

BARRY R. WEINGAST
Additions to CV, AY 2013-2014

SEPTEMBER 1, 2015

PERSONAL

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ACADEMIC BACKGROUND

B.A. (Mathematics), University of California, Santa Cruz, June 1973.

Ph.D. (Economics), California Institute of Technology, Pasadena, California, September 1977 (awarded June 1978).

Thesis Title: "A Representative Legislature and Regulatory Agency Capture."

PRINCIPAL PROFESSIONAL POSITIONS

Assistant Professor, Department of Economics, and Research Associate, Center for the Study of American Business, Washington University, St. Louis, 1977-1983.

Associate Professor, Department of Economics; Associate Professor of Economics and Political Economy, School of Business; and Research Associate, Center for the Study of American Business, Washington University, 1983-1986.

Professor of Economics and Political Economy, School of Business; and Research Associate, Center for the Study of American Business, Washington University, 1986-1988.

Senior Research Fellow, Hoover Institution, Stanford University, September 1987-August 1990.

Senior Fellow, Hoover Institution, Stanford University, September 1990-present.

Professor, Department of Political Science, Stanford University, September 1992-February 1997.

Ward C. Krebs Family Professor, Department of Political Science, Stanford University, February 1997-present.

Chair, Department of Political Science, Stanford University, September 1996-August 2001.

The Earl and Edna Stice Lecture In the Social Sciences, University of Washington, “Escape from the Violence Trap: A New View of Adam Smith and The Political Economics of Development,” February 7, 2014.

Annual CHESS Lecture, Center for Historical Enquiry in the Social Sciences, “Deriving ‘General Principles’ in Adam Smith: The Ubiquity of Equilibrium and Comparative Statics Analysis throughout His Works,” Yale University, September 25, 2014.

The Inaugural Elinor and Vincent Ostrom Memorial Lecture, “The Violence Trap: Why Democracy and Rule of Law Fail in the Developing World.” Elinor and Vincent Ostrom Workshop, University of Indiana, Bloomington, February 11, 2015.

Sir Douglass Myers Distinguished Visiting Professor (April 2015); and Dean’s Distinguished Speakers Lecture Series, “The Violence Trap: The Failure of Democracy and Rule of Law in the Developing World.” Auckland University, Auckland, New Zealand, April 23, 2015.

BOOKS AND EDITED VOLUMES

Symposium on: The Law and Economics of Constitutions and Public Law, (editor, with Robert Cooter), special issue of *International Review of Law and Economics* 12 (June, 1992).

Formal Models of Legislatures (editor, with Kenneth A. Shepsle), special issue of *Legislative Studies Quarterly* 19 (May 1994).

§ Reprinted as *Positive Theories of Congressional Institutions* (edited, with Kenneth A. Shepsle). Ann Arbor, MI: University of Michigan Press. 1995.

The New Federalism: Can the States Be Trusted? (editor, with John A. Ferejohn). Stanford: Hoover Institution Press (1997).

Analytic Narratives (with Robert H. Bates, Avner Greif, Margaret Levi, and Jean-Laurent Rosenthal). Princeton: Princeton University Press, 1998.

§ Review essay, Jon Elster's "Rational Choice History: A Case of Excessive Ambition," *American Political Science Review* (September 2000) 94:685-95.

§ Response: "The Analytic Narrative Project" (with Robert Bates, Avner Greif, Margaret Levi, and Jean-Laurent Rosenthal). *American Political Science Review* (September 2000) 94:696-702.

§ "Symposium on Robert H. Bates, Avner Greif, Margaret Levi, and Jean-Laurent Rosenthal and Barry R. Weingast's, *Analytic Narratives*. Comments by Daniel Carpenter, Theda Skopold, and Sunita Parikh. *Social Science History* (Winter, 2000) 24: 653-84;

§ Reply, "*Analytic Narratives Revisited*" (with Robert H. Bates, Avner Greif, Margaret Levi, and Jean-Laurent Rosenthal). *Social Science History* (Winter, 2000) 24: 685-96.

§ Translated into Chinese (Beijing: China Renmin University Press, 2007).

Self-Enforcing Institutions (a collection of my essays) Cambridge University Press (forthcoming).

Institutions and Political Commitment: A New Political Economy of the American Civil War Era. Unpublished book manuscript, Hoover Institution, Stanford University, 2006.

Symposium on: Institutions, Economics, and the Ancient Mediterranean World (editor, with Ian Morris), *Journal of Institutional and Theoretical Economics* Vol 160, No 4. (2004): 702-85.

The Politics of Financial Development (editor, with Stephen Haber and Douglass C. North) (Stanford, CA: Stanford University Press, 2008).

§ Translated into Chinese, (Law Press, forthcoming 2015).

Preferences and Situations: Points of Contact between Historical and Rational Choice Institutionalisms (editor, with Ira Katznelson). (New York: Russell Sage Foundation, 2005.)

Editor (with Emerson H. Tiller) SSRN Journal, *Law and Positive Theory Abstracts*, December 2005-Present.

Handbook of Political Economy (editor, with Donald Wittman) (New York: Oxford University Press, 2006).

§ Translated into Chinese (China Renmin University Press, forthcoming).

Violence and Social Orders: A Conceptual Framework For Interpreting Recorded Human History (with Douglass C. North and John Joseph Wallis). (New York: Cambridge University Press, 2009). Paperback version published 2012.

- § Translated into French (Paris: Editions Gallimard, 2010);
- § Translated into Russian (Institute for the Economy in Transition, 2011);
- § Translated into German (Mohr Siebeck Verlag, 2011);
- § Translated into Italian (Bologna, Italy: Societa Editrice Il Mulino Sparta;
- § Translated into Chinese, Chapter 5, "The Transition from Limited to Open Access Orders," *Marxism & Reality*, 2011, #2.
- § Translated into Chinese (Singapore: Cambridge: Cambridge University Press of Singapore, forthcoming);
- § Translated in Japanese (Tokyo: NTT Publishing, forthcoming);
- § Translated into Arabic (National Center for Translation, forthcoming).
- § Review Symposium: "The State and Violence: A Discussion of *Violence and Social Orders: A Conceptual Framework for Interpreting Recorded Human History*." *Perspectives on Politics* 2010 8(1): 287-96.
- § Comment by Jack Snyder
- § Comment by Jean Bethke Elshtain
- § Comment by Caroline Hartzell
- § Comment by Larry Diamond.

Korean Political and Economic Development: Crisis, Security, and Institutional Rebalancing (with Jongryn Mo). (Cambridge, Mass: Harvard University Asia Center, 2013.)

In the Shadow of Violence: The Problem of Development for Limited Access Order Societies (with Douglass C. North, John Joseph Wallis, and Steven B. Webb). (Cambridge: Cambridge University Press, 2013.)

- § Translated into Chinese (Beijing: Cambridge: Cambridge University Press of China, forthcoming AY 2014-20150

PUBLICATIONS IN PROFESSIONAL JOURNALS

"A Rational Choice Perspective on Congressional Norms," **American Journal of Political Science** 24 (1979), pp. 245-262.

- § Reprinted in **Congress: Structure and Policy**, ed. by Mathew D. McCubbins and Terry Sullivan (Cambridge University Press, 1987), Ch. 4.
- § Reprinted in Philip Norton, ed., **Legislatures and Legislators**. (Gower House, England: Ashgate Publishing, 1998.)

"Congress, Regulation, and the Decline of Nuclear Power," **Public Policy** 28 (1980), pp. 231-55.

- "Structure-Induced Equilibrium and Legislative Choice," (with Kenneth A. Shepsle). **Public Choice** 37 (1981), pp. 503-19.
- § Reprinted in Charles K. Rowley, ed., **Public Choice Theory**. Vol. II. (Edward Elgar Publishing, 1993);
 - § Reprinted in Claude Menard, ed., **The International Library of the New Institutional Economics**. vol 1. (Edward Elgar Publishing, 2004)
- "Political Preferences for the Pork Barrel: A Generalization," (with Kenneth A. Shepsle). **American Journal of Political Science** 26 (February 1981), pp. 86-111.
- "The Political Economy of Benefits and Costs: A Neoclassical Approach to Distributive Politics," (with Kenneth A. Shepsle and Christopher Johnsen). **Journal of Political Economy** 89 (August 1981), pp. 642-664.
- § Comment by G. Tullock, **Journal of Political Economy** 90(4): 824-26.
 - § Reprinted in Torsten Persson and Guido Tabellini, eds., **Monetary and Fiscal Policy**. (Cambridge: M.I.T. Press, 1994).
 - § Reprinted in Dewan, Torun, Keith Dowding and Kenneth A. Shepsle, eds., **Rational Choice Politics**. (London: Sage, 2009).
- "Regulation, Reregulation, and Deregulation: The Political Foundations of Agency-Clientele Relationships," **Law and Contemporary Problems** 44 (Winter 1981), pp. 147-177.
- § Reprinted in Charles K. Rowley, ed., **Public Choice Theory**. Vol. III. (Edward Elgar Publishing, 1993);
 - § Reprinted in Lisa Schultz Bressman, Edward L. Rubin, and Kevin M. Stack, eds., **The Regulatory State**. (New York: Aspen Publishers, forthcoming.)
- "Congress as the Source of Regulatory Decisions: The Case of the Federal Trade Commission," (with Mark J. Moran). **American Economic Review** 72 (May 1982), pp. 109-113.
- "Institutionalizing Majority Rule: A Social Choice Theory with Policy Implications," (with Kenneth A. Shepsle). **American Economic Review** 72 (May 1982), pp. 367-71.

"Bureaucratic Discretion or Congressional Control: Regulatory Policymaking by the FTC" (with Mark J. Moran). **Journal of Political Economy** 91 (October 1983), pp. 765-800.

§ Reprinted in: Robert Mackay, James C. Miller, and Bruce Yandle (eds.), **Public Choice and Regulation: A View from Inside the Federal Trade Commission**. (Stanford: Hoover Institution, 1987), Ch. 3.

§ Reprinted in Charles K. Rowley, ed., **Public Choice Theory**. Vol. III. (Edward Elgar Publishing, 1993).

§ Reprinted in Robert B. Ekelund, Jr., **The Foundations of Regulatory Economics**. (Edward Elgar Publishing, 1999).

§ Reprinted in part in: Walter Gellhorn, **Administrative Law** 8th ed. (West Publishing Co.); Gellhorn and Byse, **Administrative Law** 9th ed. (Foundation Press);

§ Reprinted in Thomas P. Lyon, **Regulation** (Edward Elgar Publishing, 2007).

§ Comment by Timothy J. Muris, "Regulatory Policymaking at the Federal Trade Commission: The Extent of Congressional Control." **Journal of Political Economy** 94 (1986): pp. 884-89.

§ Reply, "Congress and Regulatory Agency Choice," **Journal of Political Economy** 94 (1986), pp. 890-4.

§ Reprinted in Pablo T. Spiller, **Institutional Law and Economics**. (Edward Elgar Publishing, forthcoming.)

"Uncovered Sets and Sophisticated Voting Outcomes with Implications for Agenda Institutions" (with Kenneth A. Shepsle). **American Journal of Political Science** 29 (February 1984), pp. 49-74.

"When Do Rules of Procedure Matter?" (with Kenneth A. Shepsle). **Journal of Politics** 46 (February 1984), pp. 206-221.

"Political Solutions to Market Problems," (with Kenneth A. Shepsle). **American Political Science Review** 78 (June 1984), pp. 417-34.

§ Reprinted in Andrew Levine (ed.) **The State and Its Critics** (Edward Elgar Publishing, 1992), ch. 17.

"The Congressional-Bureaucratic System: A Principal-Agent Perspective (with applications to the SEC)," in "Carnegie Papers on Political Economy," edited by Alan Meltzer, Thomas Romer, and Howard Rosenthal, special supplement to **Public Choice** 44 (1984), pp. 147-91.

§ Comment by Joseph P. Kalt, **Public Choice** 44 (1984), pp. 193-95.

§ Comment by Elizabeth E. Bailey, **Public Choice** 44 (1984), pp. 197-200.

"The Institutional Foundations Of Committee Power," (with Kenneth A. Shepsle). **American Political Science Review** 81 (March 1987), pp. 85-194.

§ Reprinted in Charles K. Rowley, ed., **Public Choice Theory**. Vol. II. (Edward Elgar, Publishing, 1993);

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§ Comment by Keith Krehbiel, "Controversies," **American Political Science Review** 81 (September 1987), pp 929-35.

§ Reply: "Reflections on Committee Power" (with Kenneth A. Shepsle), "Controversies," **American Political Science Review** 81 (September 1987), pp. 935-45.

"Administrative Procedures as Instruments of Political Control" (with Mathew D. McCubbins and Roger G. Noll – McNollgast), **Journal of Law, Economics, and Organization** 3 (Fall 1987): 243-77.

§ Reprinted in part in Arthur Earl Bonfield and Michael Asimow, **Federal and State Administrative Law** (West Publishing Co., 1989), pp. 16-18 and 531-35;

§ Reprinted in Paul L. Joskow, ed., **Economic Regulation** (Edward Elgar, Publishing, 2000);

§ Reprinted in Cary Coglianese and Robert Kagan, eds., **Regulation and Regulatory Processes** (Aldershot, UK: Ashgate Publishing, 2007).

§ Reprinted in Susan Rose-Ackerman, ed., **The Economics of Administrative Law** (Edward Elgar, Publishing, forthcoming).

§ Reprinted in Dewan, Torun, Keith Dowding and Kenneth A. Shepsle, eds., **Rational Choice Politics**. (London: Sage, 2009).

§ Reprinted in Samuel Kernell and Steven S Smith, eds., **Principles and Practice of American Politics: Classic and Contemporary Readings**, 5th Edition. Washington, D.C.: CQ Press, 2012.

§ Reprinted in Pablo Spiller, ed., **Institutional Law and Economics** (Edward Elgar Publishing, forthcoming).

"Constitutional Regulation of Legislatures: The Political Consequences of Judicial Deference" (with William H. Riker), **Virginia Law Review** 74 (March 1988): 373-401.

§ Reprinted in Joshua Cohen and Archon Fung, eds., **Constitution, Democracy, and State Power: The Institutions of Justice**. Vol. I. (Edward Elgar, Publishing, 1997);

§ Reprinted in Lee Epstein, ed., **The Economics of Judicial Behaviour**. (Edward Elgar, Publishing, 2013.)

"The Industrial Organization of Congress; or Why Legislatures, Like Firms, Are Not Organized as Market" (with William J. Marshall), **Journal of Political Economy** 96 (February 1988): 132-63.

§ Reprinted in Charles K. Rowley, ed., **Public Choice Theory**. Vol II. (Edward Elgar, Publishing, 1993).

§ Reprinted in Oliver E. Williamson and Scott E. Masten, **Transaction Cost Economics**. vol. I. (Edward Elgar, Publishing, 1995).

§ Reprinted in Dennis C. Mueller, **The Economics of Politics**. (Edward Elgar, Publishing, 2001).

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§ Translated into Spanish in Sebastian M. Saiegh and Mariano Tommasi, eds. **La Nueva Economía Política: Racionalidad e Instituciones**. Buenos Aires, EUDEBA, 1998;

§ Translated into Chinese: Ma Jun, Bin, Xiao and Yaping, Liu., eds., **Selected Collection of Transactions Cost Politics** (Beijing: Chinese RenMin University Press, 2008).

§ Reprinted in Pablo T. Spiller, **Institutional Law and Economics**. (Edward Elgar Publishing, forthcoming.)

"Structure and Process, Politics and Policy: Administrative Arrangements and the Political Control of Agencies" (With Mathew D. McCubbins and Roger Noll – McNollgast), **Virginia Law Review** 75 (March 1989): 431-82.

§ Reprinted in Thomas P. Lyon, ed., **Regulation**. (Edward Elgar, Publishing, forthcoming, Spring 2007.)

§ Reprinted in Pablo T. Spiller, **Institutional Law and Economics**. (Edward Elgar Publishing, forthcoming.)

§ Reprinted in B. Guy Peters and John Pierre, eds., **Public Administration**. Sage Publications (forthcoming).

"A Theory of Political Control and Agency Discretion," (with Randall L. Calvert and Mathew D. McCubbins). **American Journal of Political Science** (August 1989) 83: 588-611.

"Regulation and the Theory of Legislative Choice: The Interstate Commerce Act of 1887" (with Thomas W. Gilligan and William J. Marshall), **Journal of Law and Economics** 32 (April 1989): 35-61.

"Floor Behavior in Congress: Committee Power Under the Open Rule," **American Political Science Review** (September 1989) 83: 795-815.

"The Political Institutions of Representative Government: Legislatures." **Journal of Institutional and Theoretical Economics** (December, 1989) 145: 693-703.

§ Reprinted in Eric Furubotn and Rudolph Richter (eds.), **The New Institutional Economics**. (Tübingen: J.C.B. Mokr [Paul Siebeck]; and College Station: Texas A&M Press, 1991).

- "Constitutions and Commitment: The Evolution of Institutions Governing Public Choice in 17th Century England" (with Douglass C. North). **Journal of Economic History**. (December 1989) 49: 803-32.
- § Reprinted in: Torsten Persson and Guido Tabellini, eds., **Monetary and Fiscal Policy**. (Cambridge: M.I.T. Press, 1994);
 - § Reprinted in Oliver E. Williamson and Scott E. Masten, eds., **Transaction Cost Economics**. vol. I. (Edward Elgar Publishing, 1995);
 - § Reprinted in Lee J. Alston, Thrainn Eggertsson, and Douglass C. North, eds., **Empirical Studies in Institutional Change** (New York: Cambridge University Press, 1996);
 - § Translated into Spanish, "Constituciones y compromiso: la evolución de las instituciones públicas gubernamentales en la Inglaterra del siglo XVII," **THÉMIS-Revista de Derecho** (1997) 36: 213-231
 - § Reprinted in Svetozar Pejovich, ed., **The Economics of Property Rights**. (Edward Elgar Publishing, 2001);
 - § Reprinted in Colin D. Scott, ed., **Regulation** (Aldershot, UK: Ashgate Publishing, 2003);
 - § Reprinted in Claude Menard, **The Political Economy of Institutions** vol. 6 (Edward Elgar, Publishing, 2004).
 - § Reprinted in Dewan, Torun, Keith Dowding and Kenneth A. Shepsle, eds., **Rational Choice Politics**. (London: Sage, 2009);
 - § Reprinted in Kevin E. Davis, ed., **Institutions and Economic Performance** (Edward Elgar, Publishing, forthcoming);
 - § Reprinted in Andreas Dahlkvist and Lars Magnusson, eds., **Twentieth-Century Economic History: Critical Concepts in Economics** (New York: Routledge, forthcoming);
 - § Reprinted in Pablo Spiller, ed., **Institutional Law and Economics** (Edward Elgar, Publishing, forthcoming);
 - § Reprinted in Howard R. Vane and Chris Mulhearn, eds., **Gunnar Myrdal, Friedrich A. von Hayek, Robert W. Fogel, Douglass C. North and Amartya K. Sen** (Edward Elgar, Publishing, 2011).
 - § Reprinted with a new introduction, "Limited Government and Liberal Markets: An Introduction to 'Constitutions and Commitment,'" in Paul W. Drake and Mathew D. McCubbins, eds., **The Origins of Liberty: Political and Economic Liberalization in the Modern World** (Princeton: Princeton University Press, 1998).
 - § Translated into Spanish, **Estado, Gestión Pública y el Desarrollo en América Latina**, third volume "Economía Política I" (CAF, Development Bank of Latin America forthcoming).
 - § Excerpted, Angela Anderson, Dan Nuttall and Alan White, **Revolutions in Early Modern and Modern Europe**. Bristol, UK: Pearson Education Ltd.
 - § Reprinted in Daniel Klerman, ed., **Economics of Legal History**. (Edward Elgar, Publishing, 2015);
 - § Comment by Bruce G. Carruthers, "Politics, Popery and Property: A Comment on North and Weingast," **Journal of Economic History** (Sept. 1990) 50: 693-98.
- "The Interstate Commerce Act of 1887: The Economic and Political Consequences of the Short-haul Pricing Constraint" (with Thomas W. Gilligan and William J. Marshall). **Rand Journal of Economics** 11 (Summer 1990): 189-210.
- "Positive and Normative Models of Procedural Rights: An Integrative Approach to Administrative Procedures," Published under "McNollgast" (With Mathew D. McCubbins and Roger Noll) **Journal of Law, Economics, and Organization** (1990) 6: 307-32.

- "The Role of Institutions in the Revival of Trade: The Medieval Law Merchant, Private Judges, and the Champagne Fairs" (with Paul R. Milgrom and Douglass C. North) **Economics and Politics** (March 1990) 2: 1-23.
- § Reprinted in Douglas Irwin, ed., **Trade in the Pre-Modern Period: 1400-1700**. (Edward Elgar, 1996);
 - § Reprinted in Daniel Klein, ed., **Reputation: Studies in the Voluntary Elicitation of Good Conduct**. Ann Arbor: University of Michigan Press (1997);
 - § Reprinted in Claude Menard, **The Political Economy of Institutions** vol. 6 (Edward Elgar, Publishing, 2004);
 - § Reprinted in Lisa Martin, **International Institutions in the New Global Economy** (Edward Elgar Publishing, 2005);
 - § Reprinted in Edward Stringham, **Anarchy and the Law** (New Brunswick, New Jersey: Transaction Publishers, 2006);
 - § Reprinted in Eric A. Posner, **Social Norms, Nonlegal Sanctions, and the Law** (Edward Elgar Publishing, 2007.)
- "The Political Control of Bureaucracies Under Asymmetric Information" (with Jeffrey Banks). **American Journal of Political Science** (1992) 36: 509-24.
- "The Theory of Interpretive Canons and Legislative Behavior: A Comment on Rodriguez," To be published under "McNollgast" (with Mathew D. McCubbins and Roger G. Noll), **International Review of Law and Economics** (1992) 12: 235-38.
- "A Positive Theory of Statutory Interpretation" (with John A. Ferejohn), **International Review of Law and Economics** (1992) 12: 263-79.
- § Reprinted in Richard A. Posner and Francesco Parisi, eds., **Law and Economics**. (Edward Elgar, Publishing);
 - § Reprinted in Lee Epstein, ed., **The Economics of Judicial Behaviour**. (Edward Elgar, Publishing, 2013.)
- "Introduction to the Law and Economics of Constitutions and Public Law," and editor (with Robert Cooter), "The Law and Economics of Constitutions and Public Law," special issue of **International Review of Law and Economics** (1992) 12: 123-24.
- "Limitations of Statutes: Strategic Statutory Interpretation," (with John A. Ferejohn), **Georgetown Law Review** (1992) 80: 565-82.
- "Stacking the Senate, Changing the Nation: Republican Rotten Boroughs and American Political Development in the Late 19th Century" (with Charles Stewart III), **Studies in American Political Development** (1992) 6: 223-71.

"Positive Canons: The Role of Legislative Bargains in Statutory Interpretation," Published under "McNollgast" (With Mathew D. McCubbins and Roger Noll), **Georgetown Law Review** (1992) 80: 705-42.

§ Reprinted in Singer, Norman J., ed., **Sutherland on Statutes and Statutory Construction**. 5th ed. (Deerfield, Illinois: Clark-Boardman-Callaghan. 1992) Volume 3A, pp. 739-762.

§ Reprinted in Singer, Norman J., ed., **Sutherland on Statutes and Statutory Construction**. 6th ed. (St. Paul, MN: West Group, 2000).

§ Reprinted in **Sutherland on Statutes and Statutory Construction**. 7th ed. (St. Paul, MN: West Group).

"Constitutions as Governance Structures: The Political Foundations of Secure Markets," **Journal of Institutional and Theoretical Economics**. (1993) 149: 286-311.

§ Reprinted in Stefan Voight, ed., **Constitutional Political Economy** (Edward Elgar Publishing, forthcoming.)

§ Reprinted in Claude Menard, **The Political Economy of Institutions** vol. 6 (Edward Elgar, Publishing, 2004);

§ Reprinted in Rudolf Richter and Eric Furubotn, eds., **The New Institutional Economics of Markets**. (Edward Elgar Publishing, forthcoming.)

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§ Comment by Peter Bernholz, **Journal of Institutional and Theoretical Economics**. (1993) 149: 312-20.

"Legislative Intent: The Use of Positive Political Theory in Statutory Interpretation," Published under "McNollgast" (With Mathew D. McCubbins and Roger Noll), **Law and Contemporary Problems** (1994) 57: 3-37.

§ Reprinted in Daniel A. Farber, ed., **Public Choice and Public Law**. (Edward Elgar Publishing, 2007);

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"Positive Theories of Congressional Institutions" (with Kenneth A. Shepsle), **Legislative Studies Quarterly** (May, 1994) 19: 149-79.

§ Reprinted in Kenneth A. Shepsle and Barry R. Weingast. **Positive Theories of Congressional Institutions** University of Michigan Press. 1995.

"Reflections on Distributive Politics and Universalism," **Political Research Quarterly** (June 1994) 47: 319-28.

"Commitment, Coordination, and Enforcement: The Case of the Merchant Guilds" (with Avner Greif and Paul Milgrom). **Journal of Political Economy** (1994) 102: 745-76.

- § Reprinted in Jack Knight and Itai Sened, eds. **Explaining Social Institutions**. (Ann Arbor: University of Michigan Press (1995);
- § Reprinted in Elias L Khalil, ed., **Trust** (Edward Elgar Publishing, 2003);
- § Reprinted in Claude Menard, **The International Library of the New Institutional Economics** vol 3. (Edward Elgar, Publishing, 2004);
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- § Reprinted in Lisa Bernstein and Francesco Parisi, eds., **Customary Law**. (Edward Elgar Publishing, forthcoming.)
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"Politics and the Courts: A Positive Theory of Judicial Doctrine and the Rule of Law," Published under "McNollgast" (With Mathew D. McCubbins and Roger Noll), **Southern California Law Journal** (September 1995) 68: 1631-83.

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"A Rational Choice Perspective on the Role of Ideas: Shared Belief Systems and State Sovereignty in International Cooperation," **Politics and Society** (December, 1995) 23: 449-64.

"Federalism, Chinese Style: The Political Basis for Economic Success in China" (with Gabriella Montinola and Yingyi Qian), **World Politics** (October, 1995) 48: 50-81.

- § Reprinted in Chun Lin, ed., **China**. Vol. II (Aldershot, UK: Ashgate Publishing Ltd., 2000);
- § Reprinted in Etisham Ahmad and Giorgio Brosio, eds., **Effective Federalism and Local Finance** (Edward Elgar, Publishing).
- § Reprinted in Anthony Saich, ed., **The Politics and Governance of China** (Edward Elgar, Publishing, forthcoming).

- "The Economic Role of Political Institutions: Market-Preserving Federalism and Economic Development." **Journal of Law, Economics, and Organization** (Spring 1995) 11: 1-31.
- § Reprinted/ translated into Spanish as "El papel económico de las instituciones políticas: el federalismo de mercado y el desarrollo económico, in Pablo Beramendi and Ramón Maíz, eds., **Federalismo y Neoinstitucionalismo, Zona Abierta** 104-105 (2003).
 - § Reprinted in Peter J. Boettke, ed., **The Legacy of Friedrich von Hayek** (Edward Elgar Publishing, 2004);
 - § Reprinted in Stefan Voigt, **Constitutional Political Economy** (Edward Elgar Publishing, 2004);
 - § Reprinted in Claude Menard, **The International Library of the New Institutional Economics** (Edward Elgar, Publishing, 2005);
 - § Reprinted in Bruce H. Kobayashi and Larry E. Ribstein, eds., **Economics of Federalism** (Edward Elgar, Publishing, 2007);
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 - § Reprinted in Dewan, Torun, Keith Dowding and Kenneth A. Shepsle, eds., **Rational Choice Politics**. (London: Sage, 2009);
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 - § Reprinted in John Kincaid, ed., **Federalism**. (SAGE Publications, 2010);
 - § Reprinted in Peter J. Boettke and Peter T. Leeson, eds., **The Economic Role of the State** (Edward Elgar, Publishing, 2015).
- "China's Transition to Markets: Market-Preserving Federalism, Chinese Style" (with Yingyi Qian). **Journal of Policy Reform**. (1996) 1: 149-86.
- § Translated into Chinese, **Economic Highlights** (serialized, beginning August 18, 1995).
- "A Comparative Theory of Federalism: The Case of India" (with Sunita Parikh) **Virginia Law Review** (October 1997) 83: 1593-1615.
- "The Origins of American Trade Policy: Rules, Coalitions and International Politics," (with Michael Bailey and Judith Goldstein) **World Politics** (April 1997) 49: 309-38.
- § Reprinted in Jeffry Frieden, David Lake, and Lawrence Broz, eds., **International Political Economy**, 5th Edition (New York: W.W. Norton).
- "The Political Foundations of Democracy and the Rule of Law." **American Political Science Review** (June 1997) 91: 245-63.
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 - § Translated into Chinese, **Comparative Studies**. 50 (December 2010).
- "Federalism as a Commitment to Preserving Market Incentives" (with Yingyi Qian), **Journal of Economic Perspectives** (Fall 1997) 11: 83-92.

- “From Federalism, Chinese Style, to Privatization, Chinese Style” (with Yuanzheng Cao and Yingyi Qian) **Economics of Transition** (1999) vol 7 no. 1: 103-31.
§ Excerpted in part, “The Sale Goes On — Transforming Small Enterprises in China,” **Transition** (February 1998) 9: 5-7.
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“A Framework for Interpreting Recorded Human History” (with Douglass C. North and John Joseph Wallis), NBER Working Paper, December 2006.

RESTING PAPERS (PAPERS I WISH I'D PUBLISHED!)¹

“Tragic Brilliance: Equilibrium Party Hegemony in Mexico” (with Alberto Diaz-Cayeros and Beatriz Magaloni) Working Paper, Hoover Institution, February 2006.

“The Fugitive Slave Act of 1850: Symbolic Gesture or Rational Guarantee?” (With Jeffrey Rogers Hummel), Working Paper, Hoover Institution, January 2006.

“An Equilibrium Alternative to the Study of Culture” (With David D. Laitin). Working Paper, Hoover Institution, Stanford University, Sept. 2004.

“The Performance and Stability of Federalism, Mexican Style: An Institutionalist Perspective,” Paper presented at the Mercatus Center/ USAID Forum, “Institutional Barriers to Economic Change: Cases Considered,” Washington DC, June 24, 2003.

“Partisan Politics and the Structure and Stability of Federalism, Indian Style” (with Sunita A. Parikh), Working Paper, Center for Research on Economic Development and Policy Reform, Stanford University, June 2003.

“Packing the Courts: The Politics of Creating Federal Judgeships” (by McNollgast — with Mathew D. McCubbins and Roger G. Noll). Working paper, Hoover Institution, August 2002.

“Ideas, Interests, and Credible Commitments in the American Revolution” (with Jack Rakove and Andrew R. Rutten), Working Paper, Hoover Institution, Stanford University, August 2004.

“Democratization and the Economy in Mexico: Equilibrium (PRI) Hegemony and its Demise,” (with Alberto Diaz-Cayeros, Beatriz Magaloni) Working Paper, Hoover Institution, Stanford University, May 2005.

“Vicious Cycles: Endogenous Political Extremism and Political Violence,” (with Rui J.P. de Figueiredo, Jr.). Working Paper, Hoover Institution, Stanford University, March 2001.

“Pathologies of Federalism, Russian Style: Political Institutions and Economic Transition” (with Rui J. P. de Figueiredo, Jr.) Paper prepared for delivery at the Conference, “Fiscal Federalism in the Russian Federation: Problems and Prospects for Reform,” Higher School of Economics, Moscow, Russia, January 29-20, 2001. Revised, Mar 2002.

¹ *Working papers* carry a pretense that they may yet be worked on, revised, and published. In contrast, *resting papers* have sat for a sufficient period that this pretense is no longer credible. These papers are thus more or less permanently at rest.

- "How the West is Different" (with Russell Berman and Stephen Haber). Hoover Institution, 2003.
- "Strategically Speaking: The Three Strategies of Going Public" (with Joshua D. Clinton, David E. Lewis, and Stephanie K. Riegg). Paper prepared for delivery at the Annual Meetings of the American Political Science Association, Atlanta Georgia, Sept. 1999.
- "Self-Enforcing Democracy: Its Maintenance and Collapse in Antebellum America," Working Paper, Hoover Institution, Stanford University, September 1995.
- "Political Impediments to Economic Reform." Working Paper, Hoover Institution, Stanford University, March 1994.
- "Political Foundations of Antebellum American Economic Growth." Working Paper, Hoover Institution, Stanford University, September, 1993.
- "Federalism and the Political Commitment to Sustain Markets." Working Paper, Hoover Institution, Stanford University, July 1992.
- "Rational Choice and Freudian Accounts of Cooperation" (with Debra Friedman). Working Paper, Hoover Institution, Stanford University, April 1993.
- "Legislative Party Coherence and Cooperation," (with Randy Calvert) paper delivered at the annual meetings of the American Political Science Association, Washington, DC, September 1993.
- "Private Politics: Conflict Resolution and Control by Voting in Corporate Contexts," (with David Brown). Working Paper, Hoover Institution, Stanford University, April 1992.
- "Writing Scripts for the Floor: Restrictive Rules in the Post-Reform House." Working Paper, Hoover Institution, Stanford University, September 1989.
- "Structure and Strategy: The Two Faces of Agenda Power" (with Kenneth A. Shepsle). Working Paper, Center for the Study of American Business, Washington University, St. Louis, September 1981.

Honors and Awards, AY14-15

- § Annual CHES Lecture, Center for Historical Enquiry in the Social Sciences, “Deriving ‘General Principles’ in Adam Smith: The Ubiquity of Equilibrium and Comparative Statics Analysis throughout His Works,” Yale University, September 25, 2014.
- § The Inaugural Elinor and Vincent Ostrom Memorial Lecture, Barry R. Weingast, “The Violence Trap: Why Democracy and Rule of Law Fail in the Developing World.” Elinor and Vincent Ostrom Workshop, University of Indiana, Bloomington, February 11, 2015.
- § Sir Douglass Myers Distinguished Visiting Professor (April 2015); and Dean’s Distinguished Speakers Lecture Series, “The Violence Trap: The Failure of Democracy and Rule of Law in the Developing World.” Auckland University, Auckland, New Zealand, April 23, 2015.

Conference Participation, papers given at seminars

- § “Building Legal Order in Ancient Athens” (with Federica Carugati and Gillian K. Hadfield), Oxford Conference on Private Orderings, Oxford University Press, Sept 1, 2014.
- § “Microfoundations of the Rule of Law” (with Gillian K. Hadfield), World Justice Project’s “Rule of Law Research Consortium Conference” University of Chicago, October 31 2014.0
- § “Presidential Signing Statements: Implications for Accountability and Expertise” (with Daniel Rodriguez and Edward Stiglitz), Rule of Law” Discussion Group, Hoover Institution, DC, Nov 22, 2014.
- § “Capitalism, Democracy, and Countermajoritarian Institutions,” Conference on the “Ends of Capitalism,” NYU, February 24-26, 2015.
- § “Executive Opportunism, Presidential Signing Statements, and the Separation of Powers (with Daniel Rodriguez and Edward Stiglitz),” Conference on Executive Discretion, March 5-6, 2015.
- § “Ending a Century of Violent Labor Conflict: A New Perspective on Unionization and the National Labor Relations Act.” Treasury Department, Wellington, New Zealand. April 21, 2015.
- § “The Centrality of Procedure in U.S. Regulatory Policymaking,” MOTU Public Policy Seminar, Wellington, New Zealand, April 22, 2015.
- § "Deriving "General Principles" in Adam Smith: The Ubiquity of Equilibrium and Comparative Statics Analysis throughout His Works." Harvard Seminar on Political Economy, April 30, 2015.
- § “The Positive Theory of Public Goods Provision” (with Kenneth A. Shepsle), Princeton University Conference on Political Economy, May 1, 2015.

§ “Magna Carta: Implications of the What-is-Law Framework” (with Gillian K. Hadfield),” Conference on the “Foundation of Liberty,” Hoover Institution, June 25-26, 2015.

§ “Deriving “General Principles” in Adam Smith: The Ubiquity of Equilibrium and Comparative Statics Analysis throughout His Works.” Presented at the Annual Meetings of the International Adam Smith Society, Glasgow University, July 21, 2015.