A. SYSTEM DESCRIPTION

1.   Enter the full name and acronym for the system, project, application and/or database. Integrated Data Retrieval System, IDRS

2. Is this a new system? No

    2a. If **no**, is there a PIA for this system?   Yes

        If **yes**, enter the full name, acronym, PIA ID Number and milestone of the most recent PIA.

        Integrated Data Retrieval System, IDRS, 3272

        Next, enter the **date** of the most recent PIA.   03/13/2018

        Indicate which of the following changes occurred to require this update (check all that apply).

No	Addition of PII
No	Conversions
No	Anonymous to Non-Anonymous
No	Significant System Management Changes
No	Significant Merging with Another System
No	New Access by IRS employees or Members of the Public
No	Addition of Commercial Data / Sources
No	New Interagency Use
No	Internal Flow or Collection

        Were there other system changes not listed above?   No

3. Check the current ELC (Enterprise Life Cycle) Milestones (select all that apply)

No	Vision & Strategy/Milestone 0
No	Project Initiation/Milestone 1
No	Domain Architecture/Milestone 2
No	Preliminary Design/Milestone 3
No	Detailed Design/Milestone 4A
Yes	System Development/Milestone 4B
No	System Deployment/Milestone 5
Yes	Operations & Maintenance (i.e., system is currently operational)

4. Is this a Federal Information Security Management Act (FISMA) reportable system?   Yes

A.1 General Business Purpose

5. What is the general business purpose of this system? Provide a clear, concise description of the system, application or database, the reason for the system, and the benefits to the IRS to use the information, and how the information will be used.

The Integrated Data Retrieval System (IDRS) is a mission critical system consisting of databases and operating programs that support Internal Revenue Service (IRS) employees working active tax cases within each business function across the entire IRS. This system manages data that has been retrieved from the Tax Master Files allowing IRS employees to take specific actions on taxpayer account issues, track status and post transaction updates back to the Master Files. Actions taken via IDRS include notice issuance, installment agreement processing, offers in compromise, adjustment processing, penalty and interest computations and explanations, credit and debit transfers within an account or other related accounts and research of taxpayer accounts for problem resolution of taxpayer inquiries. These updates are done in both a batch process and through online interactive real-time programs commonly known in the IRS as Command Codes. IDRS provides a systemic review of case status and notice issuance based on case criteria, alleviating staffing needs and providing consistency in case control.

B. PII DETAIL

6. Does the system use, collect, receive, display, store, maintain, or disseminate IR Code 6103 taxpayer information; or any type of Sensitive but Unclassified (SBU) or Personally Identifiable Information (PII)? Yes

6a. If **yes**, does the system use, collect, receive, display, store, maintain, or disseminate Social Security Numbers (SSN s) or tax identification numbers (i.e. last 4 digits, etc.)? Yes

If **yes**, check who the SSN (or tax identification number) is collected on.

Yes   On Primary   Yes   On Spouse   Yes   On Dependent

If **yes**, check all types SSN s (or tax identification numbers) that apply to this system:

Yes   Social Security Number (SSN)
Yes   Employer Identification Number (EIN)
Yes   Individual Taxpayer Identification Number (ITIN)
No   Taxpayer Identification Number for Pending U.S. Adoptions (ATIN)
Yes   Practitioner Tax Identification Number (PTIN)

Describe the planned mitigation strategy and forecasted implementation date to mitigate or eliminate the use of SSN s (or tax identification numbers).

The Office of Management and Budget memorandum M-07-16 requires that federal agencies develop a mitigation or elimination strategy for systems that use SSNs. An exception to that requirement is when the SSN is uniquely needed to identify a user's record. The IDRS system requires the use of SSNs because no other identifier can be used to uniquely identify a taxpayer. SSNs are permissible from the IRC 6109, which requires individual taxpayers to include their SSNs on their income tax returns.

6b. Does this system contain other (non-SSN) PII that it uses, collects, receives, displays, stores, maintains, or disseminates? (i.e. Names, addresses, etc.) Yes

If **yes**, specify the information.

On Primary		On Spouse	On Dependent	Selected	PII Element
Yes	Name	Yes	Yes	No
Yes	Mailing address	No	No	No
Yes	Phone Numbers	No	No	No
No	E-mail Address	No	No	No
Yes	Date of Birth	Yes	Yes	Yes
Yes	Place of Birth	No	No	No
No	SEID	No	No	No
Yes	Mother's Maiden Name	No	No	No
No	Protection Personal Identification Numbers (IP PIN)	No	No	No
No	Internet Protocol Address (IP Address)	No	No	No
No	Criminal History	No	No	No
No	Medical Information	No	No	No
No	Certificate or License Numbers	No	No	No
No	Vehicle Identifiers	No	No	No
No	Passport Number	No	No	No
No	Alien (A-) Number	No	No	No
Yes	Financial Account Numbers	No	No	No
No	Photographic Identifiers	No	No	No
No	Biometric Identifiers	No	No	No
No	Employment (HR) Information	No	No	No
Yes	Tax Account Information	Yes	Yes	Yes

6c. Does this system contain SBU information that is not PII, it uses, collects, receives, displays, stores, maintains, or disseminates?   Yes

If **yes**, select the types of SBU

Selected	SBU Name	SBU Description
No	Agency Sensitive Information	Information which if improperly used or disclosed could adversely affect the ability of the agency to accomplish its mission
No	Procurement sensitive data	Contract proposals, bids, etc.
Yes	Official Use Only (OUO) or Limited Official Use (LOU)	Information designated as OUO or LOU is information that: is exempt under one of the statutory Freedom of Information Act exemptions; is prohibited by other laws or regulations; would significantly impede the agency in carrying out a responsibility or function; or would constitute an unwarranted invasion of privacy.
No	Proprietary data	Business information that does not belong to the IRS
No	Protected Information	Information which if modified, destroyed or disclosed in an unauthorized manner could cause: loss of life, loss of property or funds by unlawful means, violation of personal privacy or civil rights, gaining of an unfair procurement advantage by contractors bidding on government contracts, or disclosure of proprietary information entrusted to the Government
No	Physical Security Information	Security information containing details of serious weaknesses and vulnerabilities associated with specific systems and facilities
Yes	Criminal Investigation Information	Information concerning IRS criminal investigations or the agents conducting the investigations.

6d. Are there other types of SBU/PII used in the system?   <u>No</u>

6e. Cite the authority for collecting SBU/PII (including SSN if relevant)

Yes	PII for federal tax administration is generally Internal Revenue Code Sections 6001, 6011, 6012e(a)
Yes	SSN for tax returns and return information is Internal Revenue Code Section 6109
No	SSN for personnel administration (IRS Employees) is 5 USC & Executive Order 9397
No	PII for personnel administration is 5 USC
No	PII about individuals for Bank Secrecy Act compliance 31 USC
No	Information by CI for certain money laundering cases may be 18 USC

6f. Has the authority been verified with the system owner?   <u>Yes</u>

B.1 BUSINESS NEEDS AND ACCURACY

7. Explain the detailed business needs and uses for the SBU/PII, and how the SBU/PII is limited only to that which is relevant and necessary to meet the mission requirements of the system. If SSNs (or tax identification numbers) are used, explicitly explain why use of SSNs meets this criteria. Be specific.

   <u>The IDRS system requires the use of SSNs because no other identifier can be used to uniquely identify a taxpayer. SSNs are permissible from the IRC 6109, which requires individual taxpayers to include their SSNs on their income tax returns.</u>

8. How is the SBU/PII verified for accuracy, timeliness, and completeness? Explain how steps are taken to ensure that all information maintained by the system that is used by IRS to make any adverse determination about an individual's rights, benefits, and/or privileges is maintained with such accuracy, relevance, timeliness, and completeness as is reasonably necessary to assure fairness to the individual in the determination

   <u>Input and posting validation; end user procedures per Internal Revenue Manuals. IDRS receives SBU/PII from various systems which have their own verification process for data accuracy, timeliness and completeness. For example, Generalized Mainline Framework (GMF) validates and perfects data from a variety of input sources - tax returns, remittances, information returns, and adjustments - and update transactions are controlled, validated, and corrected.</u>

C. PRIVACY ACT AND SYSTEM OF RECORDS

9. Are 10 or more records containing SBU/PII maintained, stored, and/or transmitted by or through this system?   <u>Yes</u>

   9a. If **yes**, are records in the system retrieved by any personal identifier (e.g., name, SSN, Photograph, IP Address) for an individual?   <u>Yes</u>

      If **yes**, is there a System of Records Notice(s) or SORNs that addresses the PII records in this system?   <u>Yes</u>

If **yes**, enter the SORN number(s) and the complete the name of the SORN.

SORNS Number	SORNS Name
IRS 22.060	Automated Non-Master File
IRS 24.030	Customer Account Data Engine Individual Master File
IRS 24.046	Customer Account Data Engine Business Master File
IRS 34.037	Audit Trail and Security Records System
IRS 42.008	Audit Information Management System
IRS 22.061	Individual Return Master File
IRS 42.002	Excise Compliance Programs

If **yes**, does the System of Records Notice(s) (SORN) published in the Federal Register adequately describe the records as required by the Privacy Act?   Yes

D. RESPONSIBLE PARTIES

10. Identify the individuals for the following system roles. ##Official Use Only

E. INCOMING PII INTERFACES

11. Does the system receive SBU/PII from other system or agencies?   Yes

11a. If **yes**, does the system receive SBU/PII from IRS files and databases?   Yes

If **yes**, enter the files and databases.

System Name	Current PCLIA	Approval Date	SA&A?	Authorization Date
Taxpayer Information File (TIF)	No		No	
Treasury Inspector General for Tax Administration (TIGTA)	No		No	
Tax Master File	Yes	07/12/2011	Yes	12/09/2011
Service Center Control File Processing(SCCF)	No		No	
Adoption Taxpayer Identification Number(ATIN)	Yes	08/11/2015	No	
Custodial Detail Database(CDDB)	No		No	
Microfilm Replacement System(MRS)	Yes	09/06/2017	Yes	02/17/2011
Corporate Files Online(CFOL)	No		No	
National Account Profile(NAP)	Yes	03/21/2017	No	
Name Search Facility(NSF)	Yes	08/01/2017	No	
Electronic Federal Payment Posting System(EFPPS)	Yes	05/04/2018	Yes	10/14/2017
Standardized IDRS Access(SIA) - Tier II	Yes	02/27/2018	No	

System				
Inventory Delivery System (IDS)	Yes	12/05/2016	Yes	11/23/2015
Automated Liens System (ALS)	Yes	09/30/2013	No	
Modernized E-File (MeF)	Yes	02/23/2016	Yes	11/19/2015
Automated Non-Master File (ANMF)	Yes	02/14/2018	Yes	08/28/2017
Automated Underreporter (AUR)	Yes	06/06/2016	Yes	12/28/2015
Error Resolution System (ERS)	Yes	12/18/2017	Yes	02/14/2012
Generalized Mainline Framework (GMF)	Yes	10/06/2017	Yes	09/13/2012
Generalized Unpostable Framework (GUF)	Yes	11/28/2017	Yes	07/20/2012
Federal Tax Deposit System (FTD)	No		No	
Audit Information Management System Reference(AIMS-R)	Yes	12/15/2015	No	
Remittance Processing System Pre-mainline(RPS-PM)	Yes	07/15/2015	Yes	11/14/2013
Automated 6020 (b) Substitute for returns (6020b)	Yes	07/21/2015	Yes	04/22/2013
Automated Offers In Compromise (AOIC)	Yes	02/28/2018	Yes	09/10/2007
Integrated Customer Commutation Environment (ICCE)	No		No	
Report Generation Software (RGS)	Yes	03/29/2018	Yes	10/03/2017
Generalized IDRS Interface (GII)	No		No	
Branded Prescription Drugs	Yes	08/17/2015	Yes	08/13/2014
Business Master File	Yes	03/08/2018	Yes	01/29/2018
Integrated Collection System	Yes	05/05/2016	Yes	03/23/2016
Automated Collection System	Yes	12/15/2015	Yes	02/05/2015
Individual Master File (IMF)	Yes	02/28/2017	Yes	11/14/2016
Employee Plans Master File (EPMF)	Yes	11/03/2015	Yes	12/19/2013
Redesigned Revenue Accounting Control System (RRACS)	Yes	05/05/2016	Yes	08/12/2015
Individual Taxpayer Identification Number Real-Time System (ITIN RTS)	Yes	02/13/2018	No	
Automated Substitute for Return (ASFR)	Yes	12/06/2016	Yes	12/31/2016
Automated Trust Fund Recovery Program (ATFR)	Yes	02/22/2017	Yes	05/21/2017
Account Management Services (AMS)	Yes	01/18/2018	Yes	04/03/2017
Employee Protection System (EPS)	Yes	04/03/2015	Yes	06/13/2014

11b. Does the system receive SBU/PII from other federal agency or agencies?   Yes

   If **yes**, for each federal interface, identify the organization that sends the SBU/PII, how the SBU/PII is transmitted and if there is an Inter-Agency Agreement (ISA)/Memorandum of Understanding (MOU).

11c. Does the system receive SBU/PII from State or local agencies?   No

11d. Does the system receive SBU/PII from other sources?   No

11e. Does the system receive SBU/PII from **Taxpayer** forms?   Yes

   If **yes**, identify the forms

Form Number	Form Name
1040	Individual Tax Return
1120	US Corporate Income Tax return
706, 709	All 700 Series (Transfer Taxes)
940, 941, 942, 943, 944	All 900 Series (Employment (payroll))
1023, 1024	Recognition of Exemption
1041	Estate and Trust
1042	Social Security Benefit to Nonresident Aliens
1065	Return of Partnership
1066	Real Estate Mortgage Investment
1099	1099 Series
1098	1098 Series
1310	Deceased Taxpayer
2290	Heavy Highway Vehicles
2848	Power of Attorney and Declaration of Representative
3520	Foreign Trust and Receipt of Certain Foreign Gifts
4868, 7004	Automatic Extension
5498	5498 Series
5500	Annual Return/Report of Employee Benefit Plan
8038	Tax Exempt Bonds
8804	Partnership Withholding
8871	Political Organization Notice of Section 527 Status
8872	Political Organization Report of Contributions and Expenditures

   11f. Does the system receive SBU/PII from **Employee** forms (such as the I-9)?   No

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F. PII SENT TO EXTERNAL ORGANIZATIONS

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12. Does this system disseminate SBU/PII?   Yes

   12a. Does this system disseminate SBU/PII to other IRS Systems?   Yes

If **yes**, identify the full name and acronym of the IRS system(s) that receive SBU/PII from this system.

System Name	Current PCLIA	Approval Date	SA&A?	Authorization Date
Generalized Mainline Framework (GMF)	Yes	10/06/2017	Yes	09/13/2012
Generalized Unpostable Framework (GUF)	Yes	11/28/2017	Yes	07/20/2012
Error Resolution System (ERS)	Yes	12/18/2017	Yes	02/14/2012
Federal Tax Deposit System (FTD)	No		No	
Audit Information Management System Reference (AIMS0R)	Yes	12/15/2015	No	
Remittance Processing System Pre-mainline (RPS-PM)	Yes	07/01/2015	Yes	11/14/2014
Electronic Federal Payment Posting System (EFPPS)	Yes	05/04/2018	Yes	10/14/2017
Standardized IDRS Access (SIA) - Tier II	Yes	02/27/2018	No	
Automated Non-Master File (ANMF)	Yes	02/14/2018	Yes	08/28/2017
Automated Underreporter (AUR)	Yes	06/06/2016	Yes	12/28/2015
Inventory Delivery System (IDS)	Yes	12/05/2016	Yes	11/23/2015
Automated Liens System (ALS)	No		No	
Modernized E-File (MEF)	Yes	02/23/2016	Yes	11/19/2015
Individual Taxpayer Identification Number Real-Time System (ITIN RTS)	Yes	02/13/2018	No	
Automated 66020 (b) Substitute for Returns (A6020b)	Yes	07/21/2015	Yes	04/22/2013
Automated Offers In Compromise (AOIC)	Yes	02/28/2018	Yes	09/10/2007
Service Center Control File Processing (SCCF)	No		No	
Adoption Taxpayer Identification Number (ATIN)	Yes	08/11/2015	No	
Custodial Detail Database (CDDB)	No		No	
Microfilm Replacement System (MRS)	Yes	09/06/2017	Yes	02/17/2011
Corporate Files Online (CFOL)	No		No	
National Account Profile (NAP)	Yes	03/21/2017	No	
Name Search Facility (NSF)	Yes	08/01/2017	No	
Automated Substitute for Return (ASFR)	Yes	12/06/2016	Yes	12/31/2016
Automated Trust Fund Recovery Program (ATFR)	Yes	02/22/2017	Yes	05/31/2017
Account Management Services (AMS)	Yes	01/18/2018	Yes	04/03/2017
Integrated Customer Commutation Environment (ICCE)	No		No	
Report Generation Software (RGS)	Yes	03/29/2018	Yes	10/03/2017
Generalized IDRS Interface (GII)	No		No	
Branded Prescription Drug (BPD)	Yes	08/17/2015	Yes	08/13/2014
Business Master File (BMF)	Yes	03/08/2018	Yes	01/29/2018
Integrated Collection System (ICS)	Yes	05/05/2016	Yes	03/23/2016
Automated Collection System (ACS)	Yes	12/15/2015	Yes	02/05/2015
Individual Master File (IMF)	Yes	02/28/2017	Yes	11/14/2016
Employee Plans Master File (EPMF)	Yes	11/03/2015	Yes	12/19/2013
Redesigned Revenue Accounting Control System (RRACS)	Yes	05/05/2016	Yes	08/12/2015
Employee Protection System (EPS)	Yes	04/03/2015	Yes	06/13/2014

Identify the authority and for what purpose? System Name Current PIA? PIA Approval Date SA & A? Authorization Date Taxpayer Information File (TIF) No No Treasury Inspector General for Tax Administration (TIGTA) No No Tax Master File Yes 07/12/2011 Yes 12/09/2011

12b. Does this system disseminate SBU/PII to other Federal agencies?   Yes

If **yes** identify the full names of the federal agency(s) that receive SBU/PII from this system, and if there is an Inter-Agency Agreement (ISA) / Memorandum of Understanding (MOU)

Organization Name	Transmission method	ISA/MOU
Treasury Inspector General for Tax Administration(TIGTA)	SFTP	Yes

Identify the authority and for what purpose?   Organization Name Transmission method ISA/MOU The Department of the Treasury's Financial Management System (FMS) sends the IRS a file known as the "Disposition" file that is fed into the Case Control Activity (CCA) process of IDRS No The United States Postal Service (USPS) supplies data to the Taxpayer Delinquent Account (TDA) subsystem of IDRS No The Defense Manpower Data Center (DMDC) supplies data to the TDA subsystem of IDRS No The U.S. Department of Agriculture's Federal Payroll Office (FPO) supplies data to the TDA subsystem of IDRS No The Government Sponsored Enterprise (GSE), Federal National Mortgage Association (FNMA) sends EIN assignments into the EIN RESEARCH AND ASSIGNMENT SYSTEM (ERAS) subsystem of IDRS No

12c. Does this system disseminate SBU/PII to State and local agencies?   Yes

If **yes**, identify the full names of the state and local agency(s) that receive SBU/PII from this system, and if there is an Inter-Agency Agreement (ISA) /Memorandum of Understanding (MOU). No Organization Records found.
Identify the authority and for what purpose?   Organization Name Transmission method ISA/MOU State and local Governments send data to the State Income Tax Levy Program (SITLP) subsystem of IDRS No The Employment Commission Data Exchange (ECDE) supplies data to the Taxpayer Delinquent Account (TDA) subsystem of IDRS No

12d. Does this system disseminate SBU/PII to IRS or Treasury contractors?   Yes

If **yes**, identify the contractor source(s) that receive SBU/PII from this system, and if there is an Inter-Agency Agreement (ISA) /Memorandum of Understanding (MOU).
 No Organization Records found.

Identify the authority and for what purpose?   Organization Name Transmission method ISA/MOU Tax professionals submit data to the Reporting Agent File (RAF) subsystem of IDRS No The IRS contracts with commercial banks for Lockbox Processing Systems (Lockbox) supplies payment data to the Taxpayer Delinquent Account (TDA) subsystem of IDRS No Financial Institutions/Banks send and receive installment agreement payment information to/from the ELECTRONIC FUNDS TRANSFER (EFT) subsystem of IDRS No

Did the contract include the appropriate 6103(n) clauses for tax return and return information, and Federal Acquisition Regulations privacy clauses?   Yes

If **yes**, identify the other source(s) that receive SBU/PII from this system, and if there is an Inter-Agency Agreement (ISA) /Memorandum of Understanding (MOU).
      No Organization Records found.

G. PRIVACY SENSITIVE TECHNOLOGY

13. Does this system use social media channels?   No

14. Does this system use privacy-sensitive technologies such as mobile, global position system (GPS), biometrics, RFID, etc.?   No

15. Does the system use cloud computing?   No

16. Does this system/application interact with the public?   No

H. INDIVIDUAL NOTICE AND CONSENT

17. Was/is notice provided to the individual prior to collection of information?   Yes

> 17a. If **yes**, how is notice provided? Was the individual notified about the authority to collect the information, whether such is mandatory or voluntary, the purpose for which the information will be used, with whom the information may be shared, and the effects, if any, if they decide not to provide any of the requested information?
> Data in system is gathered from tax forms----Notice is provided to individuals by other IRS applications or through forms (e.g., 1040 forms) that interact directly with the taxpayer at the time of collection. Due process is provided pursuant to 5 United States Code (USC).

18. Do individuals have the opportunity to decline from providing information and/or from consenting to particular uses of the information?   No

> 18b. If no, why not?   The Internal Revenue Code (IRC) sections 6001, 6011, and 6012(a), and their regulations state that you must file a return or statement with IRS for any tax you are liable for.

19. How does the system or business process ensure due process regarding information access, correction and redress?
    IDRS is only a repository of taxpayer information submitted directly to the IRS through other IRS applications. IDRS does not interact with taxpayers directly and thus "due process" is addressed by other IRS applications that directly interact with taxpayers. Any issues that are identified by these other means will submit changes to IDRS through automated methods so an audible record may be maintained. Due process is provided pursuant to Title 5 USC and Title 26 USC.

I. INFORMATION PROTECTION

20. Identify the owner and operator of the system (could be IRS owned and Operated; IRS owned, contractor operated; contractor owned and operated)

    IRS Owned and Operated

21. The following people have access to the system with the specified rights:

    IRS Employees?   Yes

IRS Employees?	Yes/No	Access Level (Read Only/Read Write/ Administrator)
Users	Yes	Read and Write
Managers	Yes	Read and Write
Sys. Administrators	No	
Developers	No	

Contractor Employees?   Yes

Contractor Employees?	Yes/No	Access Level	Background Invest. Level
Contractor Users	Yes	Read and Write	High
Contractor Managers	No		
Contractor Sys. Admin.	No		
Contractor Developers	No		

21a. How is access to SBU/PII determined and by whom? Online 5081, IDRS Security Account Administrators.

21b. If computer matching occurs, can the business owner certify that it meets requirements of IRM 11.3.39 Disclosure of Official Information, Computer Matching & Privacy Protection Act?

Not Applicable

I.1 RECORDS RETENTION SCHEDULE

22. Are these records covered under the General Records Schedule (GRS), or have a National Archives and Records Administration (NARA) archivist approved a Record Control Schedule (RCS) for the retention and destruction of official agency records stored in this system?   No

22b. If **no**, how long are you proposing to retain the records? Please note, if you answered no, you must contact the IRS Records and Information Management Program to initiate records retention scheduling before you dispose of any records in this system.

IDRS is an access or computer application, infrastructure or interface. IDRS does not create, store, and/or manage records as defined under the Federal Records Act (44 U.S.C.) and does not need to be scheduled. Any data within the system itself is considered duplicative of data derived from other systems. The data which is passed through by IDRS is not archived and IDRS itself does not maintain a data log or audit information. Applications that interface with IDRS and have data storage, tracking, and audit information are scheduled and/or will be scheduled independent of IDRS.

I.2 SA&A OR ECM-R

23. Has the system been through SA&A (Security Assessment and Authorization) or ECM-R (Enterprise Continuous Monitoring Reauthorization)?   Yes

23a. If **yes**, what date was it completed?   01/17/2018

23.1 Describe in detail the system's audit trail.   Audit trails consist of: 10 digit assigned unique employee number, 10-digit case number & Tax period. These fields are entered after the employees have entered their user–id and password. Managers receive a computerized listing that indicates what employee is working on what case(s) and this listing would indicate if an employee was reviewing a taxpayer's information that they had not be assigned. There are other computerized programs that provide both audit trails and statistics as to whether an employee made an unauthorized access (UNAX) of a taxpayer's tax data.

J. PRIVACY TESTING

24. Does the system require a System Test Plan? Yes

    24b. If **yes**, Is the test plan in process or completed: Completed

        24.3 If **completed/ or in process,** describe what testing and validation activities have been conducted or are in progress to verify and validate that the applicable Privacy Requirements (listed in header) have been met?
We have completed the annual security control assessment and received the authority to operate, and have completed the disaster table top exercise. We have completed the change request and security impact assessment.

        24b.1. If **completed**, where are the test results stored (or documentation that validation has occurred confirming that requirements have been met)?   IDRS STP FS2018 V1.0 20171207
http://docit.web.irs.gov/docit/component/main?__dmfClientId=1530116639776&__dmfTzoff=240

        24b.2. If **completed**, were all the Privacy Requirements successfully tested?   Yes

        24.2 If **completed**, are there any residual system privacy, civil liberties, and/or security risks identified that need to be resolved? No

K. SBU Data Use

25. Does this system use, or plan to use SBU Data in Testing?   Yes
    25a. If **yes,** was permission granted per the requirements of Form 14664, SBU Data Use Questionnaire or Form 14665, SBU Data Use Request?   Yes

        If **yes,** provide the date the permission was granted.   05/25/2017

    25b. If **yes**, was testing performed in conformance with IRM 10.5.8, Sensitive But Unclassified (SBU) Data Policy: Protecting SBU in Non-Production Environments?   Yes

L. NUMBER AND CATEGORY OF PII RECORDS

26. Identify the number of individual records in the system for each category:

26a. IRS Employees:	50,000 to 100,000
26b. Contractors:	5,000 to 10,000
26c. Members of the Public:	100,000 to 1,000,000
26d. Other:	No

M. CIVIL LIBERTIES

27. Does the system maintain any information describing how any individual exercises their rights guaranteed by the First Amendment?   No

28. Is the system information used to conduct data-mining as defined in the *Implementing the 9/11 Commission Recommendations Act of 2007, Public Law 110-53, Section 804?* No

29. Will this system have the capability to identify, locate, and monitor individuals or groups of people? No

N. ACCOUNTING OF DISCLOSURES

30. Does the system include or require disclosure of tax or employee information to anyone other than IRS employees in the performance of their duties, or to the person to whom the information pertains or to a 3rd party pursuant to a Power of Attorney, tax or Privacy Act consent? Yes

   If **yes**, does the system have a process in place to account for such disclosures in compliance with IRC 6103 (p) (3) (A) or Subsection (c) of the Privacy Act? Contact *Disclosure* to determine if an accounting is required. Yes

End of Report