



What's New in Research Administration

How Changes in Financial Conflict of Interest (FCOI) Affect You

By Katherine Ho

May's ABCs in Research meeting discussed upcoming changes in FCOI regulations. Here's a recap: **Recent COI Developments.** Revised **SU policies** on Conflict of Commitment and Conflict of Interest will be promulgated August 24, 2012. The new system for annual and transactional reporting of potential conflicts is Outside Professional Activities Certification System (OPACS). Also, **FCOI regulations for PHS agencies** regarding what must be disclosed, when it must be disclosed, what needs to be reported, and required COI training have changed.

Proposals. Participating faculty must disclose financial interests that reasonably appear related to their institutional responsibilities before **any** proposal is submitted by completing a PDRF (for investigators). **For PHS-funded projects**, subrecipients must complete a Subrecipient Commitment Form (F33) before submission, since the prime awardee is responsible for reporting FCOIs associated with a sponsored project.

For PHS-Funded Projects Only ...

Awards. The Conflict of Interest Review Program or Conflict of Interest Committee must review disclosed financial interests to determine whether a "Financial Conflict of Interest," as defined by the new regulations, exists; if it does, it must be eliminated or managed, and the management plan must be reported to the PHS agency before an award can be accepted (or subaward issued) and before funds can be expended.

Sponsored/reimbursed travel. Investigators must disclose all reimbursed or sponsored travel expenses. Exceptions are payments by government agencies and academic institutions. An SU system is in development for investigators to disclose this information.

Public disclosure. Information about FCOIs must be made available to the public.

Training. Participating faculty must complete COI training before a new award or renewal is accepted as of August 24, 2012.

For More Information, see SU's [Managing Conflicts of Interest](#) page or the [ABC Presentation](#).

What Does "Openness" in Research Mean, Anyway?

By Mich Pane

SU's Openness in Research Policy (RPH 2.6) expresses SU's commitment to ensuring its research processes, results, and data are freely accessible by all interested parties. What does this mean for sponsored projects? Many things! When reviewing a grant or contract, OSR ensures that an award does not contain terms or conditions that go against this guiding principle. Issues can pop up in sponsored agreements in various ways. For example, SU cannot accept awards that

- Restrict SU researchers from publishing or presenting their research results;
- Require sponsor approval before we can disclose our results (what if they say "no"???)
- Require using confidential data in our study (that then can't be publicly disclosed);
- Restrict disclosing the existence of the award to outside entities; or
- Restrict participation by non-US citizens or give a sponsor the right to tell SU who can or cannot participate in a project.

Bottom line: SU cannot accept awards in which a sponsoring entity has total control over when and how researchers use or disclose research results. Students and faculty must be able to present or publish their results freely.

Want more information on this topic? Check out RPH 2.6, or the Openness in Research checklist, at <http://dor.stanford.edu/C-Res/ITARlist.pdf>

OFFICE OF SPONSORED RESEARCH

340 Panama Street
Godzilla Modular
Stanford, CA 94305
(650) 725-2525
www.stanford.edu/osr

SUMMER 2012

Of Special Interest:

- Financial conflict of interest – what you need to know.
- Openness in research – what does it mean and why is it important?
- Meet Your Peeps in the OSR Spotlight!
- **Game Time!**
\$5 Coupa Café gift cards to the first 5 winning answers! Let your animal instinct be your guide... Email your winning answers to aileent@stanford.edu.

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April through June 2012:

- ✓ New accounts opened = 2,035
- ✓ Proposals submitted = 364
- ✓ Awards processed = 240
- ✓ Number of closeouts = 333

OSR Stats

OSR Bulletin Board

Congrats to Esther Jung, newly promoted Research Accountant!

Welcome Hannah Auyeung, Student Intern and Zack Pahulu, temp admin-associate!

Welcome Florence Lee, research accountant, and Tiffany Hayes, temp- accounting associate!

Congrats to Libby Vincent and Irene Aranya, newly promoted Contract and Grant Associates!

Welcome Pegah Parsi and Natalie Muzzio, Contract and Grant Officers!

Congrats to Angela Yao, newly promoted Senior Research Accountant!



The only reason people get lost in thought is because it's unfamiliar territory. - Paul Fix

If you think education is expensive, try ignorance. - Derek Bok

It's only a game until you lose. - Unknown

Against the assault of laughter nothing can stand. - Mark Twain

Take my advice, I'm not using it. - Carl X.

**COMING ATTRACTIONS
Upcoming OSR Events**

OSR Brown Bags! Monthly, Every 2nd Tuesday, 11:30am – 1:00pm
 – Aug. 14, Durand 450
 – Sept. 11, location TBD

OSR Town Hall meeting! But enough about us. What do YOU think of us? Hear the results of our campus-wide survey!
 Friday, August 3, 10:30am-12pm, LKSC 240

OSR SPOTLIGHT



Meet RAM DIMBA,
 Post-Award Accounting Associate
 Want to know something about Stanford?
 Just ask Ram; he's been here almost 30 years!

Ram's long tenure began in 1982 as an accounts payable clerk in the Controller's Office, moving into roles as accountant and systems analyst over time. In 2006, he came to OSR as an accounting associate, where he sets up awards for the School of Engineering. Ram is from Bangalore, India – the "Silicon Valley of India" – and enjoys biking, playing India's national pass time (cricket, of course), and spending time with his kids. Mark your calendar to wish Ram a very happy 30th anniversary at Stanford on December 31, 2012!



Yo quiero the answer!

Yo quiero the prize!

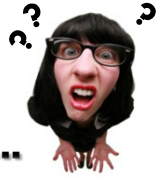
Game Time!

NAME THE ANIMAL versions of these 5 things for a Coupa Café gift card! E.g.: To run away is to flee (flea).

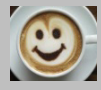
1. Hair-control foam
2. Tellin' tall tales
3. A dull person
4. Park illegally and you can get...
5. These make up a chain

The answers will be sent to all players after we have our 5 winners!

**Confused about the Pre-Award process?
 DON'T FORGET ABOUT...**



Get the facts from Senior CGO Catherine Boxwell! Pull up a chair and **have a latte on us!**



When: Wednesdays, 9:30 to 10:30 am
Where: Y2E2 Red Atrium

LET YOUR VOICE BE HEARD!
 We want to know how to better serve you.

[CLICK HERE](#) to take a brief survey about your experience with OSR.



Meet NGUYET SIN ("Nu-ette")
 Associate Director, Post-Award Ops
 Nguyet's team performs financial reporting, closeouts, and compliance oversight.

Nguyet moved to California from Vietnam in 1988 and came to Stanford in 1996 as a Chemistry administrator. In 1997, she moved to Grant and Contract Accounting (now OSR) as an accounting associate. The rest is history! Outside work, her two daughters keep her dance card full. In fact, her favorite activity is spending time with her family. Nguyet has a wealth of knowledge about Post-Award functions, and she loves helping her customers, so give her a call if you're feeling confused, uninformed, or just inquisitive. No question is too obscure!

MEET YOUR PEEPS!

We're movin' on up! I know, I know. After telling you in our inaugural newsletter about OSR's expansion into the Bambi modular and our plans to have a big open house to celebrate, I have to hedge on that.

As you may have heard, OSR will join our Business Affairs colleagues at Porter Drive this Fall. This news is both exciting and sad for OSR. The exciting part is that the move creates logistical synergies with Financial Management Services and Administrative Services, two of our key partners already at Porter, and with RMG further down the line as they move to Porter next Spring. Having everyone together will create process efficiencies from which the entire Research community will benefit.

It is also a sad milestone to leave campus and all of our wonderful customers and friends. However, in order to keep our connection to campus, OSR will have an on-campus office (big thanks to Sara Bible and the Dean of Research Office!) where we will hold regular office hours with contract officers, accountants, and SeRA staff, similar to what Catherine Boxwell is doing with **OSR On The Move in Y2E2**. This will help us maintain the sense of community so important to working in a cohesive, productive, and enjoyable way with our customers. And, as everyone knows, I MUST have my Coupa fix at least once a week until I can convince them to set up a barista in my office!

I'll keep you posted when we know the exact move date. Until then and as always, my door is open for questions or concerns.

The Last Word
 A note from Russell Brewer
 Associate Vice President, OSR



[CLICK HERE](#) TO CHECK OUT THE LATEST OSR ORG CHART!