycle. Most conspicuous is the time

1 interval from about 1940 until the early 1960's, during which the concentration increase of CO₂ (also CH₄
2 and N₂O) stalled (MacFarling-Meure et al., 2006), possibly caused by slightly decreasing temperatures over
3 land in the northern hemisphere (Rafelski et al., 2009).

4
5 There is substantial evidence (e.g., from ¹³C carbon isotopes, (Keeling et al., 2005) that source/sink processes
6 on land generate most of the interannual variability in the atmospheric CO₂ growth rate (Figure 6.12; Section
7 6.3.2.5). The Hovmöller diagram suggests that the strong positive anomalies of the CO₂ growth rate in El
8 Niño years (e.g., 1987/1988 and 1997/1998) originated in tropical latitudes, while the anomalies in 2003 and
9 2005 originated in northern midlatitudes, maybe reflecting the European heat wave in 2003 (Ciais et al.,
10 2010).

11 [INSERT FIGURE 6.13 HERE]

12 **Figure 6.13:** Top panel: Global average atmospheric CO₂ growth rate; symbols: annual means (Keeling et al., 2005);
13 (Conway et al., 1994). Bottom panel: Atmospheric growth rate of CO₂ as a function of latitude determined from the
14 GLOBALVIEW data product, representative for the marine boundary layer (Masarie and Tans, 1995).

15 6.3.2.4 Ocean Sinks

16 6.3.2.4.1 Global ocean sink

17
18
19 The estimate of the mean anthropogenic ocean CO₂ sink from AR4 (2.2 ± 0.4 PgC yr⁻¹) is unchanged and
20 confirmed by a variety of contemporary estimates ranging from 1.8 to 2.4 Pg C yr⁻¹ for the 1990s (see
21 summary in (Gruber et al., 2009)). The uptake of anthropogenic CO₂ by the oceans is primarily a response to
22 increasing CO₂ in the atmosphere and is limited primarily by the rate at which anthropogenic CO₂ is
23 transported from the ocean surface into the ocean interior (Gloor et al., 2010). However, this anthropogenic
24 uptake occurs on top of a very active natural carbon cycle. Recent trends in the climate system, such as
25 ocean warming, changes in ocean circulation, and changes in marine ecosystems and biogeochemical cycles
26 can affect both the anthropogenic uptake as well as the natural carbon cycle. Since AR4, much progress has
27 been made to quantify the rate of change of the net ocean CO₂ sink in the past decades, including both the
28 response of the oceans to increasing CO₂ in the atmosphere, and its response to climate change and
29 variability.

30
31
32 Observations show that to a first order, surface ocean partial pressure of CO₂ (pCO₂) has been increasing
33 generally at about the same rate as the atmosphere when averaged over large regions over the past two to
34 three decades (McKinley et al., 2011; Takahashi et al., 2009). However, analyses of regional observations
35 highlighted substantial regional and temporal variations around this trend, with surface ocean pCO₂
36 increasing at the same rate or faster than atmospheric CO₂ (thus a constant or weakening sink for
37 anthropogenic CO₂) between about 1990 and 2005 in the North Atlantic (Schuster et al., 2009), and between
38 1981 and 2004 in the western equatorial Pacific (Feely et al., 2006) and Southern Ocean (LeQuere et al.,
39 2007). By contrast, pCO₂ appears to have increased at a slower rate than atmospheric CO₂ (thus a CO₂
40 growing sink) in the North Pacific Ocean (Takahashi et al., 2006).

41
42
43 The difference in decadal rates of uptake of anthropogenic CO₂ by the oceans was estimated with an
44 ensemble of five estimates using various methods giving an uptake of 2.0 ± 0.5 PgC yr⁻¹ for the 1980s and
45 2.3 ± 0.5 PgC yr⁻¹ for 2000–2009 (Table 6.4). Methods used are of different nature: (1) An empirical
46 Green's function approach fitted to observations of transient tracers (Khatriwala et al., 2009), (2) a model-
47 based Green's function approach fitted to anthropogenic CO₂ reconstructions (MikaloffFletcher et al., 2006),
48 (3) data-driven relationships between surface pCO₂ and temperature and salinity (Park et al., 2010), and (4)
49 process-oriented global ocean biogeochemical models forced by observed meteorological fields (Assmann et
50 al., 2010; Aumont and Bopp, 2006; Doney et al., 2009; LeQuere et al., 2010). All these different methods
51 suggest that in the absence of recent climate change and climate variability, the ocean anthropogenic CO₂
52 sink should have increased by 0.20 ± 0.05 PgC yr⁻¹ between the 1980s and the 1990s, and by 0.30 ± 0.06
53 PgC yr⁻¹ between the 1990s and the 2000s, where the uncertainty represents ± 1 Mean Absolute Deviation of
54 the ensemble (Figure 6.14). The different rates of change are caused by the faster rate of increase of
55 atmospheric CO₂ in the later decade. Climate change and variability has no noticeable effect on the
56 difference between the 1980s and the 1990s (0.02 ± 0.02 PgC yr⁻¹), but it is estimated by models to have
57 reduced the ocean CO₂ sink by 0.19 ± 0.10 PgC yr⁻¹ between the 1990s and the 2000s (Table 6.4).

Table 6.4: Estimates of changes in ocean-to-atmosphere CO₂ flux compared to 1990–1999.

	1980–1989 GtC yr ⁻¹	1990–1999 GtC yr ⁻¹	2000–2009 GtC yr ⁻¹
Anthropogenic			
(Assmann et al., 2010) (to 2007 only)	0.28	reference	-0.35
ETH model ^b	0.15	reference	-0.25
(Doney et al., 2009)	0.15	reference	-0.39
(LeQuere et al., 2010) - NCEP	0.16	reference	-0.32
(LeQuere et al., 2010) - ECMWF	–	reference	-0.39
(LeQuere et al., 2010) -JPL	–	reference	-0.32
(Khatiwala et al., 2009) a	0.24	reference	-0.20
(Mikaloff-Fletcher et al., 2006) ^c	0.4	reference	-0.44
Average ^a	0.23 ± 0.12		-0.33 ± 0.06
Natural			
(Assmann et al. 2010) (to 2007 only)	0.07	reference	0.00
ETH model ^b	0.02	reference	0.27
(Thomas et al., 2008)	-0.02	reference	0.21
(LeQuere et al., 2010) -NCEP	0.02	reference	0.27
(LeQuere et al., 2010) -ECMWF	–	reference	0.14
(LeQuere et al., 2010) -JPL	–	reference	0.36
(Park et al., 2010)	–	reference	0.15
Average ^a	0.02 ± 0.02		0.19 ± 0.10

Notes:

(a) Average of all methods. The uncertainty represents ±1 Mean Absolute Deviation from the mean. The anthropogenic average includes results Le Quéré et al. (2010)-NCEP only because the other model versions do not differ sufficiently to be considered separately.

(b) Using the model of (Doney et al., 2009) with quadratic relationship with wind speed and different atmospheric forcing.

(c) As published by (Sarmiento et al., 2010).

[INSERT FIGURE 6.14 HERE]

Figure 6.14: Trends in the air-sea flux of CO₂ in response to (top) variability and trends in surface climate, (middle) increasing atmospheric CO₂, and (bottom) the sum of both effects from a range of methods (PgC yr⁻¹). All estimates are normalized to zero during 1950–1960 to highlight the trends. Estimates are updates from: (Doney, 2010), dark blue for standard version, green for ETH version; (Aumont and Bopp, 2006), magenta; (LeQuere et al., 2010), cyan; (Assmann et al., 2010), red; (Park et al., 2010), top black; (Khatiwala et al., 2009), middle black.

6.3.2.4.2 Regional air-sea fluxes

Because of the importance of the natural carbon cycle, the net air-sea fluxes of CO₂ show regions in the ocean where CO₂ is absorbed from the atmosphere, and regions where CO₂ is released to the atmosphere, even though overall the oceans absorb about 2 PgC every year. The Equatorial Pacific (14°N–14°S) is a major source for atmospheric CO₂, losing about 0.5 Pg C yr⁻¹. The temperate oceans, between 14° and 50° in the both hemispheres, are major sink zones. On a per unit area basis, the high latitude North Atlantic, including the Nordic Seas and portion of the Arctic, is the most intense CO₂ sink region due to the combination of high wind speeds and low pCO₂ caused by strong biological productivity and strong cooling. The CO₂ sink is not as intense in the Southern Ocean (<44°S) because of a cancellation of the summer uptake with the winter release of CO₂ caused by the upwelling of CO₂-enriched deepwater (Gruber et al., 2009).

6.3.2.4.3 Regional changes in carbon storage

Data-based estimates for the global ocean inventory of anthropogenic carbon (Khaliwala et al., 2009; Sabine et al., 2004; Waugh et al., 2006) extended to the end of year 2010 using the ocean uptake of anthropogenic carbon of Table 6.1 agree well among each other with values of 154 ± 20 PgC, 144 ± 14 PgC and 151 ± 40 PgC, respectively. The flux of anthropogenic carbon into the ocean is increasing faster in the high latitudes than in the tropics and subtropics where the uptake is relatively low (Khaliwala et al., 2009). Total changes in carbon storage can be observed from repeat measurements from hydrographic sections. A number of ocean cross sections have been run over the last decade and the observed changes, summarized in Table 6.5, suggest that some locations see rates of carbon accumulation that are higher and others that are lower than the global average estimated by (Khaliwala et al., 2009). No global synthesis of these observations exists at present. Model results suggest that there may be an effect of climate change and variability in the storage of total carbon in the ocean (Table 6.4), but it is small (~ 2 PgC over the past 50 years; Figure 6.14) in the historical context of the uptake of anthropogenic carbon. We adopt in this chapter an estimate of 154 ± 20 PgC of anthropogenic C accumulated into the world oceans for the 1750–2010 time period, based on (Sabine et al., 2004) up to 1994 as it is the estimate most closely based on observations, and the contemporary CO₂ sink estimates based on combined observations and model trends for 1994–2010 (Table 6.1).

Table 6.5: Regional rates of change in inorganic carbon storage

Section	Time	Storage rate (mol m ⁻² yr ⁻¹)	Data source
Global average	2008	0.53 ± 0.16	(Khaliwala et al., 2009)
Pacific Ocean			
Section along 30°S	1992–2003	1.0 ± 0.4	(Murata et al., 2007)
N of 50°S, 120°W–180°W	1974–1996	0.9 ± 0.3	(Peng et al., 2003)
154°W, 20°–50°S	1991–2006	0.56 ± 0.04	(Sabine et al., 2008)
140°E – 170°W, 45°S–65°S	1968–1991/1996	0.40 ± 0.20	(Matear and McNeil, 2003)
149° W, 4°S–10°N	1993– 2005	0.3 ± 0.1	(Murata et al. 2009)
149° W, 24°N–30°N	1993– 2005	0.6 ± 0.2	(Murata et al. 2009)
Northeast Pacific	1973–1991	1.3 ± 0.5	(Peng et al., 2003)
~160°E ~45°N	1997–2008	0.40 ± 0.08	(Wakita et al., 2010)
North of 20°N	1994–2004/2005	0.39 ± 0.15	(Sabine et al., 2008)
150°W, 20°S–20°N	1991/1992–2006	0.25 ± 0.09	(Sabine et al., 2008)
Indian Ocean			
20°S–10°S	1978–1995	0.1	(Peng et al., 1998)
10°S–5°N	1978–1995	0.65	(Peng et al., 1998)
Section along 20°S	1995–2003/2004	1.0 ± 0.1	(Murata et al., 2010)
Atlantic Ocean			
Section along 30°S	1992/1993–2003	0.6 ± 0.1	(Murata et al., 2010)
~30°W, 56°S–15°S	1989–2005	0.76	(Wanninkhof et al., 2010)
20°W, 64°N–15°N	1993–2003	0.57	(Wanninkhof et al., 2010)
~25°W, 15°N–15°S	1993–2003	0.2	(Wanninkhof et al., 2010)
40°N–65°N	1981–1997/1999	2.2 ± 0.7	(Friis et al., 2005)

20°N– 40°N	1981–2004	1.2 ± 0.3	(Tanhua et al., 2007)
Nordic Seas	1981–2002/2003	0.9 ± 0.2	(Olsen et al., 2006)
Sub-decadal variations			
Irminger Sea	1981–1991	0.55 ± 0.39	(Perez et al., 2008)
Irminger Sea	1991–1996	2.3 ± 0.6	(Perez et al., 2008)
Irminger Sea	1997–2006	0.75 ± 0.16	(Perez et al., 2008)

6.3.2.4.4 Interannual variability in air-sea fluxes

The variability in the global ocean CO₂ sink is small compared to the variability coming from the terrestrial biosphere. In general, the oceans take up a few tenth of PgC yr⁻¹ more CO₂ during El Niño years (Park et al., 2010). This is because of the temporary suppression of the source of CO₂ to the atmosphere over the eastern Pacific, during El Niño episodes. Similar interannual variability of ≈ 0.3 PgC yr⁻¹ has been reported for the North Atlantic (Watson et al., 2009). The detection of changes in surface ocean CO₂ over several years to decades and attributions of drivers is a challenge because of the large heterogeneity of the surface ocean and the large seasonal cycle (~ 60 ppm at high latitudes; (Takahashi et al., 2009)). In regions where there are sufficient observations (>2 decades), the anthropogenic CO₂ uptake (through air-sea exchange) is usually the main driver of the air-sea CO₂ flux changes. However, this is modulated by natural variability on interannual to decadal time scales (McKinley et al., 2011).

6.3.2.5 Land Sinks

6.3.2.5.1 Global land sink

The land sink of CO₂ cannot be measured directly because of the huge heterogeneity of terrestrial ecosystem fluxes and pools. However, independent constraints allow an assessment of the magnitude and variability of land sink at the global scale. Global budget analyses constrained by observations of atmospheric CO₂ concentrations, fossil fuel emissions and ocean observations and models arrive at an aggregated estimate of the net land sink processes as a residual necessary to satisfy mass conservation, giving an uptake of 1.4 ± 0.8 , 2.4 ± 0.8 and 2.3 ± 0.9 PgC yr⁻¹ for the 1980s, 1990s, and 2000s, respectively (Table 6.1). The larger sink of anthropogenic carbon in the early 1990s has been associated with the response of the terrestrial ecosystems to the eruption of Mount Pinatubo and its effect on temperature and light (Mercado et al., 2009).

Global biospheric models forced by the same observationally-constrained surface weather field and observed atmospheric CO₂ concentrations estimate a sink of 1.8 ± 0.7 for the 1980s, 2.3 ± 0.9 for the 1990s and 2.6 ± 1.4 for the 2000s (Table 6.6). None of these models include land use change effects nor forest demography changes. The net flux of anthropogenic C into the terrestrial biosphere (including land use change emissions plus the residual sinks) has intensified globally from a small sink of 0.1 ± 0.7 PgC yr⁻¹ in the 1980s to a larger sink of 1.1 ± 0.6 and 1.4 ± 0.7 PgC yr⁻¹ during the 1990s and 2000s, respectively (Table 6.1; (Sarmiento et al., 2010)). This is consistent with trends in the net flux over land estimate from inversion methods, which estimate an increasing air-to-land flux of 0.57 ± 0.1 PgC yr⁻¹ per decade (Gurney and Eckels, 2011), and could be driven by a combination of decreased land use change emissions since 2000 (Table 6.1) and response of vegetation to climate change and variability (Figure 6.15).

Table 6.6: Estimates of land-to-atmosphere CO₂ flux by Global Vegetation Models.

Model name	N-limitation (yes/no)	1980–1989 GtC yr ⁻¹	1990–1999 GtC yr ⁻¹	2000–2009 GtC yr ⁻¹
CLM4C ^{b, c}	?	1.53	1.62	1.46
CLM4CN ^{b, c}	Yes	1.13	1.16	1.23
HY ^d	No	2.83	3.59	4.47
LPJ ^e	No	1.19	1.89	2.14

LPJ_GUESS ^f	No	1.05	1.56	0.92
OCN ^g	Yes	1.52	1.84	2.23
ORC ^h	No	2.08	3.05	3.74
SDGVM ⁱ	?	1.69	2.01	2.22
TRF ^j	?	1.78	2.59	3.84
VEGAS ^k	No	0.65	0.90	0.76
VISIT ^l	No	4.45	5.06	5.96
<i>Average^a</i>		1.80 ± 0.72	2.30 ± 0.93	2.63 ± 1.36

1 Notes:

2 (a) Average of all methods. The uncertainty represents ± 1 Mean Absolute Deviation from the mean.

3 (b) (Oleson et al., 2010)

4 (c) (Lawrence et al., 2011)

5 (d) (Levy et al., 2004)

6 (e) (Sitch et al., 2003)

7 (f) Smith et al., 2001

8 (g) (Zaehle and Friend, 2010)

9 (h) (Krinner et al., 2005)

10 (i) (Woodward and Lomas, 2004)

11 (j) (Cox, 2001a);

12 (k) (Zeng, 2003)

13 (l) (Ito, 2008).

14 All these models run through considering rising CO₂ concentration and climate change following the historical climate
15 carbon cycle model intercomparison project (Trendy) protocol

16 (<http://www.globalcarbonproject.org/global/pdf/DynamicVegetationModels.pdf>).

19 [INSERT FIGURE 6.15 HERE]

20 **Figure 6.15:** Time series for the land CO₂ sink showing the residual of the budget (emissions from fossil fuel and land
21 use change, minus the atmospheric growth and the ocean sink; gray shading) and results from global biospheric models
22 (see Table 6.6 for references). The gray shading shows one Mean Absolute Deviation from the mean.

24 6.3.2.5.2 Regional air-land fluxes

25 Atmospheric CO₂ inversions, terrestrial models and forest inventories consistently show that the largest net
26 terrestrial CO₂ sink is located in the Northern ex-tropics (Gurney and Eckels, 2011; Jacobson et al., 2007;
27 Pan et al., 2011; Sitch et al., 2008) (Figure 6.16). The tropics remain highly unconstrained with atmospheric
28 CO₂ stations, and inversion approaches show flux estimates ranging from neutral to a net source of at least
29 0.5–1.0 PgC (Gurney and Eckels, 2011; Jacobson et al., 2007). (Stephens et al., 2007) selected from
30 ensemble of inversion models, those that were consistent with independent aircraft cross-validation data, and
31 inferred a Tropical net land CO₂ source of 1.5 ± 0.6 PgC yr⁻¹ during the period 1992–1996, and a northern
32 hemisphere net land CO₂ sink of equivalent magnitude.

34 [INSERT FIGURE 6.16 HERE]

35 **Figure 6.16:** Decadal average CO₂ fluxes for 22 regions of the globe for the 1990s (blue) and 2000s (cyan). The mean
36 values are calculated from monthly-mean fluxes from 17 inverse models of the TRANSCOM project for the period of
37 1990–2008, and standard deviations shown as error bars are for model-to-model differences within each decade. The
38 minimum and maximum ranges of averages for the decade of 2000s are shown as the shaded envelope.

39
40 A number of regions have compared and reconciled regional flux estimates from multiple approaches and so
41 providing an important test for the degree of confidence on their net carbon balance and contribution to
42 global fluxes. This approach has yielded regional sink estimates including a 0.5 PgC yr⁻¹ sink for North
43 America (SOCCR: 2007), 0.3 PgC yr⁻¹ sink for Europe (Schulze, 2009), 0.2 PgC yr⁻¹ sink for China (Piao et
44 al., 2009b), and 0.4 ± 0.4 PgC yr⁻¹ sink for the Arctic (McGuire et al., 2009). A broader analysis of regional
45 contributions estimated a 1.7 PgC yr⁻¹ sink in the Northern Hemisphere above 20°N with consistent
46 estimates from terrestrial models and inventories (uncertainty: ± 0.3 PgC yr⁻¹) and atmospheric CO₂
47 inversions (uncertainty: ± 0.7 PgC yr⁻¹) (Ciais et al., 2010). In these studies, accounting for other GHGs, in
48 addition to CO₂, leads to decreased strength of regional sinks and, in some instances, to the complete

1 cancellation; Europe's 0.3 PgC yr⁻¹ net CO₂ sink is largely cancelled out by CH₄ and N₂O emissions from
2 agriculture and livestock (Schulze et al., 2009).

3
4 An assessment of the contribution of forest to regional land sinks based on forest biomass inventory data,
5 with coarse estimates of soil carbon balance, and the bookkeeping model of (Houghton, 2003) for
6 deforestation emissions, estimated a biome distribution of the 2000–2007 sink of 0.5 PgC yr⁻¹ to boreal
7 forests, 0.8 PgC yr⁻¹ to temperate forests, and a net zero flux (sinks minus deforestation emissions) to
8 tropical forests (Pan et al., 2011).

9 10 *6.3.2.5.3 Interannual variability of land fluxes*

11 Variability of the global land sink of anthropogenic carbon can be inferred from the residual term of the
12 global budget, which shows that land flux variability accounts for most of the interannual variability of the
13 atmospheric CO₂ growth rate (Figures 6.8 and 6.14). CO₂ regional anomalies suggest that the tropical land
14 dominates the global CO₂ variability with positive anomalies with El Niño years (Baker et al., 2006;
15 Bousquet et al., 2000; Rodenbeck et al., 2003), which is consistent with an inversion of atmospheric ¹³C and
16 CO₂ measurements (Rayner et al., 2008). A combined ENSO-Volcanic index time series explains 75% of the
17 observed variability (Raupach et al., 2008). Positive phase of ENSO (El Niño) is associated with enhanced
18 land CO₂ source, and negative phase (La Niña) is associated with enhanced land CO₂ sink (Jones and Cox,
19 2001; Peylin et al., 2005). Observations from eddy covariance networks suggest that interannual carbon flux
20 variability in the tropics and temperate regions is dominated by precipitation, while boreal ecosystem fluxes
21 are more sensitive to temperature and shortwave radiation variation (Jung et al., 2011).

22 23 *6.3.2.5.4 Land-ocean fluxes*

24 Estimates of the delivery of carbon from land to the oceans through riverine transport are ~0.2 for Dissolved
25 Organic Carbon (DOC), 0.3 for Dissolved Inorganic Carbon (DIC), and 0.1-0.4 Pg yr⁻¹ for Particulate
26 Organic Carbon (POC) (Mayorga et al., 2010; Seitzinger et al., 2005; Syvitski et al., 2005). Regional DIC
27 lateral fluxes are elevated by agricultural practices (Hamilton et al., 2007; Oh and Raymond, 2006; Perrin et
28 al., 2008) and coupled with climate change can lead to large increases in regional scale DIC export in
29 watersheds with a large agricultural footprint (Raymond et al., 2008). Furthermore, urban/suburbanization
30 also elevate DIC fluxes (Baker et al., 2008; Barnes and Raymond, 2009) which collectively suggests that
31 anthropogenic activities could contribute a significant portion of the annual global DIC flux to the ocean, but
32 a partition between natural and anthropogenic is not yet possible.

33
34 Land clearing and management have led to an acceleration of POC transport, much of which is trapped in
35 alluvial and colluvial deposition zones, lakes, reservoirs, and wetlands (Smith et al., 2001; Stallard, 1998;
36 Syvitski et al., 2005). One study has argued that due to its long residence time in these new depositional
37 environments this process leads to a sink of ~0.1 PgC yr⁻¹ (Van Oost et al., 2007). Due to the central role of
38 wetlands on DOC export (Seitzinger et al., 2005) the loss of global wetlands is probably the largest
39 anthropogenic driver of global DOC fluxes to date, although a global estimate of this alteration is not
40 available.

41 42 *6.3.2.6 Airborne Fraction*

43
44 The fraction of the total emissions of CO₂ (fossil fuel + LUC) that remain in the atmosphere - the 'airborne
45 fraction' (AF) - is an important diagnostic of the efficiency and variability of the CO₂ sinks at absorbing
46 excess CO₂ from anthropogenic emissions. Several factors can influence the AF. First and most importantly,
47 the sinks respond to the rate of change of the emissions. The AF should be constant if emissions grow
48 exponentially with a constant e-folding time (Bacastow and Keeling, 1979; Gloor et al., 2010). However,
49 other secondary factors can influence the AF, such as the response of carbon reservoirs to elevated CO₂,
50 nutrient availability, land management, warming, changes in physical climate and changes in terrestrial and
51 marine ecosystems (ie, non linear carbon-climate feed backs). Climate and CO₂ effects were suggested to be
52 important drivers of AF changes in future projections (Friedlingstein et al., 2006), increasing the AF by 0.07
53 (median of 11 models; range of 0.01–0.22; (Canadell et al., 2007)) in 2100 under the A2 high emissions
54 scenario.

55
56 Up to AR4 no significant trend in AF had been identified in the recent past. Until recently, the uncertainty in
57 LUC emissions was too large to provide a meaningful measure of the trend in AF, and a definition of the AF

1 using fossil fuel emissions only was used, including Figure 7.4 in AR4. Improved forest area loss statistics
2 (FAO, 2010) and satellite surveys (Hansen et al., 2010; Regalado, 2010) have contributed to reducing the
3 uncertainty in tropical LUC estimates (Section 6.3.5.2). A positive trend in AF of $\sim 0.3\% \text{ yr}^{-1}$ was found by
4 all recent studies using total CO₂ emissions over the ~ 1960 -2010 time period, but there is disagreement on
5 the significance and cause of this trend (Canadell et al., 2007; Gloor et al., 2010; Knorr, 2009; Raupach et
6 al., 2008). The significance of the AF positive trend is influenced by the specific consideration of uncertainty
7 and by the method used to filter out known variability associated with El Niño and volcanic activity. It was
8 above 90% significant (90% chances that the trend is not accidental) in (Raupach et al., 2008) and related
9 papers, but not in the other two independent studies. The cause of the trend is difficult to establish in the
10 context where there is considerable uncertainty as to its significance. Although the preeminent role of
11 changes in emissions growth rates in determining the AF trend is not disputed, (Gloor et al., 2010) and
12 (LeQuere et al., 2009) cannot account for a positive trend with the observed changes in emissions alone.
13 (LeQuere et al., 2009) can explain a positive trend using a multi-model ensemble of seven global models (4
14 land and 3 ocean models) only when climate change and variability are taken into account, suggesting that
15 the AF trend was caused by the response of the carbon sinks to climate. (Gloor et al., 2010) argue that the
16 observed positive trend in AF is driven by four extreme events only and that the fact that the trend is positive
17 is accidental. It is too early to conclude on the significance and cause of the AF trend given the lack of
18 agreement in the published literature.

19 6.3.2.7 Processes Driving CO₂ Fluxes

20 6.3.2.7.1 Ocean processes

21
22 Three type of processes are thought to have an important effect on the fluxes of CO₂ between the atmosphere
23 and the ocean on century time scales: 1) the dissolution of CO₂ at the ocean surface and its chemical
24 equilibrium with other forms of carbon in the ocean (mainly carbonate and bicarbonate), 2) the transport of
25 carbon between the surface and the intermediate and deep ocean, and 3) the cycling of carbon through
26 marine ecosystem processes.
27

28
29 The surface dissolution and equilibration of carbon with the atmosphere is well understood and quantified. It
30 varies with the surface ocean conditions, in particular with temperature and alkalinity (the ability of the
31 water to neutralize acids). The capacity of the oceans to take up additional CO₂ decreases at warmer
32 temperature and at elevated CO₂. These effects are well established and have been included in all previous
33 IPCC Assessments.
34

35 The transport of carbon between the surface and the intermediate and deep ocean regulates the rate at which
36 the oceans take up CO₂. The time scales of mixing between the surface and intermediate ocean (500–1000
37 m) are typically 10–50 years and 100s of years for mixing with the deeper ocean. Because these time scales
38 are relatively long, the rate of increase of CO₂ in the atmosphere largely determines the rate of uptake of CO₂
39 in the oceans, so that the ocean can absorb a larger fraction of the CO₂ emitted to the atmosphere when the
40 emissions occur more slowly. If ocean circulation changes, the uptake of carbon by the oceans would
41 change, both because the uptake of anthropogenic carbon would change but also because the natural cycle of
42 carbon would be modified. A more vigorous circulation generally results in more uptake of anthropogenic
43 carbon, compensated by an outgassing of natural carbon.
44

45 Marine plants and other organisms take up carbon in the surface ocean, which eventually form aggregates of
46 organic matter that sink and are remineralized in the intermediate and deep ocean, thus increasing the carbon
47 content of the deep ocean. The uptake of carbon by marine ecosystems is thought to be limited primarily by
48 nutrient supply, in particular nitrogen and iron. Although changes in ocean circulation and global
49 biogeochemical cycles have the potential to alter the carbon fluxes through changes in marine ecosystems,
50 and studies of marine ecosystems suggest changes in biomass or composition in recent decades (Beaugrand,
51 2009), modeling studies show only small biological variability that has not significantly impacted the
52 response of the ocean carbon cycle over the recent period (Bennington et al., 2009) and there is no evidence
53 that changes in ecosystems have had a large impact on the ocean CO₂ sink in recent decades, except through
54 a possible increase in iron fertilisation over the ocean from dust deposition, which could have enhanced the
55 ocean cumulative CO₂ uptake by 8 PgC (over a total of 154 ± 20 during 1750–2010) (Mahowald et al.,
56 2010).
57

1 The recent increase in North Atlantic surface water pCO₂ values since about 1990 at rates faster than
2 atmospheric CO₂ (causing a sink decrease) appear to be related to sea surface warming (Corbiere et al.,
3 2007) and/or changes in ocean circulation (Schuster and Watson, 2007; Schuster et al., 2009). The recent
4 changes could be in part linked to North Atlantic Oscillation (NAO) shift in the mid-nineties and/or Atlantic
5 Multidecadal Variability (AMV) positive state (McKinley et al., 2011; Thomas et al., 2007; Ullman et al.,
6 2009). In addition, rapid increases of pCO₂ observed in winter 2003–2008 (observed pCO₂ increases
7 between 5 to 7 μatm yr⁻¹) have been attributed to an increase of deep convection (import of rich-CO₂
8 subsurface and deep waters) that dominates the effect of recent cooling on pCO₂ (Metzl et al., 2010).

9
10 A weakening of the Southern Ocean CO₂ sink has been identified from atmospheric and ocean CO₂
11 observations (LeQuere et al., 2007; Metzl, 2009; Takahashi et al., 2009). Model studies suggest that the
12 Southern Ocean weakening occurs in response to an increase in Southern Ocean winds driving increase
13 upwards transport of carbon-rich deep waters (Lenton and Matear, 2007; LeQuere et al., 2010; Lovenduski et
14 al., 2007; Verdy et al., 2007), with changes only partly compensated by increasing eddy fluxes outside the
15 Southern Ocean and by primary production and export changes (Lenton et al., 2009). The increase in winds
16 has been attributed to the depletion of stratospheric ozone (Thompson and Solomon, 2002), and is projected
17 to recover sometime this century. There is less evidence available to attribute the observed changes in other
18 regions to changes in underlying processes or climate change and variability.

19
20 Model studies suggest that the response of the ocean to recent climate change since 1960 and variability
21 decrease the rate at which CO₂ is absorbed by the oceans ((Sarmiento et al., 2010); Section 6.4). This result
22 was repeated by four ocean models, using climate forcing fields from NCEP, NCEP2 and ECMWF
23 reanalysis data and from JPL wind product based on satellite data (Figure 6.14). The weakening of the sink
24 was attributed in one model to increases in winds in the Southern Ocean and in the equatorial Pacific, with a
25 ~20% contribution from warming and a 30% amplification of the response to climate change and variability
26 due to surface ocean warming (LeQuere et al., 2010). No formal attribution to anthropogenic climate change
27 has been made outside the Southern Ocean.

28 29 *6.3.2.7.2 Land processes*

30 Three type of processes are thought to have an important effect on the fluxes of CO₂ between the
31 atmosphere and the land: 1) processes driven by changes in atmospheric composition (eg, CO₂ and Nitrogen
32 deposition inducing a “fertilization effect” on ecosystem productivity), 2) processes driven by changes in the
33 physical climate (e.g., Net Primary Productivity and respiration, disturbance response to changes in
34 temperature, radiation or precipitation), and 3) processes driven by changes in land use (eg, deforestation,
35 afforestation) and land management (agricultural practice, forestry).

36
37 An understanding of the relative contribution of processes to the global net land sink is still limited, in part
38 because processes are highly interactive and often regionally explicit. A combination of experimental data,
39 observations and modeling suggest that the sink processes involved in the contemporary land C sink include
40 CO₂ fertilization effect on photosynthesis (including increased water use efficiency under rising CO₂), N
41 fertilization (Bonan and Levis, 2010; Gerber et al., 2010; McCarthy et al., 2010; Piao et al., 2009a; Thornton
42 et al., 2007; Zaehle et al., 2010b), forest regrowth and afforestation (Houghton, 2010; Pacala et al., 2001),
43 and increase radiation in the tropics (Gloor et al., 2009; Nemani et al., 2003). Dominant anthropogenic
44 sources are emissions from deforestation (Houghton, 2010).

45
46 The role of the CO₂ fertilization effect on NPP remains highly unconstrained despite its dominant role in the
47 net carbon exchange as estimated by terrestrial models (Sitch et al., 2008). Although some experiments
48 where ecosystems are exposed to elevated CO₂ most often show continuous stimulation of NPP to rising CO₂
49 (McCarthy et al., 2010) other experiments show a decreasing effect of the CO₂ fertilization over time. These
50 latter experiments suggest that nutrient limitation is the possible cause of the decline, particularly in N-
51 limited boreal and temperate forests (Canadell et al., 2007; Johnson, 2006; Körner, 2006; Norby et al., 2010).
52 A recent meta-analysis of data also suggests that soil respiration may decrease with added nitrogen (Janssens
53 et al., 2010).

54
55 Phosphorus (P) limitation of land carbon uptake have received much less attention (Vitousek et al., 2010).
56 Ecosystems with well-weathered soils in tropics and subtropics are therefore more P-limited than freshly

1 deglaciating regions in temperate and high latitude regions as demonstrated in a global terrestrial C, N, and P
2 modeling study (Wang et al., 2010a).

3
4 Finally, tropospheric ozone (O₃) is known to diminish carbon sequestration, with one case of a 2.6–6.8%
5 reduction of the NPP of the U.S. during 1980–1990 (Felzer et al., 2004). Tropospheric ozone results from
6 photochemical reaction between hydrocarbons and nitrogen oxides both from various pollution sources, and
7 causes cellular damage inside leaves which reduces stomatal conductance and photosynthetic rates.

8
9 Process attribution of regional sinks has been more successful than global attribution given the wider
10 availability of observations to combine and use in modeling approaches. Legacies of past forest clearing and
11 decreased harvest removal are key process to explain the US and European carbon sinks but in both cases
12 changes in forest extent and demographics fall short in fully explaining the observed C sink; other processes
13 such as CO₂ and N fertilization need to be invoked (Bellassen et al., 2010; Pan et al., 2009; Schulze et al.,
14 2010; Williams et al., 2011) with a tight coupling among them (Churkina et al., 2010). Other parts of the
15 temperate world such as East Asia, flux attribution is also calling for the same set of processes but higher
16 uncertainty exist (Piao et al., 2010). Increased incident solar radiation due to decrease cloud cover over the
17 last two decades in the tropics is suggested to be an important processes for the C sink in this region (Nemani
18 et al., 2003), as well as the CO₂ fertilization effect largely invoke in the absence of other possible processes
19 (Lewis et al., 2009; Pan et al., 2011). Other processes such as export from a region through river transport,
20 wood products, and net exports of food and wood can be significant components of the regional C balance
21 (Pacala et al., 2001).

22
23 Disturbances such as fires, insect damage, and drought are significant forces in driving inter-annual
24 variability of regional C sinks (Ciais et al., 2005; Lewis et al., 2011). It is not well understood to which
25 degree disturbance losses of CO₂ are compensated by regrowth (e.g., savannas fires) or constitute net losses
26 (e.g., peat fire, (Page et al., 2002); deforestation fires, (van der Werf et al., 2010).

27
28 As climate change proceeds over the next decades, disturbances are expected become more important in
29 driving long-term trend dynamics of regional C sinks, as it has already being observed in managed Canadian
30 forests due to increased fire frequency and insect damage (Kurz et al., 2008b).

31
32 More extensive climate change effects in driving the net carbon balance are in rising importance as
33 suggested by coupled carbon-climate models (Friedlingstein et al., 2006), and longer term processes with
34 potentially large carbon consequences including i) permafrost thawing (Koven et al., 2011; Schaefer et al.,
35 2011; Schuur et al., 2009) and ii) the savanization of the drier parts of the tropics (Cox et al., 2000; Lapola et
36 al., 2009).

37
38 Warming (and probably the CO₂ fertilization effect) had been estimated from trends in satellite greenness
39 observations to be responsible for a 6% NPP increase (3.4 PgC over 18 years) during the 1980s and 1990s
40 primarily to due relaxation of climatic constraints to plant growth, particularly in high latitudes (Nemani et
41 al., 2003). Although more recently Zhao and Running (2010) reported a reduction of 0.1% of NPP during the
42 period 2000–2009, a controversy has risen as the trend was a product of the NPP model used (Medlyn, 2011;
43 Samanta et al., 2011; Zhao and Running, 2011).

44 45 **6.3.3 Global CH₄ Budget**

46
47 AR5 is the first assessment providing a complete CH₄ budget and not only the reporting of a series of
48 published estimates as in TAR and AR4. An ensemble of atmospheric CH₄ inversion models (top-down),
49 and of process-based models and inventories (bottom-up) is used to derive the main emission sources and
50 their regional contributions for the past decades (Table 6.7, Budget). In the following, bottom-up approaches
51 are used to estimate decadal budgets per process emitting methane. Top-down inversions provide an
52 atmospheric-based constraint for the total methane source. Estimations of CH₄ sinks in tropospheric-OH,
53 soils and stratosphere are also reported for the past decades.

54 55 **6.3.3.1 Atmosphere**

1 Since preindustrial times, the concentration of CH₄ increased by a factor 2.5 (from 730 ppb to 1794 ppb in
2 2010) as observed by the network of more than 100 surface sites (Dlugokencky et al., 2011), aircraft profiles
3 in the planetary boundary layer, and to its establishment from analyses of trapped air bubbles on firn air and
4 ice cores (see Chapter 5 and Section 6.2). Figure 6.11. The growth is largely in response to increasing
5 anthropogenic emissions and the inter-hemisphere concentration gradient with higher concentration in the
6 north is consistent with human emissions. Currently, the vertically averaged CH₄ concentration field can be
7 determined by remote sensing from the surface using FTIR instruments and from space by three satellite
8 instruments: SCIAMACHY since 2003, IASI and GOSAT more recently. As an example, SCIAMACHY
9 (Frankenberg et al., 2008) clearly shows the gradient between the two hemispheres as well as increased
10 concentrations over South East Asia, explained by emissions from agriculture, waste, and energy production
11 (Figure 6.1).

12
13 The growth rate of CH₄ has declined since 1984 and quasi-stabilized concentrations are observed during the
14 years 1999–2006 associated with very low growth rates (Figure 6.17). The reasons for this decline are still
15 debated but different lines of evidence include: reduced emissions from the gas industry and other fossil fuel
16 related activities in the countries of the former Soviet union (Dlugokencky et al., 2003), reduced global fossil
17 fuel related emissions estimated using ethane as a proxy for fossil fuel methane emissions (Aydin et al.,
18 2011b), compensation between increasing anthropogenic emissions and decreasing wetland emissions
19 (Bousquet et al., 2006), reduced emissions from rice paddies (Kai et al., 2011), or change in OH
20 concentrations (Rigby et al., 2008).

21 22 [INSERT TABLE 6.7 HERE]

23 **Table 6.7:** Global CH₄ budget for the past three decades. T.-D. stands for top-down inversions and B.-U. for Bottom up
24 approaches. Full references are given at the end of the chapter. Ranges represent minimum and maximum values from
25 the cited references. The sum of sources and sinks from B-U approaches does not automatically balance the
26 atmospheric changes.

27
28 Since 2007 the growth of CH₄ is increasing again with additional emissions of 21 Tg and 18 Tg inverted
29 respectively for 2007 and 2008 (Bousquet et al., 2011) as compared to the 1999–2006 period. The increase
30 was found dominated by wetlands (Bousquet et al., 2011) and with some role of high latitudes in 2007
31 (Bousquet et al., 2011; Dlugokencky et al., 2009) as seen in the Hovmöller diagram of the growth rate vs
32 latitude (Figure 6.17) (Dlugokencky et al., 2009). Increasing CH₄ concentrations are in line with the
33 EDGAR4 emission inventory, which shows increasing anthropogenic emissions in the period 2000–2005,
34 related to increased energy production in growing Asian economies (EDGAR4). However, it remains
35 difficult to reconcile a scenario of compensating tendencies in the emissions from fossil fuel production and
36 natural wetlands with the observed global trends in CH₄ and ¹³C-CH₄ (Kai et al., 2011; Monteil et al., 2011).

37 38 [INSERT FIGURE 6.17 HERE]

39 **Figure 6.17:** Upper panel: Globally averaged growth rate of atmospheric CH₄ in ppm yr⁻¹ determined from the
40 GLOBALVIEW data product, representative for the marine boundary layer (Masarie and Tans, 1995). Orange dots
41 indicate annual values augmented by a smooth line to guide the eye. Lower panel: Atmospheric growth rate of CH₄ as a
42 function of latitude determined from the GLOBALVIEW data product.

43 44 6.3.3.2 Emissions and Spatial Attribution

45
46 Regional CH₄ budgets are composed by various methane sources around the globe, which are biogenic,
47 thermogenic, or pyrogenic in origin (Neef et al., 2010), and they can be the direct result of either human
48 activities or natural processes (Table 6.7). Biogenic sources are due to degradation of organic matter in
49 anaerobic conditions (natural wetlands, ruminants, waste, landfills, rice paddies, fresh waters, termites).
50 Thermogenic sources come from the transformation of organic matter into fossil fuels on geological
51 timescales (natural gas, coal, oil). Pyrogenic sources are due to incomplete combustion of organic matter
52 (biomass and biofuel burning). Some sources can eventually combine a biogenic and a thermogenic origin
53 (e.g., natural geological sources such as oceanic seeps, mud volcanoes, or hydrates). Each of these three
54 processes is characterized by a range of different fractionations regarding the use of ¹³CH₄ molecules: –55–
55 70‰ for biogenic, –25–45‰ for thermogenic, and –13–25‰ for pyrogenic. Measurements in ¹³CH₄ can help
56 partitioning the different methane sources (Bousquet et al., 2006; Monteil et al., 2011; Neef et al., 2010) if
57 process discriminations are reasonably known.

1 During the decade of the 2000s, natural sources of methane represent 244–368 TgCH₄ yr⁻¹ (Table 6.7). The
2 single most dominant CH₄ source of the global flux and inter-annual variability is CH₄ emissions from
3 wetlands from the tropics and high latitudes (174–280 TgCH₄ yr⁻¹). The term “wetlands” covers a variety of
4 areas emitting methane: wet soils, swamps, peatlands, fresh waters from lakes and rivers. They are highly
5 sensitive to natural and human-induced climate change and variability, as seen in the recent 2007–2008
6 positive anomalies in precipitation and temperature. The relatively dry conditions that prevailed in some
7 regions of the northern hemisphere continents during the late 1990s and early 2000s may have decreased
8 wetland emissions at this period (Bousquet et al., 2006). Although improving rapidly, the calculation of
9 methane emissions from natural wetlands by process-based models still shows significant discrepancies in
10 magnitude and variability reflecting the difficulty to represent and quantify the variety of underlying
11 processes (WETCHIMP intercomparison).

12
13 Since AR4, natural geological sources have received more attention and have been re-evaluated and
14 synthesized by (Etiope et al., 2008). Emissions from terrestrial (13–29 TgCH₄) and marine (1–10 TgCH₄)
15 seepages, mud volcanoes (6–9 TgCH₄), hydrates (5–10 TgCH₄ yr⁻¹), and geothermal and volcanic areas (3–6
16 TgCH₄) may represent between 42 and 64 TgCH₄ yr⁻¹. This large contribution from natural, geological, and
17 partly fossil, CH₄ is consistent with a recent ¹³CH₄ analysis re-evaluating that natural and anthropogenic
18 fossil contributions to the global methane budget to be around 30% (Lassey et al., 2007) and not around 20%
19 as previously thought.

20
21 Of the natural sources of CH₄, emissions from thawing permafrost and methane hydrates, particularly in the
22 Arctic, are potentially important in the next century because they could increase dramatically due to the rapid
23 climate warming of the Arctic and the large C pools stored there (Tarnocai et al., 2009). Super saturation of
24 dissolved CH₄ at the bottom and surface waters in the East Siberian Arctic Shelf demonstrate some CH₄
25 activity of the region, with a net flux sea-air flux of 7.9 TgC-CH₄ which is similar in magnitude of the flux
26 for the entire oceans (Shakhova et al., 2010). The ebullition from decomposing thaw lake sediments in north
27 Siberia with estimated flux of ~4 Tg CH₄ yr⁻¹ is another demonstration of the activity of the region with its
28 future potential (van Huissteden et al., 2011; Walter et al., 2006). Over the past decades, however, there exist
29 no evidence for significant emission of CH₄ from permafrost and hydrates has been detected (Dlugokencky
30 et al., 2009).

31
32 Pyrogenic sources of CH₄ has small contribution in the global flux (17–24 TgCH₄ yr⁻¹) but plays a role in
33 inter-annual variability particularly from the burning of tropical and boreal forests in response to regional
34 droughts and deforestation. Tropical fire activity during the 1997–1998 ENSO, dominated by the burning of
35 forests and peatland in Indonesia and Malaysia, released 12 TgCH₄ (Langenfelds et al., 2002; van der Werf
36 et al., 2004), with other fire emissions occurrence observed during the dry spell over the northern mid-
37 latitudes in 2002–2003, in particular with high fires over Eastern Siberia in 2003 (Simmonds et al., 2005)
38 and possibly Russia in 2010. Biofuel burning is estimated to be a source of 10 TgCH₄ per year (Yevich and
39 Logan, 2003).

40
41 (Keppler et al., 2006) reported that plants under aerobic conditions were able to emit CH₄ emissions, and so
42 adding a large emission source that had not been previously considered in the global CH₄ budget. Later
43 studies do not support plant emissions as a wide spread mechanism as suggested by Keppler et al. (Dueck et
44 al., 2007; Nisbet et al., 2009; Wang et al., 2008). Methane emissions have been detected from dry organic
45 material exposed to UV radiation, but the emission rates are about an order of magnitude lower (Vigano et
46 al., 2008). Alternative mechanisms have been suggested involving adsorption and desorption, and not new
47 production (Kirschbaum and Walcroft, 2008; Nisbet et al., 2009), degradation of organic matter under strong
48 UV light (Dueck et al., 2007; Nisbet et al., 2009). Nisbet et al. (2009) concluded that emissions of methane
49 by plants under aerobic conditions are not a large source of the global methane production.

50
51 Anthropogenic CH₄ sources range between 235 and 338 TgCH₄ yr⁻¹ during the 2000s (Table 6.7) and include
52 rice-paddies agriculture, ruminant animals, sewage and waste, landfills, and fossil fuel extraction, storage,
53 transformation, transportation and use (coal mining, gas, oil and industry); they are now dominant over
54 natural sources for top-down inversions but of the same for bottom-up models and inventories (Table 6.7).
55 Rice paddies emit between 28 and 44 TgCH₄ yr⁻¹, continuously flooded paddies having much higher
56 emissions per square meter than drought-prone or rain-fed paddies. 90% of emissions come from Monsoon
57 Asia, and more than 50% from China and India alone (Yan et al., 2009). Ruminant livestock, such as cattle,

1 sheep, goats, and deer produce methane by food fermentation in their anoxic rumens with a total estimated
2 between 73 and 94 TgCH₄yr⁻¹. Major regional contributions to this flux come from India, China, Brazil, and
3 the US (EPA, 2006). India, with the world's largest livestock population (485 Millions), emitted 12 TgCH₄
4 in 2003, including emission from enteric fermentation (11 Tg) and manure management (1 Tg) (Chhabra et
5 al., 2009). Methanogenesis in livestock manure and wasted waters produce between 14 and 25 TgCH₄ y⁻¹
6 due to anoxic conditions and a high availability of acetate, CO₂ and H₂. The same conditions generally apply
7 to landfills that are responsible for emissions of 34 to 49 TgCH₄yr⁻¹. Loss of natural gas (~90% methane) is
8 the largest contributor to fossil fuel related emissions (52–69 TgCH₄ yr⁻¹). Fugitive emissions are high in the
9 Russian Federation, as they relate to older energy infrastructure, and in the USA (EPA 2006). Coal mining
10 contributes between 18 and 35 TgCH₄ yr⁻¹. Residual emissions are due to oil industry.

11
12 Global methane emissions, as estimated from the sum of bottom-up models and inventories, are still very
13 uncertain (479–706 TgCH₄ yr⁻¹) for the years 2000s. However, top-down inversions provide a more
14 narrowed range (518–550 TgCH₄ yr⁻¹), based on the assimilation of atmospheric observations of methane,
15 and can help closing the methane budget, although they do not provided as detailed budget per emitting
16 process as bottom-up approaches (Table 6.7).

17 18 6.3.3.3 Sinks

19 The main sink of atmospheric methane is its oxidation by OH radicals which takes place mostly in the
20 troposphere and stratosphere (Table 6.7). OH removes about 90% of atmospheric CH₄ determining a lifetime
21 of about 9 years (7–11 years) for an atmospheric burden of 4800 TgCH₄ (4700–4900 TgCH₄) (ACCMIP
22 intercomparison). Oxidation in dry soils take about 22–28 TgCH₄ yr⁻¹. A small sink is suspected, but still
23 debated, in the marine boundary layer due to a reaction with Chlorine (Allan et al., 2007).

24
25 There have been a number of published estimates of global OH concentrations and variations over the past
26 decade (Bousquet et al., 2005; Dentener et al., 2003; Montzka et al., 2011; Prinn et al., 2001; Prinn et al.,
27 2005; Rigby et al., 2008). The very short lifetime of OH makes it difficult to estimate global OH
28 concentrations from the aggregation of sparse direct measurements. Either chemistry transport models
29 (CTMs) or proxy methods have to be used to get a global mean value and time variations. CTMs produce
30 small variations of OH radicals, typically of 1–3% due to a high buffering of this radical by the atmospheric
31 photochemical reactions. Atmospheric inversions using methyl-chloroform as a proxy (MCF) find much
32 larger variations for the 1980s and the 1990s (5–10%), likely because of a too large sensitivity to
33 uncertainties on methyl-chloroform emissions (Montzka et al., 2011). For the 2000s, the reduction of MCF
34 in the atmosphere, due to the Montreal protocol, allows a consistent estimate of OH variations between
35 atmospheric inversions (within 5%) and CTMs (within 3%). However, the very low atmospheric values
36 reached by MCF (a few ppt in 2010) impose to find another OH proxy in the next years. The mean global
37 OH value from CTMs, mostly determining the global source of CH₄ compatible with the atmospheric
38 changes, is still very uncertain (473–594 TgCH₄ yr⁻¹) for the 2000s. Finally, changes in OH concentrations
39 are found to play a significant (Rigby et al., 2008) to only small role (Bousquet et al., 2011) in this increase
40 of atmospheric methane since 2007.

41 42 6.3.4 Global N₂O Budget

43
44 The Fourth Assessment Report of IPCC (AR4) estimated total N₂O emissions in the 1990s (Table 6.8). Since
45 then, a number of studies have been published that give reason to update some of the N₂O emission
46 estimates.

47
48 First and most importantly, the IPCC Guidelines have been revised in 2006 (IPCC, 2006). In particular the
49 emission factors for estimating agricultural emissions have been updated (De Klein et al., 2007). Applying
50 these 2006 emission factors to global agricultural statistics, results in direct emissions from agriculture (from
51 fertilized soils and animal production) that are higher than in AR4, but indirect emissions (mainly from
52 leaching and runoff) that are considerably lower (Table 6.8).

53 54 [INSERT TABLE 6.8 HERE]

55 **Table 6.8:** Section 1 gives the Global N budget (TgN yr⁻¹): a) creation of reactive N, b) emissions of NO_x, NH₃ in
56 2000s to atmosphere, c) deposition of N to land and oceans and d) discharge of total N to coastal ocean. Section 2 gives
57 the N₂O budget for the year 2005, and for the 1990s compared to AR4. Unit: Tg N₂O-N yr⁻¹.

1
2 Second, it has been recently recognized that the open oceans are an anthropogenic source of N₂O (Duce et
3 al., 2008). Atmospheric deposition of anthropogenic N (nitrogen oxides and ammonia) may increase N₂O
4 emissions from the open ocean. This anthropogenic source was not considered in AR4, but is included now
5 in Table 6.8.

6
7 Third, a first estimate was published of global N₂O uptake at the Earth's surface (Syakila and Kroeze, 2011;
8 Syakila et al., 2010), based on reviews of measurements of N₂O uptake in soils and sediments (Chapuis-
9 Lardy et al., 2007; Kroeze et al., 2007). The uncertainty in this estimate is large. On the global scale, surface
10 uptake may seem negligible. At the local scale, however, it may not be irrelevant. It is therefore included in
11 Table 6.8.

12 13 6.3.4.1 Atmosphere

14 The concentration of N₂O has increased by 20% (from 270 ppb to 324 ppb in [years needed] since the onset
15 of the Industrial Revolution (Figure 6.11), (MacFarling-Meure et al., 2006)).

16
17 Figure 6.18 shows concentration and annual growth rate of atmospheric N₂O estimated from direct
18 measurements (NOAA/ESRL program). On decadal time scales the concentration of N₂O keeps rising
19 steadily at a rate of 0.73 ± 0.03 ppb yr⁻¹. Interannual variations of the N₂O growth rate are clearly
20 discernible, although the sparseness of the station network and lower quality of the instrumentation make the
21 early record prior to 1986 less robust. The origin of the interannual variability is poorly understood. It has
22 been shown to be correlated to changes in northern hemisphere soils water content (Ishijima et al., 2009),
23 however, since only a few long term stations are used to estimate the global growth rate, atmospheric
24 transport processes and in particular variations in stratosphere-troposphere exchange also contribute to the
25 observed interannual variability (Nevison et al., 2007).

26 27 **[INSERT FIGURE 6.18 HERE]**

28 **Figure 6.18:** Globally averaged growth rate of N₂O in ppm yr⁻¹ determined from the observations of the NOAA/ESRL
29 halocarbons program. Brown dots indicate annual values augmented by a smoothed line to guide the eye.

30 31 6.3.4.2 Sources and Sinks

32
33 Most N₂O is produced during biological (bacterial) processes such as nitrification and denitrification in soils
34 and sediments. In general, more N₂O is formed when more reactive nitrogen is available. The production of
35 N₂O shows a large spatial and temporal variation. Experimental data are mostly available for terrestrial
36 systems in temperate zones. As a result emission estimates for tropical regions and for aquatic systems are
37 relatively uncertain.

38
39 Table 6.8 does not include formation of atmospheric nitrous oxide from the abiotic decomposition of
40 ammonium nitrate in the presence of light, appropriate relative humidity and a surface. This abiotic
41 production has been recently proposed as a potentially important source of N₂O (Rubasinghege et al., 2011).
42 A global estimate of the source strength, however, does not yet exist.

43
44 Table 6.8 indicates that the global N₂O emissions in the mid 1990s are now estimated at 18.5 Tg N yr⁻¹. This
45 is 3% higher than the estimate in AR4 (17.7 Tg N yr⁻¹ in AR4). Anthropogenic emissions have steadily
46 increased since over the last two decades and in 2006 were 15% higher than the value in the early 1990's.
47 Overall, anthropogenic emissions are now a factor of 8 greater than the level in 1900. These trends are
48 consistent with observed increases in atmospheric N₂O (Syakila et al., 2010).

49 50 6.3.4.3 Feedbacks from N₂O and Climate

51
52 Early studies have suggested a considerable positive feedback between N₂O and climate (Khalil and
53 Rasmussen, 1989) supported by observed glacial-interglacial swings in atmospheric N₂O (Fluckiger et al.,
54 1999). Climate changes influence marine and terrestrial sources, but their individual contribution and even
55 the sign of their response to climate variations are difficult to estimate, and there appears to be no consensus
56 about the sources responsible for the long-term (glacial-interglacial) N₂O concentration changes. Simulations
57 of a terrestrial biosphere model suggests a moderate increase of global N₂O emissions with recent climatic

1 changes (Zaehle and Dalmonech, 2011). However, most of the change in atmospheric N₂O is attributed to
2 anthropogenic reactive nitrogen (Nr) and industrial emissions (Davidson, 2009; Holland et al., 2005; Zaehle
3 and Dalmonech, 2011). Significant uncertainty remains in the N₂O-climate feedback from land ecosystems,
4 as it is very sensitive to the changes in the seasonal and frequency distribution of precipitation, and also
5 because agricultural emissions themselves may also be sensitive to climate.

6
7 Methods to monitor ecosystem exchanges of N₂O have greatly improved in recent years, but technological
8 challenges remain and the network remains very sparse (Sutton et al., 2007). Climate change will directly
9 affect nitrification and denitrification processes, and thus N₂O production, due to its effect on temperature
10 and soil moisture regimes (Butterbach-Bahl and Dannenmann, 2011). N₂O emissions may also be influenced
11 indirectly by the effects of CO₂ fertilisation or N deposition induced changes in soil moisture or nitrogen
12 availability due to plant-soil interactions (Barnard et al., 2005; Singh et al., 2010). The few warming
13 experiments of ecosystems reporting changes in N₂O emissions show varying responses, likely due to co-
14 occurring changes in soil moisture or water-table (Chantarel et al., 2011; Lohila et al., 2010; Menyailo and
15 Hungate, 2006). The response of N₂O emissions to elevated CO₂ can be either an enhancement (Ineson et
16 al., 1998; vanGroenigen et al., 2011) or reduction (Billings et al., 2002; Mosier et al., 2002), resulting from
17 the varying response of soil N availability to CO₂ enhancement (Reich et al., 2006). In ecosystems where N
18 is not limiting, the N₂O response to temperature and atmospheric CO₂ increases will likely be positive
19 (Butterbach-Bahl and Dannenmann, 2011).

20
21 Regional to global scale model application suggest a strong effect of climate variability on interannual
22 variability of land N₂O emissions (Tian et al., 2010; Zaehle and Dalmonech, 2011). Only few projections of
23 the effects of terrestrial N₂O emissions to future climate changes and elevated CO₂ conditions exist, and
24 there is little confidence in the overall response, (Kesik et al., 2006) found that for European forest
25 ecosystems that climate change reduced N₂O emissions on average, associated with decreased soil moisture
26 and warmer temperatures, despite increases of up to 20% in central Europe, while other modelling studies
27 have found no significant effect (Abdalla et al., 2010).

28 29 6.3.4.4 Global N Sources

30
31 The anthropogenic sources of newly created reactive N to the global system are dominated by food
32 production—N fertilizer and cultivation induced biological N fixation account total 170 TgN yr⁻¹, out of an
33 anthropogenic total of 219 TgN yr⁻¹. Other important sources, creation of NO_x by combustion of fossil fuels
34 and creation of NH₃ as an industrial feedstock (e.g., nylon production), are of the same magnitude (24 TgN
35 yr⁻¹) but have very different fates. The former is directly emitted into the atmosphere with rapid distribution
36 to other environmental systems, while the latter is becomes part of an industrial stream and little is known
37 about its ultimate fate. There is a net transfer of reactive N from the continental atmosphere to the marine
38 atmosphere, resulting in N deposition to the oceans that is greater than riverine discharge.

39 40 6.3.5 New Observations and Evaluation of Carbon Cycle Models

41 42 6.3.5.1 New Observations

43
44 Since AR4, there are a number of new observations which provide addition knowledge and datasets to
45 validate and further constrain global carbon cycle models.

46 47 [INSERT FIGURE 6.19 HERE]

48 **Figure 6.19:** New observations since AR4: a) Climatological mean annual sea–air CO₂ flux (gC m⁻² yr⁻¹) for the
49 reference year 2000 (Takahashi et al., 2009); b) Column inventory of anthropogenic carbon in the ocean in 2008
50 (Khatiwala et al., 2009); c) Distribution of forest aboveground biomass (circa 2000) (Saatchi et al., 2011); d) Soil
51 organic carbon content in the northern circumpolar permafrost region (Tarnocai et al., 2009); e) Median annual GPP
52 (gC m⁻² yr⁻¹) (Beer et al., 2010); f) Forest fluxes and its regional attribution, PgC yr⁻¹ (Pan et al. 2011); g) Column
53 averaged CH₄ concentration retrieved by the SCIAMACHY instrument on board of the ENVISAT satellite; 7-year
54 average 2003–2009 (Schneising et al., 2009); g) mean annual carbon emissions from biomass burning and wildfires (gC
55 m⁻² yr⁻¹), averaged 1997–2010 (updated from van der Werf et al., 2010).

6.3.5.1.1 *Surface ocean pCO₂*

Repeated observations have provided new information on the rate of change of ocean CO₂ with respect to the atmosphere (Schuster et al., 2009; Takahashi et al., 2009), with 2 million additional observations since the AR4 (Figure 6.19a).

6.3.5.1.2 *History of anthropogenic carbon in the ocean*

A reconstruction of the spatially resolved and time-dependent history of anthropogenic carbon in the ocean estimated a total inventory of 151 ± 40 Pg C and an uptake rate of 2.3 ± 0.6 Pg for 2008, updated from (Khatiwala et al., 2009) (Figure 6.19b).

6.3.5.1.3 *Biomass of tropical forests*

Using a combination of data from 4,079 in situ inventory plots and satellite light detection and ranging (Lidar) samples of forest structure, (Saatchi et al., 2011) mapped the spatial distribution of total forest biomass over tropical regions. Tropical total forest biomass is about 247 PgC, which is close to another independent estimation of 264–274 PgC based on forest inventory data and long-term ecosystem carbon studies (Pan et al., 2011). (Figure 6.19c)

6.3.5.1.4 *Carbon pools in the northern circumpolar permafrost region*

A new estimate of the carbon stores in the permafrost region shows that the size of the pool is 1672 PgC which includes pool size estimates from less conventional pools such a carbon in deltaic and deep yedoma deposits (Tarnocai et al., 2009) (Figure 6.19d).

6.3.5.1.5 *Gross primary production*

Using eddy covariance and satellite observation, a recent data-oriented estimation shows that global GPP is about 123 ± 8 PgC yr⁻¹, and tropical vegetation accounts for 60% of the global GPP (Beer et al., 2010). Note that most eddy covariance towers are located in northern temperate regions, and remote sensing techniques are only partially effective in tropical regions. However, the current accepted size of GPP flux has been recently challenged (Welp et al., 2011) (Figure 6.19e). See Box 6.3 for more detail.

6.3.5.1.6 *Forests carbon fluxes*

A combination of forest inventories, remote sensing and modeling enabled to estimate the net global forest sink at 1.1 ± 0.8 PgC yr⁻¹ during 1990–2007 with its regional contributions. The global flux was made up of 2.4 ± 0.4 PgC yr⁻¹ sink in established forests, 2.9 ± 0.5 PgC yr⁻¹ source from deforestation in the tropics, and 1.6 ± 0.5 PgC yr⁻¹ sink in regrowing tropical forests (Pan et al., 2011). (Figure 6.19f).

6.3.5.1.7 *Satellite column CH₄*

Column averaged CH₄ concentration retrieved by the SCIAMACHY instrument on board of the ENVISAT satellite; averaged over the year 2005 (Schneising et al., 2009). (Figure 6.19g).

[START BOX 6.3 HERE]

Box 6.3: Trends in Satellite Based Data on Global Terrestrial Photosynthetic Capacity from 1982 to 2010

The large difference in reflected amounts of near-infrared (~ 800 to 1100 nanometers, nm) and red (~ 600 to 700 nm) components of solar radiation (~ 250 to 2500 nm) is unique to green vegetation, and when appropriately normalized, as in the case of the Normalized Difference Vegetation Index (NDVI), this difference is a radiometric proxy of vegetation photosynthetic capacity. Typical NDVI values range between -0.2 and 0.1 for snow, inland water bodies, deserts, and exposed soils, and increase from about 0.1 to over 0.9 for increasing amounts of vegetation.

The Global Inventory Monitoring Modeling Studies (GIMMS) group at National Aeronautics and Space Administration (NASA) Goddard Space Flight Center (GSFC; PI: Compton J. Tucker) recently produced a third generation NDVI data set (NDVI3g) with raw data from the Advanced Very High Resolution Radiometers (AVHRR) onboard a series of National Oceanic and Atmospheric Administration (NOAA) satellites numbered 7, 9, 11, 14, 16, 17 and 18). The NDVI3g data set has a spatial resolution of 8km by 8km

1 square pixels. The maximum NDVI value over a 15-day period is used to represent each 15-day interval
2 because atmospheric corruption of measured radiances decreases the magnitude of NDVI. This compositing
3 scheme results in two maximum-value NDVI composites per month. The NDVI3g record spans the period
4 July 1981 to December 2010. A method was developed to define the start and end dates of the growing
5 season and the number of growing seasons per year for each pixel (Figure 1A-rbm).

6
7 Statistically significant (10% level) trends in GSI NDVI for the 1980s (Figure 1B-rbm), 1980s plus 1990s
8 (Figure 1C-rbm) and 1980s plus 1990s and 2000s (Fig. 1D-rbm) show a tendency for more greening than
9 browning of global vegetation (Table 3-rbm). For example, during the first 19 years of the NDVI data record
10 (1982 to 2000), about 23% of the global vegetation showed a statistically significant increase in GSI NDVI,
11 about 3% showed a decline and 69% showed no change (the remaining 5% had invalid NDVI data to
12 evaluate trends). When the same trends are evaluated for the entire 29 years of the data record (1982 to
13 2010), the percentage of greening vegetated areas increases by 9% and browning also increases by 7%.
14 Although these changes, due to adding 10 years of data from 2000s, are comparable, the percentage of global
15 vegetation exhibiting greening is three times larger than the browning vegetation (31% vs. 10%). Together
16 these results suggests a greening planet either due to continuing relaxation of climatic constraints to plant
17 growth and/or reflects other processes such as CO₂ fertilization effects modulated by interannual variability
18 in climatic factors governing plant growth.

19
20 **[END BOX 6.3 HERE]**

21 22 23 6.3.5.2 *Model Evaluation of Global and Regional Carbon Balance*

24
25 Ocean models have reproduced to a first order the air-sea fluxes of CO₂ derived from observations
26 (Takahashi et al., 2009) for at least ten years, including their general patterns and amplitude (Sarmiento et
27 al., 2000), the anthropogenic uptake of CO₂ (Orr et al., 2001), and the regional distribution of air-sea fluxes
28 (Gruber et al., 2009). The model spread is largest in the Southern Ocean (Matsumoto et al., 2004), where
29 intense mixing occurs and model skills is relatively low. Tracer observations (Schmittner et al., 2009) and
30 water mass analysis (Iudicone et al., 2011) have been used to reduce the uncertainty associated with ocean
31 mixing and improve carbon fluxes in models.

32 6.3.5.2.1 *Sensitivity to climate and CO₂*

33 *Sensitivity of carbon cycle to CO₂.* The sensitivity of ocean models to the level and rate of change of CO₂ in
34 the atmosphere can be evaluated from several studies that have isolated the uptake of anthropogenic CO₂
35 from changes in the natural carbon cycle using combinations of observations. Over the 1750–2010 time
36 period, the oceans took up 154 ± 20 PgC for an increase in atmospheric CO₂ of 112 ppm, which gives an
37 average uptake per unit of atmospheric CO₂ increase (or “Beta-ocean” factor) of 1.4 ± 0.2 PgC ppm⁻¹. Over
38 the past three decades only, estimates of the Beta-ocean are similar at 1.3 ± 0.3 PgC ppm⁻¹. The estimate of
39 (Khatiwala et al., 2009) also provides time-varying information for Beta-ocean for the annual ocean CO₂
40 uptake, and show a peak in Beta of 1.7 in the early 1950s decreasing to 1.4 around 2005. Models that have
41 estimated the anthropogenic CO₂ uptake for 1800–1990 give a median Beta factor of 1.7 (1.4–1.9) (Orr et al.
42 2001), also with a peak around the early 1950s. Thus both models and observations support a decreasing
43 Beta factor in recent decades. The analysis of (Khatiwala et al., 2009) further suggests that the decreasing
44 Beta factor is mostly a response to the time varying atmospheric CO₂ rather than to the effect of non-linear
45 chemistry associated with elevated atmospheric CO₂.

46
47
48 *Sensitivity of carbon cycle to climate.* It is more difficult to evaluate the sensitivity of ocean models to
49 temperature and other changes in climate which drive ocean circulation changes, and thereby ocean carbon
50 cycle changes. The relationship between air-sea CO₂ flux and temperature is strongly dependent on the
51 oceanic region and on the time-scale. In general, the ocean takes up more CO₂ during El Niño events when
52 the world temperature is warm (see Section 6.3.6.4), and more CO₂ during glacial periods when the world
53 temperature was cold (see Section 6.2.2.1.1). These time scales are not fully relevant to climate dynamics
54 this century. Changes in atmospheric CO₂ by less than 25 ppm during Dansgaard-Oeschger events were
55 thought to be caused by the ocean (Schmittner and Galbraith, 2008) on a millennial time scale. Although
56 these events are relevant, they were associated with a re-organisation of the surface temperature rather than
57 global mean temperature change. The three ocean carbon cycle models used in (LeQuere et al., 2009) give a

1 decrease ocean CO₂ uptake of 0–43 PgC during 1959–2008 in response to climate change and variability.
2 This corresponds to a sensitivity of the cumulative CO₂ uptake to global temperature (gamma-ocean) of 0–72
3 PgC/°C over the past 50 years, a similar range as the –14 to –67 Pg C/°C simulated in carbon-climate models
4 for year 2100 (Friedlingstein et al., 2006).

6.3.5.2.2 *Processes missing in models*

5
6 The most important process missing in ocean carbon cycle models is the representation of small-scale
7 physical mixing, which has an important influence on the vertical transport of water, heat and carbon. In
8 particular, physical mixing in the Southern Ocean is thought to have caused most of the 80–100 ppm changes
9 in atmospheric CO₂ during glaciations (Sigman et al., 2010), a signal which is not entirely reproduced by
10 models (Section 6.2) and suggests that the sensitivity of ocean models could be underestimated by an amount
11 equivalent to up to ~20 ppm/°C over millennial time scales.
12

13
14 Ecosystem processes in ocean models are also limited to the lower trophic levels, with crude
15 parameterizations for bacterial and other loss processes and their temperature-dependence. Nevertheless
16 models reproduce to a first extent the patterns and seasonal amplitude of surface ocean pCO₂ and the uptake
17 of anthropogenic carbon, suggesting that up to now changes in ecosystem processes have not had a dominant
18 effect on ocean CO₂. Nevertheless, projected changes in temperature, ocean acidification, and top-down
19 control by fisheries are all considered potentially important, though not yet quantified.
20

6.3.5.3 *Model Evaluation of Global and Regional Terrestrial Carbon Balance*

21
22
23 Evaluation of model outputs is done against ground and/or satellite observations including i) measured
24 carbon fluxes and storage at particular sites around the world (Jung et al., 2007; Schwalm et al., 2011;
25 Stockli et al., 2008; Tan et al., 2010), ii) observed spatio-temporal change in LAI (Lucht et al., 2002; Piao et
26 al., 2006), and iii) interannual and seasonal change in atmospheric CO₂ (Cadule et al., 2010; Randerson et al.,
27 2009).
28

29 Figure 6.15 compares global terrestrial net ecosystem exchange, NEE, simulated by different global carbon
30 cycle models without accounting land use change, with the residual land sink estimated as the sum of fossil
31 fuel emission, cement emission and land use change emissions minus the atmospheric and ocean CO₂ sinks
32 from 1980 to 2009 (Friedlingstein and Prentice, 2010; LeQuere et al., 2009). The observed magnitude of
33 residual land sink and its trend is reproduced by the multi-model mean, despite of the large discrepancies
34 among individual models. Poor availability of in situ measurements, particularly in the tropics, limits the
35 progress towards reducing uncertainty.
36

37 At the regional scale, modeling terrestrial carbon dynamics are better constrained because higher availability
38 of data. Current inventory approach shows that forest carbon budget over Europe is about $-89 \pm 19 \text{ gC m}^{-2}$
39 yr^{-1} , which is comparable with the model estimation with afforestation ($-63 \text{ gC m}^{-2} \text{ yr}^{-1}$) (Luyssaert et al.,
40 2010). The model estimated vegetation productivity, however, is substantially larger than inventory
41 estimation by 43%. (Schwalm et al., 2010) evaluated 22 terrestrial carbon cycle models' ability to simulate
42 seasonal cycle of CO₂ exchange from 44 eddy covariance flux towers in North America, and found that the
43 difference between observations and simulations was about 10 times of observational uncertainty. In China,
44 although the magnitude of carbon sink produced by five carbon cycle models (-0.22 to $-0.13 \text{ PgC yr}^{-1}$) was
45 close to the inventory-satellite estimation ($-0.177 \pm 73 \text{ PgC yr}^{-1}$) (Piao et al., 2009a), the interannual
46 variation in carbon balance simulated by different models is weakly correlated (Piao et al., 2011). After
47 calibration of model parameters with observations, however, the interannual variance in the carbon cycle are
48 mostly consistent among models and observations over East Asia (Ichii et al., 2010).
49

6.3.5.3.1 *Evaluation of sensitivity of terrestrial carbon cycle to climate and CO₂*

50
51 *Sensitivity of carbon cycle to CO₂*. The sensitivity of carbon cycle to rising atmospheric CO₂ concentration is
52 one of the key metrics used to evaluate terrestrial (and ocean) carbon cycle models. Results from Free Air
53 CO₂ experiments (FACE) on diverse ecosystems generally show sustained increase in net primary
54 productivity (NPP) under elevated atmospheric CO₂ (Luo et al., 2005; McCarthy et al., 2010; Norby et al.,
55 2005). Some studies, however, failed to show a fertilization effect, and co-located experiments of nitrogen
56 addition inferred that nitrogen limitation suppressed growth in these regions (Norby et al., 2010). Long-term
57 tree ring studies suggest a more complex picture on the universality of the CO₂ fertilization effect (Gedalof

1 and Berg, 2010; Peñuelas et al., 2011), albeit these studies have their own limitations in separating other
2 interactive factors such as temperature and the availability of water and nutrients.

3
4 *Sensitivity of carbon cycle to climate change.* Current rising temperature exerts direct controls on the
5 terrestrial C exchange with the atmosphere since both photosynthesis and respiration are sensitive to changes
6 in temperature. A meta-analysis of field warming experiments suggested an average of 19% increases in
7 aboveground plant productivity (Rustad et al., 2001), along with an increase or no change in NEP under
8 experimental warming (Luo, 2007; Marchand et al., 2004). These results were mostly limited to temperate
9 and boreal regions.

10
11 Estimation from the observed residual land sink shows that global terrestrial net carbon uptake in response to
12 1°C increase of global mean annual temperature could decrease by about 4 PgC yr⁻¹ °C⁻¹ (Figure 6.15).
13 Multi-model comparison shows a large uncertainty in model estimated interannual temperature sensitivity of
14 global NEE which ranges between 0.5 PgC yr⁻¹ °C⁻¹ and 6.2 PgC yr⁻¹ °C⁻¹, although the average of the
15 model estimated sensitivity (3.5 PgC yr⁻¹ °C⁻¹) is close to the estimation derived by residual land sink. The
16 long-term temperature sensitivity of carbon storage was estimated as about 3.6–45.6 PgC °C⁻¹ (or 1.7–21.4
17 ppmv CO₂ °C⁻¹) using ice-core data of the Little Ice Age from 1050 to 1800 when human impacts on
18 atmosphere CO₂ was assumed to be negligible (Frank et al., 2010).

19
20 Previous model studies suggested that carbon release in response to future drying is one of the dominant
21 contributors to the positive carbon cycle-climate feedback found in previous coupled models (Cox, 2001b;
22 Friedlingstein et al., 2006; Sitch et al., 2008). Direct observations of the precipitation sensitivity of terrestrial
23 carbon cycle, however, are very limited. Both the observed residual land sink and all global carbon cycle
24 models show a positive response of global NEP to precipitation increase, although a large difference exists
25 among different estimations (Figure 6.20). In comparison to the estimation based on residual land sink (-0.01
26 PgC yr⁻¹ mm⁻¹), most models (eight of nine models) overestimate the interannual precipitation sensitivity of
27 global terrestrial net carbon uptake.

28 29 **[INSERT FIGURE 6.20 HERE]**

30 **Figure 6.20:** Interannual sensitivity of model estimated global Net Ecosystem Production (NEP) and residual global
31 carbon sink to change in atmospheric CO₂ and climate during 1980–2009. The global residual land sink was estimated
32 by the difference between the sum of fossil fuel emission and land use change emission and the sum of atmospheric
33 growth rate and modeled ocean sink (Friedlingstein and Prentice, 2010; LeQuere et al., 2009). The sensitivities to
34 temperature, precipitation and atmospheric CO₂ are estimated by a multiple linear regression approach with three
35 variables (mean annual temperature, annual precipitation, and atmospheric CO₂ concentration). Negative value
36 indicates increase in carbon sink.

37 38 *6.3.5.3.2 Processes missing in models*

39 Currently most carbon cycle models mainly consider the effects of climate change and atmospheric CO₂
40 fertilization, but still miss some other key processes governing the carbon cycle dynamics. First, carbon
41 cycle models usually simulate biomass and soil carbon contents directly, but most of them do not represent
42 stand growth processes (Bellassen et al., 2010). For example, eddy covariance observations show that the
43 strength of net carbon uptake of forest ecosystem is mostly regulated by forest age (Amiro et al., 2010).
44 Second, processes relevant to feedbacks from organic soils are limited, including those in permafrost regions
45 and tropical peatlands which hold large carbon stores and are vulnerable to warming and land use change
46 (Hooijer et al., 2010; Koven et al., 2011; Page et al., 2010; Tarnocai et al., 2009). Third, despite several
47 studies highlighted the important role of N cycle in regulating carbon cycle (Magnani et al., 2007), N
48 dynamics has only coupled in a few carbon cycle models. Fourth, the effects of ozone pollution were also not
49 taken into account for most of current carbon cycle models. It was found that increase in the tropospheric
50 ozone level would reduce vegetation growth, and thus further decrease NEP (Sitch et al., 2007). Finally,
51 human managements including fertilization and irrigation may also substantially influence C cycle at
52 regional scales (Gervois et al., 2008), but it was not considered in most of current model studies.

53 54 **6.4 Future Projections of Carbon and other Biogeochemical Cycles**

55 56 **6.4.1 Introduction**

57 Here we assess our ability to project changes in the evolution of CO₂, CH₄ and N₂O concentration, and hence
58 the role of biogeochemical cycles in future climate and socio-economic emission scenarios.

1
2 IPCC AR4 reported how climate change can affect the natural carbon cycle in a way which could feedback
3 onto climate itself. (Cox et al., 2000) presented simulations showing a large response of the carbon cycle to
4 climate change which amplified global warming and also led to a significant dieback of the Amazon forest.
5 A subsequent comparison of 11 climate-carbon cycle models (Coupled Climate-Carbon Cycle Model
6 Intercomparison Project; C4MIP, (Friedlingstein et al., 2006) showed that all 11 models simulated a positive
7 feedback (climate change reduced natural carbon uptake and accelerated CO₂ increases). However,
8 substantial quantitative uncertainty in future CO₂ and temperature projections remains both across coupled
9 carbon-climate models (Friedlingstein et al., 2006) and within models (Booth et al., submitted) and is of
10 comparable magnitude to uncertainty caused by physical climate processes (Denman et al., 2007; Gregory et
11 al., 2009; Huntingford et al., 2009).

12
13 Very few such models include representation of nutrient cycles which are an important component of the
14 terrestrial carbon cycle affecting both its ability to take up anthropogenic carbon and its response to future
15 climate changes (Section 6.4.6). Recent studies (Sokolov et al., 2008; Thornton et al., 2009; Zaehle et al.,
16 2010a) have found that representation of nitrogen in terrestrial carbon cycle models substantially alters the
17 response of future CO₂ projections and can even change the sign of the climate-carbon feedback (Figure
18 6.21). The effects of nitrogen to limit the natural uptake of carbon by terrestrial ecosystems, and also to
19 reduce the potential sensitivity of land carbon sink to future climate change highlight the need to see the
20 future response of the carbon cycle as two competing effects and not just a climate-carbon feedback (Arneth
21 et al., 2010; Gregory et al., 2009).

22
23 Coupled climate-carbon cycle models provide a predictive link between fossil fuel CO₂ emissions and future
24 CO₂ concentrations and are an important component of the CMIP5 experiment design ([http://cmip-
25 pcmdi.llnl.gov/cmip5/index.html](http://cmip-pcmdi.llnl.gov/cmip5/index.html)) (Hibbard et al., 2007). The main conceptual advance of CMIP5 models
26 analysed in this chapter, compared to the C4MIP first generation coupled carbon-climate models in AR4, is
27 their treatment of land use change fluxes, as a perturbation of the carbon cycle driven by local land cover
28 change, instead of an external prescribed emission. Simplified models calibrated against complex coupled
29 carbon-climate models have been used to extrapolate findings to new or longer scenarios (House et al., 2008;
30 Meehl et al., 2007; Plattner et al., 2008). As the complexity of Earth System Models continues to increase it
31 is important to reflect any new findings in such policy relevant assessments.

32
33 Biogeochemical cycles and feedbacks other than the carbon cycle play an important role in the future of the
34 climate system, although the carbon cycle represents the strongest of these. Natural CH₄ emissions from
35 wetland and fires are sensitive to climate change (Section 6.4.7). Changes in the nitrogen cycle, in addition
36 to interactions with CO₂ sources and sinks, affect emissions of N₂O both on land and from the ocean (Section
37 6.4.6). A recent review highlighted the complexity of terrestrial biogeochemical feedbacks (Arneth et al.,
38 2010). A similar degree of complexity exists in the ocean and in interactions between land, atmosphere and
39 ocean cycles (Figure 6.21). Many of these processes are not yet represented in coupled climate-
40 biogeochemistry models and so their magnitudes have to be estimated in offline or simpler models which
41 makes their quantitative assessment difficult. It is likely there will be non-linear interactions between many
42 of these processes, but these are not yet quantified. Therefore any assessment of the future feedbacks
43 between climate and biogeochemical cycles still contains large uncertainty.

44 45 [INSERT FIGURE 6.21 HERE]

46 **Figure 6.21:** A summary of the magnitude of biogeochemical feedbacks. (Gregory et al., 2009) proposed a framework
47 for expressing non-climate feedbacks in common units ($W m^{-2} K^{-1}$) with physical feedbacks, and (Arneth et al., 2010)
48 extended this beyond carbon cycle feedbacks to other terrestrial feedbacks. The figure shows the results compiled by
49 (Arneth et al., 2010), with ocean carbon feedbacks from C4MIP also added. Some further biogeochemical feedbacks
50 from the HadGEM2-ES Earth System model (Collins et al., 2011a) are also shown. Black dots represent single
51 estimates, and coloured bars denote the simple mean of the dots with no weighting or assessment being made to
52 likelihood of any single estimate. Confidence in the magnitude of these estimates is low for feedbacks with only one, or
53 few, dots. The role of nitrogen limitation on carbon uptake is also shown – this is not a separate feedback, but rather a
54 modulation to the climate-carbon and concentration-carbon feedbacks. This list is not exhaustive. These feedback
55 metrics are also likely to be state or scenario dependent and so cannot always be compared like-for-like (see Section
56 6.4.2.2). Results have been compiled from (a) (Arneth et al., 2010), (b) (Friedlingstein et al., 2006), (c) HadGEM2-ES
57 (Collins et al., 2011a) simulations.

6.4.2 Carbon Cycle Feedbacks from the Idealised CMIP5 1% yr⁻¹ Model Simulations

6.4.2.1 Global Analysis

The C4MIP study (Friedlingstein et al., 2006) derived a method to characterize global carbon cycle interactions with climate. This comprises metrics that measure how the climate responds to CO₂, α (K ppm⁻¹), how the land and ocean carbon cycle respond to CO₂, β (GtC ppm⁻¹, split between land and ocean) and how the land and ocean carbon cycle respond to climate change, usually characterised by temperature, γ (GtC K⁻¹, split between land and ocean). (Friedlingstein et al., 2006) also defined how to combine these metrics into a single climate-carbon cycle gain factor, g but (Gregory et al., 2009) discuss that the carbon cycle response is better viewed as two strong and opposing feedbacks, both uncertain. The climate-carbon response determines changes in carbon storage due to changes in climate and the concentration-carbon response determines changes in storage due to elevated CO₂. Unlike physical feedbacks relative to a well known black-body response, the concentration-carbon response is very uncertain, (see Section 6.3) and there is no suitable observation against which to evaluate accurately the climate-carbon cycle gain factor for the next century. The β and γ metrics have been evaluated for the C4MIP and CMIP5 models (Figure 6.22).

[INSERT FIGURE 6.22 HERE]

Figure 6.22: Comparison of carbon cycle feedback metrics between the C4MIP ensemble of 7 GCMs and 4 EMICs (Friedlingstein et al., 2006) and CMIP5 models (HadGEM2-ES, IPSL, CanESM, MPI-ESM). Black dots represent a single model simulation and coloured bars show the mean ± 1 standard deviation of the multi-model results. The comparison with C4MIP is for context, but these metrics are known to be variable across different scenarios and rates of change (see Section 6.4.2.2). Some of the CMIP5 models are derived from models that contributed to C4MIP and some are new to this analysis. Table 6.9 lists the main attributes of each CMIP5 model used in this analysis. The SRES A2 scenario is closer in rate of change to a 0.5% yr⁻¹ scenario and as such it should be expected that the CMIP5 gamma terms are comparable, but the beta terms are likely to be around 20% smaller for CMIP5 than for C4MIP. This high dependence on scenario (Section 6.4.2.2) reduces confidence in any quantitative statements of how CMIP5 carbon cycle feedbacks differ from C4MIP.

[INSERT TABLE 6.9 HERE]

Table 6.9: CMIP5 model descriptions in terms of carbon cycle attributes and processes.

The role of the idealised experiments is to study model processes and understand how the feedbacks work and what causes the differences between models. Whilst γ (especially on land) has been identified as the largest contributor to model spread in the gain factor, g , β (land) is the largest contributor to model spread in future CO₂ concentration and α the largest contributor to spread in future temperature (Figure 6.23). Whilst land and ocean contribute equally to the total response, model *spread* in the land response is greater than for ocean, but no single process or region dominates the total uncertainty with the most important process depending on the quantity of interest.

[INSERT FIGURE 6.23 HERE]

Figure 6.23: Impact of model spread in the C4MIP metrics (α , β , γ). Scatter plots show the success of the linear alpha/beta/gamma framework to estimate 2100 CO₂ and temperature change from the C4MIP models, and right panels show the relative spread that comes from each term – model spread in β_L is the dominant cause of spread in 2100 CO₂, and α for spread in 2100 ΔT .

Other feedback analysis techniques exist. (Boer and Arora, 2010) analyse the carbon cycle response to climate and CO₂ at a grid-point level and present maps of these factors in a method analogous to that used for physical radiative feedback analyses and Yoshikawa et al (2008) also present geographical analysis of the feedback metrics. (Goodwin and Lenton, 2009) show that feedbacks can be expressed as an equivalent emission. Feedback factors can also be expressed in terms of sensitivity of fluxes (GtC yr⁻¹ per ppm or per K) or sensitivity of changes in carbon storage (GtC per K or per ppm). In an exactly linear framework these metrics would be equivalent, but given non-linearities in the system, these approaches yield different quantitative results. Hence any feedback framework should be seen as a technique for assessing relative sensitivities of models and understanding their differences, rather than as an absolute measure of an invariant system property.

6.4.2.2 Scenario Dependence of Feedbacks

The C4MIP metrics can vary markedly for different scenarios and as such cannot be used to compare model simulations with different time periods, nor intercompare model simulations with different scenarios. (Gregory et al., 2009) demonstrate how sensitive the feedback metrics are to the rate of change of CO₂ in the forcing scenario. Combined land and ocean uptake due to CO₂ increase was found to vary under different rates of increase of CO₂ (0.5% yr⁻¹, 1% yr⁻¹ and 2% yr⁻¹) for 2 models; β decreased by around 20% from 0.5% yr⁻¹ to 1% yr⁻¹ and from 1% yr⁻¹ to 2% yr⁻¹. Faster rates of CO₂ increase lead to reduced beta values as the carbon uptake (especially in the ocean) lags further behind the forcing. γ is much less sensitive to the scenario, especially between 0.5 % yr⁻¹ and 1% yr⁻¹, as both global temperature and carbon uptake lag the forcing.

6.4.2.3 Regional Feedback Analysis

The linear feedback analysis of (Friedlingstein et al., 2006) has been applied at the regional scale to future (2010–2100) oceanic CO₂ uptake by (Roy et al., 2011). Figure 6.24 shows this analysis extended to land and ocean points for the CMIP5 models.

[INSERT FIGURE 6.24 HERE]

Figure 6.24: The spatial distributions of land and ocean β and γ s for 3 CMIP5 models using the 1% idealised simulations. For land and ocean, β and γ are defined from changes in terrestrial carbon storage and changes in air-sea accumulated fluxes respectively, from the beginning to the end of the 1% idealised simulation relative to global (not local) CO₂ and temperature change.

6.4.2.3.1 Ocean

Over the ocean, β_0 values are almost always positive with the exception of some limited areas as the Peruvian-Chilean upwelling region. The spatial distributions of β are broadly consistent between the models and with (Roy et al., 2011) analysis, with the largest β s in the high-latitudes of both the northern and southern hemispheres. On average, the regions with the highest β s are the North Atlantic and the Southern Ocean. The magnitude and distribution of β s in the ocean closely resemble the distribution of historical anthropogenic CO₂ flux from inversion studies and forward modelling studies (Gruber et al., 2009), with the dominant anthropogenic CO₂ uptake regions in the subpolar Southern Ocean.

The spatial distributions of γ are also broadly consistent between the models and with (Roy et al., 2011) analysis, with slightly positive γ s in the Arctic, the Antarctic and in the equatorial pacific (meaning that climate change increases CO₂ uptake in these regions) and negative γ s elsewhere. The North Atlantic and the mid-latitude Southern Ocean have the largest negative γ s. The magnitude and distribution of γ s show reduced CO₂ uptake in response to climate change in the subpolar Southern Ocean and the tropical regions, due to decreased CO₂ solubility, and reduced CO₂ uptake in the mid-latitudes, due to decreased CO₂ solubility and increased vertical stratification. Increased uptake in the Arctic and the polar Southern Ocean are partly associated with a reduction in the fractional sea-ice coverage (Roy et al., 2011). Changes in circulation or sea-ice extent due to climate change may influence the response of ocean uptake to increased CO₂.

6.4.2.3.2 Land

Over land, β_L values vary regionally but are always positive. Largest values occur over tropical land, in humid rather than arid regions, and are associated with enhanced carbon uptake in forested areas. In the zonal totals there is a secondary peak over northern hemisphere temperate and boreal zones partly due to a greater land area there but also coincident with large areas of forest. Areas with greater existing biomass appear to experience greater increases in carbon uptake.

Global γ_L values are negative for all of these models (none of which have a coupled nitrogen cycle), but γ_L can be seen to vary in sign regionally with negative values over most of the world, but positive values north of 50–60°N. This threshold appears quite robust across models. This, along with an area of positive γ_L over the Himalayan region demonstrates that cold regions see an increase in vegetation productivity and carbon uptake under warming which exceeds any increase in heterotrophic respiration of soil organic material. (Jones and Falloon, 2009) showed that changes in soil organic matter were the most important driver of the

1 climate-carbon cycle feedback across C4MIP models, but these changes are not necessarily driven by soil
 2 processes.(Matthews et al., 2005) have previously shown that vegetation productivity is a larger cause of
 3 model spread than modelled soil carbon decomposition processes.

4 6.4.3 Implications of the Future Projections for the Carbon Cycle

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 6 The CMIP5 simulations include 4 future scenarios referred to as “Representative Concentration Pathways”
 7 or RCPs (Moss et al., 2010): RCP2.6, RCP4.5, RCP6.0, RCP8.5. These future scenarios include CO₂
 8 concentration and emissions, and have been generated by four integrated assessment models (IAMs) and are
 9 labelled according to the approximate global radiative forcing level at 2100. See chapter 1 for more details
 10 on the RCP scenarios.

11 6.4.3.1 Consistency of IAMs and ESMs

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 13 It is important to understand any differences between the ESMs running the RCPs and the IAMs which
 14 created them. (van Vuuren et al., 2011) have shown that the basic climate and carbon cycle response of
 15 IAMs is generally consistent with the spread of climate and carbon cycle response from ESMs. Some of the
 16 IAMs which created the RCPs are more complex than others and some use common climate and carbon
 17 cycle components. For the RCPs 3 of the 4 IAMs (GCAM, RCP4.5; AIM, RCP6.0; MESSAGE, RCP8.5)
 18 use a version of the MAGICC simple climate and carbon cycle model that has been commonly used in IPCC
 19 reports. Hence for the physical and biogeochemical components of the RCP scenarios 4.5, 6.0 and 8.5, the
 20 underlying IAMs are closely related. Only IMAGE which created RCP2.6 differs markedly, using a newer
 21 version of MAGICC climate and more sophisticated carbon cycle components for land and ocean carbon
 22 cycle (see Table 6.10).

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 27 **Table 6.10:** Description of carbon cycle parameterizations in integrated assessment models.

IAM Model Name	Scenario	Climate	Land carbon	Resolution	Vegetation Dynamics	Ocean Carbon
IMAGE	3PD	MAGICC6	Detailed description	0.5 x 0.5 degree	Biome model	Bern model
GCAM	4.5	MAGICC5.3	GCAM submodel	Regional/land use type	N	MAGICC
AIM	6	MAGICC4	MAGICC	Regional	N	MAGICC
MESSAGE	8.5	MAGICC4.1 ^a	Explicit for forests (DIMA), otherwise via MAGICC	Regional	N	MAGICC

28 Notes:

29 (a) Some parameters have been adjusted.

30 31 32 6.4.3.1.1 Land-use

33 ESMs and IAMs use a large diversity of approaches for representing land-use changes (Table 6.11),
 34 including different land-use classifications, parameter settings, allocation rules, and geographical scales. To
 35 meet the challenge of tracking gridded land-use effects in ESMs, a “harmonized” set of annual gridded land-
 36 use change scenarios (1500–2100) was developed for CMIP5 (Hurtt et al., 2011) connecting spatially
 37 gridded historical reconstructions of land use with future projections in a format required by ESMs. Land-use
 38 transitions describe the annual changes in each land use type, such as harvesting trees and establishing or
 39 abandoning agricultural land.

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 41
 42 **Table 6.11:** Processes of land-use incorporated in IAMs and ESMs.

Model	Deforestation	Wood Harvest	Explicit Age Classes	Crop Management	Explicit Biofuels
IAMS					
IMAGE	Y	Y	N	Y	Y
GCAM	Y	Y	N	Y	Y
AIM	Y	Y	N	Y	Y

MESSAGE	Y	Y	N	Y	Y
ESMs					
HadGEM2-ES	Y	N	N	N	N
IPSL					
CESM1 (NCAR/DOE)	Y	Y	N	N	N
GFDL	Y	Y	Y	Y (harvest)	N
MPI	Y	Y	N	N	N

Not all the ESMs use the full range of information available from the land-use change scenarios such as wood harvest projections, sub-grid scale shifting cultivation or representation of primary and secondary forests. This has implications for their ability to simulate carbon fluxes associated with land use change because sensitivity studies indicated that shifting cultivation, wood harvesting, and simulation start date all strongly affect secondary land area and age, and estimated carbon fluxes (Hurtt et al., 2011). For most metrics, the choice of RCP had a smaller impact than the inclusion of wood harvest, shifting cultivation and choice of start date.

Land-use in the future will be a significant driver of forest land cover change and terrestrial carbon storage. Land use trajectories in the RCPs show very distinct trends and cover a wide-range of projections, that appear to be driven more by the assumptions of the individual modelling teams than by the radiative forcing levels (Figure 6.25). (Wise et al., 2009) and (Thomson et al., 2010) use the GCAM model to highlight large sensitivity of future land-use requirements to modelling assumptions such as increases in crop yield technology. The area of cropland and grasslands increases in RCP8.5, mostly driven by an increasing global population. But cropland area also increases in the RCP2.6, despite a smaller population increase, as a result of bio-energy production. RCP6 shows an increasing use of cropland but a decline in pasture land. RCP4.5 shows a clear turning point in global land use based on the assumption that carbon in natural vegetation will be valued as part of global climate policy.

Within the IAMs land use is translated into carbon emissions as shown in Figure 6.25(c). The degree of process detail strongly depends on the model and hence differs between the RCP scenarios. IAMs typically model the demand and supply of land use related commodities (food crops, feed, animal products and timber) at the level of world regions. The CO₂ emissions from land use (change) are then estimated from the calculated land use patterns. Depending on the IAM, this may be done at an aggregated, regional, level – or using a detailed representation of vegetation and carbon flows at the grid level. The CO₂ emissions from land use change in the RCPs tend to decline over time due to a slow down (or even reversal) of agricultural land expansion. As most scenarios expect the population growth to stabilise (or even decline), agricultural production levels are expected to stabilize as well.

Among the ESMs which represent land use and land cover change processes explicitly, differing levels of mechanistic detail and assumptions about how the standardized land use change datasets are related to ESM vegetation types and state variables lead to differences in estimated land use flux components. There is not presently explicit reconciliation of the carbon cycle models intrinsic to IAMs, the harmonization model (GLM, (Hurtt et al., 2011)), and ESMs, and so the land use fluxes prescribed for the RCP scenarios differ from fluxes estimated by the subset of ESMs which represent land use processes explicitly. Table 6.12 shows the net influence of land use change in the IAMs and ESMs, and also provides a breakdown of the net land use flux into several component fluxes. Not all models are capable of estimating all component fluxes. The most process-rich ESMs are suitable to capture the diversity of land use processes represented by the historical record and the four RCPs (e.g., Lawrence et al., submitted), but quantitative differences suggest that inconsistencies between carbon cycle models in IAMs and ESMs are still significant.

Table 6.12: Comparison of IAM and ESM cumulative fluxes from land use and land cover change.

Model	Net LULCC flux ^a	LU conversion flux	Wood harvest flux	Product pool decomposition	Disturbance recovery flux ^b
Historical (1850–2005)					
GLM			101.5		

ESMs					
CESM1 (coupled)		63.0	64.0	55.6	
CESM1 (offline)	134.1	51.1	105.5	95.7	-12.6 ^c
RCP2.6 (2006–2100)					
IMAGE/ GLM			164.6		
ESMs					
CESM1		44.8	135.9	130.0	
RCP4.5 (2006–2100)					
GCAM/ GLM			179.6		
ESMs					
CESM1		10.1	144.4	138.1	
RCP6.0 (2006–2100)					
AIM/ GLM			182.7		
ESMs					
CESM1		32.6	156.8	147.9	
RCP8.5 (2006–2100)					
MESSAGE/ GLM			248.2		
ESMs					
CESM1		33.9	241.3	222.6	

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[INSERT FIGURE 6.25 HERE]

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6.4.3.2 Projections of Future Carbon Cycle Response Under the RCP Scenarios

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ESM simulations can be “emissions-driven” (i) or “concentration-driven” (ii) as in the RCP prescribed CO₂ concentration scenarios (Hibbard et al., 2007). In each case the ESM simulates the land and ocean exchange of CO₂ with the atmosphere in response to atmospheric CO₂ concentration and simulated climate:

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$$\begin{array}{l} \text{d [CO}_2\text{]/ dt} \\ \text{(i) interactive} \\ \text{(ii) prescribed} \end{array} = \begin{array}{l} \text{Emissions} \\ \text{prescribed} \\ \text{diagnosed}^* \end{array} - \begin{array}{l} \text{(land + ocean uptake)} \\ \text{simulated} \\ \text{simulated} \end{array}$$

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* the diagnosed emissions are hereafter called ‘compatible emissions’

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In the case of prescribed CO₂ emissions (as in the C4MIP study) the models simulate “freely” the evolution of atmospheric CO₂ concentration. In the case of a prescribed CO₂ concentration pathway, as in RCP experiments done in CMIP5, the models can be used to diagnose the compatible emissions required to follow it. The driving CO₂ scenario and simulated changes in land and ocean carbon storage are shown in Figure 6.26. The associated changes in airborne fraction (AF) and land and ocean uptake fraction are summarised in Figure 6.27. The dominant driver of changes in AF is the emissions scenario and not carbon cycle feedbacks. AF systematically increases under increasing CO₂ rise in RCP8.5, decreases under the stabilised or peak-and-decline scenarios (RCP2.6 and RCP4.5) and remains of similar magnitude in the intermediate RCP6.0 scenario.

[INSERT FIGURE 6.26 HERE]

Figure 6.26: CO₂ concentration pathway in the 4 RCP scenarios (top), and the cumulative changes in land and ocean (bottom left, bottom right) carbon storage (GtC) simulated by ESMs (HadGEM2-ES, CanESM1, IPSL, MIROC – see Table 6.9) for ocean uptake the spread between models is smaller than between scenarios, but for land carbon storage the spread between models is greater than between scenarios.

[INSERT FIGURE 6.27 HERE]

Figure 6.27: changes in airborne, land and ocean fraction of fossil fuel carbon emissions. The figure shows 3 axes whose sum is always unity – airborne fraction (AF) increases vertically, land fraction (LF) from top to bottom right, and ocean fraction (OF) from right to left. The fractions are defined as the changes in storage in each component (atmosphere, land, ocean) divided by the compatible fossil fuel emissions derived from each simulation. Open circles show model simulations for the 1990s, and the solid circle shows the observed estimate based on Table 6.10. The coloured lines and symbols denote the change in uptake fractions under the different RCP scenarios for each model, calculated using the cumulative change in carbon from 2005–2100. Due to the difficulty estimating fossil and land-use emissions from the ESMs this figure uses a fossil fuel definition of airborne fraction, rather than the preferred definition of fossil+land use emissions discussed in Section 6.3.

6.4.3.2.1 Compatible fossil-fuel emissions

Compatible fossil fuel emissions from the four RCP scenarios are shown in Figure 6.28 and summarised in Table 6.13. There is significant spread between ESMs, but no systematic inconsistency between the ESMs and the ‘original’ emissions themselves estimated by IAMs to be compatible with each RCP scenario. The IMAGE IAM predicts that global negative emissions are required to achieve the RCP2.6 decline in radiative forcing from 3 W m⁻² to 2.6 W m⁻² by 2100. There is disagreement between the complex ESMs over the necessity for global emissions to become negative to achieve this with 2 models simulating negative compatible emissions and 2 models simulating positive emissions throughout the 21st century. The RCP2.6 scenario achieves this negative emission rate through use of large-scale bio-energy with carbon-capture and storage (BECCS). This would be classed as geoengineering under the definition used in this IPCC report, and is discussed further in Section 6.5. (Rogelj et al., 2011) also demonstrate the importance of BECCS to achieve a 2°C climate target, but any such negative emissions should be offset against existing forest carbon sinks which may be displaced (Hudiburg et al., 2011). It is important to note that the ESMs themselves make no assumptions about how the compatible emissions could or would be achieved, merely the global total that is required to follow the CO₂ concentration pathway.

Table 6.13: The range of compatible fossil fuel emissions (GtC) simulated by the CMIP5 models for the historical period and the 4 RCP scenarios, expressed as cumulative fossil fuel emission from 2005 to 2100. Historical estimates of fossil fuel are as recommended by CMIP5 (Andres et al., 2011).

	Hist / RCP scenario	ESM		
		min	Mean	max
1860–2005	313.1	268.5	335.6	386.4
RCP2.6	334.4	203.2	312.5	427.6
RCP4.5	767.3	622.7	768.6	961.2
RCP6.0	1144.7	957.2	1060.1	1163.0
RCP8.5	1753.8	1373.3	1606.1	1825.1

[INSERT FIGURE 6.28 HERE]

Figure 6.28: Compatible fossil fuel emissions simulated by the CMIP5 models for the 4 RCP scenarios. Top: timeseries of instantaneous emission rate. Thick lines represent the historical estimates and emissions calculated by the integrated assessment models (IAM) used to define the RCP scenarios, thin lines show results from CMIP5 ESMs. Bottom: cumulative emissions for the historical period (1860–2005) and 21st century (defined in CMIP5 as 2005–2100) for historical estimates and RCP scenarios (bars) and ESMs (symbols). In the CMIP5 model results, total carbon in the land-atmosphere-ocean system can be tracked and changes in this total must equal fossil fuel emissions to the system (see also Table 6.13). Other sources and sinks of CO₂ such as from volcanism, sedimentation or rock weathering, which are very small on centennial timescales are not considered here. Hence the compatible emissions are given by cumulative-Emissions = ΔC_A + ΔC_L + ΔC_O remission rate = d/dt [C_A + C_L + C_O], where C_A, C_L, C_O are carbon stored in atmosphere, land and ocean respectively.

Several studies (Jones et al., 2006; Matthews, 2006; Miyama and Kawamiya, 2009; Plattner et al., 2008) have shown that carbon cycle feedbacks affect the compatible anthropogenic emissions to follow a given scenario of CO₂ concentration. ESM simulations for RCP4.5 without a climate feedback on carbon uptake allow analysis to quantify the direct effects of climate and carbon feedbacks on compatible emissions (Figure 6.29). In the 2 models used here, cumulative emissions from 2005–2100 are reduced by between 11% and 21% due to the climate-carbon cycle feedback. Such uncoupled simulations have not been performed for the other scenarios, but previous work has shown that compatible emissions are reduced by a greater degree under higher CO₂ scenarios which exhibit a greater degree of climate change (Jones et al., 2006).

[INSERT FIGURE 6.29 HERE]

Figure 6.29: Diagnosed compatible fossil fuel emissions (top panel) in the presence (red) and absence (blue) of the climate impact on the carbon cycle for the RCP4.5 scenario, and the difference between them (bottom panel). This shows the impact of climate change on the compatible emissions to achieve the RCP4.5 CO₂ concentration pathway. HadGEM2-ES and CanESM results shown here project reductions from 977 and 891 GtC respectively to 865 and 707 GtC.

6.4.3.2.2 *ESM simulations of land use fluxes*

Simulated land-use emissions cannot be deduced by this method as they leave no net effect on the total carbon in the system. It remains a technical challenge to diagnose land-use carbon emissions consistently across the CMIP5 models. (Arora and Boer, 2010) diagnosed land-use emissions using different techniques and discuss the difficulty of comparing ESM results with historical reconstructions as Houghton (2008) which is a commonly used dataset for input emissions to ESM simulations.

Representation of land-use processes in ESMs is an advance since C4MIP, but the range of processes included differs greatly between models making comparison with historical trends and RCP scenarios difficult (Section 6.4.3.1). Quantifying the emissions from those included is not straightforward due to their far-reaching influence on land carbon, atmospheric CO₂ and climate. When a land-use change is imposed, the simulation deviates from the course it would otherwise have taken as the land biosphere evolves differently. The net effect of a time-varying LU scenario on the carbon balance can be understood by comparison with a second simulation without LU changes (Arora and Boer, 2010).

In the CMIP5 concentration-driven simulations, the prescribed CO₂ concentration implicitly includes contributions from both fossil and land-use sources (Figure 6.30). However, if ESMs are unable to match exactly the impact on the carbon cycle due to LU change, this affects diagnosis of compatible fossil fuel emissions. Thus, diagnosed compatible emissions represent fossil emissions combined with the error between the simulated and actual LU emissions. Inability of ESMs to quantify accurately LU emissions introduces the greatest uncertainty in compatible emissions when the driving CO₂ scenario has a significant LU component. This is primarily the case during the earlier part of the historical period and in the RCP2.6 scenario where fossil fuel emissions reduce to very low (and even negative) values. (Rose et al., 2011) show that land-based mitigation can contribute an equivalent of 100–340 GtC reduction in fossil fuel emissions. Better representation of these processes in ESMs remains an important challenge.

[INSERT FIGURE 6.30 HERE]

Figure 6.30: Interactions between the atmosphere, land and ocean carbon stores as simulated in ESMs. Solid arrows represent the atmosphere-to-land (F_{AL}) and atmosphere-to-ocean (F_{AO}) fluxes simulated by the ESMs. Dashed lines represent land/ocean to atmosphere fluxes (F_{LA} , F_{OA}) diagnosed in concentration-driven simulations and interactive in emission-driven simulations. The dotted arrows represent the prescribed CO₂ pathway (ΔC_A) applied in concentration-driven simulations and a scenario of land-use which may be imposed on the land carbon cycle (see Section 6.4.3.1). Associated changes in C_L caused by this land-use change may not match those implicit in the prescribed ΔC_A .

6.4.3.3 *Uncertainty*

A range of feedback strengths and future CO₂ concentrations arise within the C4MIP models (Friedlingstein et al., 2006). Comparing the relative contribution of carbon-cycle processes with other contributions such as climate sensitivity uncertainty is not straight forward. (Huntingford et al., 2009) used a simple model to characterise the relative role of carbon cycle and climate sensitivity uncertainties in contributing to the range of future temperature changes, concluding that the range of carbon cycle processes represent about 40% of the physical feedbacks. Section 6.4.2 also showed that the climate response to CO₂ dominates the model spread in future temperature (Figure 6.23) but carbon cycle processes (especially land carbon response to

CO₂) dominate spread in future CO₂ concentration. Perturbed parameter ensembles (Booth et al., submitted) systematically explore land carbon cycle parameter uncertainty and illustrate that a wide range of carbon cycle responses are consistent with the same underlying model structures and plausible parameter ranges. Figure 6.31 shows the comparable range of future climate change (A1B SRES) arises from parametric uncertainty in land carbon cycle and atmospheric feedbacks. The same ensemble shows that the range of atmospheric CO₂ in the land carbon cycle ensemble is wider than the range of business as usual concentrations when carbon cycle uncertainties are neglected.

[INSERT FIGURE 6.31 HERE]

Figure 6.31: Uncertainty in global mean temperature from HadCM3 results exploring atmospheric physics and terrestrial carbon cycle parameter perturbations (Booth et al., submitted; Murphy et al., 2004). Relative uncertainties in the Perturbed Carbon Cycle (PCC, green plume) and Perturbed Atmospheric Processes (PAP, blue) on global mean anomalies of temperature (plotted with respect to the 1980–1999 period). The green/blue hatching illustrates where these two ensembles overlap. The standard simulations from the two ensembles, HadCM3 (black solid) and HadCM3C (black dashed) are also shown. Four bars are shown on the right illustrating the 2100 temperature anomalies associated with the CMIP3/AR4 ensemble (black) the PAP ensemble (blue) the land carbon cycle (PCC) and the weighted land carbon ensemble wPCC (both green). The range (thin line), 10th–90th (medium line) and 25th–75th (thick line) and 50th percentiles (central bar) are all shown.

6.4.4 Future Ocean Acidification

As CO₂ increases in the atmosphere, more also dissolves in the ocean, reducing surface ocean pH and carbonate ion concentrations. The associated chemistry is not debated by the scientific community, and expected changes are in line with what is measured at ocean time-series stations (see Chapter 3). Multi-model projections discussed in AR4 demonstrate large decreases in pH and carbonate ion concentration [CO₃²⁻] during the 21st century throughout the world oceans (Orr et al., 2005). The largest changes in surface [CO₃²⁻] occur in the warmer low and mid-latitudes, which are naturally rich in this ion; however, it is the colder high-latitude oceans that first become undersaturated with respect to aragonite D (i.e., for $\Omega_A < 1$, where $\Omega_A = [\text{Ca}^{+2}][\text{CO}_3^{2-}]/K_{sp}$, where K_{sp} is the solubility product for the metastable form of CaCO₃ known as aragonite). This undersaturation in surface waters is reached within decades in the Southern Ocean as highlighted in AR4, but occurs sooner and is more intense in the Arctic (Steinacher et al., 2009). Ten percent of Arctic surface waters become undersaturated when atmospheric CO₂ reaches 428 ppm (by 2025 under all IPCC SRES scenarios). That proportion increases to 50% when atmospheric CO₂ reaches 534 ppm. By 2100 under the A2 scenario, much of the Arctic surface becomes undersaturated with respect to calcite (Feely et al., 2009). Surface waters would then be corrosive to all CaCO₃ minerals.

Future reductions in surface ocean pH and CaCO₃ saturation states are controlled mostly by the direct geochemical effect of increasing atmospheric CO₂. Other effects due to future climate change counteract less than 10% of the CO₂-induced reductions in CaCO₃ saturation (Cao et al., 2007; McNeil and Matear, 2006; Orr et al., 2005). Warming dominates other effects from climate-change by reducing CO₂ solubility and thus enhancing [CO₃²⁻]. The exception is the Arctic Ocean where reductions in pH and CaCO₃ saturation states (for both aragonite and calcite, the stable form) are projected to be exacerbated by effects from increased freshwater input due to enhanced sea-ice melt, more precipitation, and greater air-sea CO₂ fluxes due to less sea-ice cover (Steinacher et al., 2009). The projected effect of freshening is consistent with current observations of lower saturation states and lower pH values near river mouths and in areas under substantial fresh-water influence (Salisbury et al., 2008) (Yamamoto-Kawai et al., 2009).

Surface CaCO₃ saturation also varies seasonally, particularly in the high latitudes, where observed saturation is higher in summer and lower in winter (Feely et al., 1988; Sweeney, 2004; Merico et al., 2006; Findlay et al., 2008). Future projections indicate that undersaturated conditions will first be reached in winter (Orr et al., 2005). In the Southern Ocean, it is projected that wintertime undersaturation with respect to aragonite will begin when atmospheric CO₂ reaches 450 ppm, which is about 100 ppm sooner (~30 years under the IS92a scenario) than for the annual mean (McNeil and Matear, 2008).

Penetration of anthropogenic CO₂ into the ocean reduces subsurface pH and saturation states. Although projected changes are generally largest at the surface, the greatest pH changes in the subtropics occur between 200–300 m where subsurface changes in anthropogenic CO₂ are similar to surface changes but the carbonate buffering capacity is lower (Orr, 2011). This more intense projected subsurface pH reduction is

1 consistent with the observed subsurface changes in pH in the subtropical North Pacific (Dore et al. 2009.)
 2 (Byrne et al., 2010; Ishii et al., 2011). As subsurface saturation states decline, the horizon separating
 3 undersaturated waters below from supersaturated waters above is projected to move upward (shoal). By 2100
 4 under the IS92a scenario, the median model projection from the Ocean Carbon-Cycle Model
 5 Intercomparison Project (OCMIP) is that this interface (aragonite saturation horizon) will shoal from 180 m
 6 up to the surface in the subarctic Pacific, from 1040 m up to the surface in the Southern Ocean, and from
 7 2820 m to 110 m in the North Atlantic (Orr, 2011; Orr et al., 2005). Under the A2 scenario, the volume of
 8 ocean with supersaturated waters is projected to decline from 42% in the preindustrial era to 25% in 2100
 9 (Steinacher et al., 2009). Yet even if atmospheric CO₂ is held at 450 ppm, most of the deep ocean volume is
 10 projected to become undersaturated with respect to both aragonite and calcite after several centuries
 11 (Caldeira and Wickett, 2005). Nonetheless, the most recent projections under AR5 mitigation scenarios
 12 illustrate that limiting atmospheric CO₂ will greatly influence the level of ocean acidification that will be
 13 experienced (Joos et al., 2011).

14 [INSERT FIGURE 6.32 HERE]

15 **Figure 6.32:** Changes in surface pH (upper panels) and surface carbonate ion concentrations (lower panels), as a
 16 function of time (left) or atmospheric CO₂ (right), simulated by 6 ESMs (IPSL-CM4-LOOP, UVIC2.8, NCAR CSM1.4,
 17 NCAR-CCSM3, BCCR-BCM, MPI-M) over the historical period and over 2000–2100 following the SRES-A2
 18 scenarios. Three regions (discussed in the text) are shown : the Arctic Ocean (north of 70°N, dark blue), the Tropical
 19 Oceans (20°S–20°N, red) and the Southern Ocean (south of 60°S, light blue). [PLACEHOLDER FOR SECOND
 20 ORDER DRAFT: Results from the CMIP5 models].

21 22 23 **6.4.5 Future Ocean Oxygen Depletion**

24 It is likely that global warming will lead to declines in dissolved O₂ in the ocean interior through warming-
 25 induced reduction in O₂ solubility and increased stratification (see Box 6.4). This would have implications
 26 for nutrient and carbon cycling, ocean productivity and marine habitat (Keeling et al. 2010).

27 28 29 [START BOX 6.4 HERE]

30 31 **Box 6.4: IPCC AR5 Ocean Deoxygenation**

32 A general decrease in the oxygen concentration of the ocean has been observed across much of the coastal
 33 and open ocean over the latter decades of the 20th Century (Gilbert et al., 2010; Helm et al., 2011); (Keeling
 34 et al., 2010). Changes in oceanic oxygen (ΔO_2^{tot}) can be related to climate forcing, both directly through the
 35 reduced solubility of oxygen in warm waters (ΔO_2^{sol}), and indirectly through changes in ocean mixing and
 36 ventilation processes (ΔO_2^{vent}) and changes in biological activity (ΔO_2^{bio}). These processes are highlighted in
 37 Figure 1 and combine simply as follows:

$$38 \Delta O_2^{\text{tot}} = \Delta O_2^{\text{sol}} + \Delta O_2^{\text{vent}} + \Delta O_2^{\text{bio}} \quad (6.3.1)$$

39 40 41 The processes that influence ocean oxygen also affect the ocean carbon cycle, albeit in different proportions.
 42 Thus climate signatures of ocean deoxygenation provide important insight into the functioning of the oceans
 43 and its capacity to take up CO₂. Models consistently estimate that changes in ocean ventilation explain most
 44 of the observed “deoxygenation” of the ocean, causing oxygen decreases about four times those expected
 45 from ocean warming alone, and exceeding any oxygen increases that may be caused by decreases in
 46 biological productivity at low latitudes. However, although the observed deoxygenation is consistent with a
 47 signal expected from climate change, formal attribution has not been made and the observed signal could be
 48 caused by natural variability in the climate system. Ocean deoxygenation leads to increases the oceanic
 49 emissions of N₂O, and has impacts on marine ecosystems.

50 51 52 [INSERT BOX 6.4, FIGURE 1 HERE]

53 **Box 6.4, Figure 1:** *The ocean O₂ cycle.* The oceanic reservoir of oxygen communicates with the atmosphere via air-sea
 54 gas exchange (F_{O_2}). In the ocean interior a change in dissolved O₂ concentration over time can be driven by changes in:
 55 (1) surface ocean O₂ solubility ΔO_2^{sol} , (2) the ventilation age of a water parcel advected into the subsurface (ΔO_2^{vent}) (3)
 56 biological utilisation of oxygen in remineralization of Dissolved Organic Carbon (DOC; ΔO_2^{bio}).

57 58 [END BOX 6.4 HERE]

These future changes in dissolved O₂ have been investigated using EMICs (Plattner et al., 2001; Schmittner et al., 2008); (Oschlies et al., 2008; Shaffer et al., 2009) and ESMs (Bopp et al., 2002; Frolicher et al., 2009; Matear and Hirst, 2003; Matear et al., 2000; Sarmiento et al., 1998). There is broad consensus that the global oceanic oxygen inventory will decline significantly under future scenarios. Simulated declines in mean dissolved O₂ concentration for the global ocean range from 6 to 12 μmol kg⁻¹ for year 2100 (Table 6.14), with a projection of 3–4 μmol kg⁻¹ in one model with low climate sensitivity (Frolicher et al., 2009). The global decline in oxygen concentration is mainly caused by enhanced surface ocean stratification leading to reductions in convective mixing and deep water formation with a contribution of 18–50% from ocean warming-induced reduction in solubility, in part compensated by a small increase in O₂ concentration from projected reductions in biological export production (Bopp et al., 2001; Steinacher et al., 2010) or changes in ventilation age of the tropical thermocline (Gnanadesikan et al., 2007). The largest regional decreases in oxygen concentration (~20–100 μmol μmol kg⁻¹) are projected for the intermediate (200–400 m) to deep waters of the North Atlantic and Southern Ocean for 2100 (Plattner et al., 2002; (Frolicher et al., 2009; Matear and Hirst, 2003; Matear et al., 2000) (Figure 6.33).

Table 6.14 Model configuration and predictions for marine O₂ depletion by 2100 (adapted from Keeling et al., 2010)

Study	Model	Forcing	Mean [O ₂] Decrease (μmol kg ⁻¹) ^{a,b}	Solubility Contribution (%)	Net Sea-Air O ₂ Flux at 2100 (mol m ⁻² y ⁻¹) ^b
(Sarmiento et al., 1998)	GFDL		7 ^c		
(Matear et al., 2000)	CSIRO	IS92a		18	0.40
(Plattner et al., 2002)	Bern 2D	SRES A1	12	35	
(Bopp et al., 2002)	OPAICE-LMD5	SRES A2 ^d	4	25	0.35
(Matear and Hirst, 2003)	CSIRO	IS92a	9	26	
(Schmittner et al., 2008)	UVic	SRES A2	9		
(Oschlies et al., 2008; Shaffer et al., 2009)	UVic	SRES A2	9 ^e		
	UVic-variable C:N	SRES A2	12 ^e		
(Frolicher et al., 2009)	NCAR CSM1.4-CCCM	SRES A2	4	50	0.23 ± 0.1
		SRES B1	3		
(Shaffer et al., 2009)	DCESS	SRES A2	10 ^e		

Notes:

(a) Assuming a total ocean mass of 1.48 x 10²¹ kg

(b) Relative to pre-industrial baseline

(c) Model simulation ends at 2065

(d) Radiative forcing of non-CO₂ GHGs omitted

(e) For simulations with reduced ocean exchange, assuming modern average ocean O₂ concentration of 178 μmol kg⁻¹ (Sarmiento and Gruber, 2006).

There is not such a broad consensus on the evolution of the extent of hypoxic (<60 μmol kg⁻¹) and suboxic (<5 μmol kg⁻¹) waters. Most models show even some increase in oxygen in most O₂-poor waters and thus a slight decrease in the extent of suboxic waters under the SRES-A2 scenario (Figure 6.33). This rise in oxygen in most suboxic waters has been shown to be caused in one model study by an increased supply of oxygen due to lateral diffusion (Gnanadesikan et al., 2011).

A number of biogeochemical feedbacks, not yet included in most EMICs or ESMs, could also impact upon future trends in ocean deoxygenation. For example, model experiments which include a pCO₂-sensitive C:N drawdown in primary production, as established by mesocosm experiments (Riebesell et al., 2007), project future increases of up to 50% in the volume of the suboxic waters by 2100 (Oschlies et al., 2008; Tagliabue

1 et al., 2011) (Figure 6.33). In addition, future marine hypoxia could be amplified by changes in the
2 Particulate Organic Carbon - CaCO₃ export ratio in response to rising pCO₂ (Hofmann and Schellnhuber,
3 2009). Reduction in biogenic calcification due to ocean acidification would weaken the strength of CaCO₃
4 ‘mineral ballasting’ feedback which would lead organic material to be remineralised at a shallower depth
5 exacerbating the future expansion of shallow hypoxic waters.

6
7 These estimates do not take into account processes that are specific to the coastal ocean and may amplify
8 deoxygenation. Recent observations for the period 1976–2000 have shown that dissolved O₂ concentrations
9 have declined at a faster rate in the coastal ocean (–0.28 μmol kg⁻¹ y⁻¹) than the open ocean (–0.02 μmol kg⁻¹
10 y⁻¹) (Gilbert et al., 2010). Hypoxia in the shallow coastal ocean is largely eutrophication-driven and is
11 controlled by the anthropogenic flux of nutrients (N and P) and organic matter from rivers. If continued
12 industrialisation and intensification of agriculture yield larger nutrient loads in the future, eutrophication
13 should intensify (Rabalais et al., 2010), and further increase the coastal ocean deoxygenation.

14
15 On longer time scales, ocean de-oxygenation is projected to keep increasing after 2100, with models
16 simulating a tripling in the volume of suboxic waters by 2500 (Schmittner et al., 2008). Ocean
17 deoxygenation and further expansion of suboxic waters could persist on millennial timescales, with average
18 dissolved O₂ concentrations projected to reach minima of up to 56 μmol kg⁻¹ below pre-industrial levels in
19 experiments with high CO₂ emissions and high climate sensitivity (Shaffer et al., 2009).

20
21 The potential expansion of hypoxic water over large parts of the future is also likely to impact the marine
22 cycling of important nutrients, particularly nitrogen. In particular, the marine flux of N₂O depends critically
23 upon the volume of low-O₂ waters since nitrification and denitrification, which provide the main pathways
24 for N₂O production, are inhibited by oxic conditions (Nevison et al., 2003). The intensification of low
25 oxygen waters will likely lead to significant increases in global N₂O emissions (e.g., Codispoti, 2010; Naqvi
26 et al., 2009). A tripling in the volume of suboxic waters would lead to a quadrupling in global water column
27 denitrification and a doubling in marine N₂O flux by the year 4000 (Schmittner et al., 2008). Changes in
28 denitrification and nitrogen fixation in a deoxygenated ocean are also likely to impact upon the marine
29 inventory of fixed nitrogen, however the sign and magnitude of this feedback is uncertain (e.g., Codispoti et
30 al., 2001; Deutsch et al., 2007; Lam and Kuypers, 2010).

31 [INSERT FIGURE 6.33 HERE]

32 **Figure 6.33:** a) Model-mean (IPSL-CM4-LOOP, UVIC2.8, NCAR CSM1.4, NCAR-CCSM3, BCCR-BCM) changes in
33 O₂ concentrations (microM) at 400 m for the 2090–2100 minus 1990–2000 (SRES-A2 scenario). To indicate
34 consistency in the sign of change, regions are stippled where at least 4 out of the 5 models agree on the sign of the mean
35 change. b) Model range and model-mean evolution of global air-sea flux of O₂ in Tmol yr⁻¹. Negative values indicate
36 net outgassing of O₂ to the atmosphere. c) Relative change in the evolution of suboxic waters (O₂ <5 micromol/L),
37 simulated by the above mentioned 5 models (red) and by (Tagliabue et al., 2011) (grey). [PLACEHOLDER FOR
38 SECOND ORDER DRAFT: results from the CMIP5 models].
39

40 6.4.6 Future Trends in the Nitrogen Cycle and Impact on Carbon Fluxes

41 6.4.6.1 Projections for Formation of Reactive N by Human Activity

42
43 Human activity now introduces more reactive N into the biosphere than natural processes due to food
44 production, industrial activity and fossil fuel combustion (Box 6.1, Figure 1). A simple conceptual model of
45 the future global use of nitrogen fertilizer is based on the current use and the expected developments of
46 drivers that influence this use (Erisman et al., 2008). In this system, five driving parameters (population
47 growth, biofuels use, food equity, increased N-use efficiency and diet optimization) are used to project future
48 N demands (Figure 6.34). As this century unfolds, the parameters are expected to change from just a slight
49 increase to roughly doubling with respect to the year 2005 situation. Despite the uncertainties and the non-
50 inclusion of many important drivers, all scenarios point towards an increase in future production of reactive
51 nitrogen. [PLACEHOLDER FOR SECOND ORDER DRAFT: projections will be updated using the RCPs
52 and including energy-NO_x].
53
54

55
56 The actual amounts of N released to the environment in the future will depend on the demand for food (and
57 its type), and the demand for energy (and its type).
58

[INSERT FIGURE 6.34 HERE]

Figure 6.34: Global nitrogen fertilizer consumption scenarios (left) and the impact of individual drivers on 2100 consumption (right). This resulting consumption is always the sum (denoted at the end points of the respective arrows) of elements increasing as well as decreasing nitrogen consumption. Other relevant estimates (FAO, 2000; Tilman et al., 2001; Tubiello and Fischer, 2007) are presented for comparison (Erisman et al., 2008). The A1, B1, A2 and B2 scenarios draw from the assumptions of the IPCC Special Report Emission Scenarios (SRES) emission scenario storylines (Nakicenovic and Swart, 2000). Figure adapted from Erisman et al. (2008).

With the continuing increases in the formation of reactive nitrogen from anthropogenic activities will come increased injection into environmental reservoirs, especially the atmosphere, groundwater and the coastal oceans.

The main driver of future global N deposition is the emission trajectory. For the atmosphere, in some RCP scenarios, deposition of $\text{NO}_y + \text{NH}_x$ is projected to remain relatively constant globally although there is a balance between increases in NH_x deposition and decreases in NO_y deposition. On a regional basis, there are decreases in North America and Northern Europe, and generally increases in Asia. The regional impacts (spatial patterns) for deposition are more complex and sensitive to, apart from its sources, climate change and corresponding changes in precipitation, temperature and atmospheric circulation. Large uncertainties remain in understanding of removal mechanisms, which also depend on climatic changes lead to major uncertainties in deposition fluxes, particularly in regions removed from anthropogenic emissions (Dentener et al. 2006). The large internal variability associated with precipitation projections confounds extraction of an anthropogenic-forced climate signal in deposition projections (Hedegaard et al., 2008; Langner et al., 2005).

The area of natural vegetation exposed to critical loads of nitrogen deposition in excess of $1000 \text{ mg N m}^2 \text{ yr}^{-1}$ is projected to increase under future emissions scenarios for 2050. Under all RCP scenarios but RCP4.5, nitrogen deposition is expected to increase in many regions, following projected increases in NH_3 emissions but overall decreases in anthropogenic NO_x emissions (Lamarque et al., 2011). By 2050, emission-driven change could more than double atmospheric nitrogen deposition to some world biodiversity hotspots (under a IS92a scenario), with half of these hotspots subjected to nitrogen deposition rates over at least 10% of their total area above $15 \text{ kg N ha}^{-1} \text{ yr}^{-1}$, exceeding critical loads set for sensitive European ecosystems (Bleeker et al., 2011; Phoenix et al., 2006).

Deposition of SO_x is also projected to decrease (Figure 6.35). Estimates for sulfur deposition in 2050, based on scenarios prior to RCPs, strongly depend on regional projections for SO_2 emissions, with all scenarios projecting decreases in North America and Europe, but potential for large growth (or reductions) in regions such as South America, Africa, South and East Asia (Dentener et al., 2006; Tagaris et al., 2008) (Figure 6.37). Under the RCP scenarios, SO_x deposition ultimately decreases strongly throughout the globe by 2100 (Lamarque et al., 2011) but in some regions, SO_2 emission increases will very likely lead to higher sulfate deposition in the near-term under some of the RCPs.

With increasing introduction of Nr into terrestrial systems will come increased flux from rivers into coastal systems. As illustrated by the Global NEWS 2 model, in 2000, discharge of dissolved inorganic nitrogen (DIN) to marine coastal waters was $>1,000 \text{ kg N km}^{-2}$ watershed for most systems downstream of either high population or extensive agricultural activity (Figure 6.38a) (Mayorga et al., 2010; Seitzinger et al., 2010). The change in DIN discharge under the Global Orchestration (GO) scenario of the Millennium Ecosystem Assessment (MEA) (the scenario with the most extreme pressures) can be estimated by examining the change between the base year 2000, and the projection year, in this case 2030 (Figure 6.38b). Manure is the most important contributor as a result of assumed high per capita meat consumption, although there are considerable regional differences/variations (Seitzinger et al., 2010). At the other extreme is the projected change in the riverine flux between 2000 and 2030 for the Adapting Mosaic scenario, the most ambitious in terms of nutrient managements of the MEA scenarios. These two scenarios provide a range of what DIN riverine fluxes might look like by the year 2030.

[INSERT FIGURE 6.35 HERE]

Figure 6.35: Deposition of SO_x (left panel) and reactive N ($\text{NO}_y + \text{NH}_x$; right panel) from 1850 to 2000 and projections of deposition to 2050 under the 4 RCP emission scenarios (Van Vuuren et al., 2011; Lamarque et al., 2011). Also

1 shown are the 2030 scenarios using the SRES B1/A2 energy scenario with assumed current legislation and maximum
2 technically feasible reduction air pollutant controls (Dentener et al., 2006).

3
4 **[INSERT FIGURE 6.36 HERE]**

5 **Figure 6.36:** Spatial variability of N deposition in 2000 with projections for 2050, using the 2.6 and 8.5 RCP scenarios
6 (to indicate the range), kg N ha⁻¹ yr⁻¹ (Lamarque et al., 2010).

7
8 **[INSERT FIGURE 6.37 HERE]**

9 **Figure 6.37:** Spatial variability of S deposition in 2000 with projections for 2050, using the 2.6 and 8.5 RCP scenarios
10 (to indicate the range), kg N ha⁻¹ yr⁻¹ (Lamarque et al., 2010).

11
12 **[INSERT FIGURE 6.38 HERE]**

13 **Figure 6.38:** a) Dissolved inorganic nitrogen river discharge to coastal zone (mouth of rivers) in 2000, based up on
14 Global NEWS 2 model, b) change in DIN discharge from 2000 to 2030, based upon Global Orchestration and the
15 Adaptive Mosaic scenarios, Millennium Ecosystem Assessment, (Mayorga et al., 2010; Seitzinger et al., 2010). Units
16 are kg N per km² watershed per year, as an average for each watershed.

17
18 In addition to these future changes the atmospheric and riverine fluxes of short-lived N species, there are also
19 projected to be increases in N₂O emissions. This is illustrated with by the comparison of emissions from
20 1850 to those in 2000 and 2050, using the IMAGE model (Figure 6.39). (Note, the MEA scenarios will be
21 more closely tied to the RCP scenarios), A comprehensive spatially explicit inventory of N budgets in
22 livestock and crop production systems (Bouwman et al., 2011) show that between 1900 and 1950 global soil
23 N surplus almost doubled to 36 Tg yr⁻¹ and between 1950 and 2000 to 138 Tg yr⁻¹ of N. The scenario
24 portrays a world with a further increasing global crop (+82% for 2000–2050) and livestock production
25 (+115%); despite rapidly increasing N recovery in crop (+35%) and livestock (+35%) production, global
26 nutrient surpluses continue to increase (N +23%). Associated agricultural emission of nitrous oxide (soil
27 emission from agricultural fields) increased from 2.5 Tg in 1900 to 7.0 Tg of N₂O-N per year in 2000, with a
28 continued increase to 9.3 Tg per year, reflecting the above developments.

29
30 **[INSERT FIGURE 6.39 HERE]**

31 **Figure 6.39:** N₂O emissions in 1900, 2000 and projected to 2050 (Bouwman et al., 2011).

32
33 *6.4.6.2 Impact of Future N on Carbon Uptake and Storage*

34
35 Anthropogenic Nr addition and natural N-cycle responses to global changes will have an important impact
36 on the global carbon cycle. As a principal nutrient for plant growth, nitrogen can both limit future carbon
37 uptake and stimulate it depending on changes in nitrogen availability. A range of global models have been
38 developed since AR4 that integrate nitrogen dynamics into the simulation of land carbon cycling (Churkina
39 et al., 2009; Esser et al., 2011; Gerber et al., 2010; Jain et al., 2009; Sokolov et al., 2008; Thornton et al.,
40 2007; Zaehle and Friend, 2010). Only three of these models have so far been used to estimate the
41 consequences for future interactions with the climate system up to 2100 (Sokolov et al., 2008; Thornton et
42 al., 2009; Zaehle and Friend, 2010); Figure 6.40a,b).

43
44 These models show a strong effect of N availability on the response of plant growth and land carbon
45 sequestration to elevated atmospheric CO₂, consistent with the observational evidence (Finzi et al., 2006;
46 Norby et al., 2010; Palmroth et al., 2006). At the global scale, estimates of nutrient limitation range between
47 50–70% of the global carbon sequestration projected by the corresponding carbon-cycle only model resulting
48 in a decreased β_L (Figure 6.21, and Figure 6.40). (Thornton et al., 2007) have shown for their model that this
49 reduction is not a result of the globally lower vegetation productivity simulated by C–N cycle models, but a
50 consequence of the nitrogen dynamics acting on long-term carbon cycling. N limitation on 21st century C
51 sequestration is generally strongest in the boreal zone and decreases towards the temperate and tropical
52 latitudes, but its magnitude and geographical distribution varies strongly between the models.

53
54 In response to climate warming, increased decomposition of soil organic matter increases N mineralisation,
55 which can enhance N uptake and growth of vegetation. Generally higher C:N ratio in woody vegetation
56 causes increased N uptake and hence ecosystem carbon storage (Melillo et al., 2011). Each of the three
57 global land C-N models show a reduction of C loss under climate change, although with differing spatial
58 patterns. In two models, (Sokolov et al., 2008; Thornton et al., 2009), this effect is strong enough to turn the

1 carbon-climate interaction into a small negative feedback (positive γ_L ; Figures 6.21, 6.40), whereas in the
2 other the carbon-climate interaction remains positive (negative γ_L ; Figures 6.21, 6.40). (Sokolov et al., 2008)
3 note, however, that the land biosphere eventually becomes a net C source despite nitrogen feedbacks.
4

5 These analyses are affected by the projected future trajectories of anthropogenic Nr deposition. The effects
6 of N availability interacts synergistically with the N constraints on CO₂ fertilisation and climate (Churkina et
7 al., 2009; Zaehle et al., 2010a). Estimates of the total net C storage on land due to Nr deposition between
8 1860 and 2100 range between 27 and 66 Pg C (Thornton et al., 2009; Zaehle et al., 2010a), based on
9 diverging assumptions about the future evolution of N deposition.
10

11 The different magnitude and spatial distribution of N limitation across the models is caused by uncertainty
12 about key mechanisms controlling C-N couplings (Zaehle and Dalmonech, 2011). Alternative mechanisms to
13 represent N limitation, loss and stoichiometry, have important consequences for determining the N
14 requirement associated with an increase in land carbon stocks (Sokolov et al., 2008). (Zaehle et al., 2010b)
15 demonstrated the use of ecosystem manipulation experiments to constrain model responses, however, the
16 observational data to evaluate, carbon-nitrogen coupling in these models remains vague. (Wang and Houlton,
17 2009) have suggested a model that projects globally significant changes in biological N fixation might occur
18 under altered climate and atmospheric CO₂ concentrations. (Esser et al., 2011) also find that interactions
19 between CO₂ fertilisation and N fixation are potentially important for estimating the future net land C
20 sequestration.
21

22 The effect on land C storage due to climate-induced N release from soils is of comparable magnitude to the
23 C storage associated with increased anthropogenic Nr. Models disagree, however, which of the two factors is
24 more important, with both effects dependent on the choice of scenario. Crucially, the effect of N limitation
25 on vegetation growth and ecosystem carbon storage under elevated CO₂ is the strongest effect of the natural
26 and disturbed N cycle on terrestrial C dynamics (Bonan and Levis, 2010; Zaehle et al., 2010a).
27

28 In consequence, the projected atmospheric CO₂ concentrations (and thus degree of climate change) in 2100
29 are higher in –CN model projections than those projected by traditional carbon-cycle-only climate models.
30

31 [INSERT FIGURE 6.40 HERE]

32 **Figure 6.40:** a) Projection of land C storage due to changes in atmospheric CO₂, climate, N deposition and the
33 combination of these factors (taken from the SRES A2 scenario using LMDz-CM4) simulated by one CN model
34 without (blue) and with (red) nitrogen dynamics (O-CN; (Zaehle et al., 2010a)). b) Difference in projected year 2100
35 land C storage from a) due to nitrogen dynamics. c) Development of b_i over the 21st century simulated by O-CN,
36 compared to estimates from the carbon-cycle and carbon-nitrogen cycle simulations using CLM-CN (Thornton et al.,
37 2007; Thornton et al., 2009), IGSM-CN (Sokolov et al., 2008), and the carbon-cycle only C4 MIP ensemble
38 (Friedlingstein et al., 2006). d) the same for g_i , where the dashed lines red is accounting for the synergistic interactions
39 between all factors in the O-CN model.
40

41 6.4.7 Future Changes in CH₄ Emissions

42
43 Wetlands exist most commonly in the tropics and high latitudes and are natural sources of methane due to
44 anaerobic decomposition (methanogenesis) of organic matter in water-logged soils. Future changes in
45 wetland extent or methane production and oxidation may change emissions. Permafrost especially can
46 contribute to CH₄ emissions in multiple ways: (1) poor drainage due to impermeable permafrost may cause
47 surface wetlands and lakes; (2) thawing of permafrost may cause ground subsidence (thermokarst) and the
48 inundation of surface soils; (3) thawing of permafrost may result in the decomposition of deep carbon and its
49 release as CO₂ or CH₄ to the atmosphere. Methane hydrate deposits, both in permafrost soils and in subsea
50 sediments, may become unstable and escape to the atmosphere, though the quantities stored in hydrates are
51 not well known Methane is also emitted to the atmosphere by fires. Figure 6.41 shows the relative timescale
52 and magnitude of future CH₄ emissions.
53

54 [INSERT FIGURE 6.41 HERE]

55 **Figure 6.41:** Summary diagram of the relative sizes and time scales associate with changing methane emissions (after
56 O'Connor et al. (2010). Present day anthropogenic emissions are shown for reference, as is the effect on CH₄ from
57 biogenic volatile organic compounds (BVOCs). BVOCs affect the atmospheric lifetime of CH₄ as they react with [OH],
58 but are not directly emissions of CH₄. Atmospheric chemistry is not discussed further in this chapter.

6.4.7.1 Future Wetland and Permafrost CH₄ Emissions

Future changes in methane emissions due to climate change can be separated into changes in wetland extent and changes of methane emissions per unit area.

Wetland extent is determined by soil moisture which depends on precipitation, evapotranspiration, drainage and runoff which may all change in future. Increasing temperature can lead to higher rates of evapotranspiration, reducing soil moisture and therefore reduced wetland extent. Regional projections of precipitation changes are especially uncertain (see Chapter 12).

Permafrost thaw may lead to increased drainage and a net reduction in wetlands, a process that has already begun to be seen in lakes in the discontinuous permafrost zone (Smith et al., 2005), or alternatively to lake growth in continuous permafrost areas underlain by ice-rich material subject to thermokarst (Plug and West, 2009). There is high agreement between models that permafrost extent is expected to reduce during the 21st century, with particularly rapid warming at high latitudes. However, estimates vary widely as to the pace of degradation. (Lawrence and Slater, 2005), using the NCAR CCSM3, predict widespread loss (60–90%) of permafrost within the upper 3m of soils during the 21st century. (Burn and Nelson, 2006) argue that this is an overestimate, as it does not include many of the known stabilizing effects for permafrost; however, subsequent improvements to this model to include some of these mechanisms still show large permafrost losses (Lawrence et al., 2008). The LPJ-WHyMe model projected permafrost area loss of 30% (SRES B1) and 47% (SRES A2) by 2100 (Wania, 2007). (Marchenko et al., 2008) calculate that by 2100, 57% of Alaska will lose permafrost within the top 2m. For the RCP scenarios, the loss of permafrost area projected by the UVic ESCM in the 21st century ranged from 23% for RCP2.6 to 42.7% for RCP8.5 (Avis et al., 2011).

Simulated methane emissions from a bog in western Siberia approximately doubled when temperature (+3–5°C) and precipitation (+10–15%) were increased at the same time (Bohn et al., 2007). However, in the same study, an increase in only temperature led to such a big decrease in methane emissions that methane oxidation became larger than the emissions and the simulated site became a CH₄ sink. Field-based experiments in Alaska showed a less strong response to water table manipulations and warming experiments: warming and flooding increased methane fluxes on average by 79%, while lowering the water table reduced the flux by up to 36% (Turetsky et al., 2008). Methane oxidation in soils has been projected to increase by 23% from 24.8 TgCH₄ yr⁻¹ to 30.4 TgCH₄ yr⁻¹ (Curry, 2009).

Thawing of deeper unsaturated Yedoma deposits was postulated to produce significant CH₄ emissions (Khvorostyanov et al., 2008), however more recent estimates with Yedoma carbon lability constrained by incubation observations (Dutta et al., 2006) argue for smaller emissions at 2100 (Koven et al., 2011). Other significant sources of uncertainty are the fraction of thawed carbon that becomes available as a substrate for methanogenesis; the impact of vegetation shifts on soil gas transport and substrate supply.

Typically, ESMs that simulate wetland CH₄ emissions neglect permafrost effects on hydrology, but can still show some complex dynamics, with wetland extent increasing in some areas due to increased precipitation, and decreasing in others due to increased ET and drainage, and earlier snowmelt (Koven et al., 2011; Ringeval et al., 2011). The UVic ESCM has projected loss of wetland area north of 45°N in the 21st century from 6.6% for RCP2.6 to 19.8% for RCP8.5 (Avis et al., 2011). Projected changes in future wetland methane emissions range from +20% to a doubling (Anisimov, 2007; Eliseev et al., 2008; Gedney et al., 2004; Wania, 2007; Zhuang et al., 2007; Zhuang et al., 2006). CO₂ fertilization may lead to increases in CH₄ emissions (vanGroenigen et al., 2011), (Ringeval et al., 2011) but CO₂ fertilization and temperature may both enhance plant growth in the Arctic (AMAP, 2009; Zhuang et al., 2007) and permafrost thawing can also lead to higher carbon accumulation rates (Turetsky et al., 2007).

The effect of climate change on the two largest natural sources of global methane emissions, tropical wetlands (Section 6.3; Bergamaschi et al., 2007; Chen and Prinn, 2006) and wet mineral soils (Spahni et al., 2011), has received little attention. Tropical wetlands are likely to experience multiple disturbances (Hamilton, 2010; Mitsch et al., 2010). Wet mineral soils, defined as mineral soils that are not inundated but whose soil moisture can intermittently reach a level that facilitates methane emissions, have been estimated as a source of 63.2 TgCH₄ yr⁻¹ compared to 80.4 TgCH₄ yr⁻¹ allocated to inundated wetlands (Spahni et al.,

2011). Regional changes in soil moisture will affect heterotrophic respiration in mineral soils (Falloon et al., 2011) and could also lead to a change in methane emissions from wet soils, but the sign of such a change is uncertain.

6.4.7.2 *CH₄ Hydrate Emissions and Climate*

Gas hydrates are ice-like cage-structures that confine low molecular-weight gasses, primarily methane. Substantial quantities of methane are believed to be stored within submarine hydrate deposits of continental margins; studies suggest between 500 and 3000 Pg of methane carbon (Archer et al., 2009a; Milkov, 2004). There is concern that warming of overlying waters may melt these deposits, releasing methane into the ocean and atmosphere systems.

Considering a potential warming of bottom-waters by 1, 3 and 5 K during the next 100 years, (Reagan and Moridis, 2007), hereafter RM07, found that hydrates residing in a typical deep ocean setting (4°C and 1000 m depth) would be stable during this timeframe. Within a typical shallow low-latitude setting (6°C and 560 m) sea-floor methane fluxes did not exceed calculated ranges of methane oxidation and consumption within the sediments. But in a typical cold-shallow Arctic setting (0.4°C and 320 m) these scenarios resulted in methane fluxes that exceeded rates of benthic sediment depletion. Observations of gas venting along the Svalbard margin seafloor (Westbrook et al., 2009) are consistent with modelling (Reagan and Moridis, 2009), indicating that observed regional warming of 1°C during the last 30 years is driving hydrate disassociation. (Elliott et al., 2011), incorporating the Arctic methane fluxes of RM07 into an ocean biogeochemistry model, demonstrated significant impacts on marine hypoxia and acidity, although atmospheric CH₄ release is small. These findings are supported by the modeling study of (Biaostoch et al., 2011). Using output from RM07 and the multi-model response to AR4 1% yr⁻¹ CO₂ increase, (Lamarque, 2008) predicted a global sea-floor flux of between 560–2140 TgCH₄ yr⁻¹, mostly in the high-latitudes.

(Archer, 2007) suggests that chronic methane release could lead to climate impacts over the next century of potentially similar magnitude to other methane sources such as terrestrial biosphere sources. Considering longer-term impacts, (Archer et al., 2009a) estimated that between 35 and 940 PgC – up to half their predicted inventory - could be released over several thousand years following a sustained 3 K seafloor warming.

These studies do not consider subsea-permafrost hosted hydrates; recent observations suggest these could be regionally significant sources of methane (Shakhova et al., 2010). It is still uncertain how much of this methane release is driven by the inundation of terrestrial permafrost by warm waters since the last deglaciation (i.e., natural cycle) or by anthropogenic forcing.

6.4.7.3 *Fire CH₄ Emissions and Climate*

Fire is a significant source of CH₄, both from natural but mainly anthropogenic fires (see Table 6.7). Projected increases in future fire activity (Section 6.8.1) imply that CH₄ from fires will also increase, but there are no quantitative projections published on future fire CH₄ sources. Interactions with other processes, such as thawing of permafrost may also cause fire occurrence and CH₄ emissions to increase (Turetsky et al., 2011).

6.4.8 *How Future Trends in other Biogeochemical Cycles will Affect the Carbon Cycle*

6.4.8.1 *Changes in Fire Under Climate Change / Scenarios of Anthropogenic Fire Changes*

Fire is a disturbance process that affects the net landscape carbon balance. Regional studies for boreal regions suggest an increase in future fire activity (e.g., Amiro et al., 2009; Balshi et al., 2009; Flannigan et al., 2009a; Spracklen et al., 2009; Tymstra et al., 2007; Westerling et al., 2011; Wotton et al., 2010), which has the potential to turn the Canadian forest from a carbon sink into a carbon source (Kurz et al., 2008b). Research on future fire activity has so far mainly focused on boreal North America. Predicted changes show strong spatial variations of opposite sign due to regional variations in the climate – fire relationship and anthropogenic interference (Flannigan et al., 2009b; Kloster et al., 2011; Krawchuk et al., 2009; Pechony and Shindell, 2010; Scholze et al., 2006). The response in fire activity to climate change will depend on the

1 prevalent fire regime which can be limited by fuel availability or fuel moisture. Wetter conditions can reduce
2 fire activity, but increased biomass availability can promote fires (Scholze et al., 2006).

3
4 Kloster et al. (2011) show fire carbon emissions in 2075–2099 that exceed present day emissions by 17–62%
5 depending on scenario. The amount of carbon released from fires depends critically on the burn severity.
6 Increasing burned area and more late season burning in the future will enhance ground-layer combustion and
7 carbon emissions, which will become even more dramatic if climate change will continue to affect thawing
8 of permafrost (Turetsky et al., 2011; Section 6.4.7).

9
10 Future fire activity will also depend on anthropogenic factors. Land use change, resulting in landscape
11 fragmentation, reduced biomass and a less flammable landscape, might explain the observed decreasing
12 trend in fire activity following 1870 (Kloster et al., 2010; Marlon et al., 2008; Pechony and Shindell, 2010).
13 Fire management efforts to protect life and property will try to adapt to changes in fire activity, but might
14 reach their limits with projected increases (Flannigan et al., 2009a). For the Amazon it is estimated that at
15 present 58% of the area is too humid to allow deforestation fires. Climate change might reduce this area to
16 37% by 2050 (LePage et al., 2010). Golding and Betts (2008) highlight that future forest vulnerability to fire
17 may depend non-linearly on combined pressure from climate change and deforestation.

18
19 Fire modelling in the CMIP5 ESMs does not sufficiently represent the complex fire-climate relationship and
20 possible anthropogenic interferences for a quantitative assessment of projections of future fire carbon
21 emissions.

22 23 *6.4.8.2 Impacts of Tropospheric Ozone on the Land Carbon Cycle*

24
25 Plants are known to suffer damage due to exposure to high levels of ozone (O₃) (Ashmore 2005) and are
26 likely to respond to water limitation by reducing stomatal aperture, restricting leaf uptake of both CO₂ and
27 O₃. (Anav et al., 2011) found reductions in Gross Primary Production of 22% due to interactions between
28 plant O₃ uptake, water stress and reductions in plant production. (Tian et al., 2011) reported that O₃ effects
29 were responsible for a 7% reduction in the net carbon sink over China from 1961–2005. Using a 2030
30 current legislation scenario, Van Dingenen et al. (2009) estimated future reductions in global crop yields of
31 2–6% and 1–2% for wheat and rice, respectively. (Felzer et al., 2005) presented global simulations of plant
32 O₃ damage on the carbon cycle and showed a reduction in net carbon exchange by 2100 from 4–140 PgC.
33 (Sitch et al., 2007) found a significant suppression of the global land carbon sink due to O₃ damage to
34 vegetation by up to 260 PgC by 2100 based on SRES A2 emission scenarios. Radiative forcing from the
35 resulting increased CO₂ concentration could exceed that of the direct radiative effect of tropospheric O₃
36 increases.

37 38 *6.4.8.3 Iron-Deposition to Ocean*

39
40 Desert dust carries iron, which is an essential micronutrient for marine biogeochemistry and thus can
41 modulate ocean carbon storage. Future projections of desert dust deposition over the ocean are still largely
42 uncertain, even about the sign of changes (Mahowald et al., 2009; Tegen et al., 2004). (Tagliabue et al.,
43 2008) present results showing relatively little impact of varying aeolian Fe input on cumulative ocean CO₂
44 fluxes and atmospheric pCO₂ over 2000–2100, but (Mahowald et al., 2011) show projected changes in ocean
45 productivity as large as the changes in productivity due to CO₂ increases and climate change.

46 47 *6.4.8.4 Impacts of Changes in Radiation Quality on the Land Carbon Cycle*

48
49 Mercado et al. (2009) estimated that variations in diffuse fraction, associated largely with the ‘global
50 dimming’ period (Stanhill and Cohen, 2001), enhanced the land carbon sink by approximately 25% between
51 1960 and 1999. This more than offsets the negative effect of reduced surface radiation on the land carbon
52 sink. However Mercado et al. (2009) also showed local site optima in the relationship between
53 photosynthesis and diffuse light conditions; under heavily polluted or dark cloudy skies, plant productivity
54 will decline as the diffuse effect is insufficient to offset decreased surface irradiance (UNEP, 2011). Under a
55 future scenario involving rapid reductions in sulphate and black carbon aerosols, the ‘diffuse-radiation’
56 fertilization declines to near zero by 2100. This implies steeper GHG emission cuts are required to stabilize
57 climate if anthropogenic aerosols decline as expected.

6.4.9 *The Longer Term Carbon Cycle and Stabilisation*

Terrestrial ecosystems may respond abruptly to climate change (Cox et al., 2004; Lenton et al., 2008), in part because biophysical feedbacks exist between the land surface and climate especially pronounced in high-latitude regions and North Africa (Claussen et al., 1999; Foley et al., 1994). In Amazonia increased (decreased) forest cover leads to increased (decreased) precipitation, and vice-versa (Betts et al., 2004; Brovkin et al., 2009). Jones et al. (2009b) highlighted that terrestrial ecosystems may exhibit significant inertia and hence be subject to committed changes after stabilisation of climate in much the same way as sea level or ice sheet melting will continue to change for decades or centuries after GHG stabilization (Hare and Meinshausen, 2006; Plattner et al., 2008; Wigley, 1995). Ecosystems are already beginning to be observed to lag behind shifts in climate regimes (Bertrand et al., 2011) and this may be expected also in ocean ecosystems (Burrows et al., 2011).

Long term changes in vegetation structure and carbon storage potentially show larger changes than during the 21st century as the long timescale response of tree growth means that by 2100 only a part of the eventual committed change is realised (Jones et al., 2010b; Jones et al., 2009b). CMIP5 simulations to extend the RCP scenarios to 2300 allow analysis of this longer term response of the carbon cycle (Figure 6.42). Northward expansion of boreal forest may be considered likely because warming of high latitudes is common to most climate models (Chapter 12) and will enable forest ecosystems to extend north into present tundra regions (Kurz et al., 2008a; MacDonald et al., 2008). Both ESMs considered here simulate increases in both tree cover and terrestrial carbon storage north of 60°N. Changes in temperate forests and the southern boundary of the boreal forest are especially uncertain both across vegetation models and climate scenarios (Figure 6.42) with models showing either an increase or decrease in tree cover depending on scenario. Increases in fire or pest activity may drive loss of forest in these regions (Kurz et al., 2008a) but are poorly represented or not accounted at all in these models. Large scale loss of tropical forest has been found to be uncertain (Scholze et al., 2006) and depends strongly on the predicted future changes in precipitation (Good et al., 2011), although both models here simulate reduced tree cover and carbon storage for the RCP8.5 scenario. Earth System models also poorly simulate resilience of ecosystems to climate changes and usually do not account for possible existence of alternative ecosystem states such as tropical forest or savannah (Hirota et al., 2011).

[INSERT FIGURE 6.42 HERE]

Figure 6.42: Time evolution of tree cover (left) and terrestrial carbon storage (right) for three latitude bands; boreal (60–90°N), temperate (30–60°N) and tropics (30°S–30°N) for the RCP extensions to 2300. Models shown are HadGEM2-ES and the MPI-Hamburg ESM which both simulate vegetation dynamics. Note the RCP6.0 extension was not a CMIP5 required simulation. Anthropogenic land-use in these extension scenarios is kept constant at 2100 levels, so these results show the response of natural ecosystems to the climate change.

Long-term commitments to ecosystems also carry long-term commitments to changes in terrestrial carbon storage (Jones et al., 2010b) and permafrost (O'Connor et al., 2010) (Section 6.4.7). The short and long term response of terrestrial carbon storage may vary in sign over different time horizons (Jones et al., 2010b; Smith and Shugart, 1993). Rapid response of tropical ecosystems may lead to early loss of carbon which is later recovered due to a larger, but slower, uptake in enhanced high latitude forests. The compatible emissions required to maintain the RCP scenarios (Section 6.4.3) beyond 2100 will depend on long-term committed changes in ecosystems.

6.5 **Effects of Carbon Dioxide Removal Methods and Solar Radiation Management on the Carbon Cycle**

6.5.1 *Introduction*

To reverse the accumulation of CO₂ in the atmosphere since the preindustrial period (Section 6.2) and the projected increases in the future (Section 6.4), several methods have been proposed to accelerate the removal of atmospheric CO₂ and enhance its storage in the land, ocean and geological reservoirs. These methods have been categorized as “Carbon Dioxide Removal (CDR)” methods under a broad class of “climate intervention” proposals. Most of the currently proposed CDR methods are summarized in Table 6.15 and some are illustrated schematically in Figure 6.43. Since a subset of these CDR methods operate on large

1 spatial scales and have the potential to remove significant amounts of CO₂ from the atmosphere, they could
 2 result in large scale modification to the global climate and carbon cycle, and hence they are also known as
 3 “Geoengineering” proposals (Keith, 2001).

6 **Table 6.15:** Some of the proposed CDR methods

CC Process	CDR Methods	Means of Removal	Storage Location	Storage Form
Enhanced biological production over land	Afforestation/reforestation	Biological	Land ^a Land/ocean floor ^b Ocean/geological formations	Organic ^b Inorganic
	Improved forest management			
	Sequestration in buildings			
	Biomass burial ^a			
	No till agriculture			
	Biochar			
	Conservation agriculture			
	Fertilization of land plants			
	Creation of wetlands			
	Biomass Energy with Carbon Capture and Storage (BECCS) ^b			
Enhanced biological production over oceans	Ocean fertilization	Biological	Oceans	Inorganic ^c Organic
	Algae farming and burial ^c			
	Blue carbon (mangrove, kelp farming) ^c			
Accelerated weathering	Enhanced weathering over land ^d	Chemical	^d Soils and oceans ^e Oceans	Inorganic
	Enhanced weathering over oceans ^e			
Enhanced solubility pump	Modifying ocean downwelling	Chemical	Oceans	Inorganic
Others	Direct-air capture with CCS	Chemical	Oceans/geological formations	Inorganic

7
8
9 **[INSERT FIGURE 6.43 HERE]**

10 **Figure 6.43:** Illustration of some Carbon Dioxide Removal approaches: (a) CO₂ capture by and storage in land
 11 ecosystems, (b) combustion of biomass at an electric power plant with carbon capture and storage of CO₂ either
 12 underground or in the ocean, (c) industrialized capture of CO₂ in the atmosphere with storage either underground or in
 13 the ocean, (d) extraction of alkalinity from mined silicate rocks which are then combined with atmospheric CO₂ to
 14 produce solid carbonate minerals, (e) increasing the weathering rate of silicate rocks (some dissolved carbonate
 15 minerals are transported to the ocean), (f) alkalinity from solid minerals is added to the ocean which causes CO₂ to
 16 ingas from the atmosphere, (g) nutrients are added to the ocean, transporting carbon downward, some of which is
 17 replaced by CO₂ from the atmosphere.

18
 19 By definition, Carbon Dioxide Removal methods *remove* atmospheric CO₂ and store it in land, ocean or
 20 geological reservoirs. Large scale industrial methods that *reduce CO₂ emissions* before emitting CO₂ into the
 21 atmosphere such as Carbon Capture and Storage (CCS) are not classified under CDR methods. Similarly,
 22 biofuel energy production and Reducing Emissions from Deforestation and Degradation (REDD) are not
 23 CDR methods since they provide alternatives to fossil fuels and reduce emissions to the atmosphere,
 24 respectively, and do not involve removal of CO₂ that is already in the atmosphere. Further, it may be noted
 25 that IPCC defines “mitigation” in the context of climate change as “implementing policies to reduce
 26 greenhouse gas emissions and enhance sinks.” Thus, according to the IPCC definition of mitigation, most
 27 CDR methods could also be considered as climate change mitigation.

28
 29 In general, CDR methods are believed to be relatively less risky in terms of unintended side effects than
 30 solar radiation management (SRM) schemes to moderate climate change because they counter the root cause
 31 by reducing atmospheric carbon dioxide concentrations rather than the effects of climate change. CDR
 32 schemes also reduce direct consequences of high CO₂ levels including surface ocean acidification whereas
 33 SRM only changes the planetary energy balance. However, the effects of CDR methods are in general slow

1 on account of long time scales required by carbon cycle processes (transport of CO₂ to deep ocean and
2 weathering of silicate and carbonate rocks) to remove CO₂ from the atmosphere. Therefore, CDR methods
3 do not present an option for rapid mitigation of climate change. However, if implemented on large scales and
4 for long enough time, these schemes could potentially make a contribution in reducing atmospheric CO₂.

6.5.1.1 *Why CDR Methods?*

8 In December 2009 at Copenhagen, governments agreed to limit climate change to 2°C above preindustrial.
9 Modeling studies suggest a cumulative emission of 1000–1300 PgC for CO₂-induced warming to peak at
10 2°C (Allen et al., 2009; Meinshausen et al., 2009). Since 550 PgC of carbon has been already emitted since
11 preindustrial times, an additional of only 500 PgC can be emitted if climate change is to be stabilized at 2°C
12 above preindustrial levels. The corresponding atmospheric CO₂ concentration is estimated 450 ppm. At the
13 current rate of emissions of about 9 PgC per year (LeQuere et al., 2009), this implies temperature change
14 will reach the 2°C target in another 50–70 years. However, emissions rates are increasing in the recent past
15 (LeQuere et al., 2009). Modeling studies indicate that emissions should decline starting 2020 in order to
16 stabilize CO₂ at 450 ppm and CO₂ emissions should be negative if stabilization is required below 400 ppm
17 (Mathews, 2010).

19 It is now widely recognized that the atmospheric lifetime of anthropogenic CO₂ is extremely long. While
20 more than half of emitted CO₂ is absorbed by natural carbon sinks on land and in the surface ocean,
21 additional permanent removal requires transfer of carbon to the deep ocean, which occurs slowly over many
22 centuries. More than two thirds of the peak atmospheric CO₂ will likely remain in the atmosphere after
23 several centuries and on the order of one third of the peak atmospheric CO₂ may still be present after 10000
24 years (Eby et al., 2009). As a consequence, long-term stabilization at 550 ppm CO₂ requires cuts in
25 emissions of 81 to 90% by 2300, and more beyond as a portion of the CO₂ emitted persists for centuries to
26 millennia, and reductions of other greenhouse gases cannot compensate for the long-term effects of emitting
27 CO₂ (House et al., 2008). Complete removal of anthropogenic CO₂ requires absorption by geologic processes
28 such as continental weathering which operates over timescales of hundreds of thousands of years (Archer et
29 al., 2009b).

31 Further, anthropogenic climate change and its impacts will persist for millennia even if CO₂ emissions are
32 stopped; temperatures will remain elevated, relative preindustrial conditions, for many thousands of years
33 (Lowe et al., 2009; Mathews, 2010). Therefore, it may not be possible to reverse climate change on time
34 scales relevant to decadal to centennial timescales without employing strategies to accelerate or supplement
35 the slow natural removal of anthropogenic CO₂. CDR methods may be one of the available strategies to
36 permanently reverse accumulation of CO₂ in the atmosphere that has already manifested.

6.5.1.2 *CDR Scientific Issues*

40 To have a discernable climate effect, CDR schemes should be able to remove several PgC per year from the
41 atmosphere over several decades in this century. Important scientific considerations for evaluating carbon
42 dioxide removal methods include the storage capacity, permanence of the storage and potential adverse side
43 effects (Report, 2009).

45 Geological reservoirs can store a few thousand PgC since their capacity is estimated at several thousand PgC
46 (House et al., 2006; Metz et al., 2005; Orr, 2009). Oceans may also be able to store a few thousand PgC of
47 anthropogenic carbon. However, terrestrial biosphere may be able to store only a few hundred PgC since the
48 cumulative land use flux in the last 200 years is about ~150 PgC (Houghton, 2008). Therefore, this value
49 may represent the maximum potential land carbon storage. However it is unrealistic to suggest that this
50 potential can be realized given competing demands for land for agricultural production, infrastructure
51 development, biofuels and other sectors (see Section 6.3).

53 Permanent and non-permanent CO₂ sequestration may have very different climate implications (Kirschbaum,
54 2003). Permanent sequestration has the potential to decrease cumulative carbon emissions over time, and
55 consequently to decrease total climate warming. By contrast, non-permanent sequestration would not
56 decrease cumulative emissions to the atmosphere. Emissions would be delayed only for an amount of time
57 that depends on the lifetime of stored carbon (Mathews, 2010; Shaffer, 2010). As a consequence, attainment

1 of elevated levels of atmospheric CO₂ and climate warming would only be delayed, and may not be
2 decreased in the long term (Figure 6.44). Nevertheless, temporary sinks may have value (Dornburg and
3 Marland, 2008) because temporary sinks do decrease the cumulative impact of higher temperature and
4 temporary sinks buy time, i.e., they reduce climate changes in the short time while creating or preserving
5 options for the long term.

6 [INSERT FIGURE 6.44 HERE]

8 **Figure 6.44:** Effect of permanent and non-permanent CO₂ sequestration. Permanent sequestration of emitted CO₂ has
9 the potential to decrease cumulative emissions and the resulting climate warming (blue line, compared to black). If the
10 same carbon were sequestered in a non-permanent reservoir, and returned to the atmosphere over several centuries,
11 climate change would be delayed only, and the eventual magnitude of climate change would be equivalent to the no-
12 sequestration case (green line, compared to black). Figure modified from Figure 5 of Mathews (2010).

14 Carbon stored in the terrestrial biosphere or the ocean makes the sequestered carbon more susceptible to re-
15 release, although some forms of storage may prove long lasting (e.g., creation of wetlands). In contrast,
16 geological stores are less subject to future human actions and ecological processes. Carbon stored in the
17 ocean in conjunction with alkaline minerals also appears to be effectively permanent on centennial
18 timescales (Caldeira and Rau, 2000; Caldeira et al., 2005; Kheshgi, 1995). Furthermore, any storage in
19 terrestrial biosphere or ocean also makes the sequestered carbon more vulnerable to re-release if these stores
20 are affected by feedbacks between climate and carbon cycle processes. Hence we should consider any sink
21 permanence issues in the light of climate change, not under present-day conditions.

23 In addition to permanence, another important scientific consideration for CDR methods is the so called
24 “rebound effect”. When carbon is stored in one reservoir, the concentration gradient between the atmosphere
25 and carbon reservoirs is reduced and thereby the subsequent inherent rate of removal of CO₂ from the
26 atmosphere by natural reservoirs is reduced or could be reversed. Simple models have shown that when
27 carbon is removed from the atmosphere and stored permanently, the reduction in the atmospheric carbon is
28 less than 50% of the sequestered carbon (Kirschbaum, 2003) because any CO₂ removal will be subject to
29 exactly the same airborne fraction as an emission (removal is simply a negative emission). Ultimately,
30 returning to pre-industrial CO₂ levels would require permanently sequestering an amount of carbon equal to
31 total anthropogenic CO₂ emissions that have been released before the time of CDR (Cao and Caldeira,
32 2010b; Lenton and Vaughan, 2009; Mathews, 2010). Therefore, to maintain atmospheric CO₂ at low levels,
33 not only does anthropogenic CO₂ in the atmosphere need to be removed, but anthropogenic CO₂ stored in the
34 ocean and land needs to be removed as well when it outgases to the atmosphere (Figure 6.45)

36 [INSERT FIGURE 6.45 HERE]

37 **Figure 6.45:** Effects of an instantaneous cessation of CO₂ emissions (amber line), one-time removal of excess
38 atmospheric CO₂ (blue line) and removal of excess atmospheric CO₂ followed by continued removal of CO₂ that
39 degasses from the atmosphere and ocean (green line). To a first approximation, a cessation of emissions prevents
40 further warming but does not lead to significant cooling on the century time scale. A one-time removal of excess
41 atmospheric CO₂ eliminates approximately half of the warming experienced at the time of the removal. To cool the
42 planet back to pre-industrial levels requires the removal of all previously emitted CO₂, an amount equivalent to
43 approximately twice the amount of excess CO₂ in the atmosphere. Figure adapted from (Cao and Caldeira, 2010b).

45 6.5.2 Carbon Cycle Processes Involved in CDR Methods

47 CDR methods rely primarily on natural carbon cycle processes to accelerate the removal of atmospheric
48 CO₂: enhanced biological production by photosynthesis on (1) land and (2) oceans, (3) accelerated chemical
49 weathering reactions over (3) land and oceans and (4) enhanced solubility pump in the oceans. The exception
50 is direct air capture which relies on artificial chemical methods to remove CO₂ directly from air. Once
51 captured, CO₂ is stored over land, oceans or geological formations. Carbon storage over land in organic form
52 but storage in oceans and geological formations is in inorganic forms.

54 6.5.2.1 Enhanced Biological Production over Land

56 The key process in biomass-based CDR methods on land (Table 6.15) is photosynthesis by plants which
57 produces biomass with carbon content of about 120 PgC each year (Figure 6.1). The common strategy of
58 many of this class of CDR methods is to increase the production of biomass each year and/or store a portion

1 of the biomass in forests, soils or elsewhere (e.g., afforestation/reforestation, biochar, biomass burial,
2 sequestration in buildings) or use it for energy production and sequester the emitted CO₂ (BECCS). Carbon
3 is stored in organic form except in the case of BECCS where the stored material is in inorganic form.
4

5 There is relatively little peer-reviewed literature for many of the land biomass-based CDR methods such as
6 biochar and biomass burial. Estimates of the global potential for enhanced biomass production over land and
7 for specific methods are uncertain because the achievable sequestration by any specific method is severely
8 constrained by competing land needs (e.g., agriculture, biofuels, urbanization, and conservation) and
9 sociocultural considerations. A first approximation to the physical potential of afforestation/reforestation is
10 the cumulative historical deforestation flux from forest conversion to cropland and pasturelands which is
11 estimated between 150 and 200 PgC (Canadell and Raupach, 2008; DeFries et al., 1999; Houghton, 2008).
12

13 The capacity for enhancing the soil carbon sink on agricultural and degraded lands is estimated as 50–60%
14 of the historic loss of 42–78 PgC (Lal, 2004a). The ability to sequester carbon in soil saturates as the soil
15 carbon storage potential is realized. Recent estimates suggest a cumulative potential of 30–60 PgC over 25–
16 50 years (Lal, 2004b). Permanence of soil carbon sequestration as a CDR option can be lost with a change in
17 soil and agricultural management. The maximum sustainable technical potential of biochar is estimated at
18 130 PgC over a century (Woolf et al., 2010). The residence time of carbon converted to biochar in soils, and
19 the effect on soil productivity of adding large loadings of char is uncertain and further research is required
20 (Report, 2009).
21

22 6.5.2.2 *Enhanced Biological Production over Oceans*

23

24 Ocean fertilization, algae farming and enhanced storage in coastal plants are CDR methods that rely
25 primarily on enhanced biomass production in the oceans. The peer-reviewed literature on ocean-fertilization
26 is sufficiently extensive to make a well-informed assessment. The carbon cycle process in ocean-fertilization
27 is photosynthesis by microscopic plants (phytoplankton). A fraction of organic carbon produced by plankton
28 is transported to deep oceans. The inorganic carbon in the surface layers of ocean that is removed by
29 phytoplankton is subsequently replaced by atmospheric CO₂. This process of transporting carbon from
30 surface layers to deep-ocean by biological production in the oceans is known as the “biological pump”. It is
31 limited by the supply of nutrients available that allow plankton growth in the surface layer. The basic idea
32 behind ocean fertilization is to add nutrients that are otherwise limiting (e.g., iron, nitrogen and phosphate) to
33 the surface layer of the oceans to stimulate photosynthesis and thereby draw down atmospheric CO₂. The net
34 result could be an increase in the downward flux of carbon out of the ocean’s near surface layers (Martin,
35 1990). Since downward flux of organic carbon is oxidized in the ocean column below the surface ocean, the
36 sequestered carbon stored primarily in inorganic form (Dissolved Inorganic Form or DIC) in deep ocean in
37 CDR methods that rely on ocean biological production. In other cases like algae and kelp farming and burial,
38 the carbon would be also stored in organic form.
39

40 Ocean-fertilization has been tested in more than a dozen limited release experiments in the last 15 years
41 (Boyd et al., 2007) on small spatial scales (~10 km² scale). These experiments have demonstrated only
42 limited transient effects as increased iron led to the predicted phytoplankton bloom, but the effect is
43 moderated either by other limiting elements, respiration or by grazing by zooplankton. The effectiveness of
44 ocean fertilization depends both on the amount of carbon fixed in the ocean’s surface layers and on the
45 ultimate fate of this carbon. Most of the carbon that is produced through photosynthesis in the surface layers
46 is oxidized (respired, remineralized) in these same layers, and only a small fraction is ultimately transported
47 into the deep sea (Lampitt et al., 2008). Increases in carbon export were measured in the 2002 SOFeX
48 experiment in the Southern Ocean, however the amount of increased export was small relative to both
49 natural phytoplankton blooms occurring in that area, and to the scale of anthropogenic carbon dioxide
50 emissions (Buesseler et al., 2004).
51

52 Global or regional model studies have assessed the potential carbon sink that could be generated by iron
53 fertilization (Aumont and Bopp, 2006; Jin et al., 2008; Zeebe and Archer, 2005). Maximum potential have
54 been estimated from 15 ppm (Zeebe and Archer, 2005) to 33 ppm (Aumont and Bopp, 2006) for a high-
55 range baseline scenario of 700–800 ppm in 2100. In idealized studies on ocean fertilization in global or only
56 Southern Oceans (Cao and Caldeira, 2010a; Joos et al., 1991; Peng and Broecker, 1991; Watson et al., 1994),

1 the maximum potential atmospheric CO₂ reduction is estimated at about 10 % (less than 100 ppmv) of
2 anthropogenic CO₂ for perfect conditions.

3
4 Biological production in the surface water could be also enhanced if the supply of nutrients to surface layers
5 is increased by upwelling (Karl and Letelier, 2008; Lovelock and Rapley, 2007). The amount of carbon
6 sequestered by enhancing the upwelling will depend critically on location and may well be negative (Yool et
7 al., 2009). Artificial upwelling, under most optimistic assumptions, has been estimated to sequester
8 atmospheric CO₂ at a rate of about 0.9 PgC yr⁻¹ (Oschlies et al., 2010b).

10 6.5.2.3 Accelerated Weathering

11
12 There is net removal of carbon dioxide from the atmosphere and transfer to the oceans over thousands to tens
13 of thousands of years by processes involving the weathering or dissolution of silicate and carbonate minerals
14 (Archer et al., 2009) as typified by:



18
19 These weathering reactions typically take place at a rate that is very slow relative to the rate at which fossil
20 fuel is being burned. Natural chemical weathering reactions consume less than 0.1 PgC yr⁻¹ of carbon
21 dioxide from the atmosphere – approximately 1% of the rate of current anthropogenic emissions (LeQuere et
22 al., 2009). Therefore, it would take tens of thousands of years for natural processes to remove CO₂ that we
23 may emit in this century. It has been suggested that this removal rate could be accelerated by intentional
24 efforts to increase the rate of some or all of these weathering reactions. As can be seen from reactions (6.1)
25 and (6.2), storage would be in the form of inorganic carbon (mostly bicarbonate) in these CDR methods.

26
27 The goal of accelerated weathering approaches is to accelerate either reaction (6.1) or (6.2) and increase the
28 storage of carbon dioxide in dissolved form in the ocean (mostly as bicarbonate, HCO₃⁻). It has been
29 proposed that large amounts of silicate minerals such as olivine could be mined, crushed, transported to, and
30 distributed on agricultural land, with the intent that some of the atmospheric CO₂ will be stored as a
31 component of carbonate minerals and some bicarbonate ions would be transported to the oceans (Schuiling
32 and Krijgsman, 2006). Alternatively, the weathering reaction rate can be enhanced by exposing minerals
33 such as basalt or olivine to elevated CO₂ levels (Kelemen and Matter, 2008). In these land based weathering,
34 some carbon would be stored in soils and the remaining would be carried to the oceans by runoff.

35
36 In ocean based weathering proposals, carbonate rocks could be ground and reacted with concentrated CO₂
37 captured at power plants to produce bicarbonate solution which would be released to the oceans (Rau, 2008;
38 Rau and Caldeira, 1999). Alternatively, carbonate minerals could be directly released into the oceans
39 (Harvey, 2008; Kheshgi, 1995). It has also been proposed that strong bases, derived from silicate rocks,
40 could be dissolved in oceans (House et al., 2007), which would cause the oceans to absorb additional CO₂.
41 Carbonate minerals such as limestone could be heated to produce lime (Ca(OH)₂); this lime could be added
42 to the oceans to increase ocean's alkalinity and thereby promote ocean uptake of atmospheric CO₂ (Kheshgi,
43 1995).

45 6.5.2.4 Enhanced Solubility Pump

46
47 It has been proposed that invigorating the overturning circulation of the oceans could cause increased
48 transport of DIC from surface ocean in high latitudes to deep ocean (Zhou and Flynn, 2005) since most of
49 the CO₂ in the deep sea is transported there by the overturning circulation (the 'solubility pump') and not by
50 biological pump (Sarmiento and Gruber, 2006). Ocean carbonate chemistry is the carbon cycle process that
51 removes atmospheric CO₂ in this method. Since surface waters are already saturated in CO₂, the proposal is
52 to increase the volume of downwelling waters. In this process, carbon would be stored in the deep ocean in
53 inorganic form (DIC). Realistic enhancement of downwelling by 1 million m³ s⁻¹ is estimated to increase
54 ocean uptake of carbon by only ~0.01–0.02 PgC yr⁻¹ (Zhou and Flynn, 2005).

6.5.2.5 Other CDR Methods

6.5.2.5.1 Direct air capture

Air capture refers to the chemical process by which pure CO₂ stream is produced by capturing CO₂ from the ambient air. The captured CO₂ would be transported and used for commercial purposes or sequestered in inorganic form in geological reservoirs or deep-ocean. At least three methods have been proposed to capture CO₂ from the atmosphere: adsorption on solids (Gray et al., 2008; Lackner, 2009, 2010); absorption into highly alkaline solutions (Mahmoudkhani and Keith, 2009; Stolaroff et al., 2008). The main scientific factor that provides a barrier to air capture of CO₂ is thermodynamic barrier due to the lower concentration of CO₂ in air (CO₂ content of the air is only about 0.04%) and hence there is large uncertainty on the effectiveness of this method.

6.5.2.6 Impacts of CDR Methods on Carbon Cycle and Climate

One impact that is common to all CDR methods is related to the thermal inertia of the climate system. Many aspects of the earth system may continue to respond for decades or centuries to the original increases in CO₂ even after CDR is applied. Therefore, decreases in surface temperature would lag CDR-induced decreases in atmospheric CO₂ concentrations. This could potentially lead to hysteresis behaviour in many climate variables with respect to atmospheric CO₂. Second, hysteresis can happen with respect on temperature if some variables have large fast climate response because of changes in atmospheric CO₂ (Bala et al., 2009). For instance, modeling studies (Cao et al., 2011; Wu et al., 2010) shown that there will be a temporary acceleration of the global hydrological cycle and global-mean precipitation would increase temporarily in response to a reduction in atmospheric CO₂ concentrations (Figure 6.46). Another effect that is common to all CDR methods is that implementation of CDR methods could lead to reduced plant productivity when compared to the elevated level expected with high CO₂ concentration.

[INSERT FIGURE 6.46 HERE]

Figure 6.46: HadCM3L results from a simulation with 2% annual change in atmospheric CO₂: (a) global and annual mean changes in precipitation as a function of atmospheric CO₂; (b) global and annual mean changes in precipitation as a function of global and annual mean changes in surface temperature. Red dots represent the first 70-year simulation phase with 2% annual CO₂ increase (ramp_up) and time moves forward from the lower left to the upper right. Blue dots represent the subsequent 70-year period with 2% annual CO₂ decrease (ramp_down) and time moves forward from the upper right to the lower left. Black dots represent the following 150-years with the constant control CO₂ concentration and time moves forward from the upper right to the lower left. The simulation states when atmospheric CO₂ reaches 1 × CO₂ and 4 × CO₂ concentrations are marked with yellow circles. Due to the ocean thermal inertia one atmospheric CO₂ state corresponds to two different states of temperature and precipitation, and due to the precipitation sensitivity to atmospheric CO₂ content changes (Bala et al., 2009), one temperature state corresponds to two different precipitation states. Figure adopted from Cao et al. (2011).

6.5.2.6.1 Enhanced biological production over land

CDR methods that enhance biomass in forests carries the risk that carbon stores may return to the atmosphere by disturbances such as fire and insect outbreaks, exacerbated by climate extremes and climate change, or by future forest clearing. When considering activities such as afforestation/reforestation, it is important to account for all climatic effects of forests because reforestation/reforestation will change the surface characteristics like albedo, evapotranspiration and surface roughness (Bonan, 2008). Many modelling studies have shown that afforestation in the seasonally snow covered boreal and temperate regions could decrease the land surface albedo and have a net warming effect, and tropical afforestation in low latitudes could have a net cooling effect due to enhanced latent heat flux from evapotranspiration (Bala et al., 2007; Bathiany et al., 2010; Betts, 2000; Bonan et al., 1992; Montenegro et al., 2009). Changes in evapotranspiration have the potential to alter humidity and cloudiness and hence surface temperature, particularly in tropical regions (Bala et al., 2007). Because of these biophysical effects as well as the biogeochemical effect of carbon sequestration in vegetation, the location of biomass production methods need to be considered when evaluating the net effects (Bala et al., 2007). For instance, in a recent study, warming reductions per unit afforested area are estimated as three times higher in the tropics than in the boreal and northern temperate regions, suggesting that afforestation in the tropics are effective forest management strategies from a climate perspective (Arora and Montenegro, 2011).

6.5.2.6.2 *Enhanced biological production over oceans*

In the case of ocean fertilization, the utilization of macronutrients such as nitrogen and phosphate in the fertilized region can lead to a decrease in production "downstream" from the fertilized region (Gnanadesikan and Marinov, 2008; Gnanadesikan et al., 2003; Watson et al., 2008). This effect can occur, for example, if nutrients such as nitrogen and phosphate are depleted in the fertilized region. A sustained global-ocean iron fertilization could also acidify the deep ocean by storing more DIC there while not significantly reducing the surface ocean acidification problem (Cao and Caldeira, 2010a). Other environmental risks associated with ocean fertilization include expanded regions with low oxygen concentration (Oschlies et al., 2010a), increased production of N₂O and CH₄ (Jin and Gruber, 2003; Oschlies et al., 2010a), and possible disruptions to marine ecosystems (Denman, 2008).

In the case of enhanced biological production through increased ocean upwelling, there could be disturbance to regional carbon balance, since the upwelling must be balanced by downwelling at another location. Along with growth-supporting nutrients, enhanced concentrations of DIC are also brought to surface waters which could degas to the atmosphere and partially offset carbon sequestration through enhanced biological production. Further, whenever artificial upwelling is stopped, surface temperatures and atmospheric CO₂ concentrations could rise rapidly for decades to centuries to levels even somewhat higher than experienced in a world that never engaged in artificial upwelling. This is because carbon removed from atmosphere and stored in soils due to cooling of land caused by upwelling can be suddenly released when artificial upwelling is stopped (Oschlies et al., 2010b).

6.5.2.6.3 *Accelerated weathering*

The pH and carbonate mineral saturation of soils and ocean surface waters would be raised locally. In the marine environment, the elevated pH and increased alkalinity could have potential application to counteract effects of ocean acidification.

6.5.2.6.4 *Enhanced solubility pump*

Artificially increased ocean downwelling (or upwelling) must be compensated by increased upwelling (or downwelling) at another location which may affect the regional carbon balance.

6.5.3 *Summary*

CDR methods propose to accelerate the removal of atmospheric CO₂ through either natural carbon cycle processes or by artificial means and store the removed CO₂ in land, ocean and geological reservoirs. Storage capacity, permanence of the storage system and the potential side effects are important considerations for CDR methods. While quantifying the amount of sequestered CO₂, the rebound effect in which removal of carbon from the atmosphere increases its release from its reservoirs, thereby diminishing the effectiveness of CDR methods should be also considered. CDR methods can be broadly classified into 4 types according to the natural carbon cycle process involved in the removal of atmospheric CO₂: enhanced biomass production over land, enhanced biomass production over oceans, accelerated weathering and enhanced solubility pump. Other CDR methods such as direct air capture and absorbing cement depend on synthetic chemical reactions to accelerate the atmospheric CO₂ removal. The CO₂ that is removed from the atmosphere is proposed to be stored in carbon reservoirs in land biosphere, deep ocean or geological reservoirs. Carbon would be stored in organic form in land biosphere and in inorganic form in deep ocean and geological reservoirs.

The characteristics of CDR methods are listed in Table 6.15 and Table 6.16 lists features of some specific CDR methods for which peer-reviewed literature exists. There are potential climate and carbon cycle side effects from both CDR and SRM methods. Some examples of the side effects are: 1) Removal of atmospheric CO₂ would lead to acceleration in global water cycle. 2) Large scale biological production over land will have climate consequences by altering the surface characteristics such as albedo and evapotranspiration. Because of this, quantification of the net impact of these methods has large uncertainty. 3) Enhanced biological production over oceans could potentially lead to expanded regions with low oxygen concentration, increased production of N₂O and CH₄, possible disruptions to marine ecosystems and disturbance to regional carbon cycle. 4) Enhanced solubility pump could potentially disturb the regional carbon balance.

1 **Table 6.16:** Characteristics of some CDR methods which have peer-reviewed literature. It should be noted that a
 2 variety of economic, environmental, and other constraints could also limit deployment.

Carbon Dioxide Removal method	Means of removing CO ₂ from atmosphere	Carbon storage /form	Time scale of carbon storage	Physical potential of CO ₂ removed in a century*	Reference
Afforestation and reforestation	Biological	Land /Organic	Decades to centuries	80–140 PgC ^a , 48 PgC ^b	^a (Canadell and Raupach, 2008; ^b Sitch et al., 2005)
Biomass energy with carbon capture and storage	Biological	Geological or ocean /Inorganic	Effectively permanent for geologic, centuries for ocean	100 PgC	[‡] See the footnote
Biochar	Biological	Land /Organic	Decades to centuries	130 PgC	(Woolf et al., 2010)
Ocean fertilization	Biological	Ocean /Inorganic	Centuries to millennia	30–66 PgC ^a 200PgC ^b	^a (Aumont and Bopp, 2006; Zeebe and Archer, 2005; Cao and Caldeira, 2010)
Accelerated weathering over land	Geo-chemical	Ocean (and some soils) /Inorganic	Centuries to millennia for carbonates, permanent for silicate weathering	No obvious limit	(Kelemen and Matter, 2008; Schuiling and Krijgsman, 2006)
Ocean-based weathering	Geo-chemical	Ocean /Inorganic	Centuries to millennia for carbonates, permanent for silicate weathering	No obvious limit	(Rau, 2008; Kheshgi, 1995)
Direct air capture	Chemical	Geological or ocean /Inorganic	Effectively permanent for geologic, centuries for ocean	No obvious limit	(Keith et al., 2006; Shaffer, 2010)
Modification of upwelling/ down welling	Biological/ chemical	Ocean /Inorganic	Centuries to millennia	^a 90 PgC ^b 1–2 PgC	^a (Oschlies et al., 2010a; ^b Lenton and Vaughan, 2009; Zhou and Flynn, 2005)

3 Notes:

4 *Physical potential does not account for economic or environmental constraints of CDR methods, for example the value
 5 of the physical constraint for afforestation and reforestation does not consider the conflicts with land needed for
 6 agricultural production

7 [‡] If 2.5 tC per year per hectare can be harvested on a sustainable basis (Kraxner et al., 2003) on 3% (~400 million
 8 hectares, about one fourth of global agricultural land area) of global land (13.4 billion hectares) for BECS,
 9 approximately 1 PgC per year could be removed or about 100 PgC in this century.

12 6.5.4 Impacts of Solar Radiation Management on Carbon Cycle

13 The other class of climate intervention, SRM techniques could also have impacts on the carbon cycle. SRM
 14 methods aim to counter the long wave radiative effect of greenhouse forcing by reducing the amount of
 15 incoming solar radiation to the earth surface. Balancing reduced outgoing radiation by reduced incoming
 16 radiation may be able to counter global mean temperature changes but will lead to a less intense global
 17 hydrological cycle (Bala et al., 2008) and may not completely cancel regional changes in temperature or
 18 precipitation (Govindasamy et al., 2003; Irvine et al., 2010; Ricke et al., 2010) and hence have effects on the
 19 regional carbon cycle budgets.

20 Earth System Models (ESMs) have been used to assess the degree to which SRM techniques successfully
 21 counter climate change and to quantify any possible residual impacts on the carbon cycle and ecosystems.
 22 (Jones et al., 2009a) and (Jones et al., 2010a) analyse the impacts on the climate and carbon cycle of SRM
 23 through injection of SO₂ into the stratosphere (Crutzen, 2006) and sea-salt injection into the marine boundary
 24 layer (Latham et al., 2008). In both SRM schemes global temperatures are much reduced compared to the
 25 no-geoengineering control case, but regional climate changes persist including persistent warming at high
 26 latitudes and regional changes in precipitation. The effect of these local changes on the carbon cycle varies
 27
 28

1 regionally and is likely to vary between models. There is potential for some SRM schemes to negatively
2 affect the efficiency of existing sinks of anthropogenic carbon by altering the winds in the Southern Ocean,
3 although the magnitude or duration of this affect is uncertain (Vaughan and Lenton, 2011).

4
5 Whilst SRM techniques may counter the radiative effects of CO₂ they do not remove any direct effects of
6 CO₂ on natural ecosystems. In the ocean, acidification caused by elevated CO₂ (Section 6.4.5) which may be
7 detrimental to marine ecosystems, is not prevented by SRM. On land, elevated CO₂ stimulates uptake by
8 terrestrial vegetation and hence enhances vegetation and soil carbon stocks (Govindasamy et al., 2002).
9 However, due to the strong coupling between climate and the carbon cycle, SRM could indirectly affect the
10 carbon cycle. For instance, modelling studies have shown that SRM methods could indirectly affect ocean
11 chemistry (Matthews et al. 2009): SRM significantly re-distributes carbon emissions among atmosphere,
12 land and ocean reservoirs with enhanced carbon stocks over land simulated for an SRM case. This
13 redistribution could slow pH decreases in the ocean somewhat relative to a non-SRM case, but does not
14 affect the level of aragonite (a type of carbonate) saturation due to opposing responses of pH and aragonite
15 saturation to temperature changes. Without enhanced accumulation in the land biosphere, this modelling
16 study finds that SRM has little effect on pH, and could lead to accelerated declines in aragonite saturation.

17
18 There is much evidence that SRM schemes will not precisely counteract all of the regional climate effects of
19 elevated CO₂ and cannot counteract the direct effects of CO₂ on ecosystems. Over land, elevated atmospheric
20 CO₂ but cooler temperatures in an SRM-case could lead to enhanced NPP but a reduction in terrestrial
21 respiration. The net result could be a significant reduction in atmospheric CO₂ and increased carbon
22 accumulation in the terrestrial biosphere, especially soils (Vaughan and Lenton, 2011). The stomatal
23 response of plants to elevated CO₂ has also been shown to affect land temperatures, evapotranspiration and
24 hence runoff (Betts et al. 2007; Cao et al. 2010; Gedney et al. 2006; Piao et al. 2007). SRM will not prevent
25 this CO₂-physiological effect on both climate and carbon cycle. More research is needed to understand the
26 multiple implications of SRM methods.

27
28 The SRM method of artificial injection of aerosols into the stratosphere (Report, 2009) is likely to increase
29 the amount of diffuse solar insolation at the surface at the expense of direct light (Crutzen, 2006). Recent
30 theoretical and observational studies have demonstrated that photosynthesis is more efficient under diffuse
31 light conditions (Gu et al., 2003; Niyogi et al., 2004; Oliveira et al., 2007). A recent modelling study
32 estimates that variations in diffuse fraction, associated largely with the 'global dimming' period (Liepert and
33 Tegen, 2002; Liepert, 2002; Stanhill and Cohen, 2001) enhanced the terrestrial carbon uptake by
34 approximately one quarter between 1960 and 1999 (Mercado et al., 2009). Therefore, SRM schemes that
35 increase the diffuse fraction of sunlight (e.g., stratospheric aerosol injection) has the potential to enhance the
36 terrestrial carbon sink. However, reduction in Photosynthetically Active Radiation (PAR) due to SRM
37 methods could cause a drop in land uptake of carbon. Sufficient information is lacking to ascertain whether
38 the net effect of such SRM schemes would be to enhance the carbon sink due to enhanced diffuse light, or to
39 reduce it due to a reduction in overall PAR.

40 41 42 **[START FAQ 6.1 HERE]**

43 44 **FAQ 6.1: What Happens to Carbon Dioxide After it is Emitted into the Atmosphere?**

45
46 *The ocean and the land biosphere take up a fraction of the excess CO₂ emitted into the atmosphere and the*
47 *carbon is subsequently redistributed among the different reservoirs of the global carbon cycle on a multitude*
48 *of time scales. Depending on the amount of CO₂ released, 20–40% will remain in the atmosphere for up to*
49 *2000 years when a new balance is established between the atmosphere, the land biosphere and the ocean. A*
50 *further reduction by geological processes will take time scales from tens to hundred thousand years and*
51 *more. Enhanced atmospheric CO₂ and associated climate impacts of present emissions will thus persist for a*
52 *very long time into the future.*

53
54 Carbon dioxide is an inert gas, which is mixed throughout the entire troposphere rapidly within less than a
55 year. In contrast to chemical compounds in the atmosphere (e.g., CH₄) that are removed and destroyed by
56 sink processes, carbon is not lost, but simply redistributed among the different reservoirs of the global carbon

1 cycle. A simplified diagram of the global carbon cycle is shown in FAQ 6.1, Figure 1. The open arrows
2 indicate typical times for carbon atoms to be transferred through the different reservoirs.

3
4 **[INSERT FAQ 6.1, FIGURE 1 HERE]**

5 **FAQ6.1, Figure 1:** Simplified schematic of the global carbon cycle including its major reservoirs and turnover time
6 scales.

7
8 At the ocean surface CO₂ molecules are constantly exchanged between the air and the sea by molecular
9 diffusion. In the sea water, the invading CO₂ becomes carbonic acid, which reacts chemically very fast with
10 the large pool of dissolved inorganic carbon in the ocean consisting of bi-carbonate and carbonate ions.
11 Currents and mixing processes transport the carbon between the surface layer and the interior of the ocean.
12 The marine biota also redistributes carbon: marine organisms grow organic tissue and calcareous shells in
13 surface waters, which after death sink to depth where it is transformed back to inorganic forms by microbes.
14 A small fraction reaches the sea floor and forms sediments.

15
16 On land the vegetation absorbs CO₂ by photosynthesis and converts it into organic matter. A fraction of this
17 carbon is immediately returned to the atmosphere as CO₂ by plant respiration. The remainder leads to growth
18 of the vegetation. Dead plant material forms soils, which are eventually decomposed by microbes and
19 respired back into the atmosphere as CO₂.

20
21 In a steady, equilibrium state, the exchange fluxes of the global carbon cycle are balanced, i.e., carbon
22 inflows match the outflows of each carbon pool. A net emission of carbon dioxide into the atmosphere,
23 however, induces a disequilibrium. Firstly, the global CO₂ concentration rises. This rise modifies the
24 exchange processes of CO₂ with the carbon pools in direct contact with the atmosphere, i.e., surface ocean
25 and land vegetation, and subsequently within and among carbon reservoirs on land, in the ocean and
26 eventually the earth crust. Thus, the excess carbon is redistributed within the entire global carbon cycle until
27 the carbon exchange fluxes between the different carbon pools have reached a new balanced state. The time
28 it takes to reach this balance depends on the transfer times of carbon through the different reservoirs.

29 ***What determines the ocean CO₂ uptake?***

30
31
32 Over the ocean the increased atmospheric concentration directly increases the air-to-sea flux of CO₂
33 molecules. In the surface ocean, the carbonate chemistry quickly adjusts to the CO₂ invasion. As a
34 consequence areas with a shallow surface ocean become balanced with the atmosphere rapidly within 1-2
35 years. Further ocean uptake is controlled by mixing with deeper waters on time scales of decades to many
36 centuries. On still longer time scales acidification of the invading CO₂ leads to dissolution of carbonate
37 sediments, which still further enhances ocean uptake.

38 ***What controls land CO₂ uptake?***

39
40
41 An increase in atmospheric CO₂ stimulates photosynthesis and thus carbon uptake, however, however,
42 additional factors such as water and nutrient availability are important as well. Furthermore, the relatively
43 short transfer times of carbon through most terrestrial carbon reservoirs imply only a modest and relatively
44 temporary uptake capacity for excess carbon compared to the ocean.

45 ***How fast is the equilibration within the global carbon cycle taking place?***

46
47
48 Equilibration takes place over a multitude of time scales: firstly among the “fast” carbon reservoirs
49 (atmosphere, ocean, land vegetation and soils) over time scales up to a few thousand years. Subsequently, on
50 longer time scales the very slow geological processes become important: dissolution of carbonate sediments
51 and sediment burial into the earth crust. FAQ 6.1, Figure 2 shows as an example the decay of the
52 atmospheric CO₂ concentration as a fraction of the initial pulse input computed with a global carbon cycle -
53 climate model. Because of the ocean chemistry, the size of the initial input is important: higher emissions
54 imply a larger fraction of CO₂ remaining in the atmosphere.

55
56 **[INSERT FAQ 6.1, FIGURE 2 HERE]**

1 **FAQ 6.1, Figure 2:** Decrease of an atmospheric CO₂ pulse emission of 1000 PgC emitted at time 0 showing the
2 different time scales of the equilibration with the different reservoirs in the global carbon cycle. Displayed is the
3 percentage of the initial perturbation taken up by atmosphere, land and ocean (after Archer et al., 2009; the graph shows
4 the simulation results from the CLIMBER-2 model). Note the different time scales in the three sections of the graph.
5

6 ***How do changes in climate affect the redistribution of carbon in the global carbon cycle?***

7
8 All carbon cycle exchange processes depend directly or indirectly on the prevailing climate, e.g., temperature
9 and/or the availability of water. The climate change impact of a CO₂ emission will therefore modify the
10 exchange fluxes between the carbon reservoirs, the relevant adjustment times and the final equilibrium. E.g.,
11 warmer seawater has a lower CO₂ solubility and shifted chemical carbon reactions lead to a lower ocean
12 uptake of excess atmospheric CO₂. Likewise on land higher temperatures foster longer vegetation periods in
13 temperate and higher latitudes, but also faster respiration of soil carbon. The net effect depends on additional
14 factors, such as type of vegetation, availability of water and nutrients. Overall, model calculations indicate
15 that a warmer climate leads to less carbon uptake by the ocean and land implying enhanced atmospheric CO₂
16 concentration when climate effects are taken into account.
17

18 ***How much CO₂ will remain in the atmosphere forever?***

19
20 Depending on the amount of CO₂ released 20–40% will remain in the atmosphere for up to 2000 years when
21 the carbon flows between atmosphere, land vegetation, soils and ocean have reached a new balance. A
22 further reduction by carbonate sediment dissolution and reactions with igneous rocks (a.o. silicate
23 weathering, sediment burial) will take geological time scales from tens to hundred thousand years and more.
24 Enhanced atmospheric CO₂ and associated climate impacts of present emissions will thus persist for a very
25 long time into the future.
26

27 **[END FAQ 6.1 HERE]**

28
29
30 **[START FAQ 6.2 HERE]**

31 32 **FAQ 6.2: Could Rapid Release of Methane and Carbon Dioxide from Thawing Permafrost or Ocean 33 Warming Substantially Increase Warming?**

34
35 *Permafrost are permanently frozen soils occurring primarily in high latitudes of the Arctic. Permafrost soils,
36 including the sub-sea permafrost on the shallow shelves of the Arctic Ocean, contain organic carbon; at
37 least twice the amount of what is currently in the atmosphere as carbon dioxide. Release of a sizable fraction
38 of this carbon as methane and carbon dioxide would lead to warmer atmospheric temperatures, causing yet
39 more methane and carbon dioxide to be released. It would thus create a positive feedback loop that amplifies
40 global warming.*
41

42 **[INSERT FAQ 6.2, FIGURE 1 HERE]**

43 **FAQ 6.2, Figure 1:** Simplified graph of major carbon pools and flows in the Arctic domain, including permafrost on
44 land, continental shelves and ocean (Adapted from McGuire et al., 2009 and Tarnocai et al., 2008).
45

46 ***What are the mechanisms and timescales involved in this process?***

47 *Permafrost organic carbon*

48 Most of the carbon in permafrost on land and also on ocean shelves is stored in organic forms, which needs
49 to be mineralized by microorganisms in order to become volatile either as carbon dioxide under aerobic
50 conditions or as methane under anaerobic conditions.
51

52
53 On land, permafrost is overlain by an “active layer” at the surface, which thaws during summer and forms
54 part of the tundra ecosystem. Increasing temperatures lead to a longer seasonal time period when the active
55 layer is above freezing temperatures, and it will also increase its thickness. This makes more organic carbon
56 accessible for microbial decomposition, but the extended vegetation periods will also promote enhanced CO₂
57 uptake by photosynthesis of the arctic vegetation. Hence the net carbon balance of these regions is a delicate
58 balance between enhanced uptake and enhanced release of carbon. Additionally, the hydrological conditions

1 during the summer thaw phase are important: In shallow lakes or inundated topographic depressions
2 anaerobic conditions will prevail and decomposition of thawed permafrost organic soil carbon will result in
3 methane emissions. Which of these different processes will dominate on a global scale under climate
4 warming is not very well known. However, the timescales involved to liberate significant permafrost soil
5 carbon are relatively large because heat diffusion and melting permafrost takes time, in fact present Arctic
6 permafrost can be seen as a relict of the last glaciation, which is still slowly eroding.

7
8 Under aerobic conditions, remineralisation of organic soil carbon involves the release of heat (similar to a
9 compost), which, during summer, may foster further permafrost melting. Depending on the amount of carbon
10 and ice content of the permafrost and the hydrological regime, this mechanism could trigger under warming
11 relatively fast local permafrost degradation.

12
13 Existing modeling studies of global warming induced feedback on permafrost dynamics indicate a modest
14 positive feedback, which operates on timescales of 100 years, and is similar in magnitude to other
15 biogeochemical feedbacks.

16 *Methane hydrates*

17
18 A second frozen form of carbon in permafrost but occurring also on ocean shelves, shelf slopes and deeper
19 ocean bottom sediments are methane hydrates. These consist of methane and water molecule clusters, which
20 are stable in a specific window of low temperatures and high pressures. Most of these hydrates on land and
21 ocean originate from marine or terrestrial biogenic carbon, decomposed under anaerobic conditions and
22 trapped in an aquatic environment under suitable temperature-pressure conditions. Warming of permafrost
23 soils, ocean waters and sediments or changes in pressure, e.g., by sea level changes, could destabilize these
24 hydrates and release the methane to the ocean and the atmosphere. The pool of these hydrates is very large,
25 alone in the Arctic the amount of methane stored as hydrates may be more than 10 times the present amount
26 of methane in the global atmosphere.

27 ***How vulnerable are these methane hydrate pools under global warming?***

28
29
30 On land, liberating the hydrates is a slow process, similar to the melting of the permafrost soils operating on
31 centennial scales. In the ocean, deeper regions and bottom sediments will take centuries to millennia to
32 become warmed to destabilize the hydrates. Furthermore, methane released in deeper waters has to reach the
33 surface and atmosphere in order to become climatically active. Most of the methane from deeper waters is
34 expected to be consumed by microorganisms before reaching the surface. Only the methane from hydrates
35 from shallow shelves, such as in the Arctic ocean north of Eastern Siberia, may indeed reach the atmosphere
36 and have a climate impact.

37 ***Is there any observational evidence for enhanced methane emissions caused by the recent anthropogenic 38 warming from permafrost or vulnerable ocean regions?***

39
40
41 Several studies recently have documented locally significant methane emissions in the Arctic; over the arctic
42 Siberian shelf and from Siberian lakes. There is no evidence available, however, whether these sources have
43 been enhanced due to recent regional warming. Hence it may be possible, that these methane seepages may
44 have been present since the last deglaciation. In magnitude, these documented Arctic/permafrost methane
45 sources are very small in the global methane budget. This is also confirmed by atmospheric methane
46 concentration observations from in-situ stations and satellite measurements, which do not exhibit
47 substantially enhanced values over the Arctic domain.

48
49 It is expected that methane and carbon dioxide emissions will increase under Arctic warming and that they
50 provide a positive climate feedback. However on timescales of centuries this feedback will be moderate and
51 similar in magnitude to other climate-terrestrial ecosystem feedbacks. On time scales of millennia and
52 longer, however, carbon dioxide and methane releases from permafrost and shelves/shelf slopes are much
53 more important because of the large carbon and methane hydrate pools at stake.

54 **[END FAQ 6.2 HERE]**

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- 1
2
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1 **Tables**
2

3 **Table 6.7:** Global CH₄ budget for the past three decades. T.-D. stands for top-down inversions and B.-U. for Bottom-Up approaches. Full references are given at the end of the
4 chapter. Ranges represent minimum and maximum values from the cited references. The sum of sources and sinks from B-U approaches does not automatically balance the
5 atmospheric changes.

TgCH ₄ yr ⁻¹	1980–1989			1990–1999			2000–2099		
	Top-Down	Bottom-Up	References	Top-Down	Bottom-Up	References	Top-Down	Bottom-Up	References
<i>Natural Sources</i>	217 [201–231]	290 [237–346]		185 [168–202]	292 [239–349]		206 [202–209]	303 [244–368]	
Natural Wetlands	198	184		155	186		169	197	
Natural Wetlands	198 [165–231]	184 [183–184]	T-D: Bou11, Hei97 B-U: Rin11, Hod11	155 [144–163]	186 [185–187]	T-D: Bou11, C&P06 B-U: Spa11, Rin11, Hod11	169 [159–184]	197 [174–280]	T-D: Bou11, Hou(P), Bru(P) B-U: Spa11, Rin11, Hod11
Others	19	106		30	106		37	106	
Freshwater (Lakes & Rivers)		38 [8–73]	B-U: Bas04, Bas11, Wal07		38 [8–73]	B-U: Bas04, Bas11, Wal07		38 [8–73]	B-U: Bas04, Bas11, Wal07
Wild Animals?									
Wildfires		2.5 [1–4]	B-U: EPA10, Lev00, vdW06, Hoe04, Ito04		2.5 [1–4]	B-U: EPA10, Lev00, vdW06, Hoe04, Ito04		2.5 [1–4]	B-U: EPA10, Lev00, vdW06, Hoe04, Ito04
Termites	19 [0–37]	11 [2–20]	T-D: Bou11, Hei97 B-U: EPA10, San96, Sug98, Sug00	30 [23–36]	11 [2–20]	T-D: Bou11, C&P06 B-U: EPA10, San96, Sug98, Sug00	37 [25–47]	11 [2–20]	T-D: Bou11, Hou(P) B-U: EPA10, San96, Sug98, Sug00
Geological (Incl. Oceans & Hydrates)		54 [43–65]	T-D: Bou11 B-U: E]08, Rhe09		54 [43–65]	T-D: Bou11, C&P06 B-U: E]08, Rhe09		54 [43–65]	T-D: Bou11, Hou(P) B-U: E]08, Rhe09
<i>Anthropogenic Sources</i>	350 [337–361]	278 [–]		381 [334–428]	269 [226–313]		338 [334–344]	287 [235–338]	
Agriculture & Waste	202	173		241	168		209	181	
Rice		43	T-D: Bou11, Hei97 B-U: Eur10		36 [27–44]	T-D: Bou11, C&P06 B-U: Eur10, Den04, Spa11, EPA11		36 [28–44]	T-D: Bou11, Hou(P), Bru(P) B-U: Eur10, Den04, Spa11, EPA11
Ruminants	202 [185–218]	85	T-D: Bou11, Hei97 B-U: Eur10	241 [178–301]	81 [71–91]	T-D: Bou11, C&P06 B-U: Eur10, Den04, EPA11	209 [184–242]	85 [73–94]	T-D: Bou11, Hou(P), Bru(P) B-U: Eur10, Den04, EPA11
Landfills & Waste		45	T-D: Bou11, Hei97 B-U: Eur10		51 [44–55]	T-D: Bou11, C&P06 B-U: Eur10, Den04, EPA11		60 [48–76]	T-D: Bou11, Hou(P), Bru(P) B-U: Eur10, Den04,

EPA11									
Biomass Burning	45	17		45	25		29	18	
Biomass Burning (Incl. Biofuels)	45 [43–45]	17	T-D: Bou11, Hei97 B-U: Sch07 ^a	45 [43–46]	25 [21–28]	T-D: Bou11, C&P06 B-U: Sch07 ^a , vdW10	29 [14–47]	18 [16–20]	T-D: Bou11, Hou(P), Bru(P) B-U: vdW10, Wie11
Fossil Fuels	103	88		95	76		100	88	
Fossil Gas Industry & Use		57 ^b	T-D: Bou11, Hei97 B-U: Eur10		52 ^b [44–63]	T-D: Bou11, C&P06 B-U: Eur10, Den04, EPA11		59 ^b [52–69]	T-D: Bou11, Hou(P), Bru(P) B-U: Eur10, Den04, EPA11
Fossil Oil Industry & Use	103 [100–105]			95 [84–103]			100 [78–119]		
Fossil Coal Industry & Use		31	T-D: Bou11, Hei97 B-U: Eur10		24 [19–23]	T-D: Bou11, C&P06 B-U: Eur10, Den04, EPA11		29 [18–35]	T-D: Bou11, Hou(P), Bru(P) B-U: Eur10, Den04, EPA11
Sinks	535	481		547	514		541	555	
OH Total	510 [486–533]	456 [399–488]	T-D: Bou11, Hei97 B-U: ACCMIP	522 [489–554]	489 [473–509]	T-D: Bou11, C&P06 B-U: ACCMIP	516 [510–525]	529 [473–594]	T-D: Bou11, Hou(P) B-U: ACCMIP
OH Troposphere	477	433 [382–461]	T-D: Bou11 B-U: ACCMIP	480	460 [453–472]	T-D: Bou11 B-U: ACCMIP	502	501 [454–559]	T-D: Bou11 B-U: ACCMIP
OH Stratosphere	11	23 [12–40]	T-D: Bou11 B-U: ACCMIP	11	29 [13–52]	T-D: Bou11 B-U: ACCMIP	14	28 [12–53]	T-D: Bou11 B-U: ACCMIP
Soils	25 [25–26]	25 [22–28]	T-D: Bou11, Hei97 B-U: Spa11, Cur07, Dut07	25	25 [22–28]	T-D: Bou11 B-U: Spa11, Cur07, Dut07	25	25 [22–28]	T-D: Bou11 B-U: Spa11, Cur07, Dut07
Chlorine									
Global									
Sum of Sources	567 [538–592]	568 [515–624]	T-D: Bou11, Hei97	566 [536–596]	561 [465–662]	T-D: Bou11, C&P06	544 [518–550]	590 [479–706]	T-D: Bou11, Hou(P), Bru(P)
Sum of Sinks	535 [511–559]	481 [421–506]		547 [514–579]	514 [495–537]		541 [512–550]	555 [495–622]	
Imbalance (Sources- Sinks)	32 [27–33]			19 [17–22]			3 [0–6]		
Atmospheric Growth Rate	34			17			6		

1 Notes:

2 To be determined: Uncertainties reporting (may use expert judgement if no formal uncertainty analysis available)

3 **** = 95% certain that the actual value is within 10% of the estimate reported

4 *** = 95% certain that the actual value is within 33% of the estimate reported

5 ** = 95% certain that the actual value is within 66% of the estimate reported

- 1 * = 95% certain that the actual value is within 100% of the estimate reported
- 2 (a) Excluding biofuels
- 3 (b) Combined oil and gas
- 4
- 5

1 **Table 6.8:** Section 1 gives the Global N budget (TgN yr^{-1}): a) creation of reactive N, b) emissions of NO_x , NH_3 in
 2 2000s to atmosphere, c) deposition of N to land and oceans and d) discharge of total N to coastal ocean. Section 2 gives
 3 the N_2O budget for the year 2005, and for the 1990s compared to AR4. Unit: $\text{Tg N}_2\text{O-N yr}^{-1}$.

SECTION 1

<i>a. Conversion of N_2 to Nr</i>	2005	References
Anthropogenic sources		
Fossil Fuel Combustion	24.5	Galloway et al., 2008
Haber-Bosch Process		
Fertilizer	100	Galloway et al., 2008
Industrial Feedstock	24	Galloway et al., 2008
BNF	70 (60–80)	Herridge et al. (2008)
Anthropogenic total	219	
Natural sources		
BNF, terrestrial	100 (90–120)	Galloway et al., 2004
BNF, marine	100 (60–200)	Duce et al., 2008
Lightning	4 (3–5)	AR4
Natural total	204	
Total Conversion of N_2 to reactive N	423	

b. Emissions to atmosphere

	NO_x	NH_3	
Fossil Fuel Combustion & industrial processes	28.3	0.5	Dentener et al., 2006
Agriculture	3.7	30.4	Dentener et al., 2006
Biomass and biofuel burning	5.5	9.2	Dentener et al., 2006
Anthropogenic total	37.5	40.1	
Natural Sources			
Soils under natural vegetation	7.3 (5–8)	2.4 (1–10)	AR4
Oceans	-	8.2 (3.6)	AR4
Lightning	4 (3–5)	-	AR4
Natural total	11.3	10.6	AR4
Total Sources	48.8	50.7	

c. Deposition from the atmosphere

	NO_y	NH_x	
Continents	27.1	36.1	Larmarque et al., 2010
Oceans	19.8	17.0	Larmarque et al., 2010
Total	46.9	53.1	

d. Discharge to coastal ocean

Surface water N flux	45	Mayorga et al., 2010
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SECTION 2

	AR5 (2006)	AR5 (mid-1990s)	AR4 (1990s)
Anthropogenic sources			
Fossil fuel combustion & industrial processes	0.7 (0.2–1.8) ^a	0.7 (0.2–1.8) ^a	0.7 (0.2–1.8)
Agriculture	4.1 (1.7–4.8) ^b	3.7 (1.7–4.8) ^b	2.8 (1.7–4.8)
Biomass and biofuel burning	0.7 (0.2–1.0) ^a	0.7 (0.2–1.0) ^a	0.7 (0.2–1.0)
Human excreta	0.3 (0.1–0.4) ^a	0.3 (0.1–0.4) ^a	0.2 (0.1–0.3)
Rivers, estuaries, coastal zones	0.6 (0.1–2.9) ^c	0.6 (0.1–2.9) ^c	1.7 (0.5–2.9)

Atmospheric deposition	0.4 (0.3–0.9) ^d	0.4 (0.3–0.9) ^d	0.6 (0.3–0.9)
Deep ocean	1.0 (0.5–1.5) ^e	0.9 (0.5–1.4) ^e	-
Surface sink	-0.01 (0–1) ^f	-0.01 (0–1) ^f	-
Anthropogenic total	7.7	7.2	6.7
Natural sources^a			
Soils under natural vegetation	6.6 (3.3–9.0)	6.6 (3.3–9.0)	6.6 (3.3–9.0)
Oceans	3.8 (1.8–5.8)	3.8 (1.8–5.8)	3.8 (1.8–5.8)
Lightning	-	-	-
Atmospheric chemistry	0.6 (0.3–1.2)	0.6 (0.3–1.2)	0.6 (0.3–1.2)
Natural total	11.0	11.0	11.0
Total sources	18.7 (8.9–28.2)	18.2 (8.5–28.1)	17.7 (8.5–27.7)

1 Notes:

2 (a) As in AR4 (not based on 2006 IPCC Guidelines)

3 (b) Direct soil emissions and emissions from animal production; calculated following 2006 IPCC Guidelines (Syakila
4 and Kroeze, 2011); Range from AR4.

5 (c) Following 2006 IPCC Guidelines (Kroeze et al., 2010; Syakila and Kroeze, 2011); Higher end of range from AR4;
6 lower end of range from 1996 IPCC Guidelines (Mosier et al., 1998). Note that a recent studies indicates that IPCC may
7 underestimate emissions from rivers (Beaulieu et al., 2011)

8 (d) Following 2006 IPCC Guidelines (Syakila and Kroeze, 2011)

9 (e) (Duce et al., 2008; Syakila and Kroeze, 2011); Range an estimated $\pm 50\%$

10 (f) (Syakila et al., 2010)

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1 **Table 6.9:** CMIP5 model descriptions in terms of carbon cycle attributes and processes.

ESM	Group	Atmos Resolution	Ocean Resolution	Land-Carbon						Ocean Carbon				Reference
				Model name	Dynamic vegetation cover?	#PFTs	Incl. LUC?	N-cycle	Fire	Model name	#plankton types	Micro-nutrients?	Ocean DMS concentration?	
CanESM2	CCCma	T63, L35	1.41° × 0.94°, L40	CTEM	N	9	Y	N	N	CMOC	1	N	N	(Arora et al., 2011)
CESM1	NCAR/DOE	FV 0.9 x 1.25	1 degree	CLM4	N	15	Y	Y	Y	BEC	4	Y	N	(Gent et al., 2011; Thornton et al., 2009)
HadGEM2-ES	MOHC	N96 (ca. 1.6°), L38	1 degree, L40	JULES	Y	5	Y	N	N	Diat-HadOCC	3	Y	Y	(Collins et al., 2011b; Jones et al., 2011)
IPSL-CM5A-LR	IPSL	3.75x1.9, L39	Zonal 2°, Meridional 2°–0.5° L31	ORCHIDEE	N	13	Y	N	Y	PISCES	2	Y	N	(Dufresne et al., 2011)
MIROC-ESM	JAMSTEC	T42, L80	Zonal: 1.4 degree, Meridional: 0.5–1.7 degree, Vertical: L43+BBL1	SEIB-DGVM	Y	13	Y	N	N	NPZD (Oschlies 2001)	2 (Phytoplankton and Zooplankton)	N	N	(Watanabe et al., 2011)
MPI-ESM	MPI	T63 (ca. 1.9°), L47	ca.1.5°, L47	JSBACH	Y	12 (8 natural)	Y	N	Y	HAMOCC	2	Y	N	(Raddatz et al., 2007; Brovkin et al., 2009; Maier-Reimer et al., 2005)

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Chapter 6: Carbon and Biogeochemical Cycles

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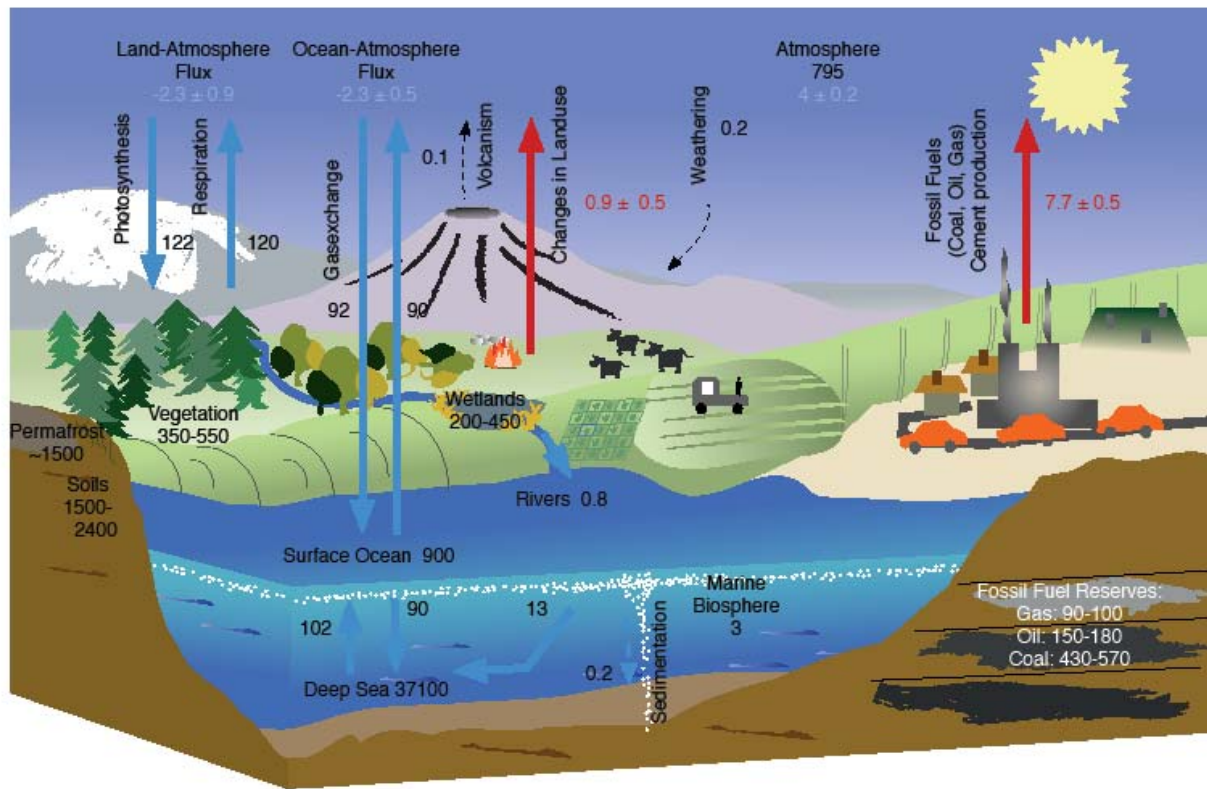
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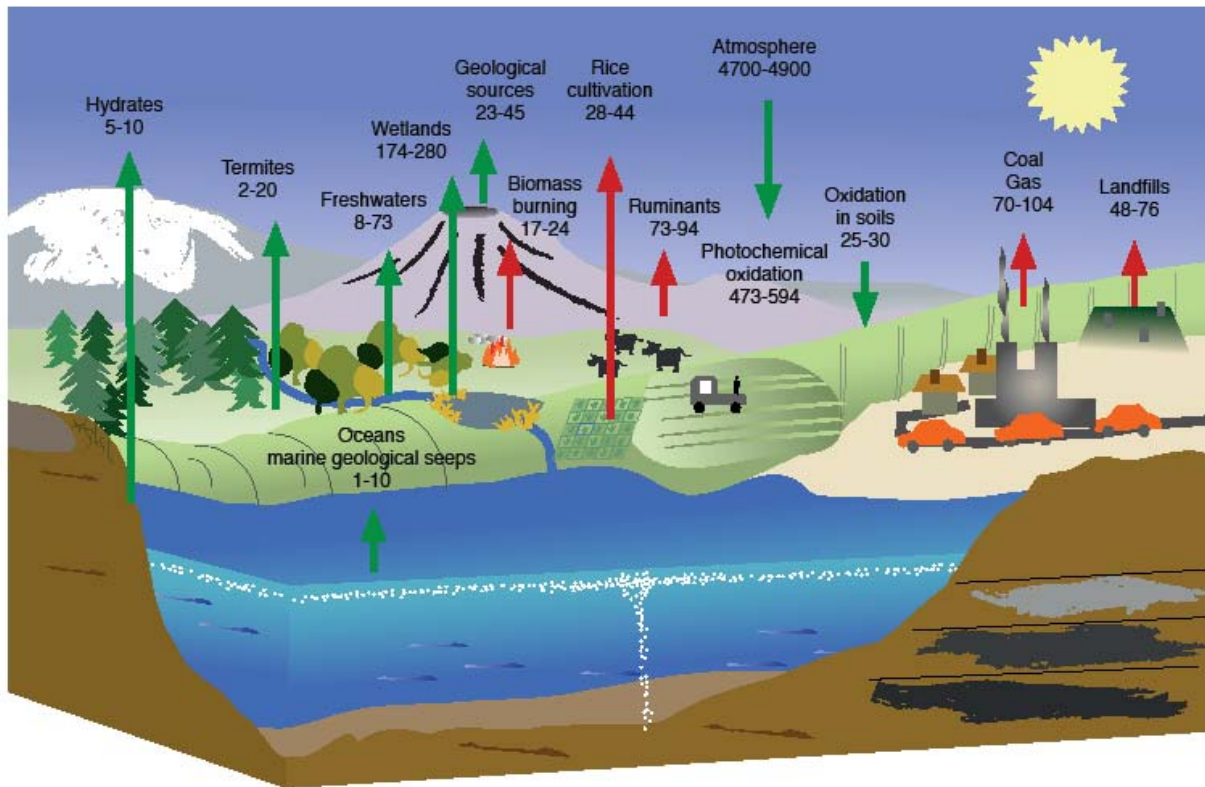
Notes: TSU Compiled Version

1 Figures
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5 **Figure 6.1:** Simplified schematic of the global carbon cycle. Numbers represent reservoir sizes (in PgC), resp. carbon
6 exchange fluxes (in PgC yr⁻¹), representing average conditions over the 2000–2009 time period.
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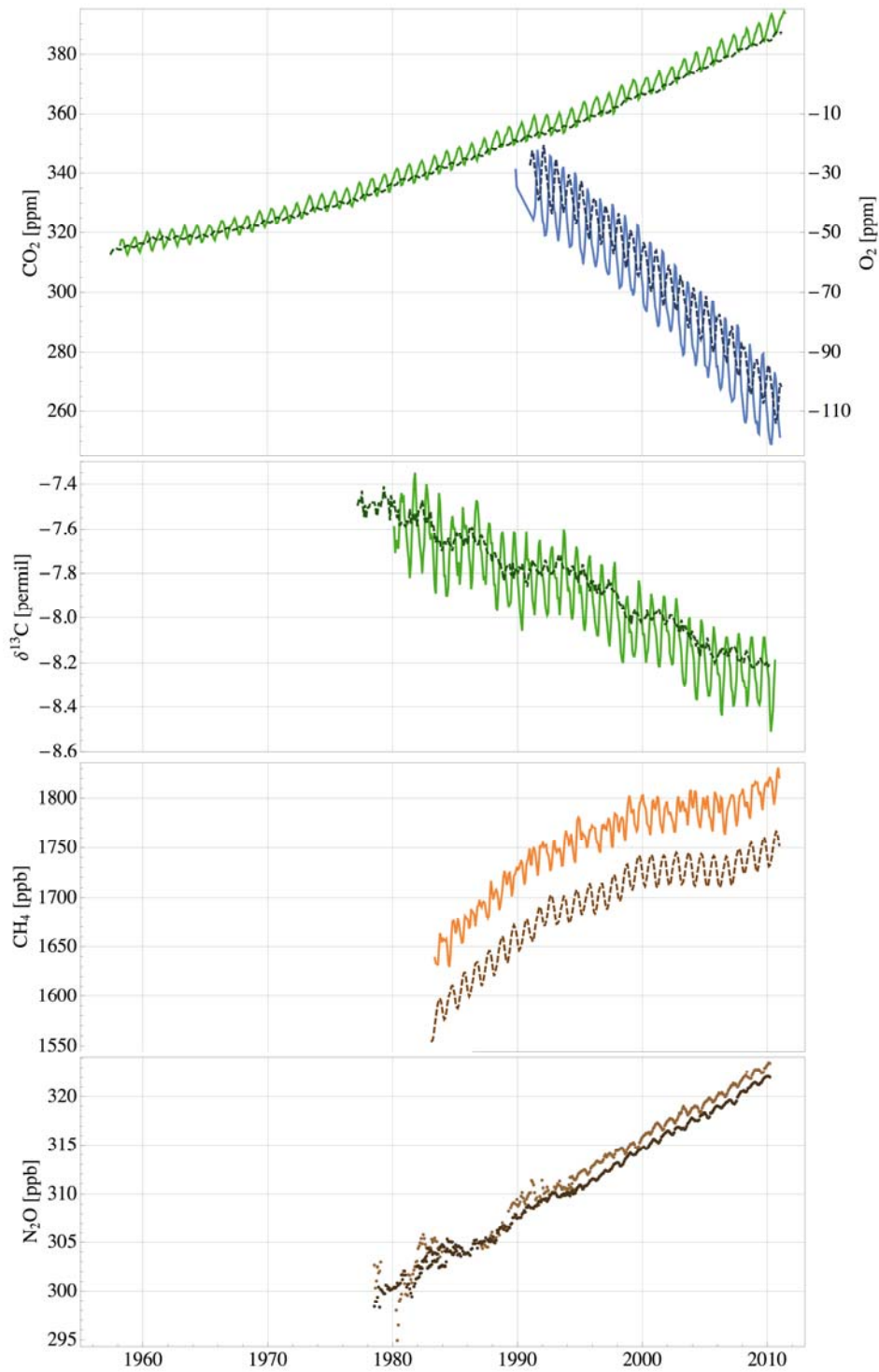
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Figure 6.2: Schematic of the global cycle of CH₄. Numbers represent fluxes in TgCH₄ yr⁻¹ estimated for the time period 2000–2009 (see Section 6.3.). Green arrows denote natural fluxes, red arrows anthropogenic fluxes.

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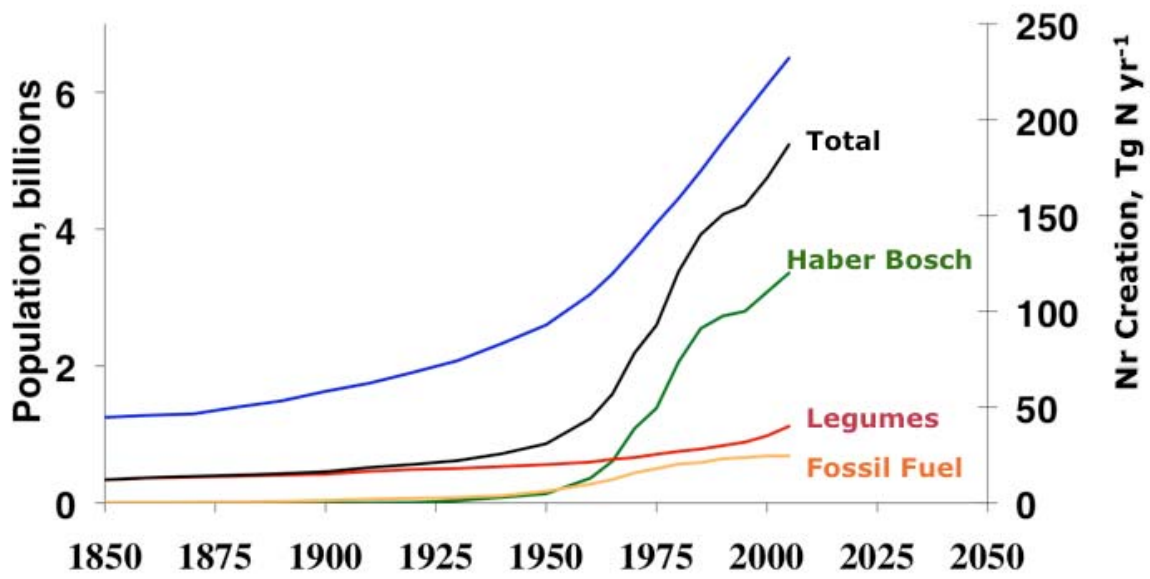
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4 **Figure 6.3:** Atmospheric concentration of CO₂, oxygen, ¹³C/¹²C stable isotope ratio in CO₂, CH₄ and N₂O recorded
 5 over the last decades at representative stations in the northern (solid lines) and the southern (dashed lines) hemisphere.
 6 (a: CO₂ from Mauna Loa and South Pole (Keeling et al., 2005), O₂ from Alert and Cape Grim
 7 (<http://scrippsco2.ucsd.edu/> right axes), b: ¹³C/¹²C: Mauna Loa, South Pole (Keeling et al., 2005), c: CH₄ from Mauna
 8 Loa and South Pole (Dlugokencky et al., 2010), d: N₂O from Adrigole and Cape Grim (Prinn et al., 2000).

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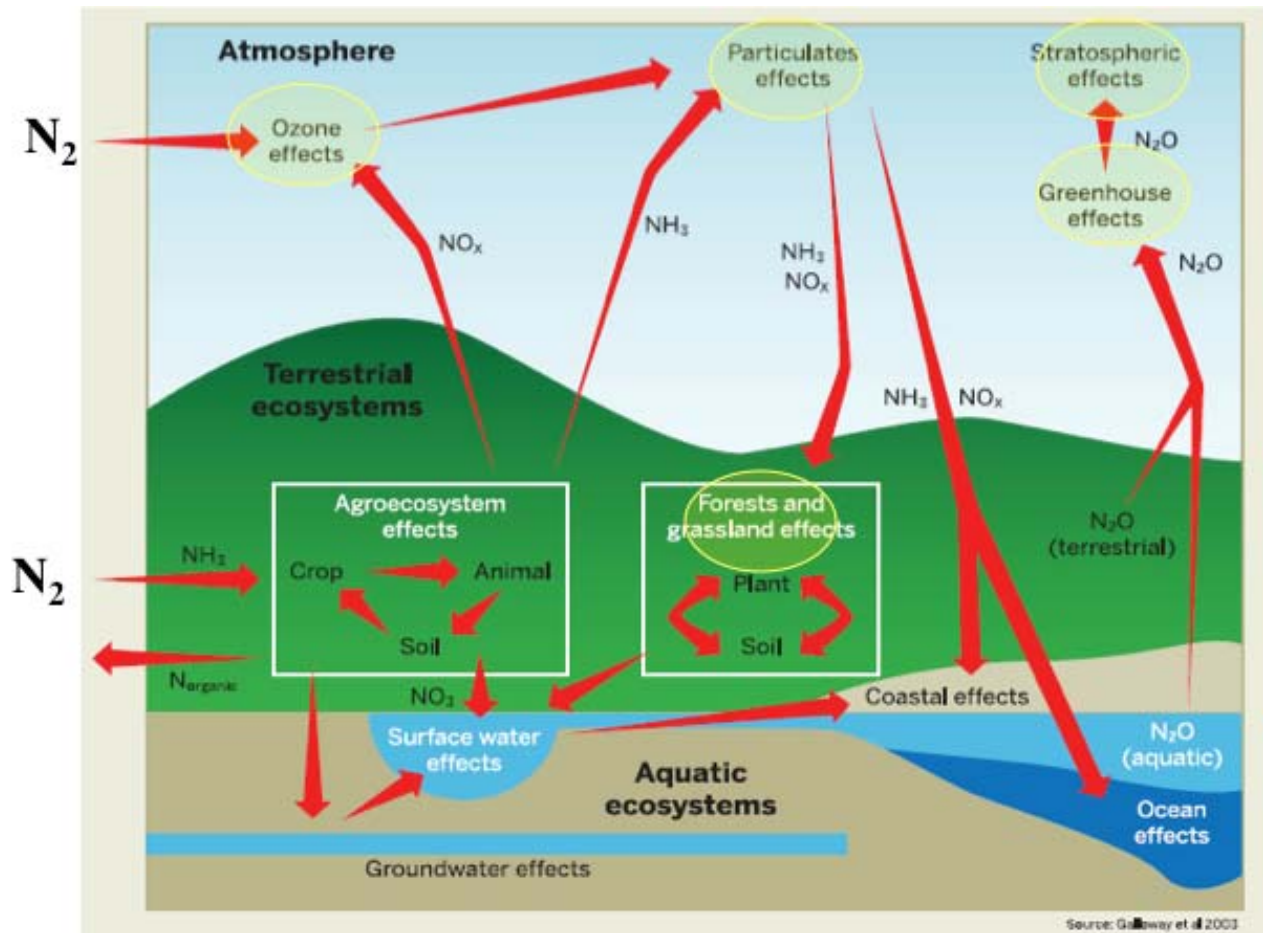
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Box 6.1, Figure 1: World population (blue line) and reactive creation by the fossil fuel burning (orange line), from legumes (red line) and by the Haber-Bosch process (green line), over the last 160 years.

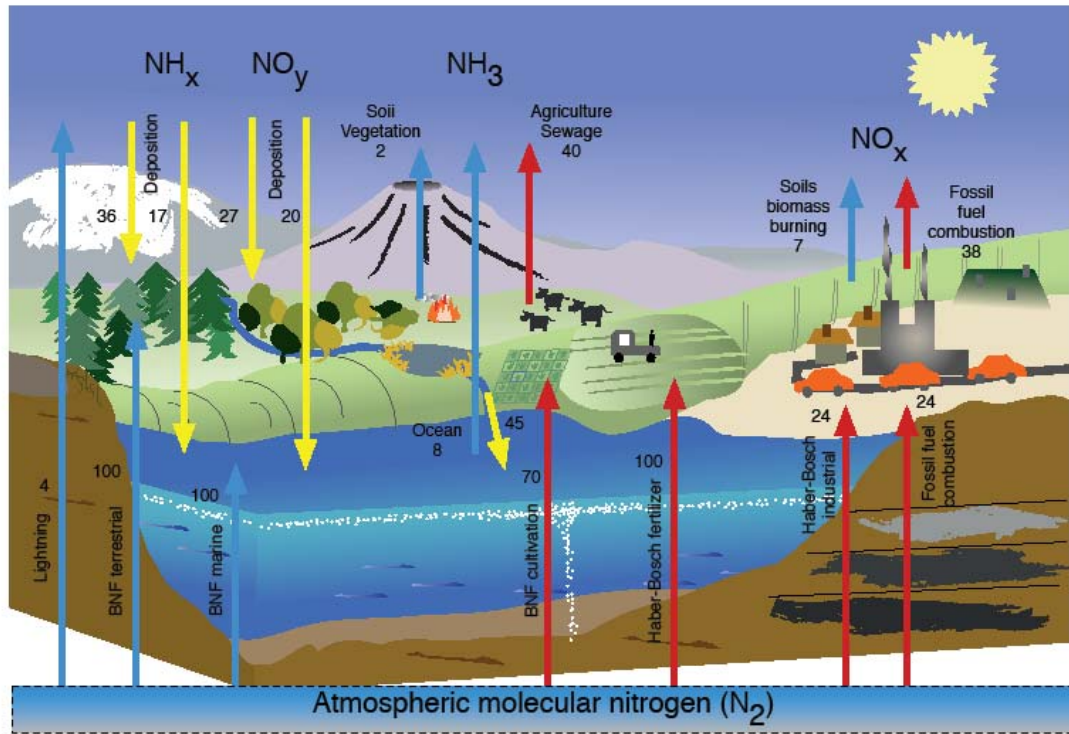
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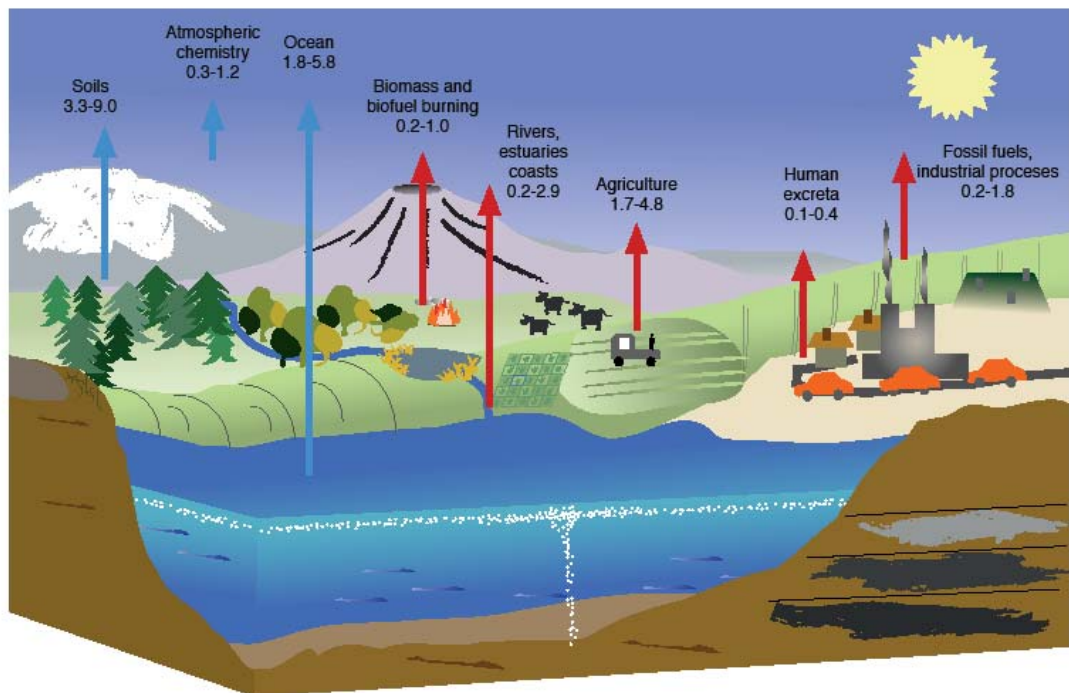
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Box 6.1, Figure 2: Nitrogen cycle interactions with terrestrial and aquatic ecosystems.

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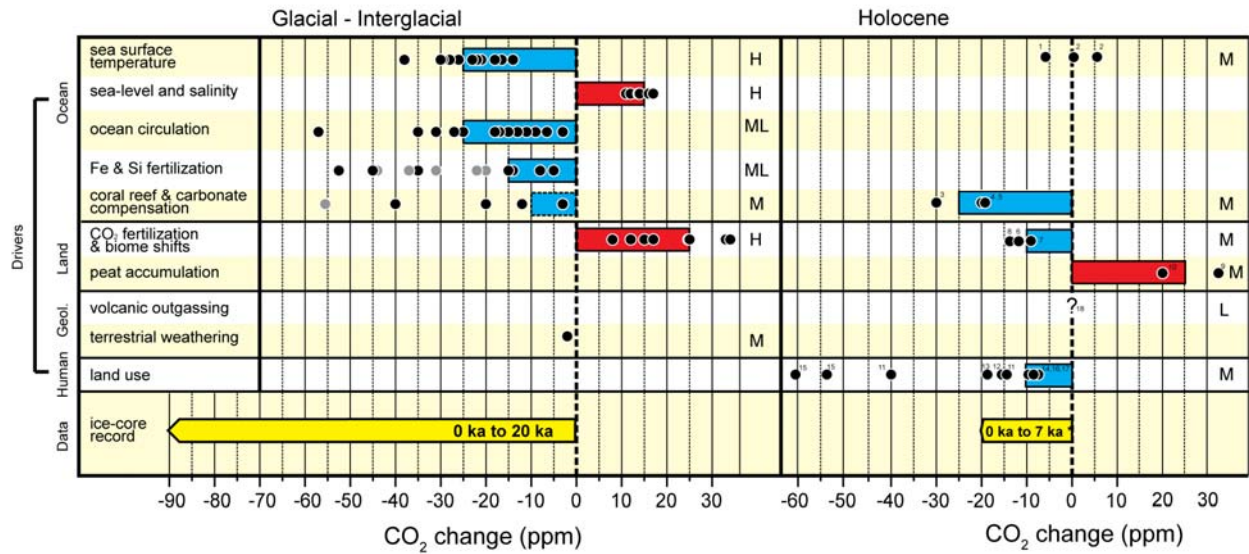
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Figure 6.4: Global nitrogen cycle. In the top panel, the upper part shows the flows of reactive Nitrogen species, the lower part the processes by which atmospheric molecular nitrogen is converted to reactive nitrogen species. The bottom panel shows a schematic of the global cycle of N_2O . Blue arrows are natural, red arrows anthropogenic fluxes, and yellow arrows represent fluxes with an anthropogenic and natural component. BNF: biological nitrogen fixation. Units: $TgN\ yr^{-1}$.

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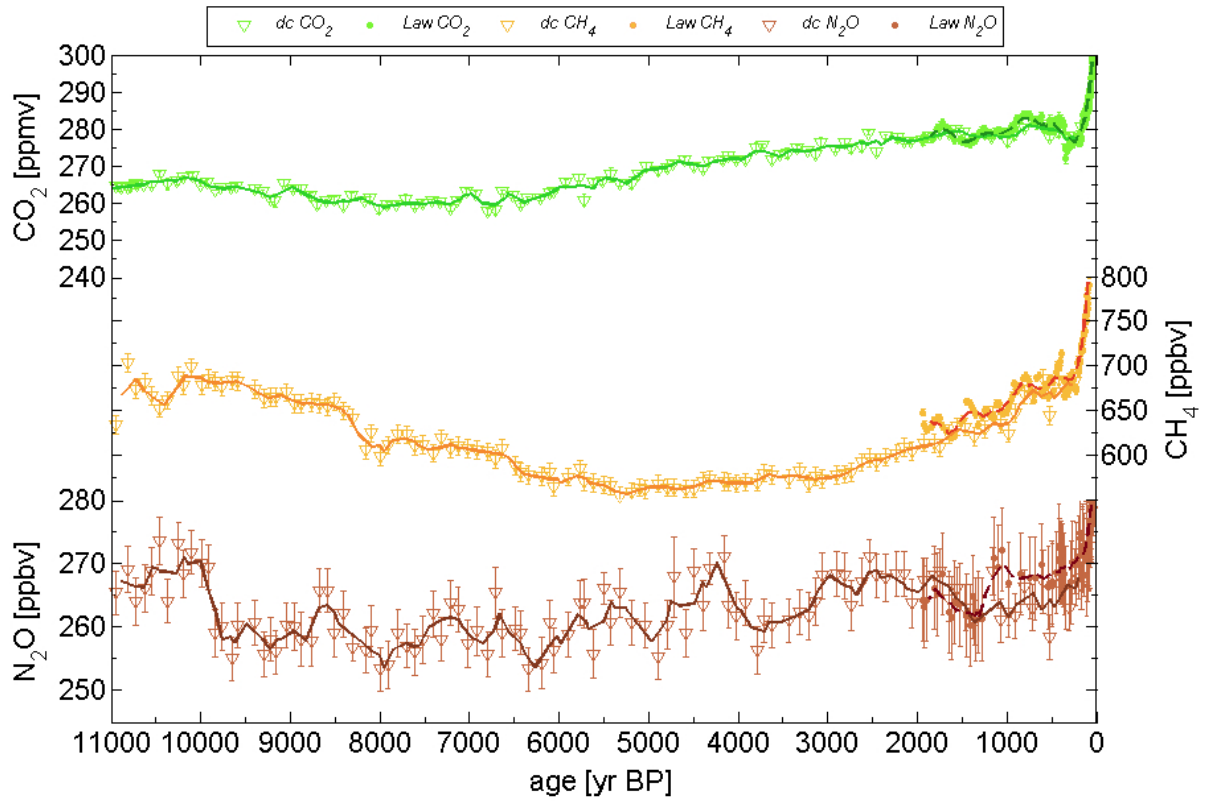
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4 **Figure 6.5:** Carbon dioxide concentrations changes from late Holocene to the LGM (left) and from late Holocene to
 5 early/mid Holocene (7 ka) (right). Filled black circles represent individual model-based estimates for individual ocean,
 6 land, geological or human drivers. Solid color bars represent expert judgment (to the nearest 5 ppm) rather than a
 7 formal statistical average. References for the different model assessment used for the glacial drivers are as per (Kohfeld
 8 and Ridgwell, 2009) with excluded model projections in grey. References for the different model assessment used for the
 9 holocene drivers are 1. (Joos et al., 2004), 2. (Brovkin et al., 2008), 3. (Kleinen et al., 2010), 4. (Broecker et al.,
 10 1999), 5. (Ridgwell et al., 2003), 6. (Brovkin et al., 2002), 7. Shurgers et al. (2006), 8. (Kleinen et al., 2010), 9. (Yu,
 11 2011), 10. (Kleinen et al., 2011), 11. (Ruddiman, 2003, 2007), 12. (Strassmann et al., 2008), 13. (Olofsson and Hickler,
 12 2008), 14. (Pongratz et al., 2009), 15. (Kaplan et al., 2011), 16. (Lemmen, 2009), 17. (Stocker et al., 2011) and 18.
 13 (Roth and Joos, submitted).

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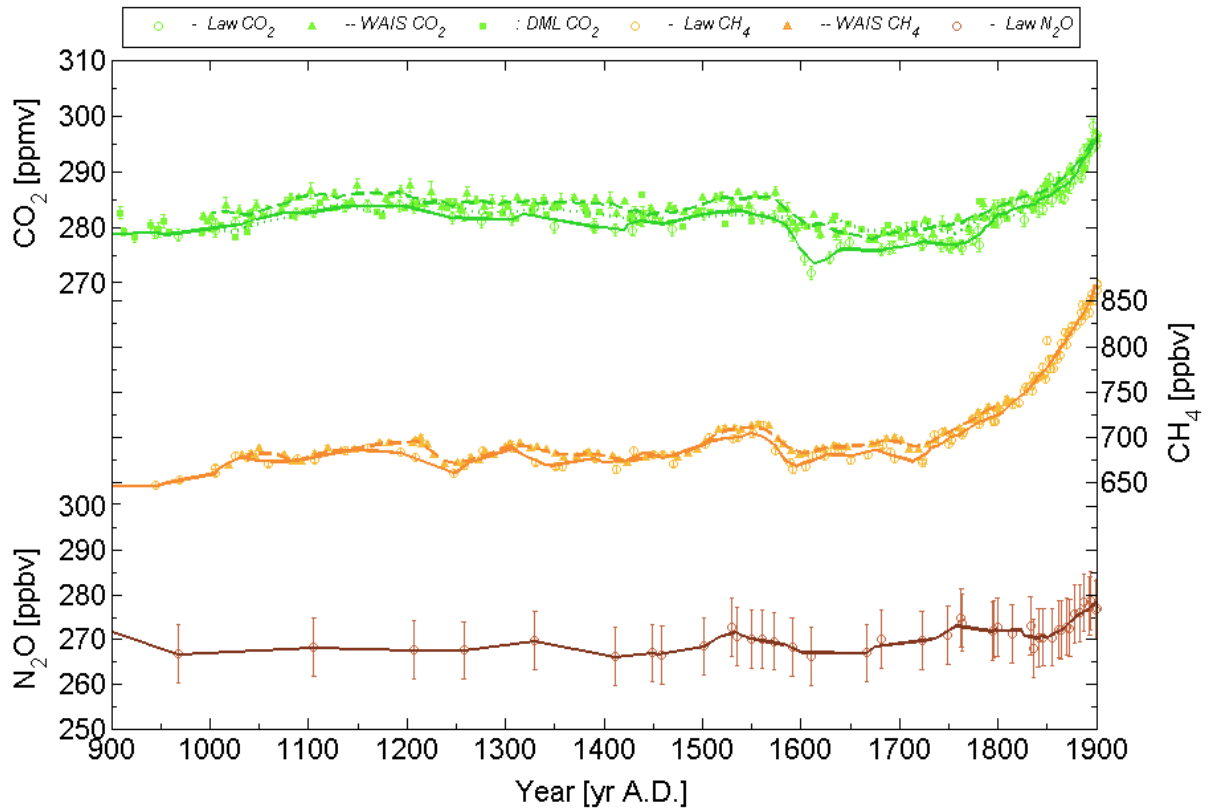
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Figure 6.6: Variations of CO₂, CH₄, and N₂O concentrations during the Holocene. The data are for Antarctic ice cores (EPICA Dome C (Fluckiger et al., 2002; Monnin et al., 2004) (triangles); Law Dome, (MacFarling-Meure et al., 2006) circles), and for Greenland ice core (GRIP (Blunier et al., 1995), squares). Lines are for 200-year moving average.

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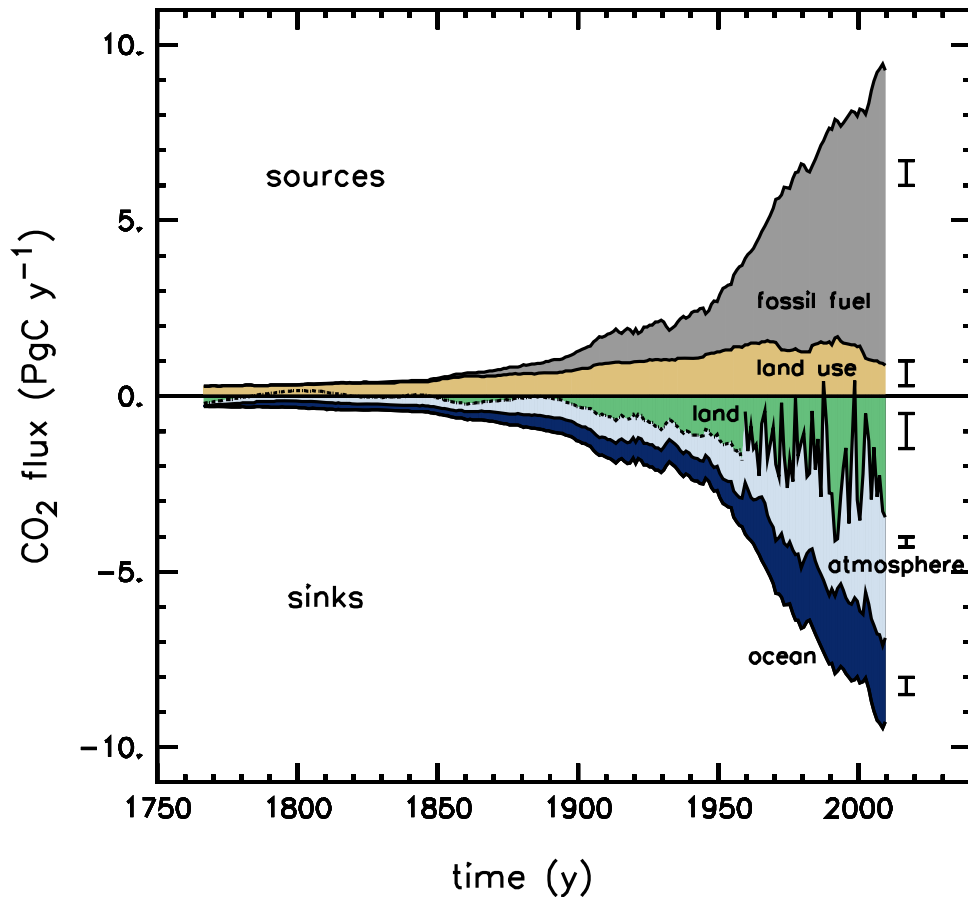
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Figure 6.7: Variations of CO₂, CH₄, and N₂O during 900–1900 AD. The data are for Antarctic ice cores: (Etheridge et al., 1996; MacFarling-Meure et al., 2006), circles; West Antractic Ice Sheet (Ahn et al., submitted; Mitchell et al., 2011), triangles; Dronning Maud Land (Siegenthaler et al., 2005a), squares. Lines are for 30-year moving average.

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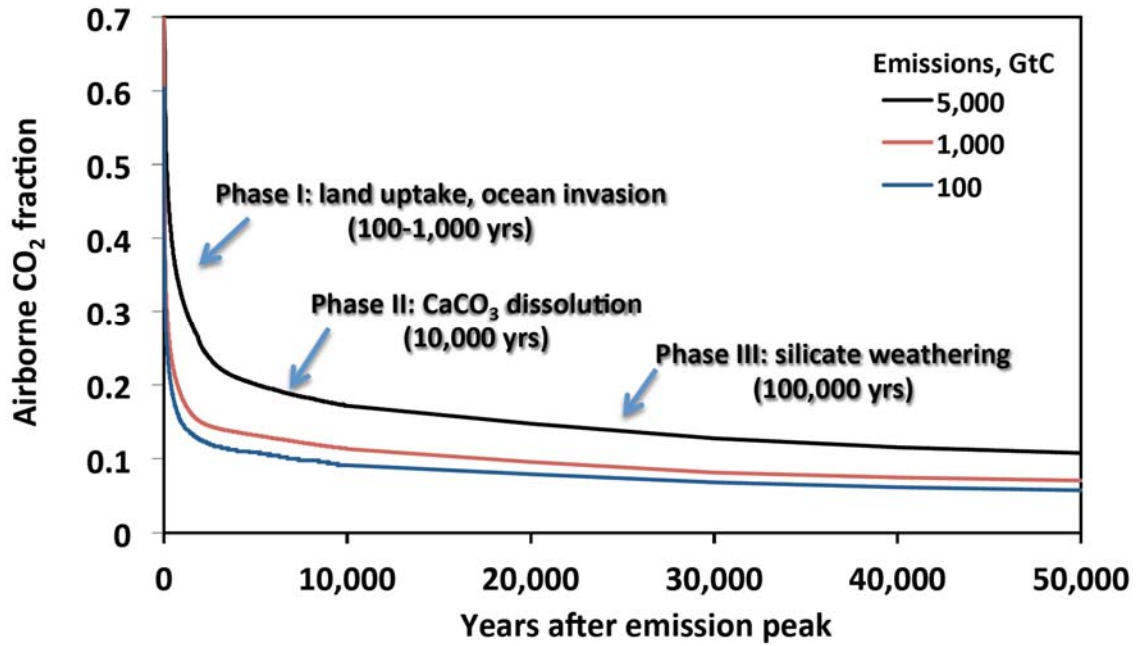
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Figure 6.8: Sources and sinks fluxes (PgC yr⁻¹) for all main flux component of the global CO₂ budget from 1750 to 2010. CO₂ emissions are estimated by the Carbon Dioxide Information Analysis Center (CDIAC) based on UN energy statistics for fossil fuel combustion and US Geological Survey for cement production (Boden et al., 2011). CO₂ emissions from deforestation and other land use change prior to 1960 are from the average of three estimates (Pongratz et al., 2009; Shevliakova et al., 2009; van Minnen et al., 2009) for 1750–1959 and from (Friedlingstein et al., 2010) from 1960. The atmospheric CO₂ growth rate prior to 1960 is based on a spline fit to ice core observations (Etheridge et al., 1996; Friedli et al., 1986; Neftel et al., 1982) and a synthesis of atmospheric observations from 1960 (Conway and Tans, 2011). The fit to ice core does not capture the large interannual variability in atmospheric CO₂ and is represented with a dash line on the figure. The ocean CO₂ sink prior to 1960 is from (Khatiwala et al., 2009) and a combination of model and observations from 1960 updated (LeQuere et al., 2009).

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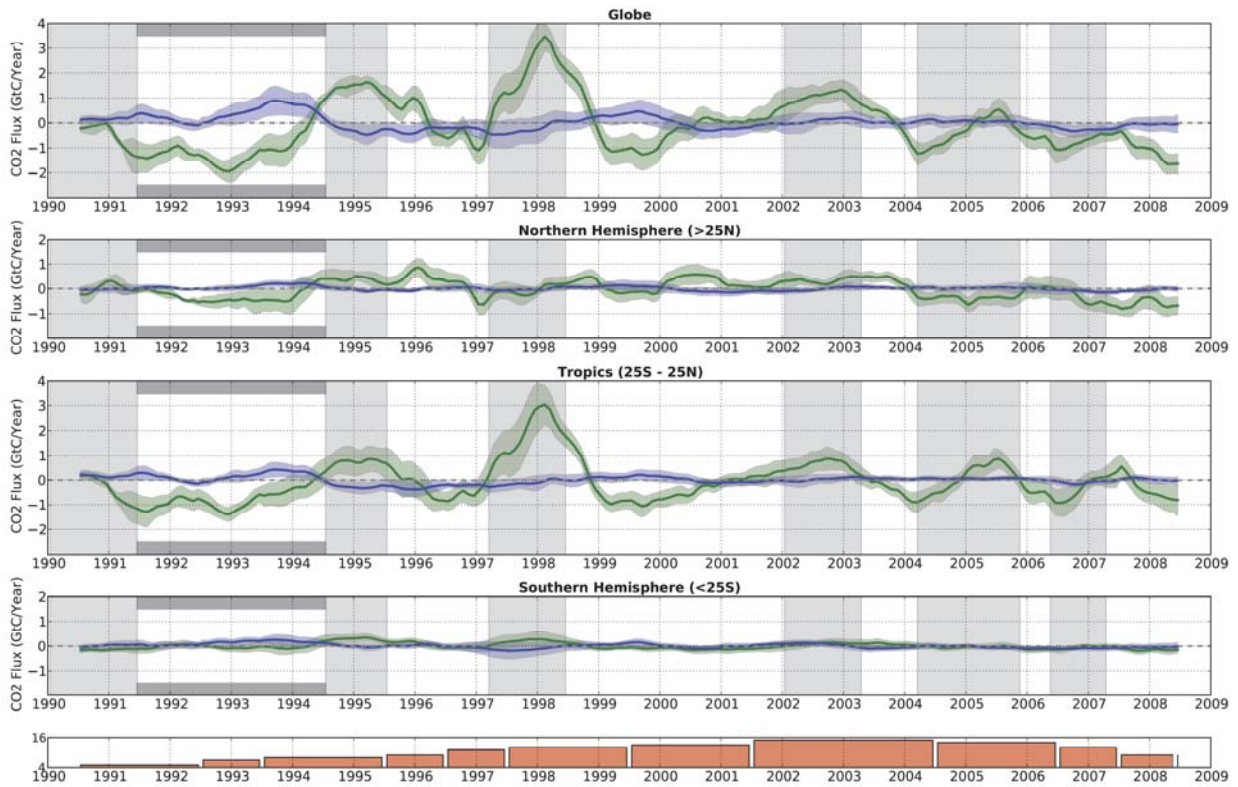
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Box 6.2, Figure 1: A fraction of emitted CO₂ remaining in the atmosphere in case of total CO₂ emissions of 100 (blue), 1,000 (red), and 5,000 GtC (black line) released at once in year 0. The graph shows results of the CLIMBER model [Archer *et al.*, 2009] extended up to 50 thousand years. Arrows indicate a sequence of natural processes of CO₂ removal operating on different time scales. Note that higher CO₂ emissions lead to higher airborne CO₂ fraction due to reduced carbonate buffer capacity of the ocean and positive climate-carbon cycle feedback.

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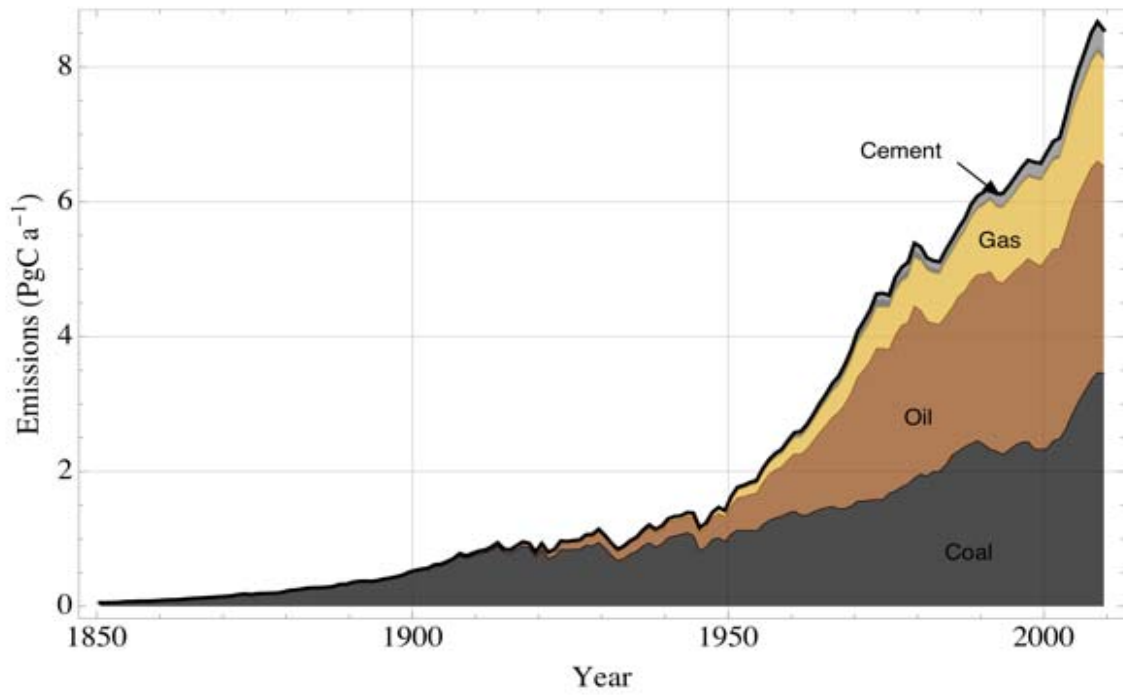
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Figure 6.9: The interannual variability of surface CO₂ fluxes from inversions of the TRANSCOM project for the period of 1990–2008. The ensemble of inversion results contains up to 17-atmospheric inversion models. The ensemble mean is bounded by the 1 sigma inter-model spread in ocean-atmosphere (blue) and land-atmosphere (green) CO₂ fluxes (PgC yr⁻¹) grouped into large latitude bands, and over the globe. For each flux and each region, the CO₂ flux anomalies were obtained by subtracting the long term mean flux from each inversion and removing the seasonal signal. Grey shaded regions indicate El Niño episodes, and the back bars indicate the cooling period following the Mt. Pinatubo eruption. A positive flux means a larger than normal source of CO₂ to the atmosphere (or a smaller CO₂ sink).

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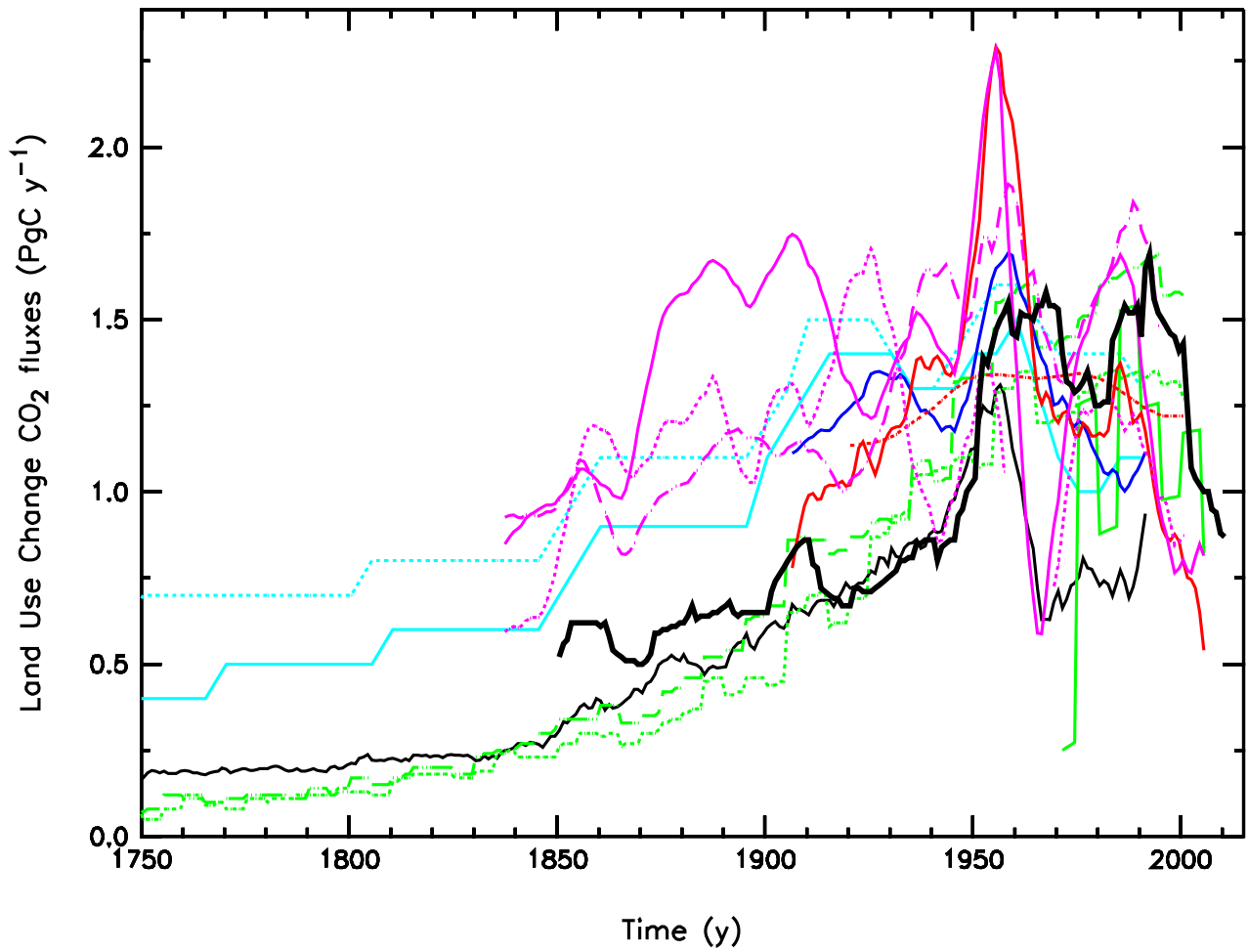
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Figure 6.10: CO₂ emissions from fossil fuel combustion and cement production by fuel type (PgC yr⁻¹). CO₂ emissions are estimated by the Carbon Dioxide Information Analysis Center (CDIAC) based on UN energy statistics for fossil fuel combustion and US Geological Survey for cement production (Boden et al., 2011).

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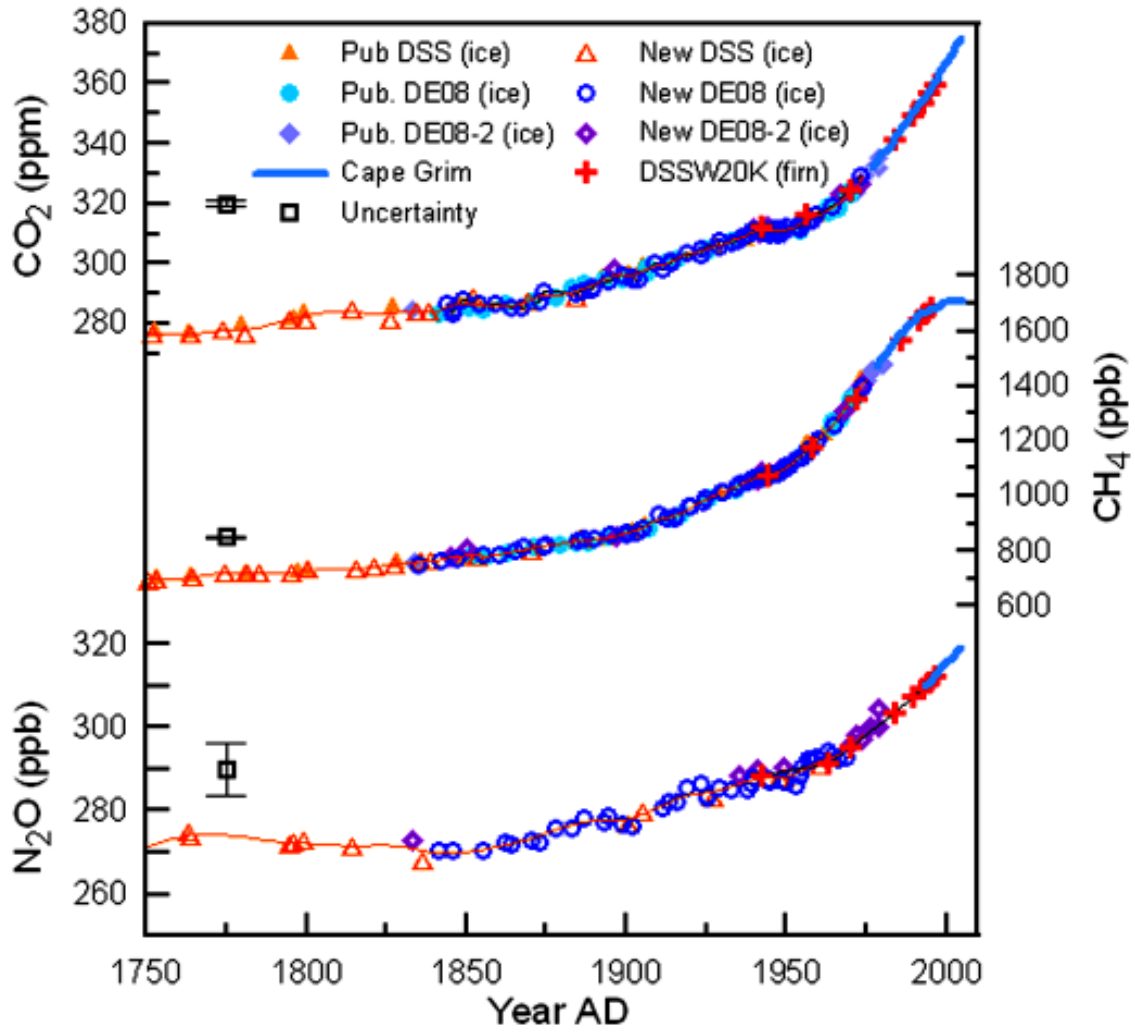
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Figure 6.11: CO₂ emissions from land use change from a range of methods (PgC yr⁻¹). Estimates are from (Friedlingstein et al., 2010), thick black, (Pongratz et al., 2009), thin black, (Shevliakova et al., 2009), HYDE data: cyan full, SAGE data: cyan dotted, (vanMinnen et al., 2009), updated HYDE: green full, HYDE data: green dotted, HYDE with pastures: green dashed, (Piao et al., 2008), blue, (Strassmann et al., 2008), red dotted, (Stocker et al., 2011), red, (Yang et al., 2010) updated HYDE: purple full; FAO data: purple dash; SAGE data: purple dotted).

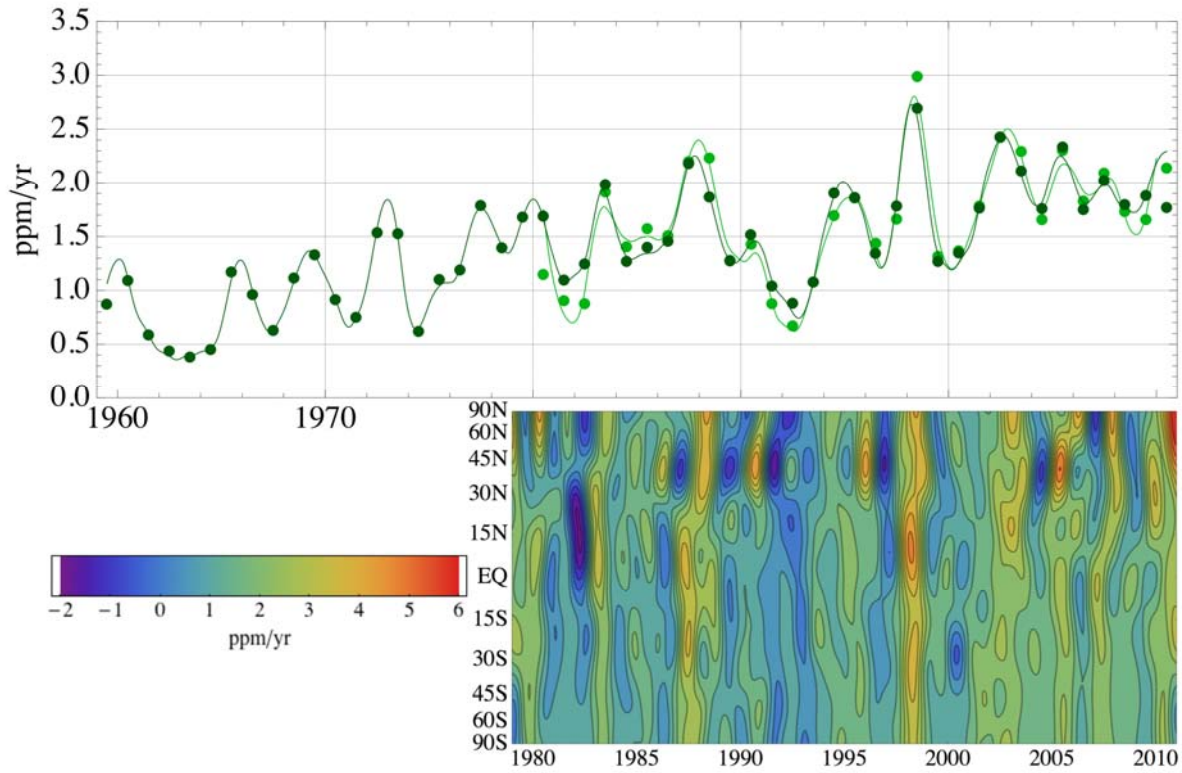
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Figure 6.12: Atmospheric concentration history over the last 260 years determined from air enclosed in ice cores, firm air and direct atmospheric measurements (MacFarling-Meure et al., 2006).

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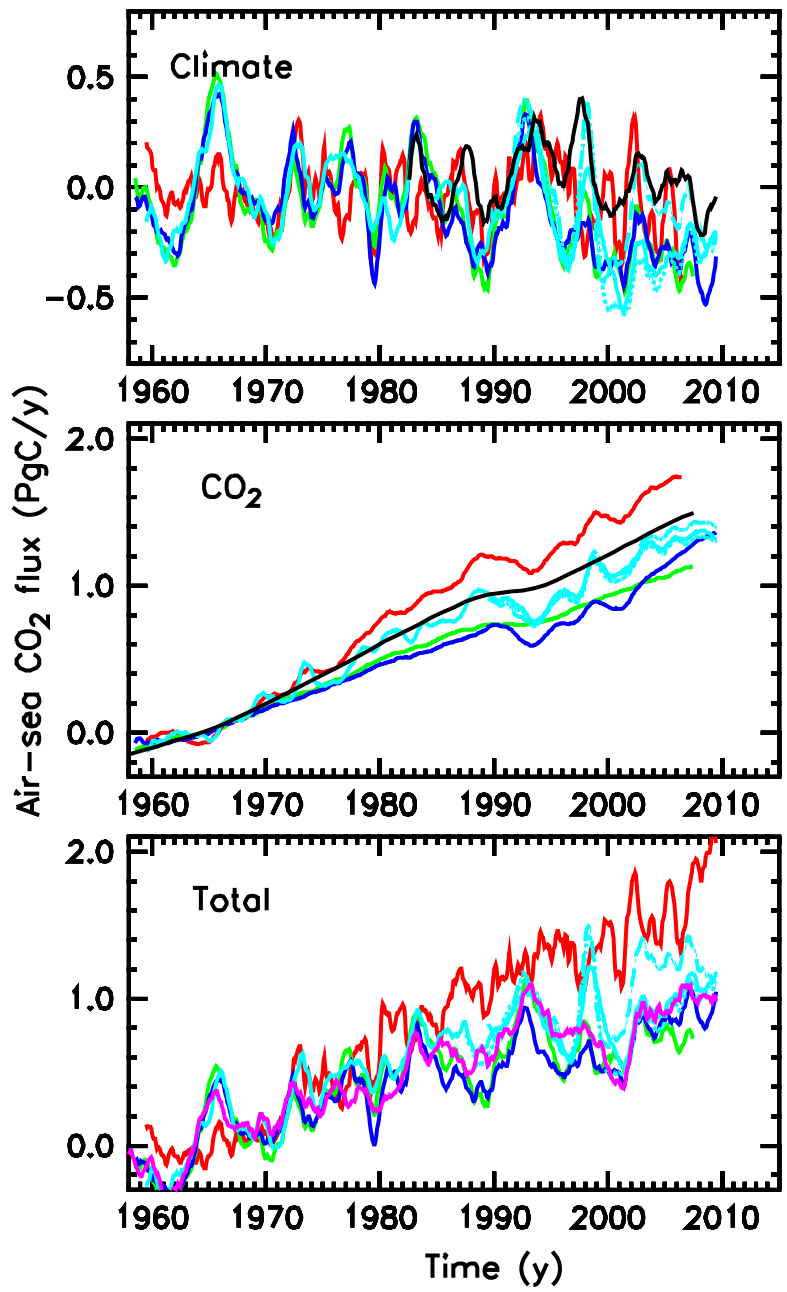
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4 **Figure 6.13:** Top panel: Global average atmospheric CO₂ growth rate; symbols: annual means (Keeling et al., 2005);
 5 (Conway et al., 1994). Bottom panel: Atmospheric growth rate of CO₂ as a function of latitude determined from the
 6 GLOBALVIEW data product, representative for the marine boundary layer (Masarie and Tans, 1995).

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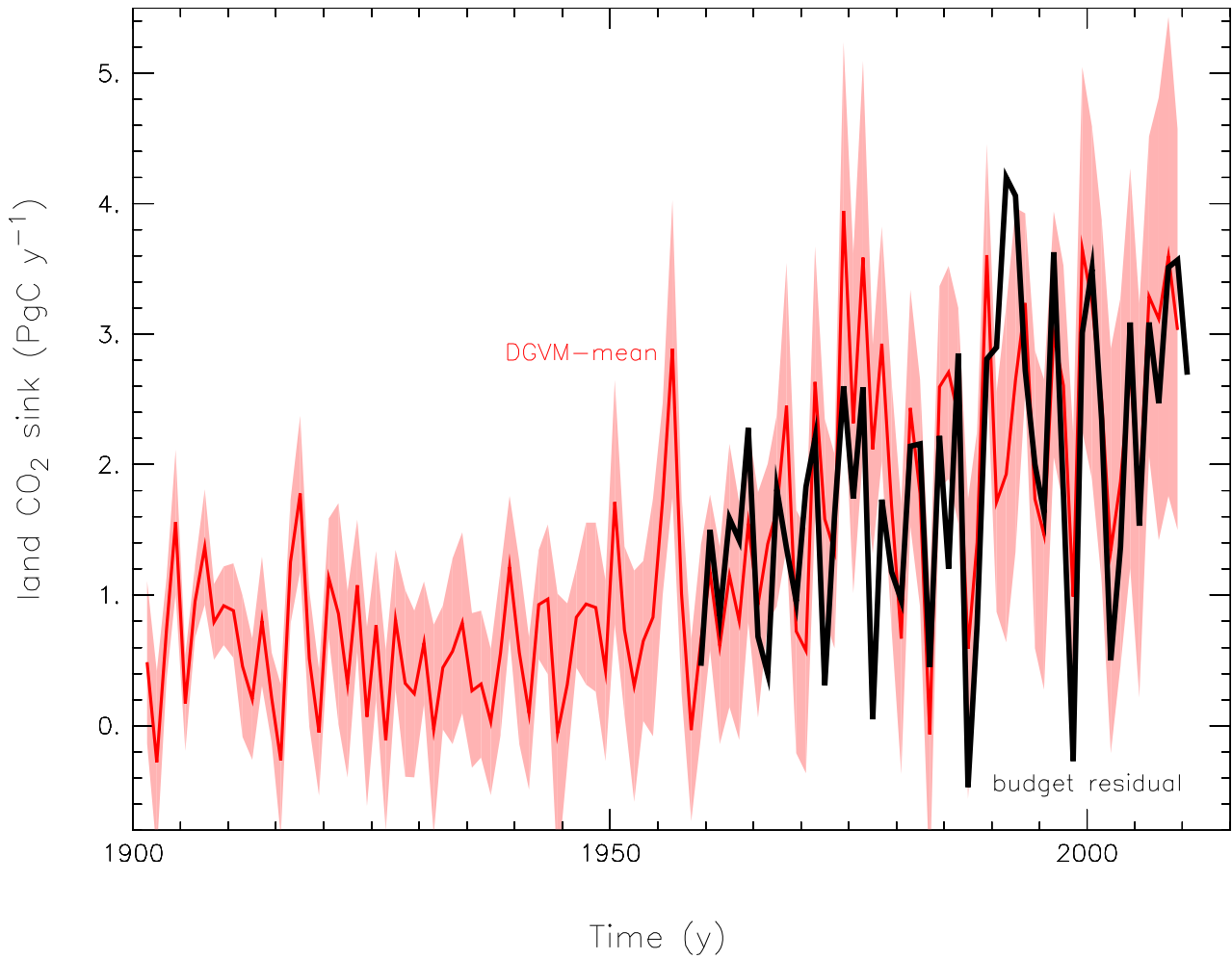
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Figure 6.14: Trends in the air-sea flux of CO₂ in response to (top) variability and trends in surface climate, (middle) increasing atmospheric CO₂, and (bottom) the sum of both effects from a range of methods (PgC yr⁻¹). All estimates are normalized to zero during 1950-1960 to highlight the trends. Estimates are updates from: (Doney, 2010), dark blue for standard version, green for ETH version; (Aumont and Bopp, 2006), magenta; (LeQuere et al., 2010), cyan; (Assmann et al., 2010), red; (Park et al., 2010), top black; (Khatriwala et al., 2009), middle black.

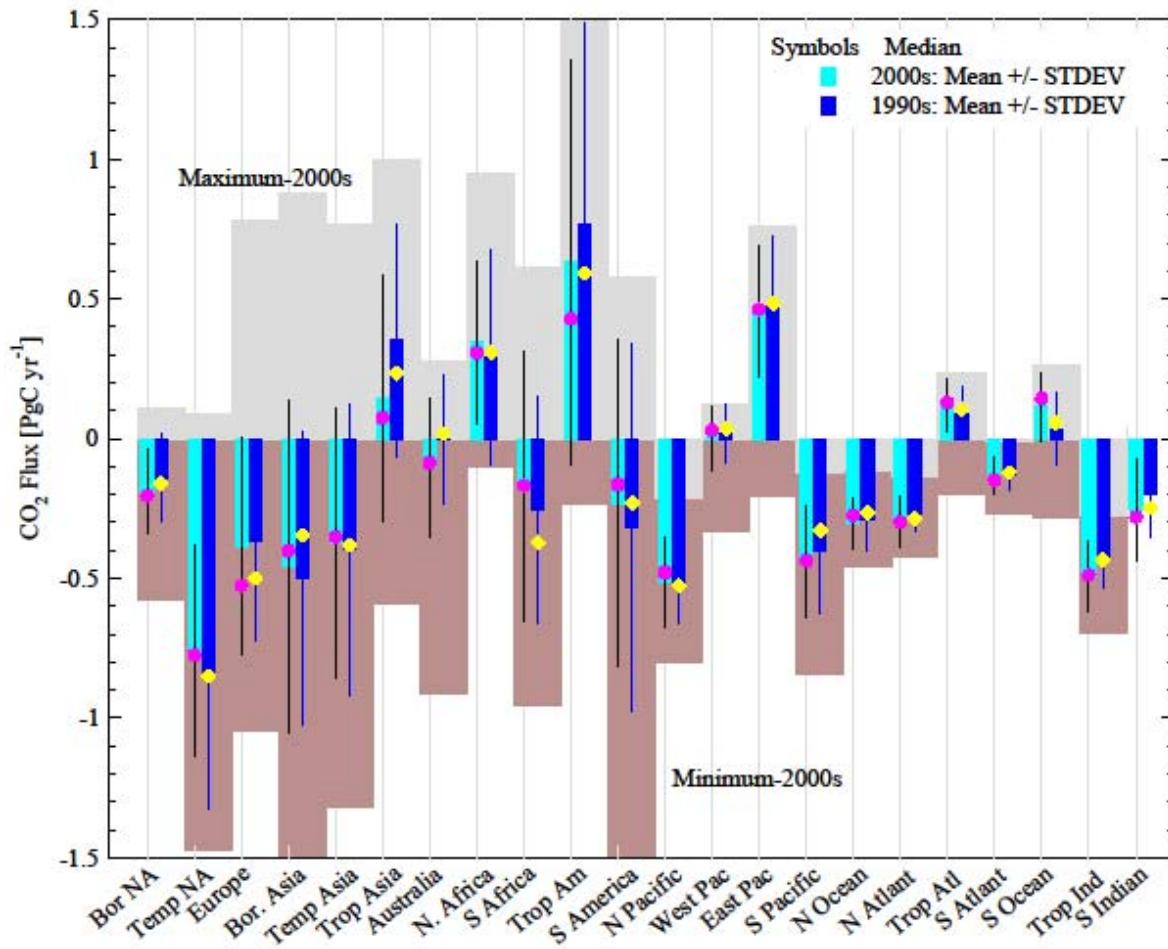
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Figure 6.15: Time series for the land CO₂ sink showing the residual of the budget (emissions from fossil fuel and land use change, minus the atmospheric growth and the ocean sink; gray shading) and results from global biospheric models (see Table 6.6 for references). The gray shading shows one Mean Absolute Deviation from the mean.

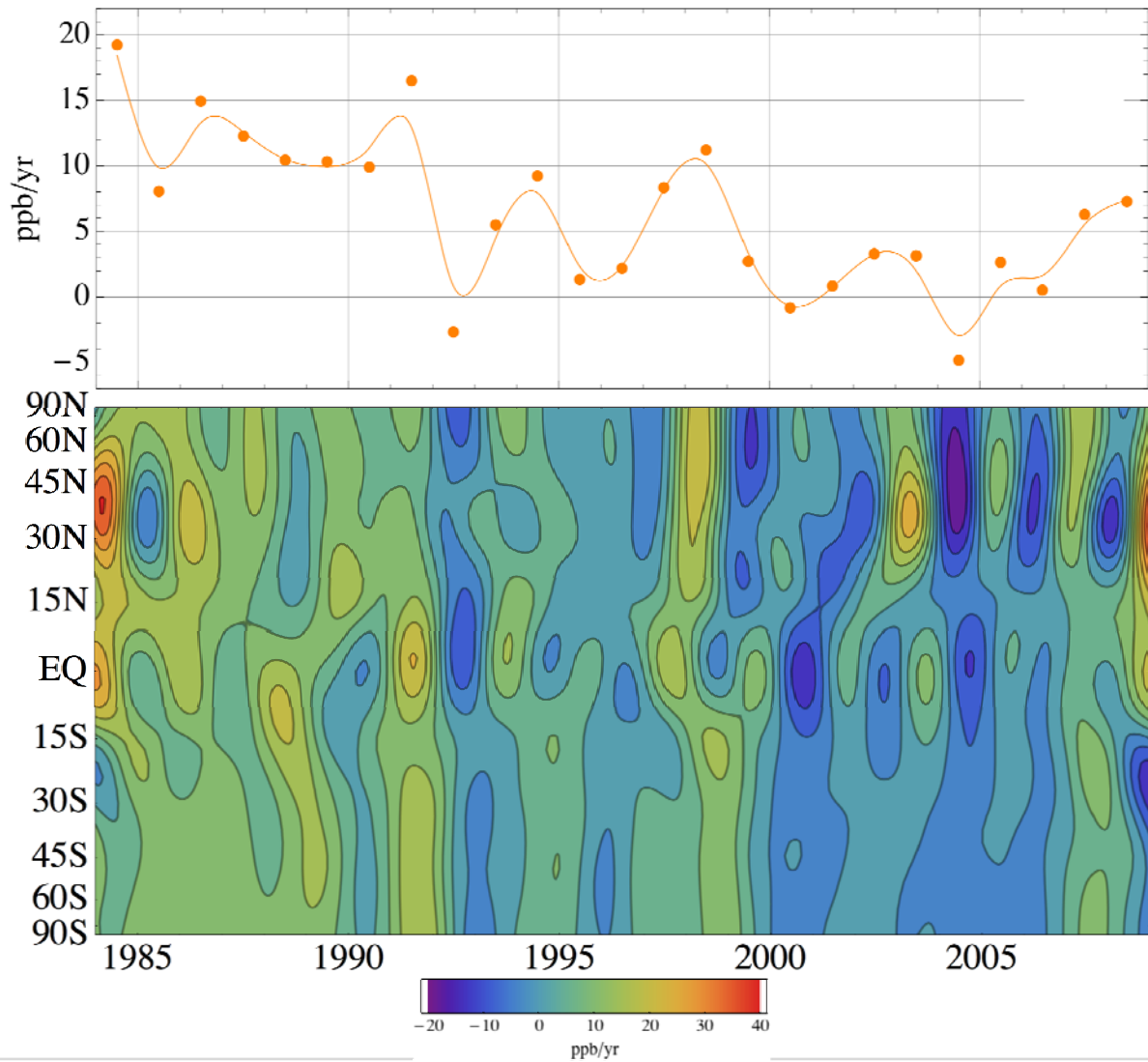
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Figure 6.16: Decadal average CO₂ fluxes for 22 regions of the globe for the 1990s (blue) and 2000s (cyan). The mean values are calculated from monthly-mean fluxes from 17 inverse models of the TRANSCOM project for the period of 1990–2008, and standard deviations shown as error bars are for model-to-model differences within each decade. The minimum and maximum ranges of averages for the decade of 2000s are shown as the shaded envelope.

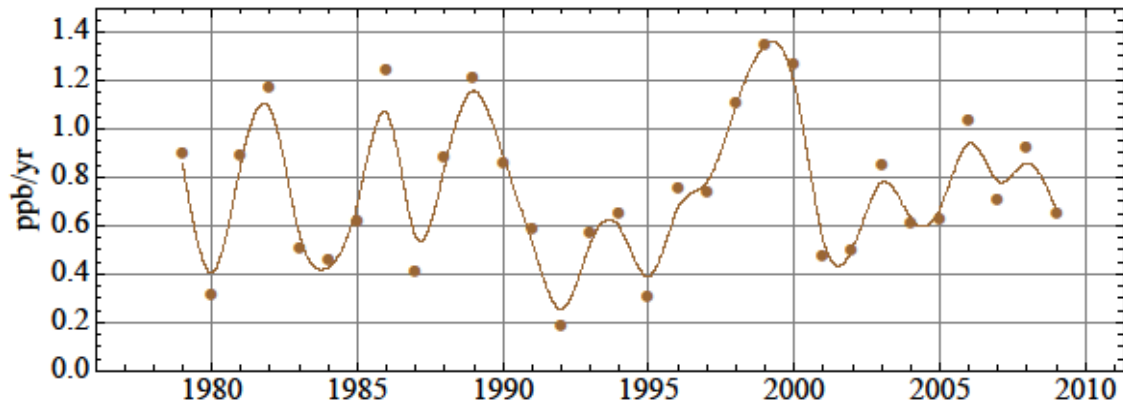
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Figure 6.17: Upper panel: Globally averaged growth rate of atmospheric CH₄ in ppm yr⁻¹ determined from the GLOBALVIEW data product, representative for the marine boundary layer (Masarie and Tans, 1995). Orange dots indicate annual values augmented by a smooth line to guide the eye. Lower panel: Atmospheric growth rate of CH₄ as a function of latitude determined from the GLOBALVIEW data product.

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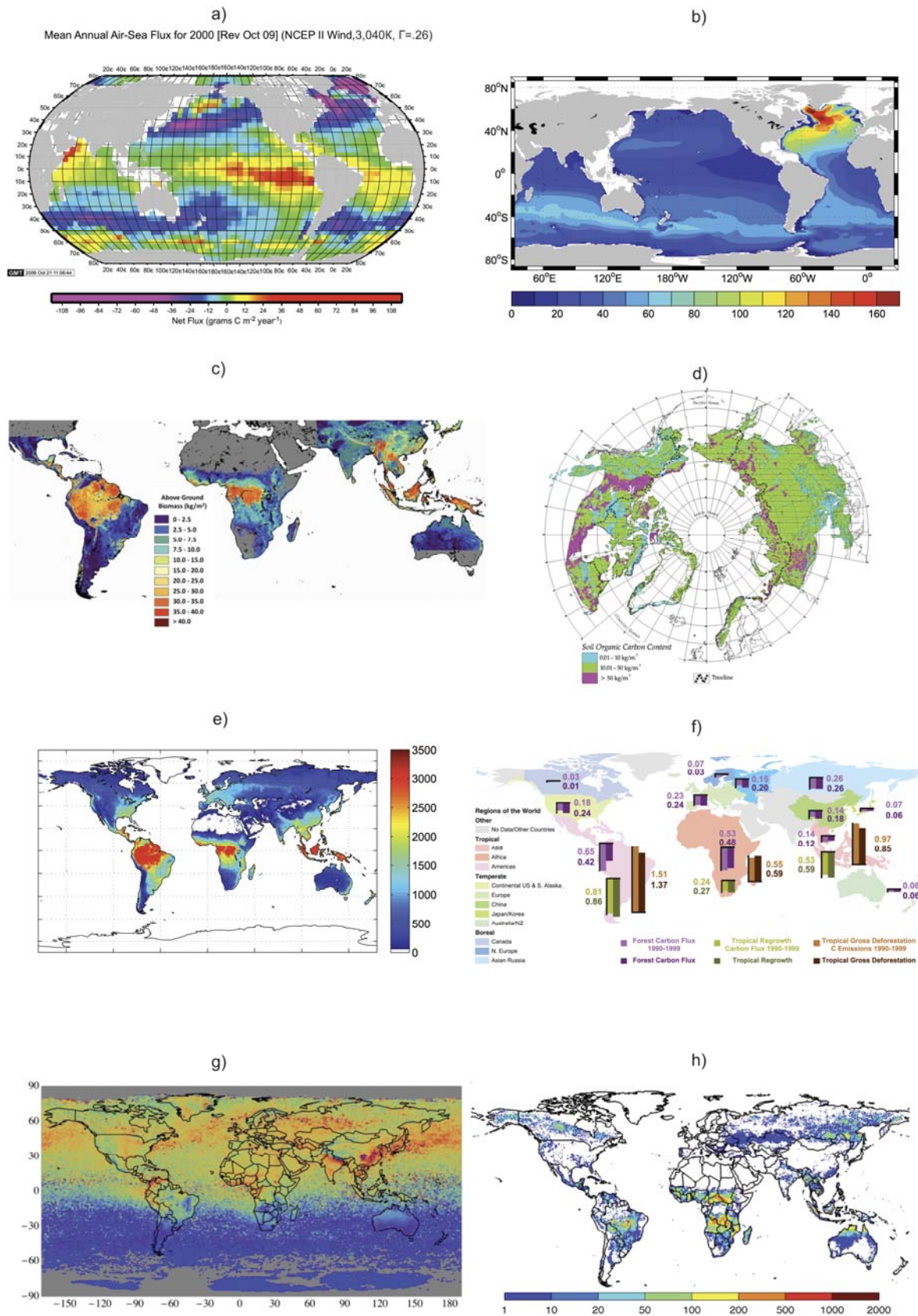
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Figure 6.18: Globally averaged growth rate of N₂O in ppm yr⁻¹ determined from the observations of the NOAA/ESRL halocarbons program. Brown dots indicate annual values augmented by a smoothed line to guide the eye.

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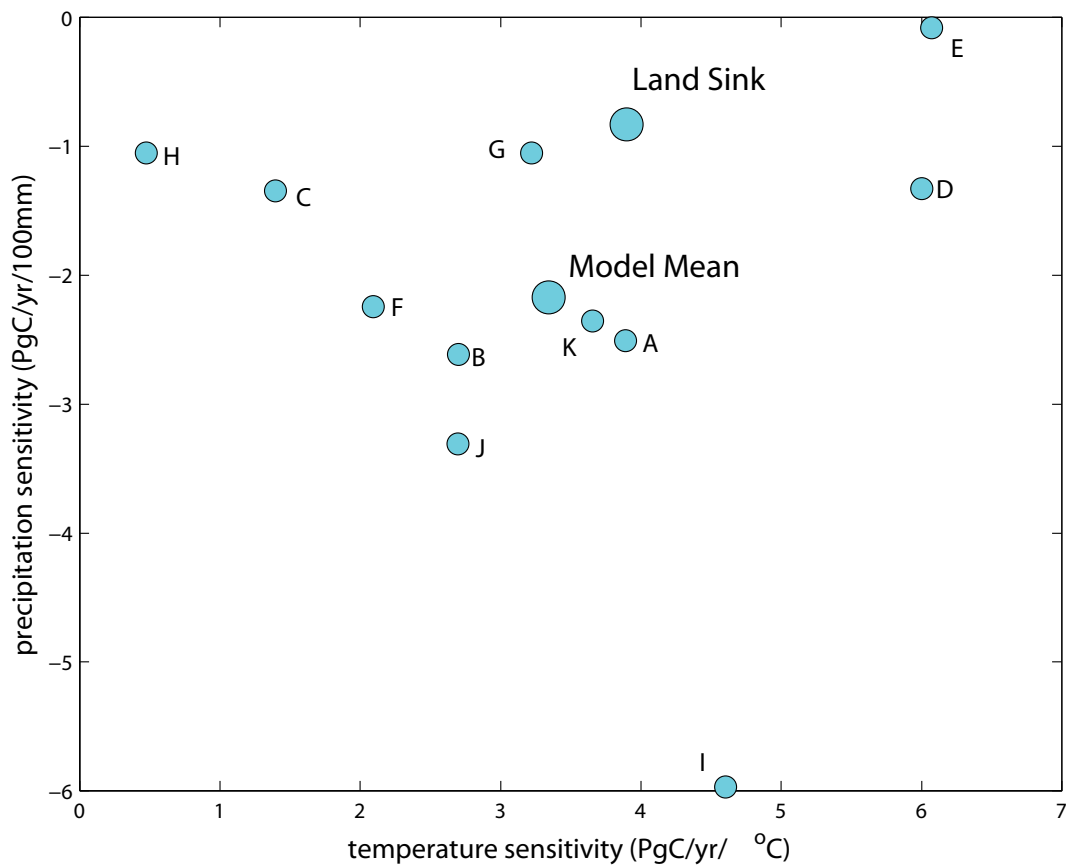


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3 **Figure 6.19:** New observations since AR4: a) Climatological mean annual sea–air CO_2 flux ($\text{g C m}^{-2} \text{ yr}^{-1}$) for the
 4 reference year 2000 (Takahashi et al., 2009); b) Column inventory of anthropogenic carbon in the ocean in 2008
 5 (Khaliwala et al., 2009); c) Distribution of forest aboveground biomass (circa 2000) (Saatchi et al., 2011); d) Soil

1 organic carbon content in the northern circumpolar permafrost region (Tarnocai et al., 2009); e) Median annual GPP
2 ($\text{gC m}^{-2} \text{yr}^{-1}$) (Beer et al., 2010); f) Forest fluxes and its regional attribution, PgC yr^{-1} (Pan et al. 2011); g) Column
3 averaged CH_4 concentration retrieved by the SCIAMACHY instrument on board of the ENVISAT satellite; 7-year
4 average 2003-2009 (Schneising et al., 2009); g) mean annual carbon emissions from biomass burning and wildfires (gC
5 $\text{m}^{-2} \text{yr}^{-1}$), averaged 1997–2010 (updated from van der Werf et al., 2010).
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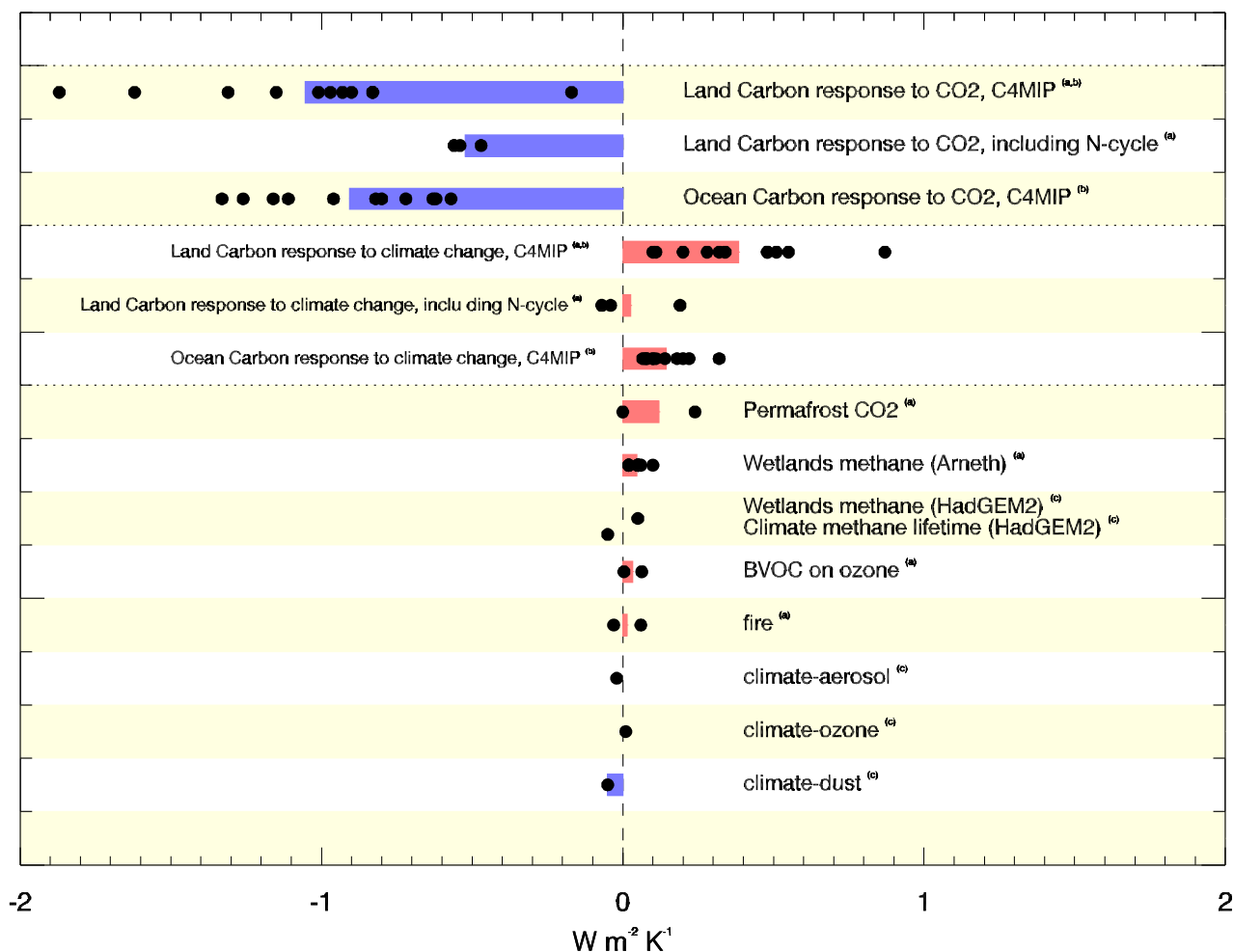
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Figure 6.20: Interannual sensitivity of model estimated global Net Ecosystem Production (NEP) and residual global carbon sink to change in atmospheric CO₂ and climate during 1980–2009. The global residual land sink was estimated by the difference between the sum of fossil fuel emission and land use change emission and the sum of atmospheric growth rate and modeled ocean sink (Friedlingstein and Prentice, 2010; LeQuere et al., 2009). The sensitivities to temperature, precipitation and atmospheric CO₂ are estimated by a multiple linear regression approach with three variables (mean annual temperature, annual precipitation, and atmospheric CO₂ concentration). Negative value indicates increase in carbon sink.

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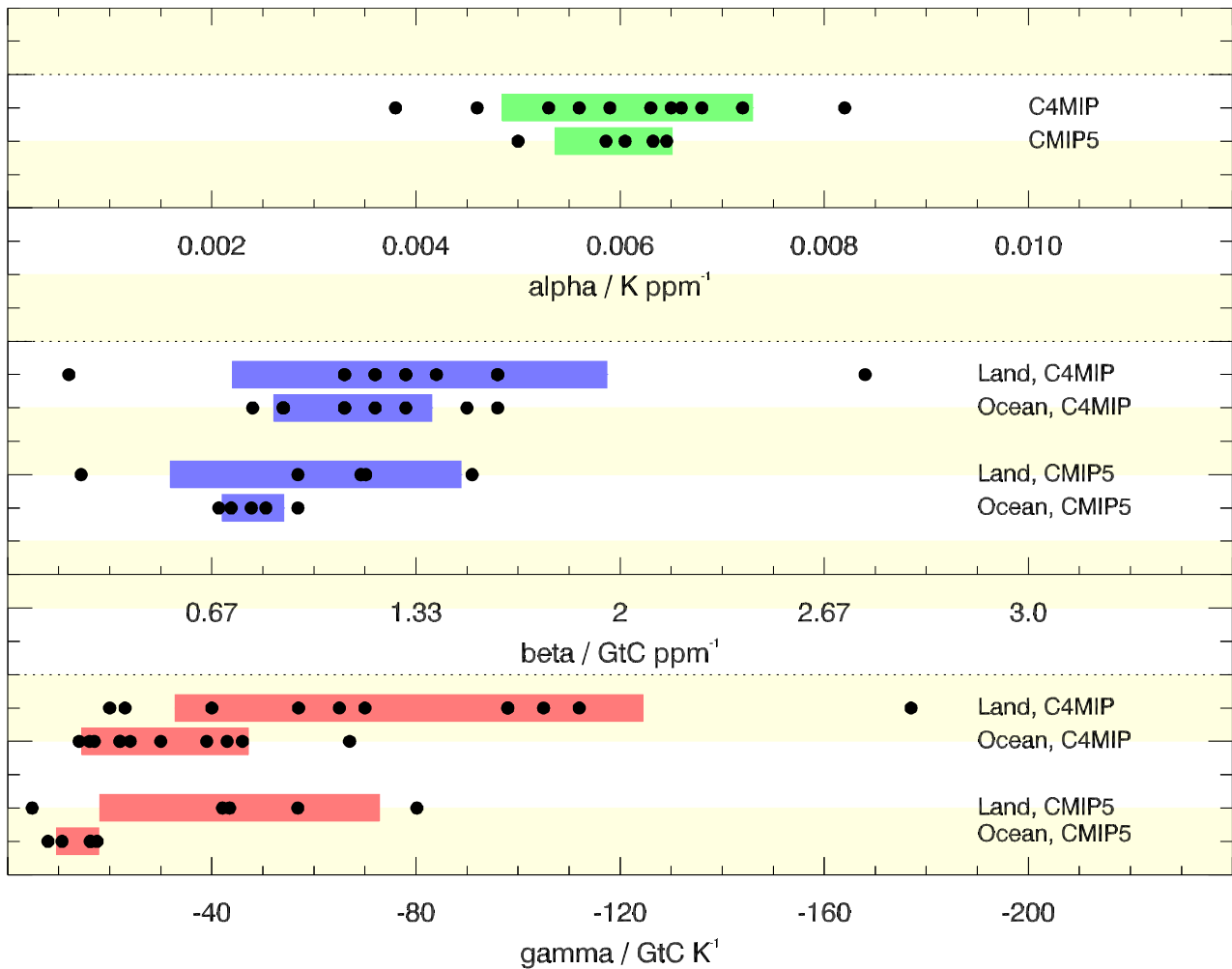
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Figure 6.21: A summary of the magnitude of biogeochemical feedbacks. (Gregory et al., 2009) proposed a framework for expressing non-climate feedbacks in common units ($W m^{-2} K^{-1}$) with physical feedbacks, and (Armeth et al., 2010) extended this beyond carbon cycle feedbacks to other terrestrial feedbacks. The figure shows the results compiled by (Armeth et al., 2010), with ocean carbon feedbacks from C4MIP also added. Some further biogeochemical feedbacks from the HadGEM2-ES Earth System model (Collins et al., 2011a) are also shown. Black dots represent single estimates, and coloured bars denote the simple mean of the dots with no weighting or assessment being made to likelihood of any single estimate. Confidence in the magnitude of these estimates is low for feedbacks with only one, or few, dots. The role of nitrogen limitation on carbon uptake is also shown – this is not a separate feedback, but rather a modulation to the climate-carbon and concentration-carbon feedbacks. This list is not exhaustive. These feedback metrics are also likely to be state or scenario dependent and so cannot always be compared like-for-like (see Section 6.4.2.2). Results have been compiled from (a) (Armeth et al., 2010), (b) (Friedlingstein et al., 2006), (c) HadGEM2-ES (Collins et al., 2011a) simulations.

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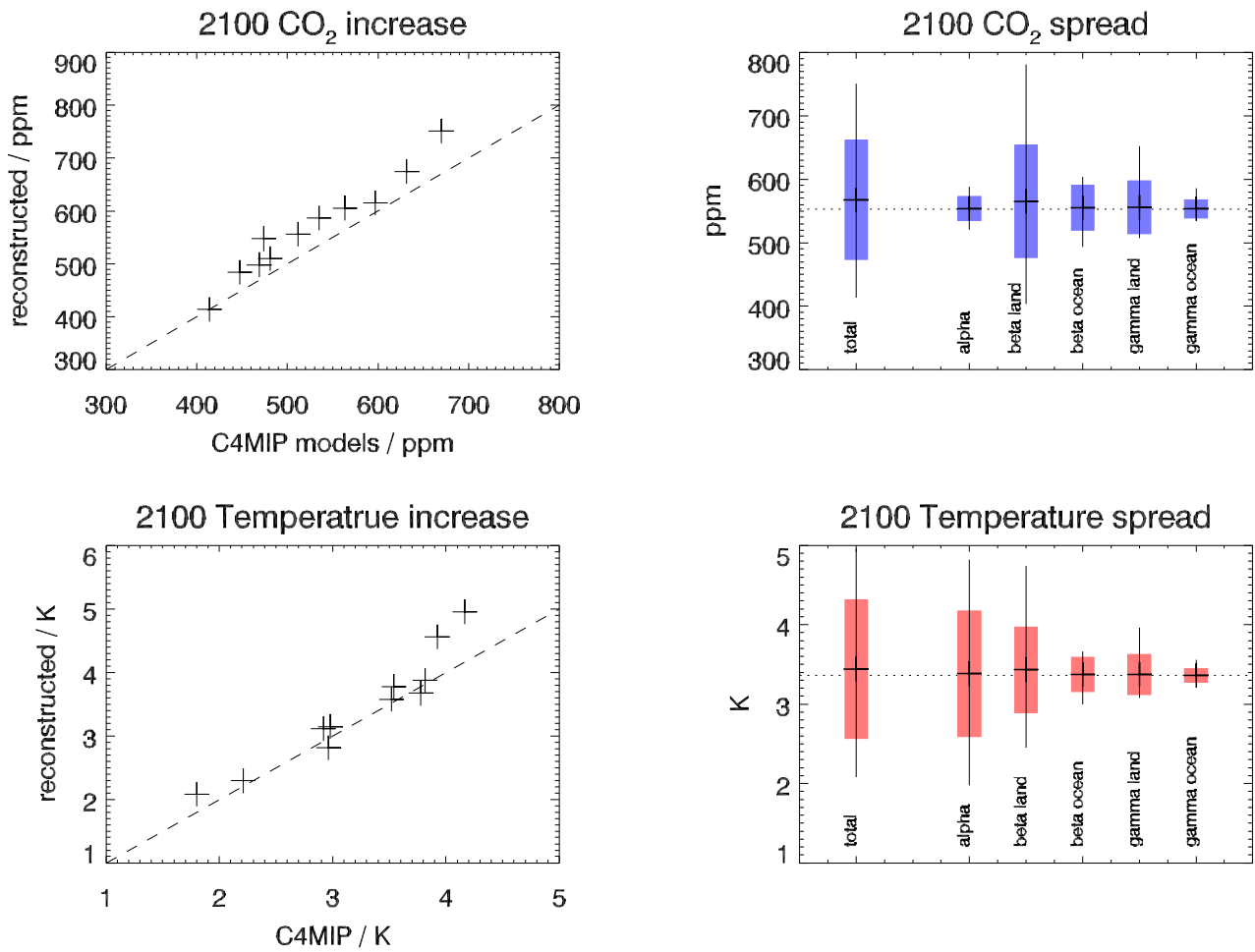
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Figure 6.22: Comparison of carbon cycle feedback metrics between the C4MIP ensemble of 7 GCMs and 4 EMICs (Friedlingstein et al., 2006) and CMIP5 models (HadGEM2-ES, IPSL, CanESM, MPI-ESM). Black dots represent a single model simulation and coloured bars show the mean ± 1 standard deviation of the multi-model results. The comparison with C4MIP is for context, but these metrics are known to be variable across different scenarios and rates of change (see Section 6.4.2.2). Some of the CMIP5 models are derived from models that contributed to C4MIP and some are new to this analysis. Table 6.9 lists the main attributes of each CMIP5 model used in this analysis. The SRES A2 scenario is closer in rate of change to a $0.5\% \text{ yr}^{-1}$ scenario and as such it should be expected that the CMIP5 gamma terms are comparable, but the beta terms are likely to be around 20% smaller for CMIP5 than for C4MIP. This high dependence on scenario (Section 6.4.2.2) reduces confidence in any quantitative statements of how CMIP5 carbon cycle feedbacks differ from C4MIP.

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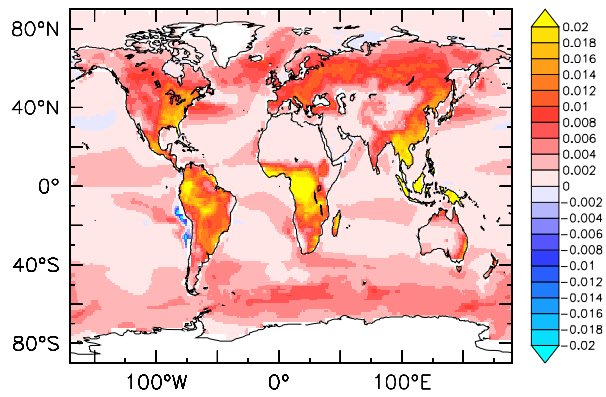
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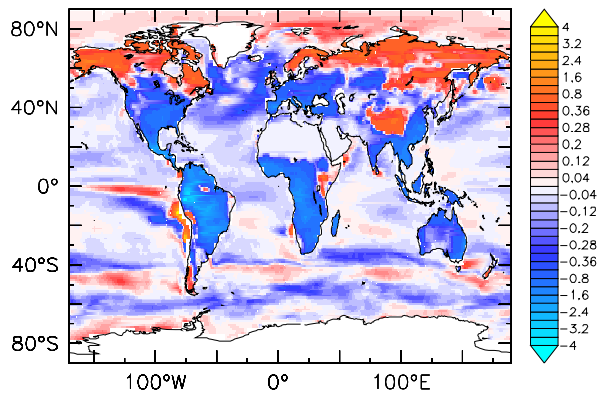
Figure 6.23: Impact of model spread in the C4MIP metrics (α , β , γ). Scatter plots show the success of the linear alpha/beta/gamma framework to estimate 2100 CO₂ and temperature change from the C4MIP models, and right panels show the relative spread that comes from each term – model spread in β_L is the dominant cause of spread in 2100 CO₂, and α for spread in 2100 ΔT .

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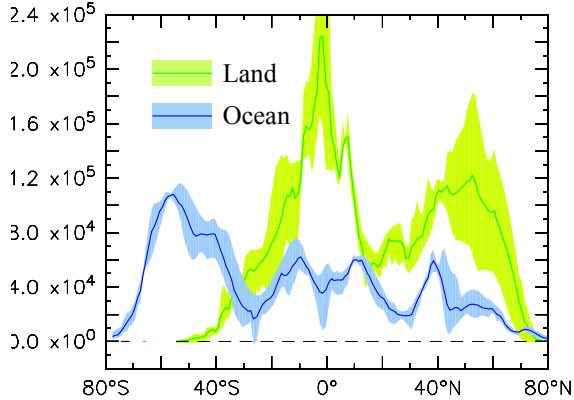
a). Regional response to CO₂ (b, kgC/m²/ppm)



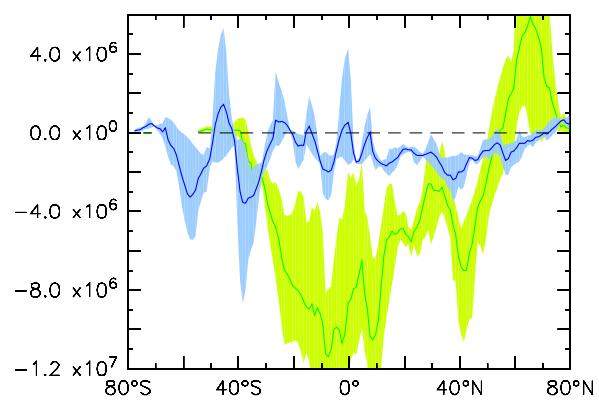
b). Regional response to climate (g, kgC/m²/K)



c). Zonal response to CO₂ (b, kgC/m²/ppm)



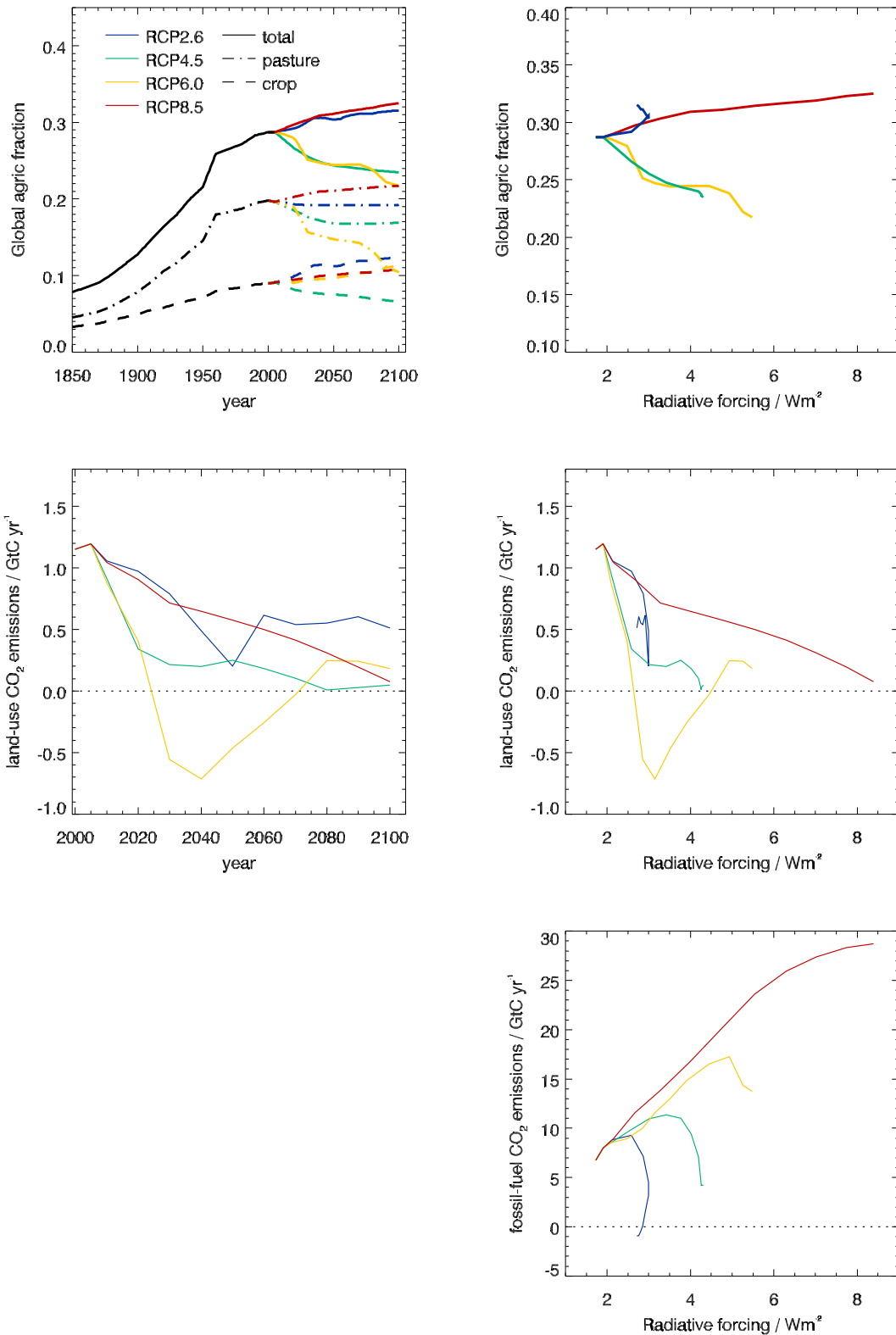
d). Zonal response to climate (g, kgC/m²/K)



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Figure 6.24: The spatial distributions of land and ocean β and γ s for 3 CMIP5 models using the 1% idealised simulations. For land and ocean, β and γ are defined from changes in terrestrial carbon storage and changes in air-sea accumulated fluxes respectively, from the beginning to the end of the 1% idealised simulation relative to global (not local) CO₂ and temperature change.

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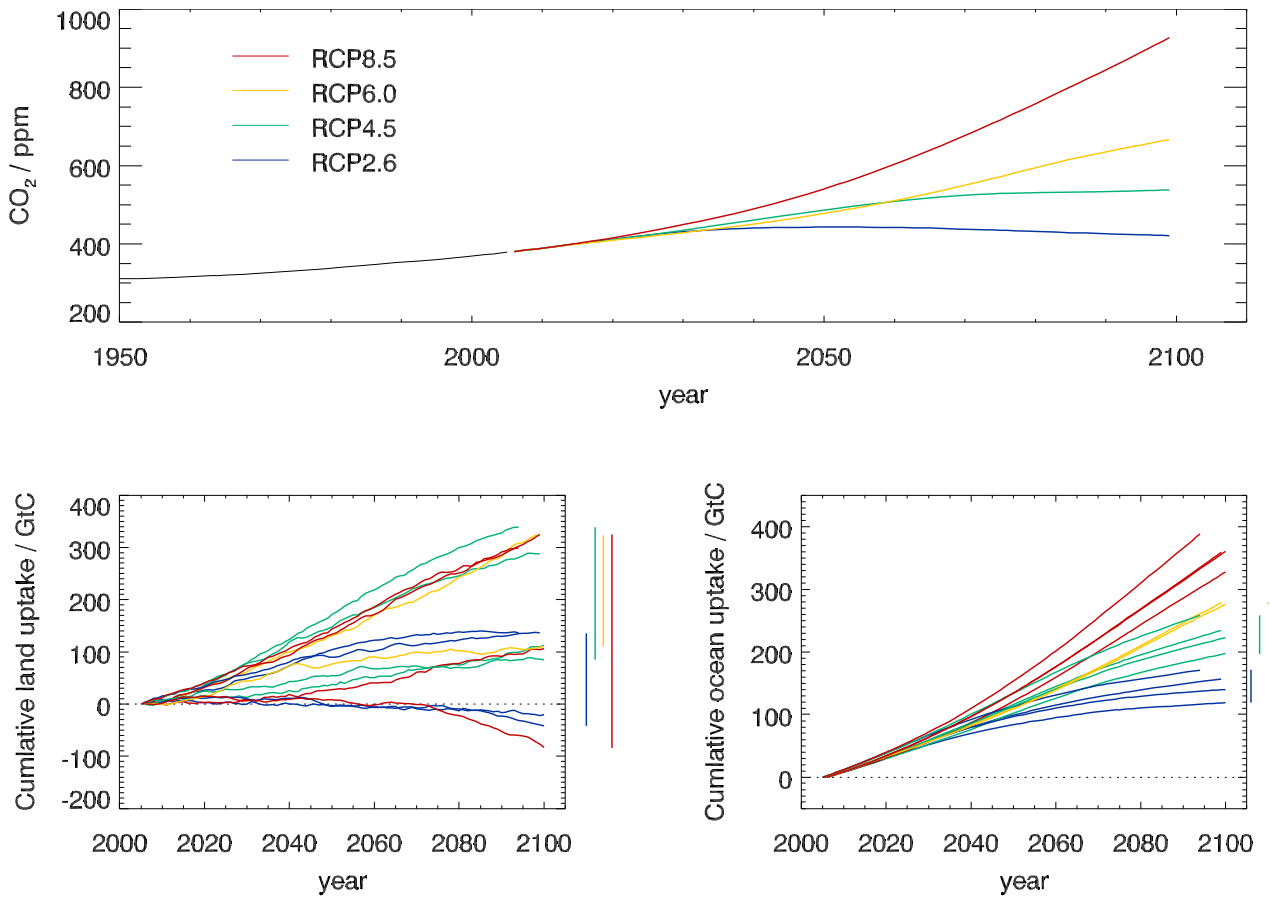
Figure 6.25: Land-use trends and emissions. Global changes in croplands and grassland from the historical record and the RCP scenarios (top panels), and associated land-use emissions of CO₂ (middle panels). Data are plotted as changes in time (left-hand side) and against the global radiative forcing for each RCP (right-hand side). There is no logical relationship (nor is there intended to be) between the land use calculated by IAMs for the RCPs and the radiative forcing level of each RCP. Bottom row shows fossil fuel emissions plotted against radiative forcing for comparison.

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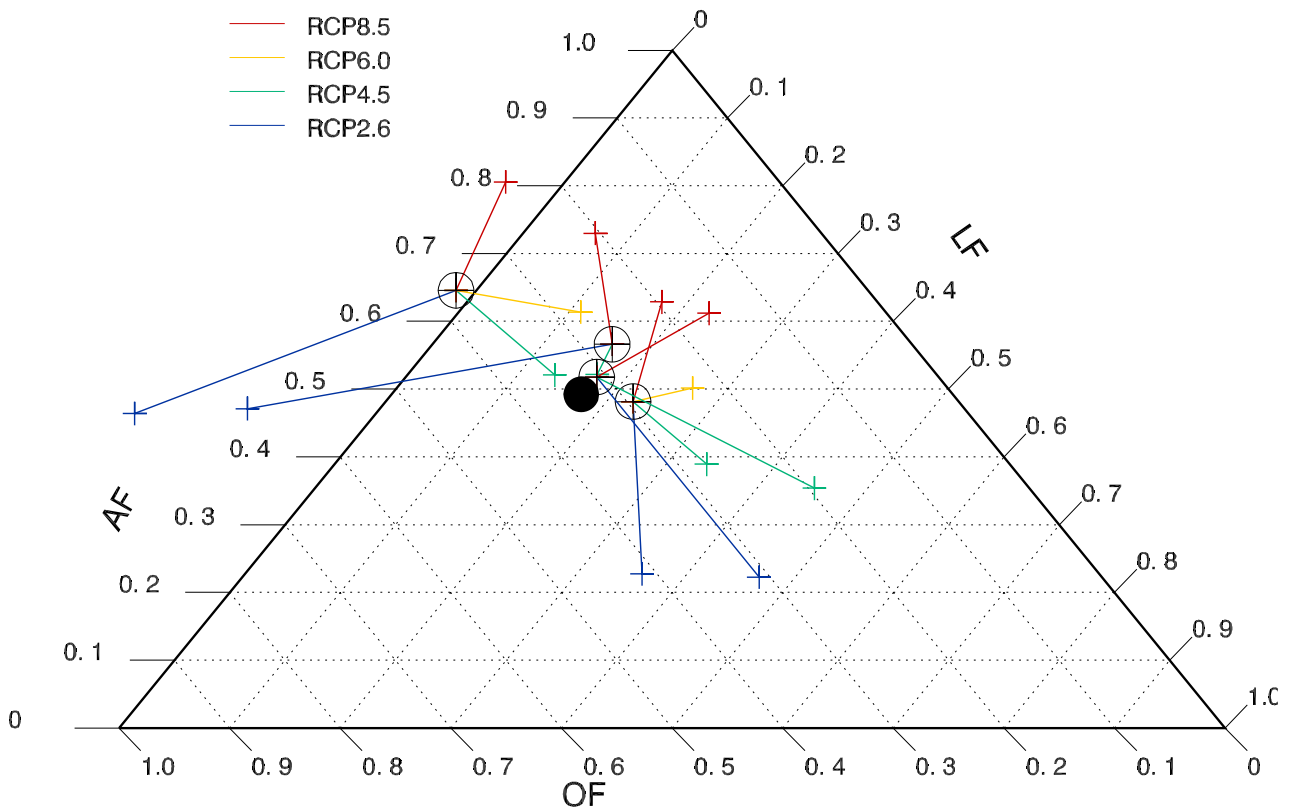
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Figure 6.26: CO₂ concentration pathway in the 4 RCP scenarios (top), and the cumulative changes in land and ocean (bottom left, bottom right) carbon storage (GtC) simulated by ESMs (HadGEM2-ES, CanESM1, IPSL, MIROC – see Table 6.9) for ocean uptake the spread between models is smaller than between scenarios, but for land carbon storage the spread between models is greater than between scenarios.

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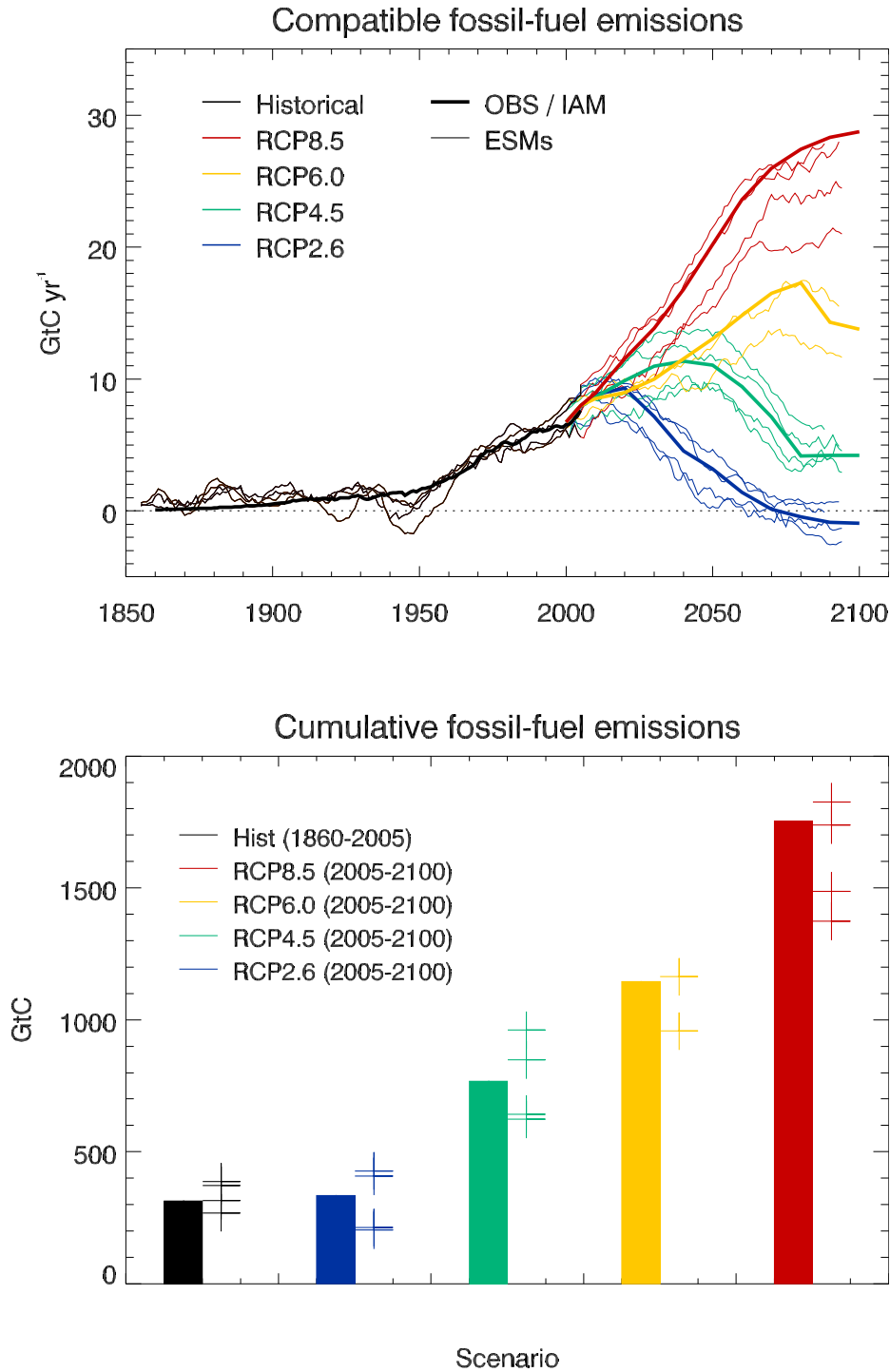
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Figure 6.27: changes in airborne, land and ocean fraction of fossil fuel carbon emissions. The figure shows 3 axes whose sum is always unity – airborne fraction (AF) increases vertically, land fraction (LF) from top to bottom right, and ocean fraction (OF) from right to left. The fractions are defined as the changes in storage in each component (atmosphere, land, ocean) divided by the compatible fossil fuel emissions derived from each simulation. Open circles show model simulations for the 1990s, and the solid circle shows the observed estimate based on Table 6.10. The coloured lines and symbols denote the change in uptake fractions under the different RCP scenarios for each model, calculated using the cumulative change in carbon from 2005–2100. Due to the difficulty estimating fossil and land-use emissions from the ESMs this figure uses a fossil fuel definition of airborne fraction, rather than the preferred definition of fossil+land use emissions discussed in Section 6.3.

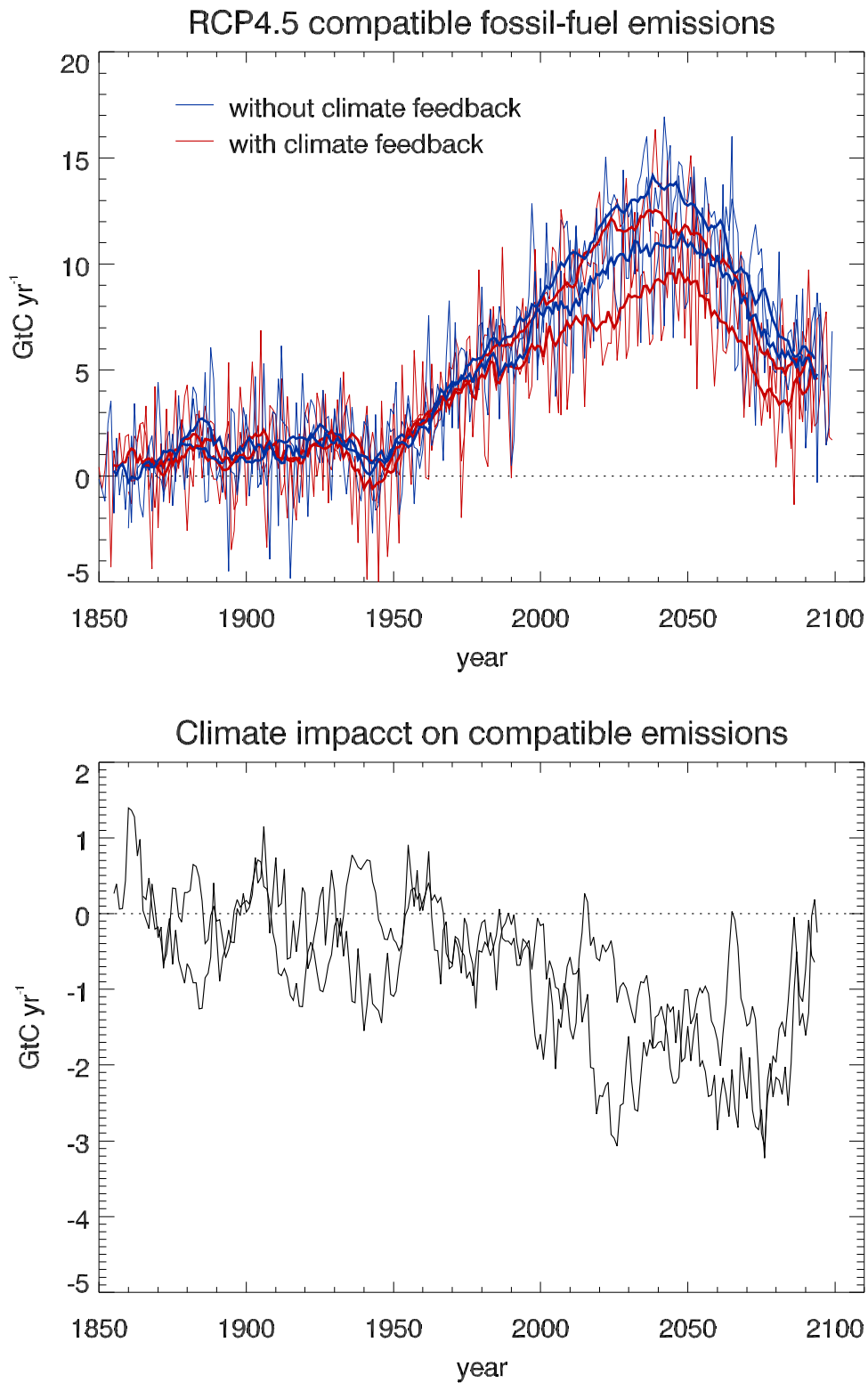
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Figure 6.28: Compatible fossil fuel emissions simulated by the CMIP5 models for the 4 RCP scenarios. Top: timeseries of instantaneous emission rate. Thick lines represent the historical estimates and emissions calculated by the integrated assessment models (IAM) used to define the RCP scenarios, thin lines show results from CMIP5 ESMs. Bottom: cumulative emissions for the historical period (1860–2005) and 21st century (defined in CMIP5 as 2005–2100) for historical estimates and RCP scenarios (bars) and ESMs (symbols). In the CMIP5 model results, total carbon in the land-atmosphere-ocean system can be tracked and changes in this total must equal fossil fuel emissions to the system (see also Table 6.13). Other sources and sinks of CO₂ such as from volcanism, sedimentation or rock weathering, which are very small on centennial timescales are not considered here. Hence the compatible emissions are given by cumulative-Emissions = $\Delta C_A + \Delta C_L + \Delta C_O$ remission rate = $d/dt [C_A + C_L + C_O]$, where C_A , C_L , C_O are carbon stored in atmosphere, land and ocean respectively.

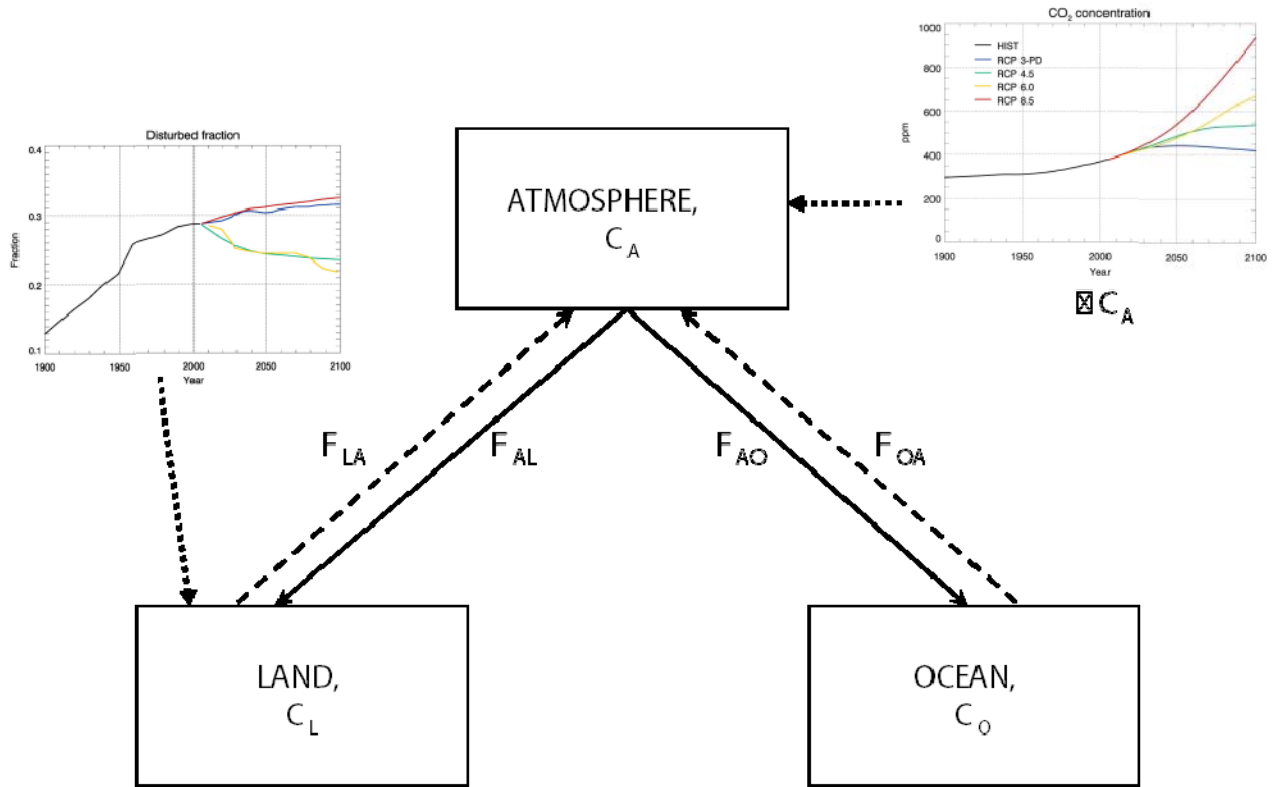
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Figure 6.29: Diagnosed compatible fossil fuel emissions (top panel) in the presence (red) and absence (blue) of the climate impact on the carbon cycle for the RCP4.5 scenario, and the difference between them (bottom panel). This shows the impact of climate change on the compatible emissions to achieve the RCP4.5 CO₂ concentration pathway. HadGEM2-ES and CanESM results shown here project reductions from 977 and 891 GtC respectively to 865 and 707 GtC.

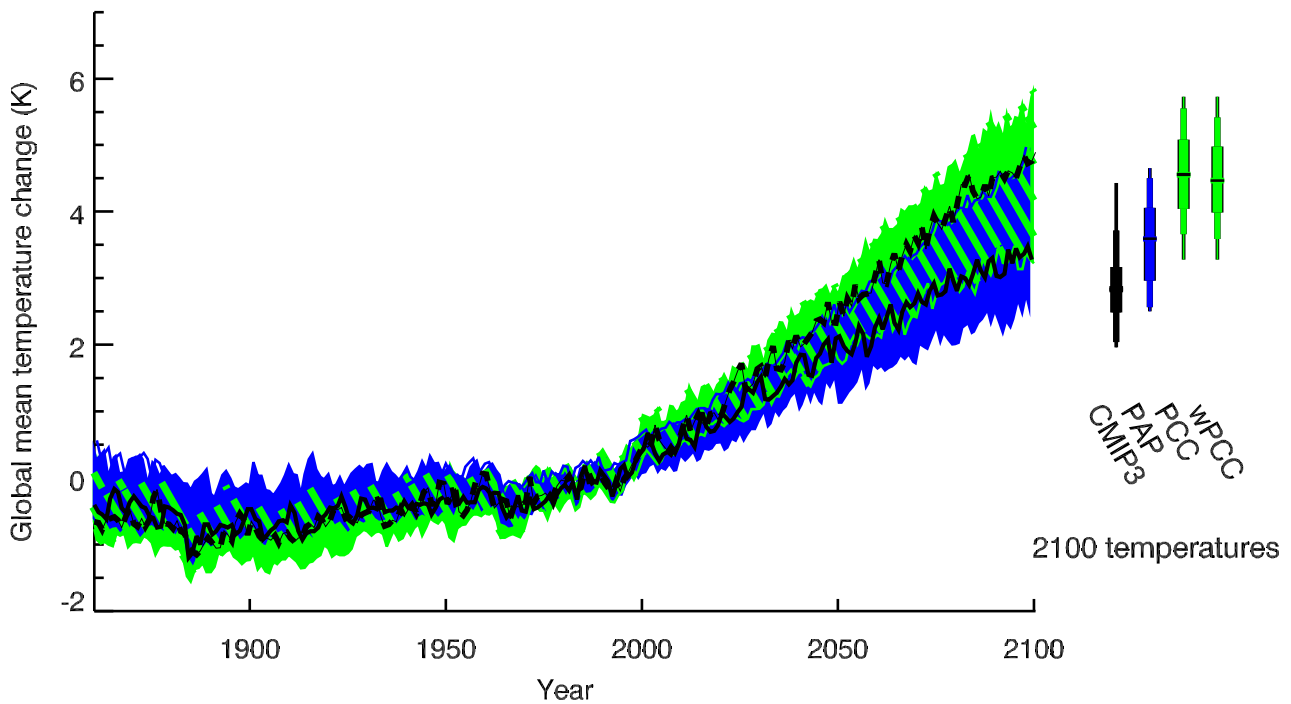
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Figure 6.30: Interactions between the atmosphere, land and ocean carbon stores as simulated in ESMs. Solid arrows represent the atmosphere-to-land (F_{AL}) and atmosphere-to-ocean (F_{AO}) fluxes simulated by the ESMs. Dashed lines represent land/ocean to atmosphere fluxes (F_{LA} , F_{OA}) diagnosed in concentration-driven simulations and interactive in emission-driven simulations. The dotted arrows represent the prescribed CO_2 pathway (ΔC_A) applied in concentration-driven simulations and a scenario of land-use which may be imposed on the land carbon cycle (see Section 6.4.3.1). Associated changes in C_L caused by this land-use change may not match those implicit in the prescribed ΔC_A .

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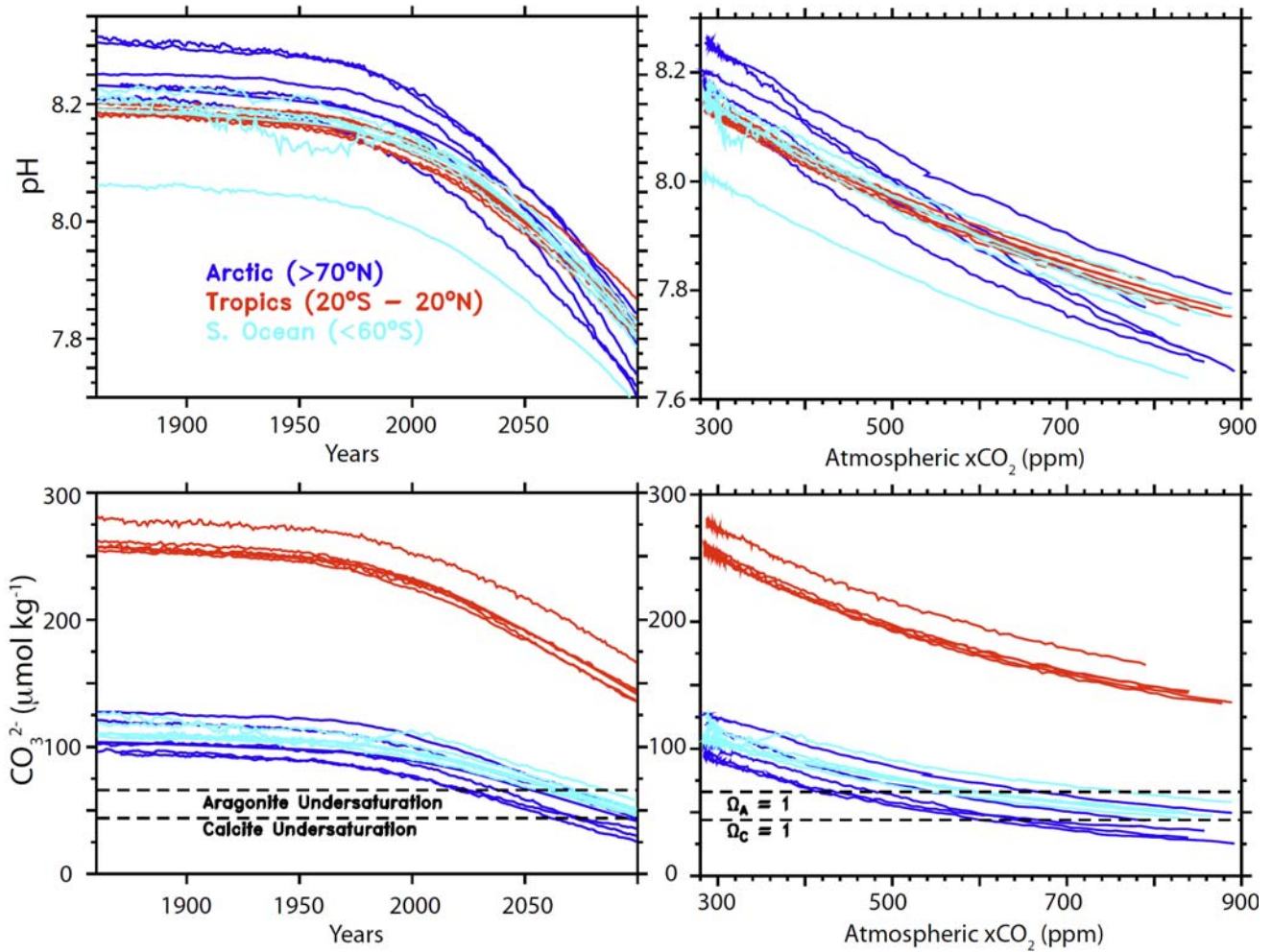
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Figure 6.31: Uncertainty in global mean temperature from HadCM3 results exploring atmospheric physics and terrestrial carbon cycle parameter perturbations (Booth et al., submitted; Murphy et al., 2004). Relative uncertainties in the Perturbed Carbon Cycle (PCC, green plume) and Perturbed Atmospheric Processes (PAP, blue) on global mean anomalies of temperature (plotted with respect to the 1980–1999 period). The green/blue hatching illustrates where these two ensembles overlap. The standard simulations from the two ensembles, HadCM3 (black solid) and HadCM3C (black dashed) are also shown. Four bars are shown on the right illustrating the 2100 temperature anomalies associated with the CMIP3/AR4 ensemble (black) the PAP ensemble (blue) the land carbon cycle (PCC) and the weighted land carbon ensemble wPCC (both green). The range (thin line), 10th–90th (medium line) and 25th–75th (thick line) and 50th percentiles (central bar) are all shown.

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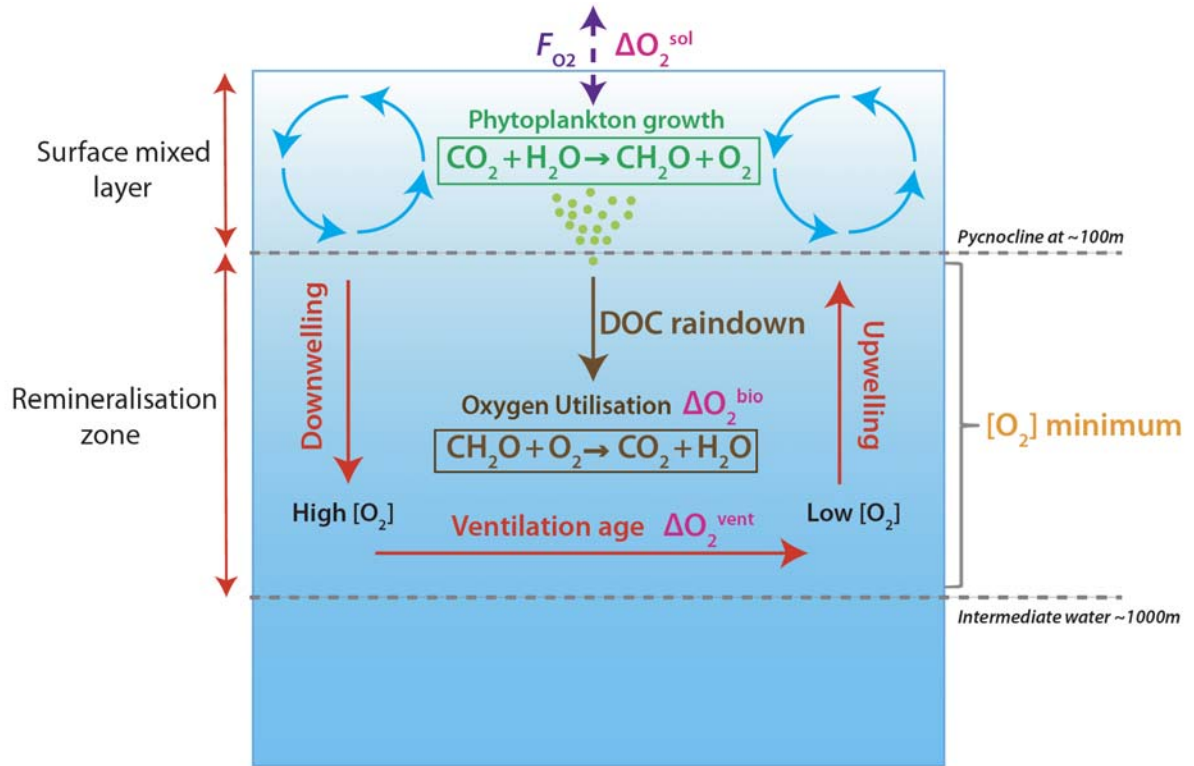
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Figure 6.32: Changes in surface pH (upper panels) and surface carbonate ion concentrations (lower panels), as a function of time (left) or atmospheric CO₂ (right), simulated by 6 ESMs (IPSL-CM4-LOOP, UVIC2.8, NCAR CSM1.4, NCAR-CCSM3, BCCR-BCM, MPI-M) over the historical period and over 2000–2100 following the SRES-A2 scenarios. Three regions (discussed in the text) are shown : the Arctic Ocean (north of 70°N, dark blue), the Tropical Oceans (20°S–20°N, red) and the Southern Ocean (south of 60°S, light blue). [PLACEHOLDER FOR SECOND ORDER DRAFT: Results from the CMIP5 models].

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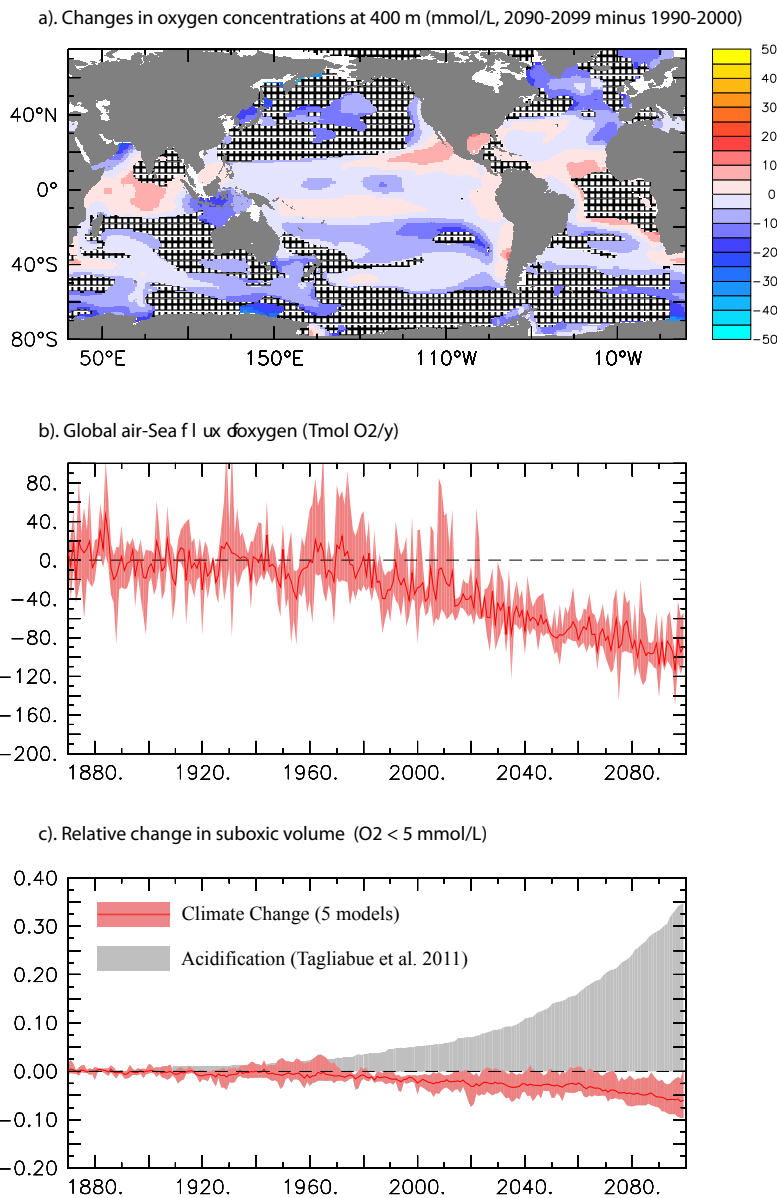
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Box 6.4, Figure 1: The ocean O₂ cycle. The oceanic reservoir of oxygen communicates with the atmosphere via air-sea gas exchange (F_{O_2}). In the ocean interior a change in dissolved O₂ concentration over time can be driven by changes in: (1) surface ocean O₂ solubility ΔO_2^{sol} , (2) the ventilation age of a water parcel advected into the subsurface (ΔO_2^{vent}) (3) biological utilisation of oxygen in remineralization of Dissolved Organic Carbon (DOC; ΔO_2^{bio}).

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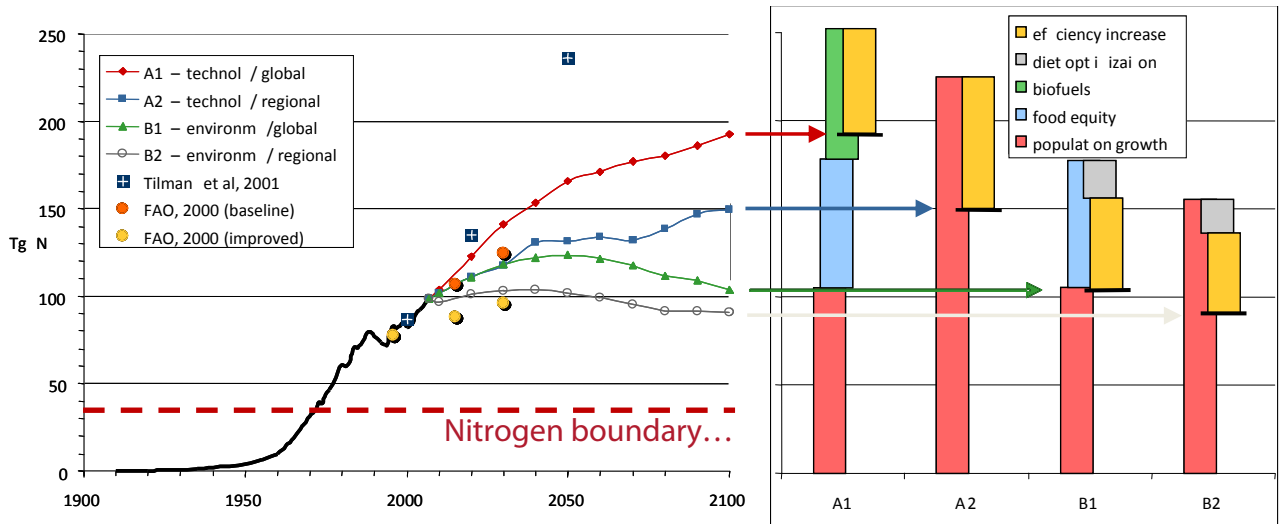
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Figure 6.33: a) Model-mean (IPSL-CM4-LOOP, UVIC2.8, NCAR CSM1.4, NCAR-CCSM3, BCCR-BCM) changes in O₂ concentrations (microM) at 400 m for the 2090–2100 minus 1990–2000 (SRES-A2 scenario). To indicate consistency in the sign of change, regions are stippled where at least 4 out of the 5 models agree on the sign of the mean change. b) Model range and model-mean evolution of global air-sea flux of O₂ in Tmol yr⁻¹. Negative values indicate net outgassing of O₂ to the atmosphere. c) Relative change in the evolution of suboxic waters (O₂ < 5 micromol/L), simulated by the above mentioned 5 models (red) and by (Tagliabue et al., 2011) (grey). [PLACEHOLDER FOR SECOND ORDER DRAFT: results from the CMIP5 models].

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Figure 6.34: Global nitrogen fertilizer consumption scenarios (left) and the impact of individual drivers on 2100 consumption (right). This resulting consumption is always the sum (denoted at the end points of the respective arrows) of elements increasing as well as decreasing nitrogen consumption. Other relevant estimates (FAO, 2000; Tilman et al., 2001; Tubiello and Fischer, 2007) are presented for comparison (Erisman et al., 2008). The A1, B1, A2 and B2 scenarios draw from the assumptions of the IPCC Special Report Emission Scenarios (SRES) emission scenario storylines (Nakicenovic and Swart, 2000). Figure adapted from Erisman et al. (2008).

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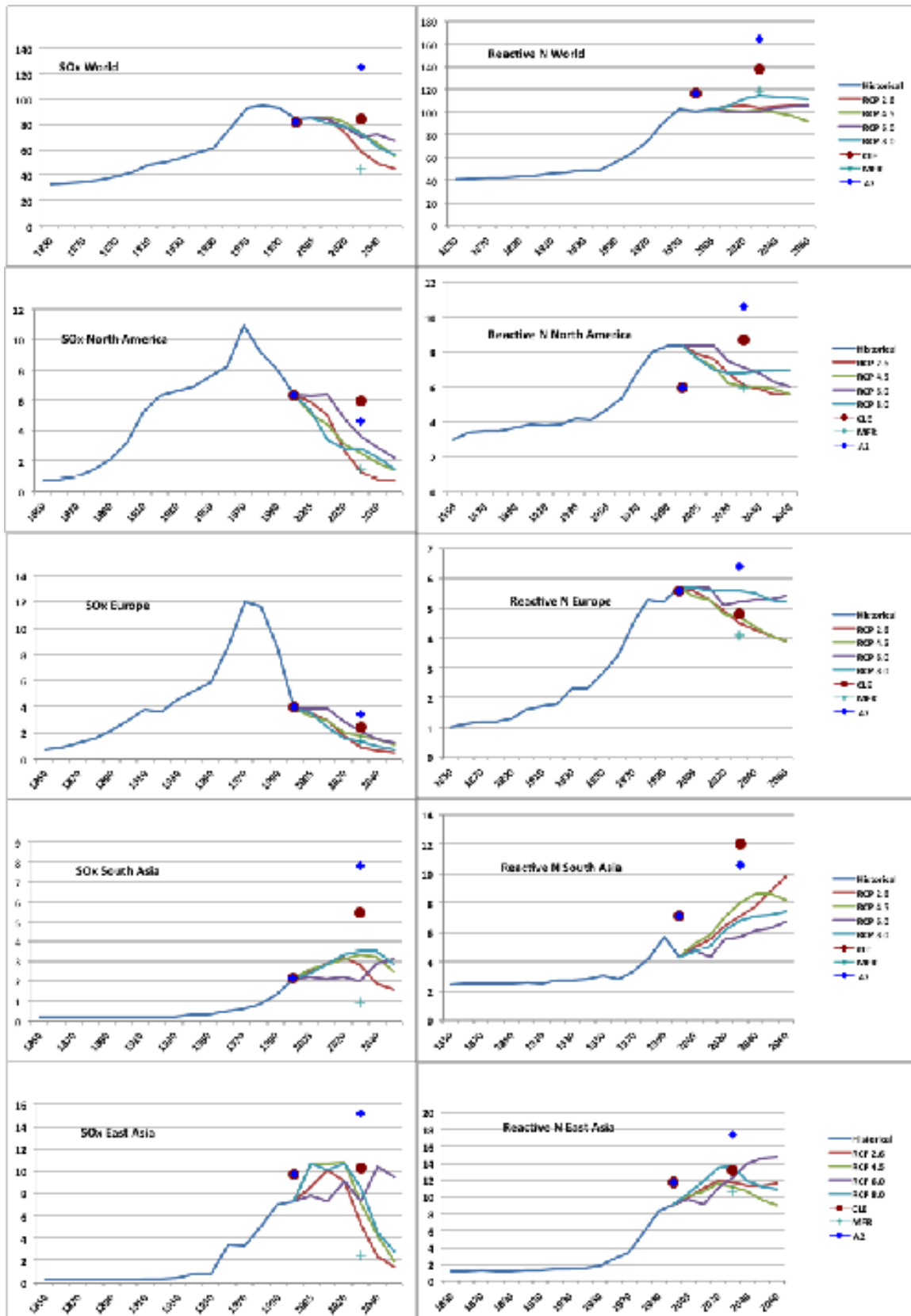
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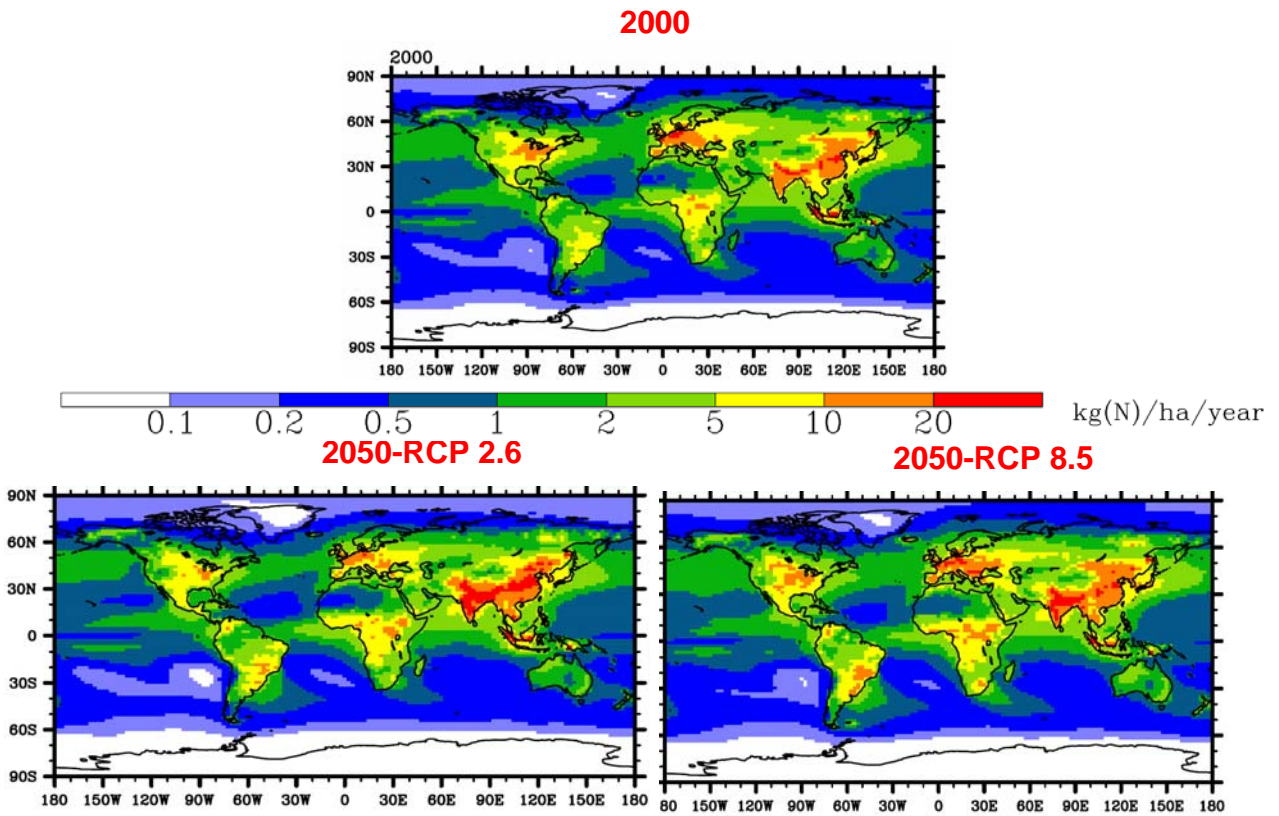
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Figure 6.35: Deposition of SO_x (left panel) and reactive N (NO_y + NH_x; right panel) from 1850 to 2000 and projections of deposition to 2050 under the 4 RCP emission scenarios (Van Vuuren et al., 2011; (Lamarque et al., 2011). Also shown are the 2030 scenarios using the SRES B1/A2 energy scenario with assumed current legislation and maximum technically feasible reduction air pollutant controls (Dentener et al., 2006).

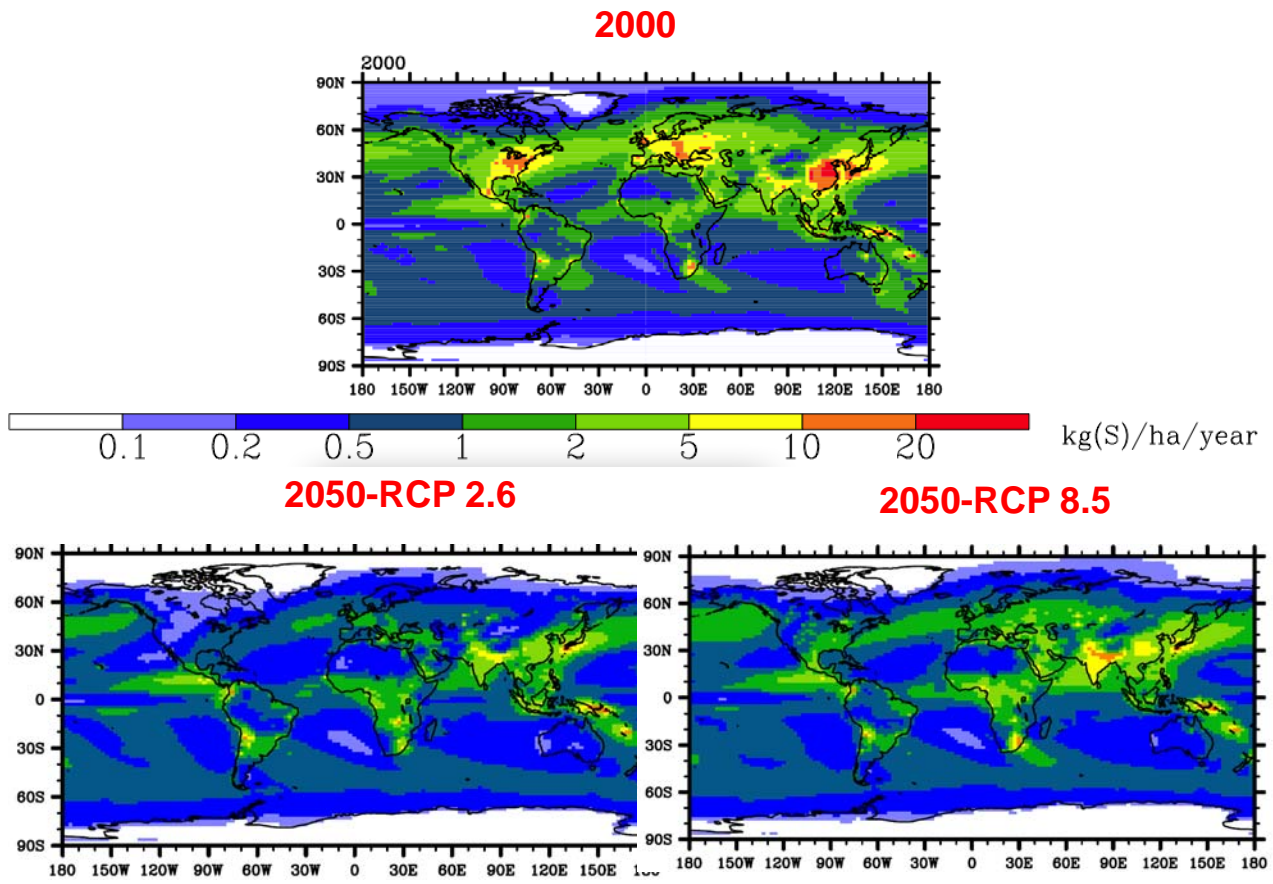
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Figure 6.36: Spatial variability of N deposition in 2000 with projections for 2050, using the 2.6 and 8.5 RCP scenarios (to indicate the range), kg N ha⁻¹ yr⁻¹ (Lamarque et al., 2010).

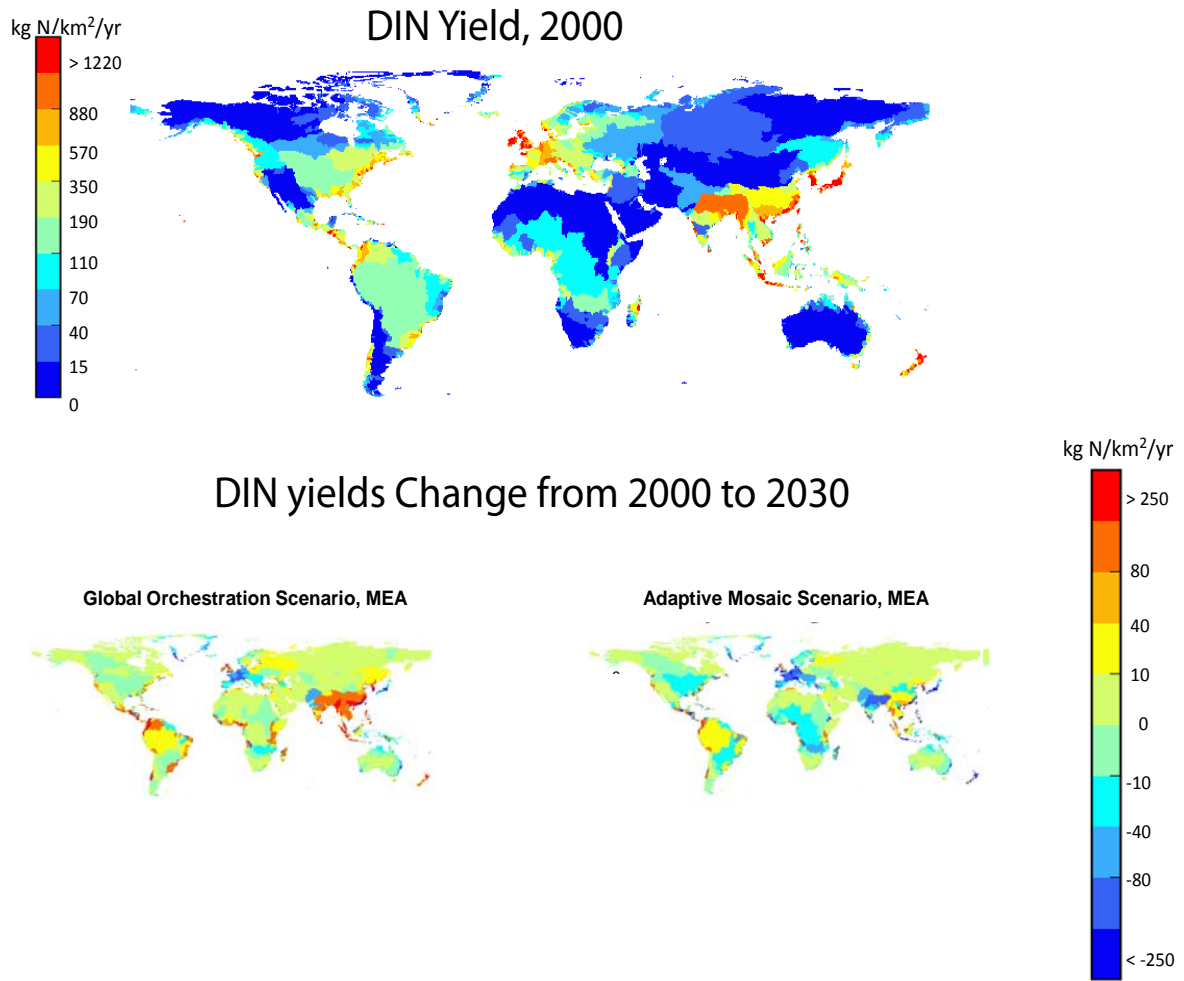
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Figure 6.37: Spatial variability of S deposition in 2000 with projections for 2050, using the 2.6 and 8.5 RCP scenarios (to indicate the range), kg N ha⁻¹ yr⁻¹ (Lamarque et al., 2010).

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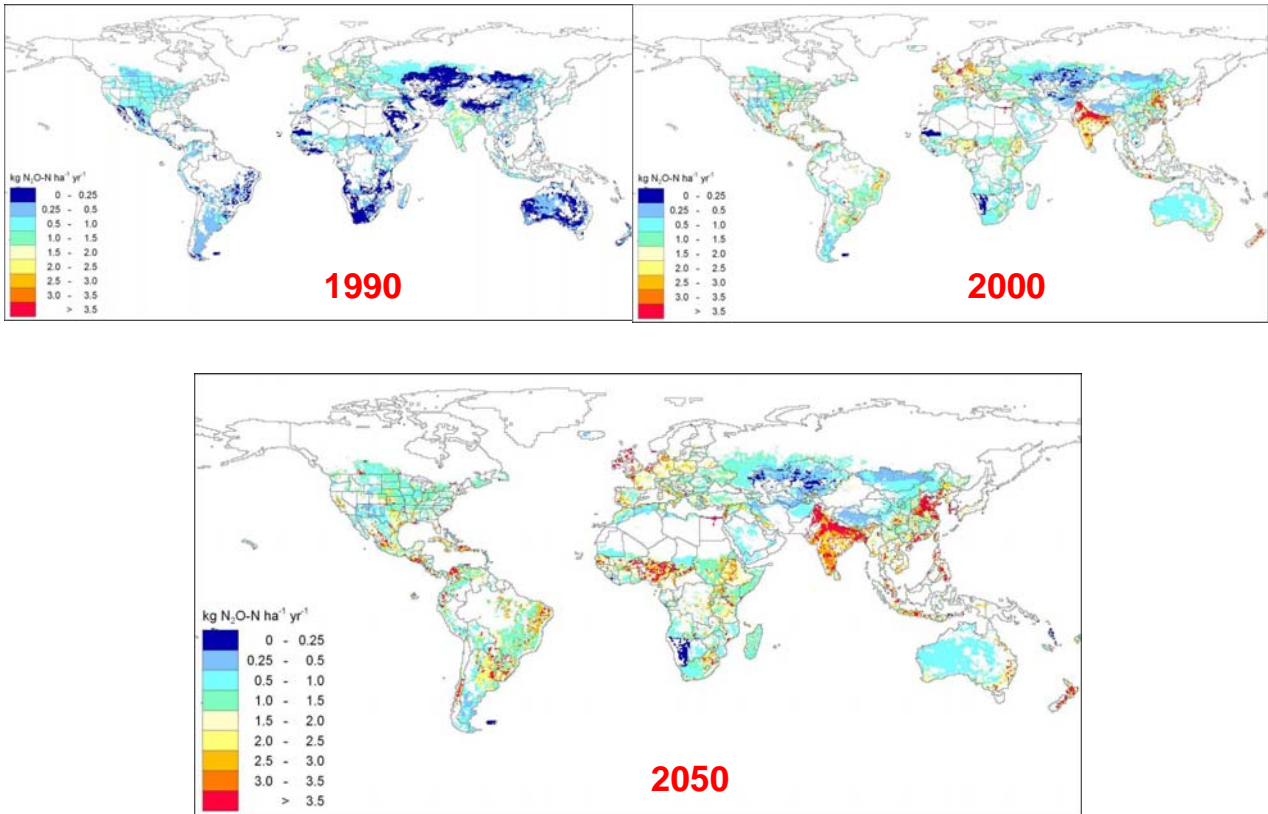


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Figure 6.38: a) Dissolved inorganic nitrogen river discharge to coastal zone (mouth of rivers) in 2000, based up on Global NEWS 2 model, b) change in DIN discharge from 2000 to 2030, based upon Global Orchestration and the Adaptive Mosaic scenarios, Millennium Ecosystem Assessment, (Mayorga et al., 2010; Seitzinger et al., 2010). Units are kg N per km² watershed per year, as an average for each watershed.

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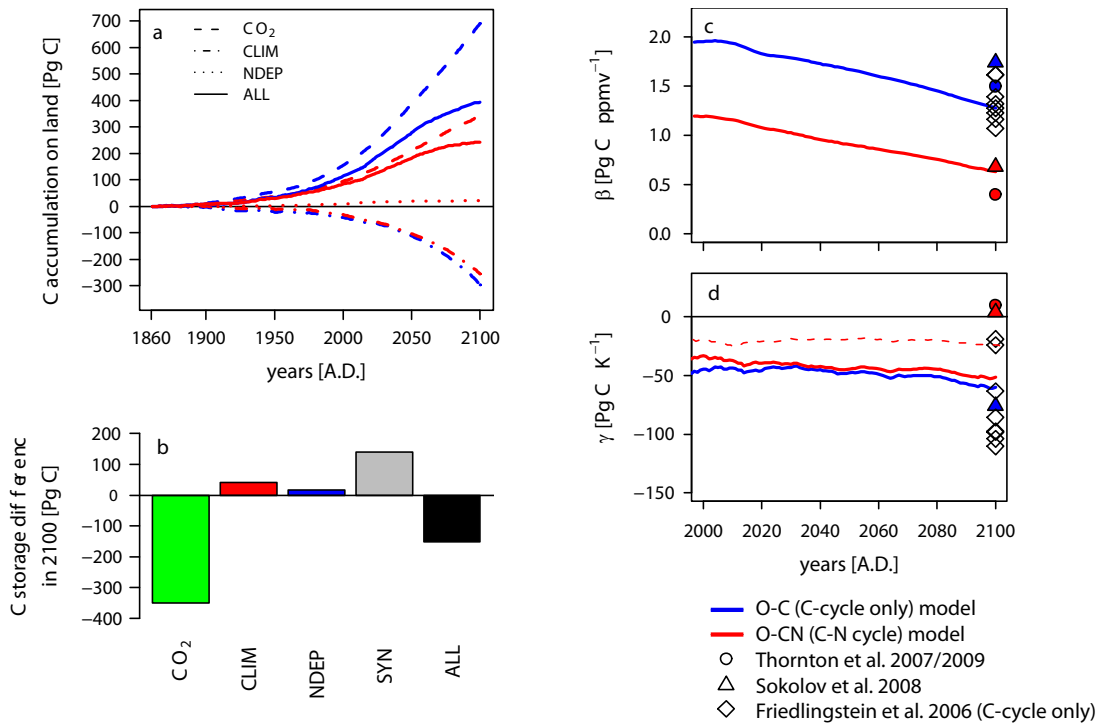
N₂O Emissions to the atmosphere 1900—2000—2050



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Figure 6.39: N₂O emissions in 1900, 2000 and projected to 2050 (Bouwman et al., 2011).

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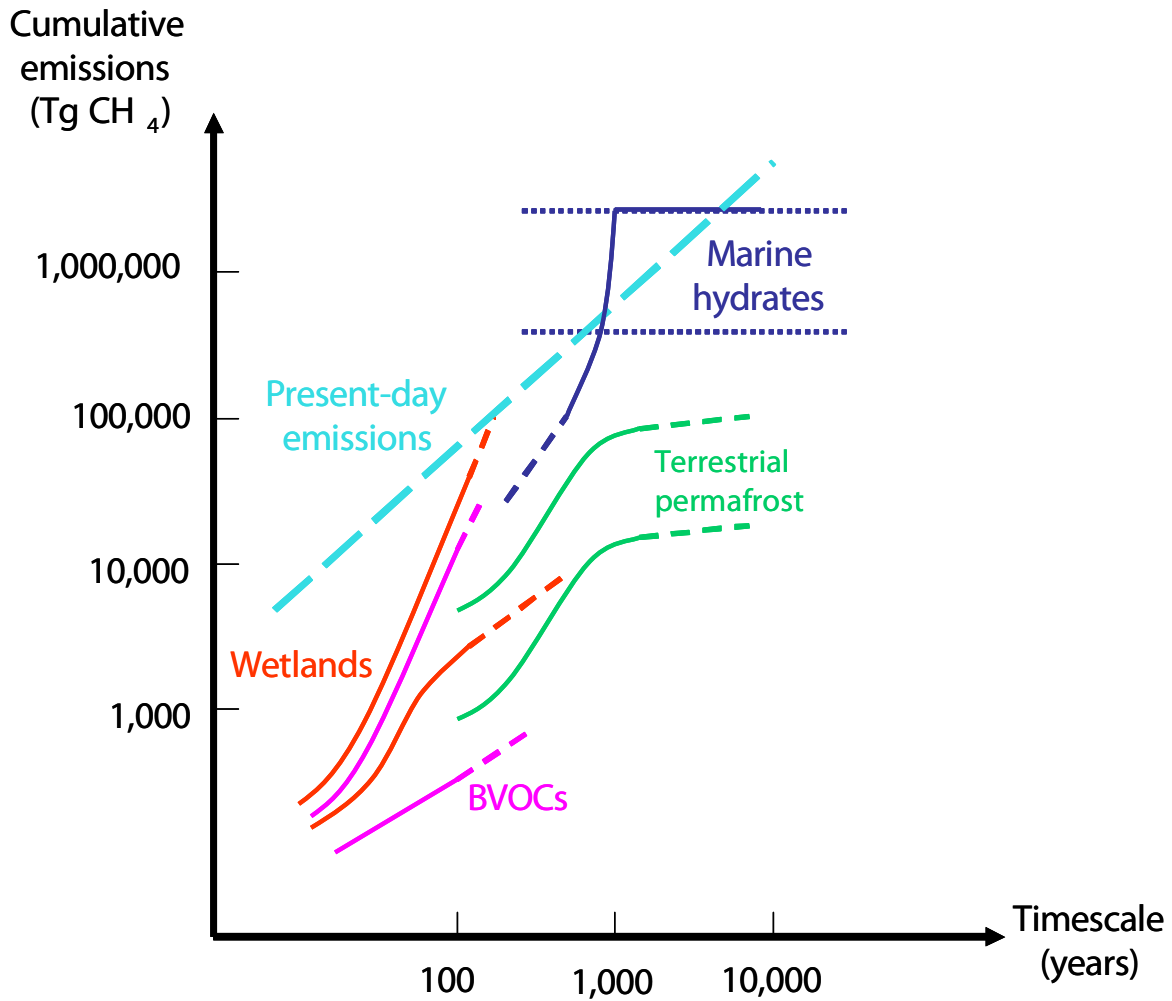
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Figure 6.40: a) Projection of land C storage due to changes in atmospheric CO₂, climate, N deposition and the combination of these factors (taken from the SRES A2 scenario using LMDz-CM4) simulated by one CN model without (blue) and with (red) nitrogen dynamics (O-CN; (Zaehle et al., 2010a)). b) Difference in projected year 2100 land C storage from a) due to nitrogen dynamics. c) Development of β_1 over the 21st century simulated by O-CN, compared to estimates from the carbon-cycle and carbon-nitrogen cycle simulations using CLM-CN (Thornton et al., 2007; Thornton et al., 2009), IGSM-CN (Sokolov et al., 2008), and the carbon-cycle only C4 MIP ensemble (Friedlingstein et al., 2006). d) the same for β_1 , where the dashed lines red is accounting for the synergistic interactions between all factors in the O-CN model.

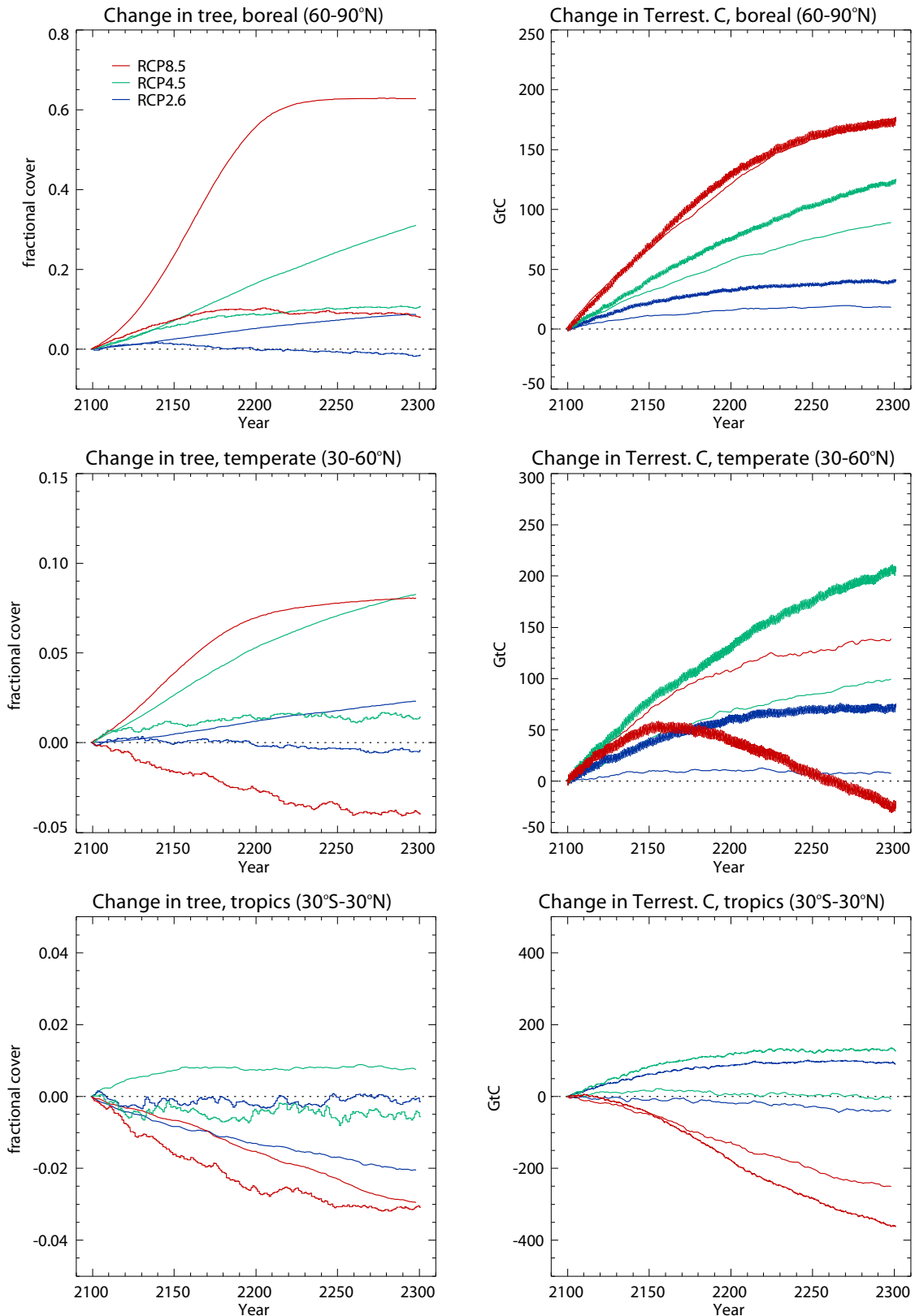
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Figure 6.41: Summary diagram of the relative sizes and time scales associate with changing methane emissions (after O'Connor et al. (2010)). Present day anthropogenic emissions are shown for reference, as is the effect on CH₄ from biogenic volatile organic compounds (BVOCs). BVOCs affect the atmospheric lifetime of CH₄ as they react with [OH], but are not directly emissions of CH₄. Atmospheric chemistry is not discussed further in this chapter.

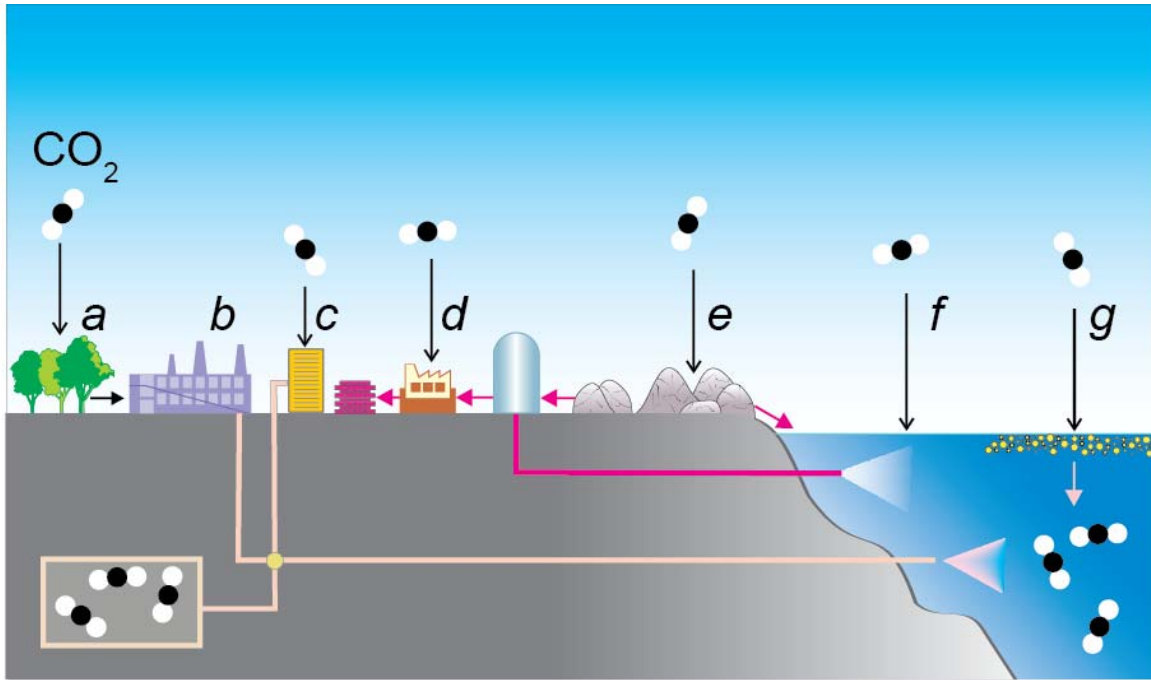
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Figure 6.42: Time evolution of tree cover (left) and terrestrial carbon storage (right) for three latitude bands; boreal (60–90°N), temperate (30–60°N) and tropics (30°S–30°N) for the RCP extensions to 2300. Models shown are HadGEM2-ES and the MPI-Hamburg ESM which both simulate vegetation dynamics. Note the RCP6.0 extension was not a CMIP5 required simulation. Anthropogenic land-use in these extension scenarios is kept constant at 2100 levels, so these results show the response of natural ecosystems to the climate change.

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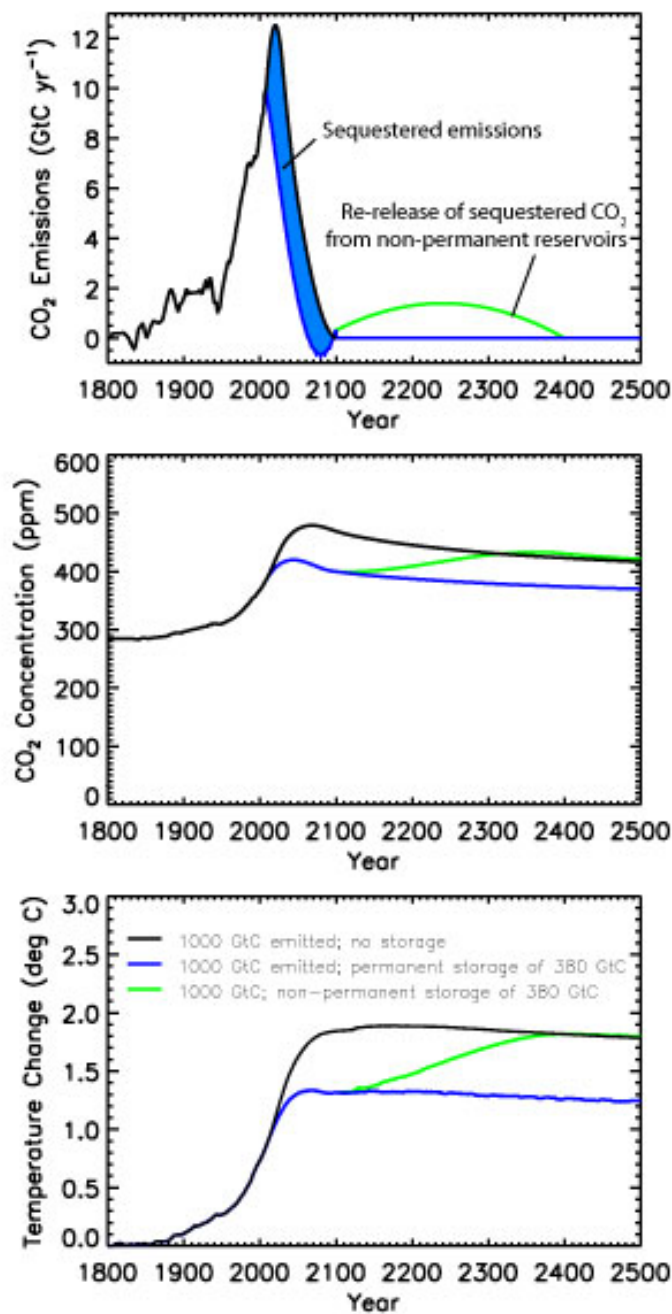
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Figure 6.43: Illustration of some Carbon Dioxide Removal approaches: (a) CO₂ capture by and storage in land ecosystems, (b) combustion of biomass at an electric power plant with carbon capture and storage of CO₂ either underground or in the ocean, (c) industrialized capture of CO₂ in the atmosphere with storage either underground or in the ocean, (d) extraction of alkalinity from mined silicate rocks which are then combined with atmospheric CO₂ to produce solid carbonate minerals, (e) increasing the weathering rate of silicate rocks (some dissolved carbonate minerals are transported to the ocean), (f) alkalinity from solid minerals is added to the ocean which causes CO₂ to ingas from the atmosphere, (g) nutrients are added to the ocean, transporting carbon downward, some of which is replaced by CO₂ from the atmosphere.

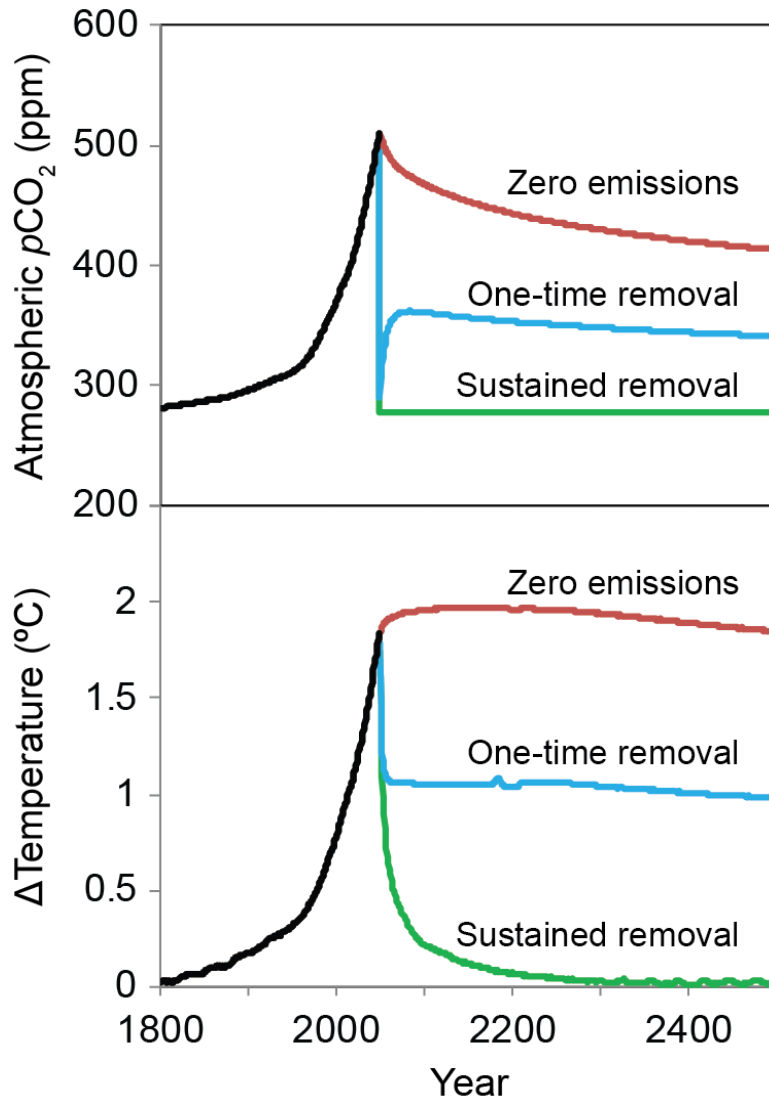
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Figure 6.44: Effect of permanent and non-permanent CO₂ sequestration. Permanent sequestration of emitted CO₂ has the potential to decrease cumulative emissions and the resulting climate warming (blue line, compared to black). If the same carbon were sequestered in a non-permanent reservoir, and returned to the atmosphere over several centuries, climate change would be delayed only, and the eventual magnitude of climate change would be equivalent to the no-sequestration case (green line, compared to black). Figure modified from Figure 5 of Mathews (2010).

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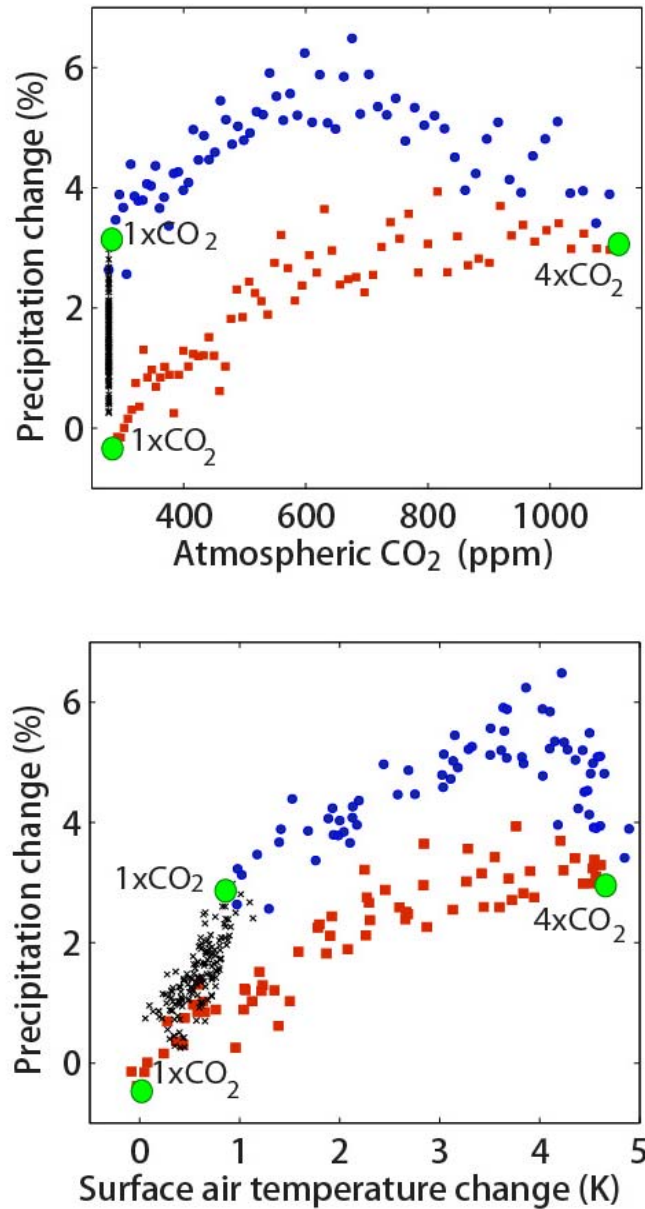
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Figure 6.45: Effects of an instantaneous cessation of CO₂ emissions (amber line), one-time removal of excess atmospheric CO₂ (blue line) and removal of excess atmospheric CO₂ followed by continued removal of CO₂ that degasses from the atmosphere and ocean (green line). To a first approximation, a cessation of emissions prevents further warming but does not lead to significant cooling on the century time scale. A one-time removal of excess atmospheric CO₂ eliminates approximately half of the warming experienced at the time of the removal. To cool the planet back to pre-industrial levels requires the removal of all previously emitted CO₂, an amount equivalent to approximately twice the amount of excess CO₂ in the atmosphere. Figure adapted from (Cao and Caldeira, 2010b).

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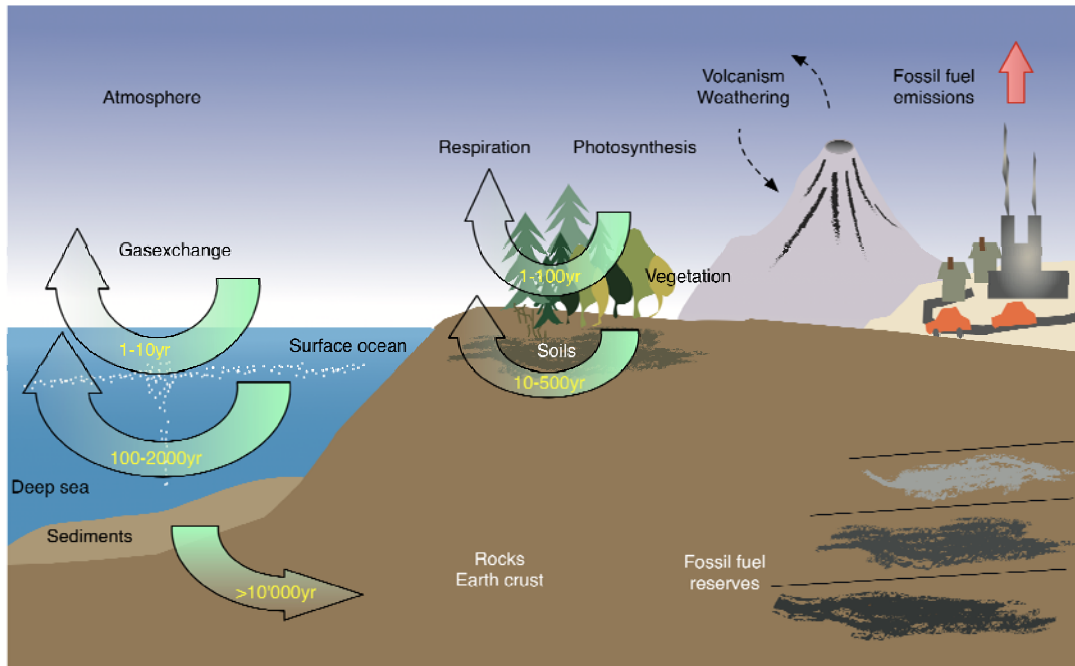
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Figure 6.46: HadCM3L results from a simulation with 2% annual change in atmospheric CO₂: (a) global and annual mean changes in precipitation as a function of atmospheric CO₂; (b) global and annual mean changes in precipitation as a function of global and annual mean changes in surface temperature. Red dots represent the first 70-year simulation phase with 2% annual CO₂ increase (ramp_up) and time moves forward from the lower left to the upper right. Blue dots represent the subsequent 70-year period with 2% annual CO₂ decrease (ramp_down) and time moves forward from the upper right to the lower left. Black dots represent the following 150-years with the constant control CO₂ concentration and time moves forward from the upper right to the lower left. The simulation states when atmospheric CO₂ reaches 1 × CO₂ and 4 × CO₂ concentrations are marked with yellow circles. Due to the ocean thermal inertia one atmospheric CO₂ state corresponds to two different states of temperature and precipitation, and due to the precipitation sensitivity to atmospheric CO₂ content changes (Bala et al., 2009), one temperature state corresponds to two different precipitation states. Figure adopted from Cao et al. (2011).

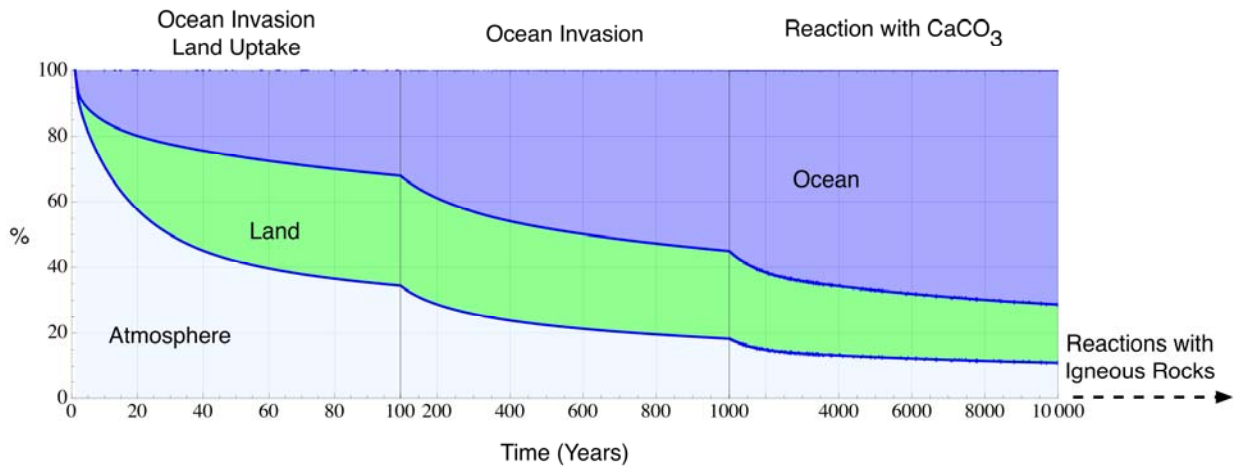
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FAQ6.1, Figure 1: Simplified schematic of the global carbon cycle including its major reservoirs and turnover time scales.

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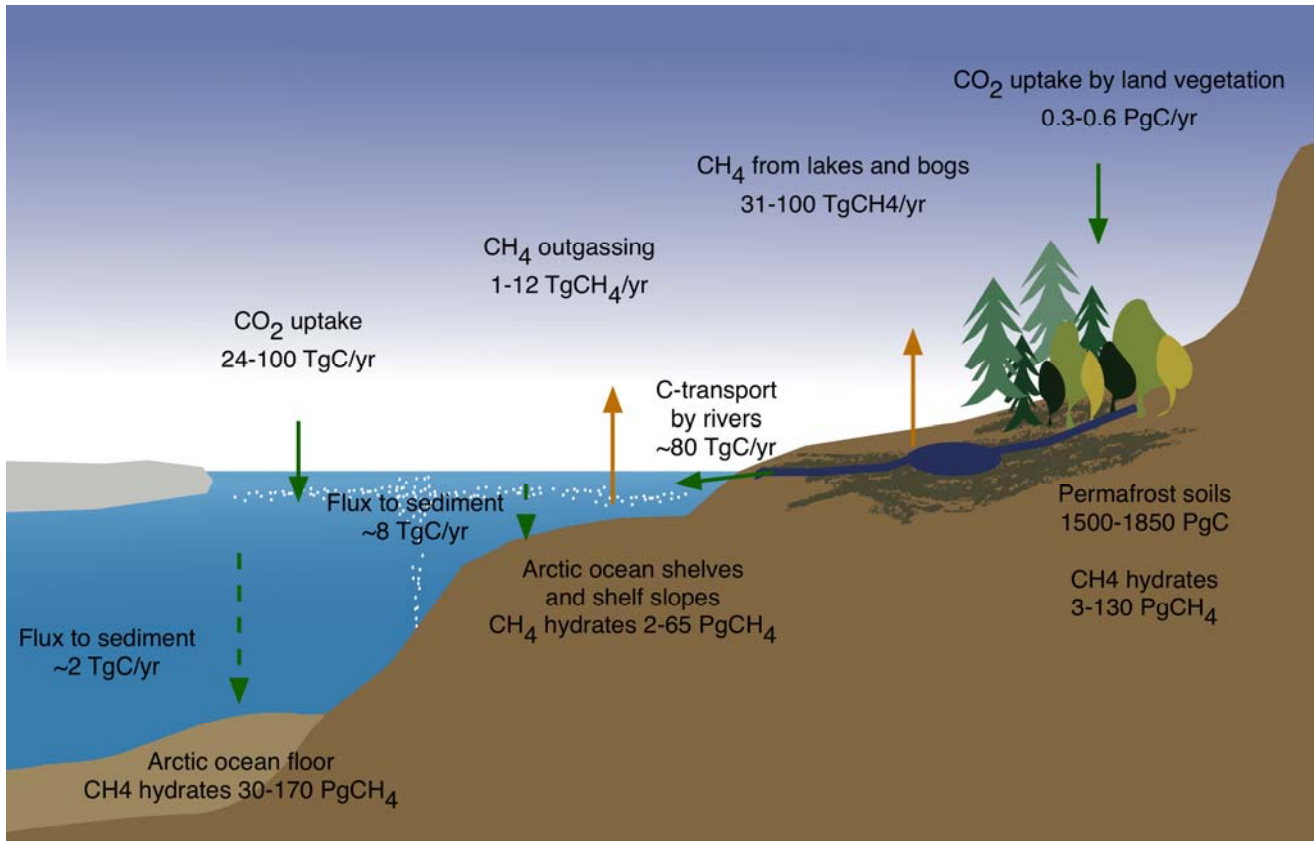
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4 **FAQ 6.1, Figure 2:** Decrease of an atmospheric CO₂ pulse emission of 1000 PgC emitted at time 0 showing the
 5 different time scales of the equilibration with the different reservoirs in the global carbon cycle. Displayed is the
 6 percentage of the initial perturbation taken up by atmosphere, land and ocean (after Archer et al., 2009; the graph shows
 7 the simulation results from the CLIMBER-2 model). Note the different time scales in the three sections of the graph.

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4 **FAQ 6.2, Figure 1:** Simplified graph of major carbon pools and flows in the Arctic domain, including permafrost on
5 land, continental shelves and ocean (Adapted from McGuire et al., 2009 and Tarnocai et al., 2008).

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