

Sutton, Gary

From: Carleton, Norman
Sent: Thursday, November 01, 2001 2:34 PM
To: Alexander M. Brill (E-mail); Don Lamson (E-mail); 'dombalagiano@sec.gov'; 'mike.brosnan@occ.treas.gov'; 'ddow@cftc.gov'; Amy Mitchell (E-mail); Annette Nazareth (E-mail); Belinda Blaine (E-mail); Bob Colby (E-mail); Bob Zwirb (E-mail); David Wall; Diane Virzera; Eichner, Matthew; Elizabeth Fox (E-mail); Eric Hirschhorn (E-mail); Hammer, Viva; John Polise (E-mail); Joyce Hansen; Kathryn Dick (E-mail); Kevin Erickson (E-mail); Kurt Wilhelm (E-mail); Lori Sanatamorena (E-mail); Miguel Browne; Nickoloff, Peter; Novey, Michael; Pat Parkinson (E-mail); Pat White; Schultheiss, Heidilynne
Cc: Bair, Sheila; Ellett, Martha; Gabilondo, Jose; Gross, Jared; Roseboro, Brian; Bitsberger, Timothy; Sutton, Gary
Subject: FW: Thoughts about Retail Swaps paper (FDIC comments)

-----Original Message-----

From: Browne, Miguel D. [mailto:MBrowne@FDIC.gov]
Sent: Thursday, November 01, 2001 2:25 PM
To: 'Norman.Carleton@do.treas.gov'
Cc: Wall, David; Mitchell, Amy Aulthouse
Subject: Thoughts about Retail Swaps paper

[(b)(5)]

Barber, Francine

From: Carleton, Norman
Sent: Wednesday, March 21, 2001 10:45 AM
To: Barber, Francine
Subject: FW: Proposed Changes to Netting Bill -- Comments

-----Original Message-----

From: Carleton, Norman
Sent: Tuesday, February 13, 2001 5:08 PM
To: Hughes, Gerry
Subject: FW: Proposed Changes to Netting Bill -- Comments

-----Original Message-----

From: Krimminger, Michael H. [mailto:MKrimminger@FDIC.gov]
Sent: Tuesday, February 13, 2001 4:47 PM
To: 'norman.carleton@do.treas.gov'; 'stephanie.martin@frb.gov';
'Tom.McGivern@do.treas.gov'; 'MooneyJ@sec.gov'
Cc: DeLoose, Michael; Ivie, Stanley R.; Tishuk, Barbara Starke
Subject: Proposed Changes to Netting Bill -- Comments

At Norman's request, I have put in writing my preliminary observations on the changes proposed by Bond Market to S. 220 - which include both technical and substantive changes. [(b)(5)]

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Please review and let me know your thoughts.
Thanks,
Mike

McGivern, Tom

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[(b)(5)]

Nickoloff, Peter

From: Fox, Elizabeth L. R. [efox@CFTC.gov]
Sent: Wednesday, December 05, 2001 1:45 PM
To: 'Norman.Carleton@do.treas.gov'; Alexander_M_Brill@cea.eop.gov; nazaretha@sec.gov; blaine@sec.gov; colbyr@sec.gov; Zwirb, Robert S.; dwall@fdic.gov; Dow, De'ana H.; diane.virzera@ny.frb.org; donald.lamson@occ.treas.gov; Matthew.Eichner@do.treas.gov; Fox, Elizabeth L. R.; eric.hirschhorn@ots.treas.gov; Viva.Hammer@do.treas.gov; polisej@sec.gov; joyce.hansen@ny.frb.org; kathryn.dick@occ.treas.gov; kurt.wilhelm@occ.treas.gov; lsantamorena@bpd.treas.gov; mbrowne@fdic.gov; Peter.Nickoloff@do.treas.gov; Michael.Novey@do.treas.gov; dombalagiano@sec.gov; m1pmp00@frb.gov; m1apw00@frb.gov; Heidilynne.Schultheiss@do.treas.gov
Cc: Sheila.Bair@do.treas.gov; Timothy.Bitsberger@do.treas.gov; Martha.Ellett@do.treas.gov; Jose.Gabilondo@do.treas.gov; Jared.Gross@do.treas.gov; Brian.Roseboro@do.treas.gov; Gary.Sutton@do.treas.gov; Mark.Wiedman@do.treas.gov
Subject: RE: New Draft of the Retail Swaps Report

[(b)(5)]

-----Original Message-----

From: Norman.Carleton@do.treas.gov [mailto:Norman.Carleton@do.treas.gov]
Sent: Wednesday, December 05, 2001 1:38 PM
To: Alexander_M_Brill@cea.eop.gov; nazaretha@sec.gov; blaine@sec.gov; colbyr@sec.gov; bswirb@cftc.gov; dwall@fdic.gov; ddow@cftc.gov; diane.virzera@ny.frb.org; donald.lamson@occ.treas.gov; Matthew.Eichner@do.treas.gov; efox@cftc.gov; eric.hirschhorn@ots.treas.gov; Viva.Hammer@do.treas.gov; polisej@sec.gov; joyce.hansen@ny.frb.org; kathryn.dick@occ.treas.gov; kurt.wilhelm@occ.treas.gov; lsantamorena@bpd.treas.gov; mbrowne@fdic.gov; Peter.Nickoloff@do.treas.gov; Michael.Novey@do.treas.gov; dombalagiano@sec.gov; m1pmp00@frb.gov; m1apw00@frb.gov; Heidilynne.Schultheiss@do.treas.gov
Cc: Sheila.Bair@do.treas.gov; Timothy.Bitsberger@do.treas.gov; Martha.Ellett@do.treas.gov; Jose.Gabilondo@do.treas.gov; Jared.Gross@do.treas.gov; Brian.Roseboro@do.treas.gov; Gary.Sutton@do.treas.gov; Mark.Wiedman@do.treas.gov
Subject: New Draft of the Retail Swaps Report

<<Retail Swap St -- (12-05 draft)1.doc>> <<12-05 draft redline1.doc>>

[(b)(5)]

[(b)(5)]

Norman Carleton

Nickoloff, Peter

From: Carleton, Norman
Sent: Tuesday, February 13, 2001 5:05 PM
To: Sutton, Gary; Ellis, Dina; Nickoloff, Peter; Schultheiss, Heidilynne; McInerney, Roberta
Cc: Paulus, Michael
Subject: FW: Proposed Changes to Netting Bill -- Comments

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Stewart, Lawranne

From: Carleton, Norman
Sent: Tuesday, February 13, 2001 5:07 PM
To: Stewart, Lawranne
Subject: FW: Proposed Changes to Netting Bill -- Comments

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Sent: Tuesday, February 13, 2001 4:47 PM
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Ellis, Dina

From: Carleton, Norman
Sent: Tuesday, February 13, 2001 5:05 PM
To: Sutton, Gary; Ellis, Dina; Nickoloff, Peter; Schultheiss, Heidilynne; McInerney, Roberta
Cc: Paulus, Michael
Subject: FW: Proposed Changes to Netting Bill -- Comments

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Tracking:

Recipient	Delivery	Read
Sutton, Gary	Delivered: 2/13/01 5:05 PM	Read: 2/13/01 5:06 PM
Ellis, Dina	Delivered: 2/13/01 5:05 PM	Read: 2/13/01 6:39 PM
Nickoloff, Peter	Delivered: 2/13/01 5:05 PM	Read: 2/13/01 5:21 PM
Schultheiss, Heidilynne	Delivered: 2/13/01 5:05 PM	Read: 2/13/01 5:06 PM
McInerney, Roberta	Delivered: 2/13/01 5:05 PM	Read: 2/13/01 9:16 PM
Paulus, Michael	Delivered: 2/13/01 5:05 PM	Read: 2/14/01 8:11 AM

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'Alexander M. Brill (E-mail)'
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'dombalagiano@sec.gov'
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'ddow@cftc.gov'
Amy Mitchell (E-mail)
Annette Nazareth (E-mail)

Delivery

1

Read

02907

0130000001516

Recipient	Delivery	Read
Belinda Blaine (E-mail)		
Bob Colby (E-mail)		
Bob Zwirb (E-mail)		
'David Wall'		
'Diane Virzera'		
Eichner, Matthew	Delivered: 11/1/01 2:34 PM	Read: 11/1/01 3:30 PM
Elizabeth Fox (E-mail)		
Eric Hirschhorn (E-mail)		
Hammer, Viva	Delivered: 11/1/01 2:34 PM	Read: 11/1/01 3:14 PM
John Polise (E-mail)		
'Joyce Hansen'		
Kathryn Dick (E-mail)		
Kevin Erickson (E-mail)		
Kurt Wilhelm (E-mail)		
Lori Sanatamorenna (E-mail)		
'Miguel Browne'		
Nickoloff, Peter	Delivered: 11/1/01 2:34 PM	Read: 11/1/01 2:48 PM
Novey, Michael	Delivered: 11/1/01 2:34 PM	Read: 11/1/01 3:38 PM
Pat Parkinson (E-mail)		
'Pat White'		
Schultheiss, Heidilynne	Delivered: 11/1/01 2:34 PM	Read: 11/1/01 2:35 PM
Bair, Sheila	Delivered: 11/1/01 2:34 PM	Read: 11/1/01 5:15 PM
Ellett, Martha	Delivered: 11/1/01 2:34 PM	Read: 11/1/01 2:42 PM
Gabilondo, Jose	Delivered: 11/1/01 2:34 PM	Read: 11/1/01 3:01 PM
Gross, Jared	Delivered: 11/1/01 2:34 PM	Read: 11/1/01 2:37 PM
Roseboro, Brian	Delivered: 11/1/01 2:34 PM	Deleted: 11/9/01 5:17 PM
Bitsberger, Timothy	Delivered: 11/1/01 2:34 PM	Read: 11/1/01 2:42 PM
Sutton, Gary	Delivered: 11/1/01 2:34 PM	Read: 11/1/01 2:34 PM



Norman.Carleton@do.
treas.gov

11/01/01 02:34 PM

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cc: Sheila.Bair@do.treas.gov, Martha.Ellett@do.treas.gov,
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