

RESEARCH PARTICIPATION AGREEMENT

BLANKET APPROVAL ROUTING SHEET, # _____

I. Principal Investigator/Responsible Faculty Member: _____

Department: _____

Facility/Center/Resource: _____

Services Provided: _____

a) How will Stanford faculty, staff, and students be involved in this effort? _____

As required by the Policy on Research Participation Agreements ("RPA Policy"), approved by the Faculty Senate on January 25, 1996, all of the following criteria apply:

1. the facility named above is unique and is not easily available locally;
2. all projects relate to Stanford's academic mission of education and research;
3. no projects involve production or manufacturing for sale;
4. use of the facility will not interfere with work for Stanford users or the education of Stanford students
5. all activities comply with the terms of Stanford's Secrecy in Research Policy;
6. the sum of the activities constitute only an incidental amount of the total activity within the facility in any given year; and
7. external sources which funded the building, instrumentation or capital equipment involved have not imposed restrictions against the proposed use.

b) I certify that the criteria 1-6 set forth above are met and I agree to be responsible for the activities performed under this blanket approval per the terms of the RPA Policy. Any issues related to conflict of interest will be disclosed to the School Dean prior to the approval of any individual agreement under this blanket approval.

PI signature: _____ Date: _____

c) I have reviewed and approve this proposal. Charges will be made in accordance with the Research Participation Implementation Guidelines ("Accounting Treatment").

Dept. Administrator signature: _____ Date: _____

II. Department Chair and Dean's Approvals

I grant blanket approval for Research Participation Agreements for the class of services described above to be undertaken at the facility named above, per the terms of the RPA policy. This approval is effective for one year from the last dated signature or for ten (10) signed agreements under this blanket approval, whichever comes first.

Dept. Chair signature: _____ Date: _____

School Dean signature: _____ Date: _____

III. Risk Management Approval

_____ No special provisions regarding issues of risk, liability, and insurance are necessary.

_____ I recommend inclusion of specific provisions (as attached) in the Research Participation Agreements submitted under this blanket approval.

Director, Risk Management: _____ Date: _____

SEE REVERSE.

IV. Research Administration Approval

a) Research Administration Policy and Compliance

I have examined the Research Participation Agreement and its accounting arrangements and concur that it is in compliance with the University's policies and procedures relating to indirect cost and overhead issues, including service center policy and procedures and space inventory instructions, subject to the following conditions. If none, I will indicate here:

Director, RAPC: _____ Date: _____

b) Property Management Office

I have examined the Research Participation Agreement and its accounting arrangements and concur that it is in compliance with the University's policies and procedures relating to externally-funded equipment. If none, I will indicate here:

Director, PMO: _____ Date: _____

V. University Accounting Officer's Approval

I have examined the Research Participation Agreement and its accounting arrangements and concur that it is in compliance with the tax and/or accounting provisions in the RPA Policy, including any restrictions imposed by external sources which funded the facility, subject to the following conditions. If none, I will indicate here:

University Accounting Officer: _____ Date: _____

VI. Office of Sponsored Research Approval

I have examined the Research Participation Agreement and its accounting arrangements and have found restrictions on its implementation by existing sponsored agreements. The agreement will be implemented under the following conditions. If none, I will indicate here:

Contract Officer: _____ Date: _____

(ORA, 11/28/01)